

## Specified Titles

Section	Specified Titles
s. 136(1)	"bond broker", "bond dealer", "securities dealer", "stock dealer", "stockbroker", "股票經紀", "債券交易商", "債券經紀", "證券交易商" and "證券經紀"
s. 136(2)	"futures broker", "futures dealer", "期貨交易商" and "期貨經紀"
s. 136(3)	"leveraged foreign exchange trader" and "槓桿式外匯交易商"
s. 136(4)	"securities adviser", "securities consultant", "stock adviser", "股票顧問" and "證券顧問"
s. 136(5)	"futures adviser", "futures consultant" and "期貨顧問"
s. 136(6)	"corporate finance adviser", "corporate finance consultant" and "機構融資顧問"
s. 136(7)	"automated trading service provider" and "自動化交易服務提供者"
s. 136(8)	"margin lender", "securities margin financier" and "證券保證金融資人"

<sup>1</sup> We accept the comment of some Members expressed at the Bills Committee meeting on 10 July 2001 that to better cater for market development, the titles which use should be subject to restrictions should be presented in a Schedule. We also propose to add a new clause 139A such that the SFC may by notice in the Gazette amend the Schedule.