

**Work Schedule for the
Legislative Council Bills Committee on
Securities and Futures Bill and Banking (Amendment) Bill 2000
(As at 12 November 2001)**

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
08.12.2000 (Fri)	10:45 am - 12:45 pm	Major interpretation provisions of the Securities and Futures Bill (SFB)	Part I; Schedule 1
15.12.2000 (Fri)	8:30 am - 10:30 am	Overall briefing/ Internal discussion	NA
05.01.2001 (Fri)	10:45 am - 12:45 pm	- Latest developments and reforms in regulatory framework of global securities and futures markets - SFC objectives, functions, powers, accounting and financial arrangements	NA Part II; Schedule 2

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
12.01.2001 (Fri)	10:45 am - 12:45 pm	- Regulation of exchange companies, clearing houses, investor compensation companies and automated trading services providers	Part III; Schedule 3
19.01.2001 (Fri)	8:30 am - 10:30 am	- Offers of investment	Part IV; Schedule 4; Schedule 5
03.02.2001 (Sat)	9:30 am - 12:45 pm	<p>- Oral representation by deputations [Written submissions ready by 27.01.2001]</p> <p>- The Administration's preliminary response to major points made by the deputations</p>	NA
09.02.2001 (Fri)	10:45 am - 12:45 pm	<p>- Offers of investment</p> <p>- Outstanding issues relating to Parts I to IV of SFB</p>	Part IV; Schedule 4; Schedule 5

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
16.02.2001 (Fri)	10:45 am - 12:45 pm	Licensing regime - Licensing and exemption	Part V; Schedule 6 Corresponding provisions in Banking (Amendment) Bill 2000 (BAB)
23.02.2001 (Fri)	8:30 am - 10:30 am	- Capital requirements, client assets, records and audit - Business conduct, etc.	Part VI Corresponding provisions in BAB Part VII
02.03.2001 (Fri)	8:30 am - 10:30 am		
09.03.2001 (Fri)	8:30 am - 10:30 am		

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16.03.2001 (Fri)	10:45 am - 12:45 pm	Supervision of licensees, etc. - Supervision and investigations - Discipline, etc.	Part VIII; Part IX Corresponding provisions in BAB
30.03.2001 (Fri)	10:45 am - 12:45 pm		
20.04.2001 (Fri)	10:45 am - 12:45 pm		
24.04.2001 (Tue)	10:45 am - 12:45 pm	Powers of intervention and proceedings	Part X
27.04.2001 (Fri)	8:30 am - 10:30 am	Securities and Futures Appeals Tribunal	Part XI; Schedule 7 Corresponding provisions in BAB
02.05.2001 (Wed)	10:45 am - 12:45 pm		

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
04.05.2001 (Fri)	10:45 am - 12:45 pm	Investor compensation	Part XII; Schedule 9
08.05.2001 (Tue)	10:45 am - 12:45 pm	Market misconduct : civil and criminal regimes - Market Misconduct Tribunal (proceedings and sanctions)	Part XIII; Schedule 8
11.05.2001 (Fri)	10:45 am - 12:45 pm	- Market misconduct (types of misconduct, mens rea, safe harbours, etc)	Part XIII; Part XIV
16.05.2001 (Wed)	8:30 am - 10:30 am		

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
18.05.2001 (Fri)	10:45 am - 12:45 pm	Disclosure of securities interests	Part XV
21.05.2001 (Mon)	2:30 pm - 4:30 pm		
25.05.2001 (Fri)	8:30 am - 10:30 am	Miscellaneous	Part XVI Corresponding provisions in BAB
30.05.2001 (Wed)	8:30 am - 10:30 am	Repeals, transitional arrangements and consequential amendments	Part XVII; Schedule 9
01.06.2001 (Fri)	10:45 am - 12:45 pm	Internal deliberation by the Bills Committee	
05.06.2001 (Tue)	10:45 am - 12:45 pm		

