

**Replies to questions raised by Finance Committee Members in examining the
Draft Estimates of Expenditure 2001-02**

**[Bureau Secretary/Controlling Officer : Commissioner, ICAC]
[Session No. : 18]**

Bureau Serial No.	Question Serial No.	Member	Head	Programme
ICAC001	0154	TO Kun-sun, James	72	2
ICAC002	0155	TO Kun-sun, James	72	2
ICAC003	0156	TO Kun-sun, James	72	2
ICAC004	0157	TO Kun-sun, James	72	2
ICAC005	0226	TO Kun-sun, James	72	2
ICAC006	0227	TO Kun-sun, James	72	2
ICAC007	0228	TO Kun-sun, James	72	2
ICAC008	0229	TO Kun-sun, James	72	2
ICAC009	0334	TO Kun-sun, James	72	2
ICAC010	0335	TO Kun-sun, James	72	2
ICAC011	0336	TO Kun-sun, James	72	1
ICAC012	0641	HO Sau-lan, Cyd	72	2
ICAC013	0642	HO Sau-lan, Cyd	72	2

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please list by rank the establishment of the Surveillance Group.

Asked by: Hon James TO

Reply:

The establishment of the Surveillance Group is 118 comprising the following ranks:

Senior Commission Against Corruption Officer
Commission Against Corruption Officer (Upper)
Commission Against Corruption Officer (Middle/Lower)
Assistant Commission Against Corruption Officer
Chief Commission Against Corruption Controller
Senior Commission Against Corruption Controller
Commission Against Corruption Controller
Commission Against Corruption Investigator
Commission Against Corruption Investigator (Attendant)
Personal Secretary II
Clerical Assistant

Because of the special nature of its work, it is not appropriate to disclose the staffing distribution by ranks.

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 12 March 2001

Bureau Serial No.
ICAC 002
Question Serial No.
0155

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): 103 – Rewards and Special Services

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide a breakdown of the expenditure under Subhead 103.

Asked by: Hon James TO

Reply:

The Rewards & Special Services Subhead caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Disclosure of such expenditure details is not appropriate.

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 12 March 2001

Bureau Serial No.

ICAC 003

Question Serial No.

0156

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): 103 – Rewards and Special Services

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide the following details under Subhead 103 for the past year:-
- total expenditure on informant's fee;
- the highest single payment of informant's fee;
- the number of persons who received informant's fee and the number of payments; and
- the expenditure on staffing and equipment.

Asked by: Hon James TO

Reply:

The Rewards & Special Services Subhead caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Disclosure of such expenditure details is not appropriate.

Only a very small proportion of the total expenditure is spent on informant's fee. In 2000–01, there were 132 occasions on which rewards under Subhead 103 were provided.

Signature: _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 12 March 2001

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): 103 – Rewards and Special Services

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide the following details concerning Subhead 103 for the past three years –

- the rank of officers with the authority to conduct inspections on the expenditure under this subhead;
- the number of inspections conducted; and
- the number of occasions when irregularity was discovered.

Asked by: Hon James TO

Reply:

The ICAC exercises strict control over expenditure under Subhead 103 (Rewards & Special Services). All claims are scrutinized by senior officers. Since 1994–95, the Director of Audit has also been tasked to audit this vote. Besides, the Commissioner, the Head of Operations and the Assistant Director (Administration) carry out surprise inspections on the accounts of this subhead. Five, seven and six surprise inspections were carried out in 1998–99, 1999–2000 and 2001–02 respectively.

No anomalies were spotted in all previous surprise inspections.

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 13 March 2001

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please set out the establishment of the Technical Services Division in 2000–01 and 2001–02 by rank, and the resources allocated to the Division for these two years and their breakdown (e.g. salary, equipment, etc.).

Asked by: Hon James TO

Reply:

Establishment

	<u>2000–01</u>	<u>2001–02</u>
Senior Commission Against Corruption Officer	3	2
Commission Against Corruption Officer (Upper)	8	7
Commission Against Corruption Officer (Middle/Lower)	26	26
Assistant Commission Against Corruption Officer	23	25
Senior Personal Secretary/Personal Secretary II	2	2
Clerical Assistant/Workman II	<u>2</u>	<u>2</u>
	<u>64</u>	<u>64</u>

Resources \$ M

Salaries	31.310	31.531
Capital projects for major systems and equipment (See Appendix)	17.649	16.478
Departmental expenses for minor equipment, consumable, maintenance services etc.	<u>2.215</u>	<u>4.700</u>
Total :	<u>51.174</u>	<u>52.709</u>

