

**Bills Committee on
Securities and Futures Bill and Banking (Amendment) Bill 2000**

Part III – Automated Trading Services

At the Bills Committee meeting on 19 January 2001, Members asked the Administration to provide a list of automated trading services providers currently operating in Hong Kong and those expected to be regulated under Part III of the Securities and Futures Bill.

- 2. The list at the Annex is to the best of the knowledge of the Securities and Futures Commission and submitted to Members for reference.

Financial Services Bureau
8 February 2001

**Securities and Futures Bill
Automated Trading Services (ATS) Providers**

Existing ATS Providers ^{Note (1)}	Potentially to be regulated under Part III of the Securities and Futures Bill
Asiabondportal.com Inc	Yes
Bloomberg (彭博資訊)	Yes
Reuters (路透社)	Yes
Overseas exchanges operating ATS	
Cantor Financial Futures Exchange (US)	Yes
Chicago Board of Trade (US) (芝加哥交易所)	Yes
Chicago Mercantile Exchange (US) (芝加哥商品交易所)	Yes
Deutsche Börse Xetra (Germany)	Yes
Eurex (Germany) (歐洲期貨及期權交易所)	Yes
London International Financial Futures Exchange (UK) (倫敦國際金融期貨交易所)	Yes
Sydney Futures Exchange (Australia) (悉尼期貨交易所)	Yes
Tradepoint Investment Exchange (UK)	Yes
74 licensed dealers using Internet for trading	No ^{Note (2)}
	Potential New providers
	ARKaccess Ltd
	Bonds in Asia Ltd
	NESDEX Pte Ltd. (忠信科技)
	Tigerex.net limited

Notes

- (1) Existing operators providing services defined as ATS under the Securities and Futures Bill.
- (2) More likely to be licensed as ATS providers under Part V of the Securities and Futures Bill than being authorised under Part III.
- (3) Some of the above companies do not have Chinese names.