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
08.06.2001 (Fri)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Parts I and II and Schedule 2	
12.06.2001 (Tue)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Parts I and II and Schedule 2 - Part III and Schedule 3	
15.06.2001 (Fri)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part III and Schedule 3	
19.06.2001 (Tue)	10:45 am - 12:45 pm		
22.06.2001 (Fri)	8:30 am - 10:30 am	Clause-by-clause examination of the Bills: - Part III and Schedule 3 - Part IV and Schedules 4 and 5	
27.06.2001 (Wed)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part IV and Schedules 4 and 5	

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29.06.2001 (Fri)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part IV and Schedules 4 and 5 - Part V and Schedule 6	
04.07.2001 (Wed)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part V and Schedule 6	
10.07.2001 (Tue)	10:45 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part V and Schedule 6 - Part VI	
16.07.2001 (Mon)	9:30 am - 12:30 pm	Clause-by-clause examination of the Bills: - Part VI - Part VII	
17.07.2001 (Tue)	2:30 pm - 5:00 pm	Clause-by-clause examination of the Bills: - Part VI - Part VII - Part VIII	
18.07.2001 (Wed)	9:30 am - 12:30 pm	Clause-by-clause examination of the Bills: - Part IX	

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
14.09.2001 (Fri)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part IX and relevant provisions of BAB - Part X	
17.09.2001 (Mon)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part X - Part XI and Schedule 7 - Part XII	
19.09.2001 (Wed)	8:30 am - 10:30 am	Clause-by-clause examination of the Bills: - Part XIII and Schedule 8 - Part XIV	
21.09.2001 (Fri)	8:30 am - 12:45 pm		
28.09.2001 (Fri)	8:30 am - 12:45 pm		
03.10.2001 (Wed)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part XIV	

Meeting dates	Meeting time	Proposed topics for discussion	Parts of the Bill (Note)
05.10.2001 (Fri)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - BAB - Chinese version of Part IX - Chinese version of Parts XIII and XIV	
08.10.2001 (Mon)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part XVI	
12.10.2001 (Fri)	8:30 am - 12:45 pm	Clause-by-clause examination of the Bills: - Part XV	
24.10.2001 (Wed)	8:30 am - 10:30 am	Clause-by-clause examination of the Bills: - Chinese version of Part XIV and Schedule 8 - Chinese version of Part XV - Chinese version of Part XVI	
26.10.2001 (Fri)	8:30 am - 10:30 am	Clause-by-clause examination of the Bills: - Part XVII and Schedule 9	
04.12.2001 (Tue)	8:30 am - 12:45 pm	Consideration of the first batch of revised mark-up versions of the Bills: - Parts I to VII Schedules 2, 3, 4, 6 and 6A (Schedule 5 of the Blue Bill) - Relevant provisions in BAB	

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06.12.2001 (Thu)	8:30 am - 12:45 pm	Consideration of the first batch of revised mark-up versions of the Bills:	<ul style="list-style-type: none"> - Parts I to VII Schedules 2, 3, 4, 6 and 6A (Schedule 5 of the Blue Bill) - Relevant provisions in BAB
10.12.2001 (Mon)	8:30 am - 12:45 pm	Consideration of the second batch of revised mark-up versions of the Bills:	<ul style="list-style-type: none"> - Parts VIII to XII Schedules 7 and 8 - Relevant provisions in BAB
11.12.2001 (Tue)	8:30 am - 12:45 pm	Consideration of the third batch of revised mark-up versions of the Bills:	<ul style="list-style-type: none"> - Parts XIII to XVII Schedules 1 and 9
14.12.2001 (Fri)			
17.12.2001 (Mon)			
08.01.2002 (Tue)	8:30 am- 12:45pm	Discussion on CSAs intended to be moved by members	

Note: The Parts in the last column refer to those of the Securities and Futures Bill, unless otherwise specified.

Legislative Council Secretariat

12 November 2001