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 19 March 2001

Appendix

	Approved Project Estimates <hr/> (\$'000)	Actual Expenditure to 31.3.2000 <hr/> (\$'000)	Approved Estimates 2000–01 <hr/> (\$'000)	Revised Estimates 2000–01 <hr/> (\$'000)	Estimates 2001–02 <hr/> (\$'000)
<u>Section A: Projects appearing in draft estimates 2001–02</u>					
8004YG	Digital secure capable repeaters	2,500	-	-	1,500
8005YG	Digital secure capable very high frequency (VHF) repeaters and transceivers	2,736	-	1,736	2,403
8006YG	Digital VHF repeaters and transceivers with security features for the ICAC surveillance group	4,390	-	4,390	4,057
8014YG	Enhancement of the microwave link system (Phase III)	9,000	181	3,129	2,276
8015YG	Replacement of 12 microwave links	9,000	-	9,000	6,242
	Sub-total of Section A :	<hr/> 27,626	<hr/> 181	<hr/> 18,255	<hr/> 10,966 <hr/> 16,478
<u>Section B: Projects not included in draft estimates</u>					
8009YG	Communication equipment system for microwave link	3,100	3,100	-	-
8010YG	Enhancement of the microwave link system (Phase II)	7,000	317	-	6,683
	Sub-total of Section B :	<hr/> 10,100	<hr/> 3,417	<hr/> -	<hr/> 6,683 <hr/> -
<u>Sections A and B</u>		<hr/> <hr/> 37,726	<hr/> <hr/> 3,598	<hr/> <hr/> 18,255	<hr/> <hr/> 17,649 <hr/> 16,478

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide the number of cases successfully investigated in 1999 and 2000 by using undercover operations and informants, stating as well the figures of successful prosecution and conviction.

Asked by: Hon James TO

Reply: Our proactive approach has led to the following results in 1999 and 2000:

	<u>1999</u>	<u>2000</u>
(1) No. of cases generated	218	209
(2) No. of persons prosecuted	196	134
(3) No. of persons convicted	161	76

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 19 March 2001

Bureau Serial No.

ICAC 007

Question Serial No.

0228

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide in detail the organisation structure and group establishment of the Operations Department for 2000–01 and 2001–02.

Asked by: Hon James TO

Reply: The Organisation Charts of the Operations Department, showing the establishment of various groups, for 2000–01 and 2001–02 are given in Appendices A and B respectively.

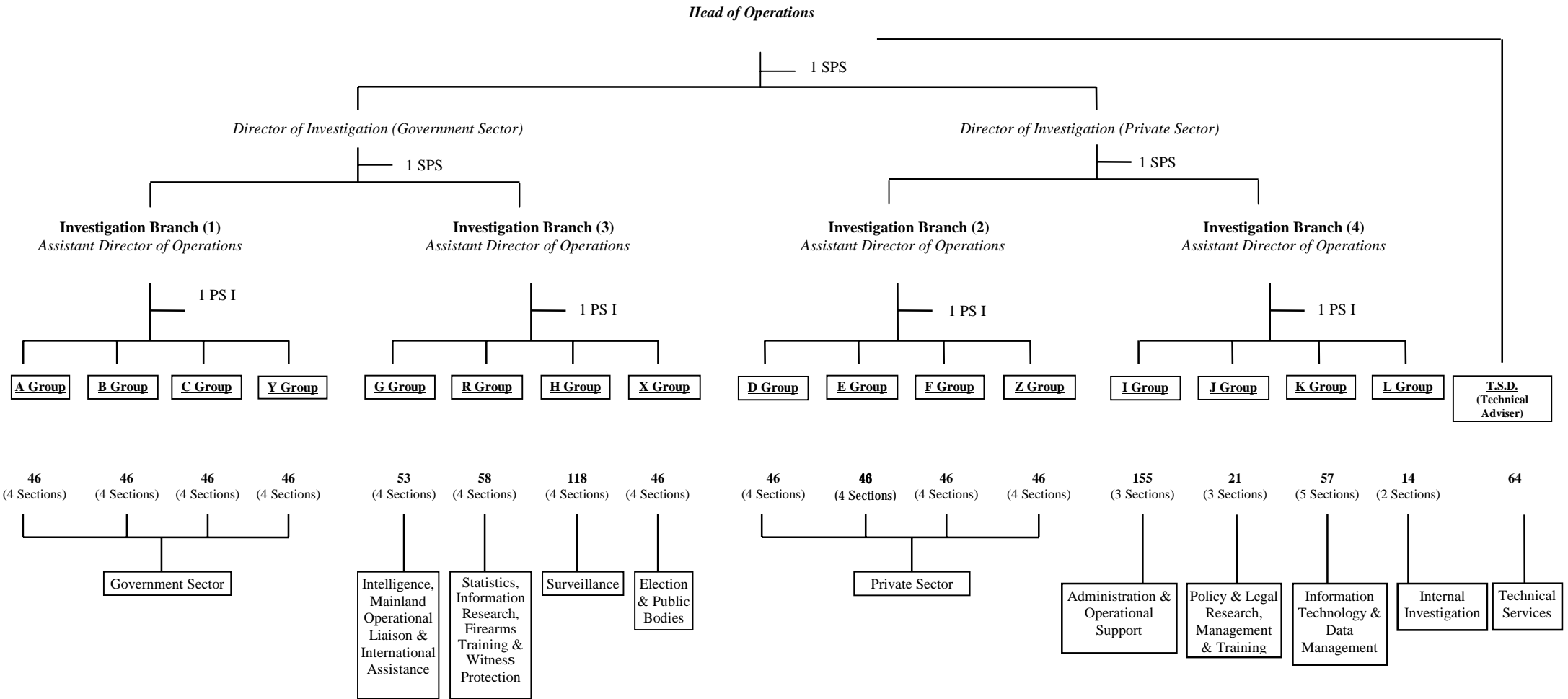
Signature: _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

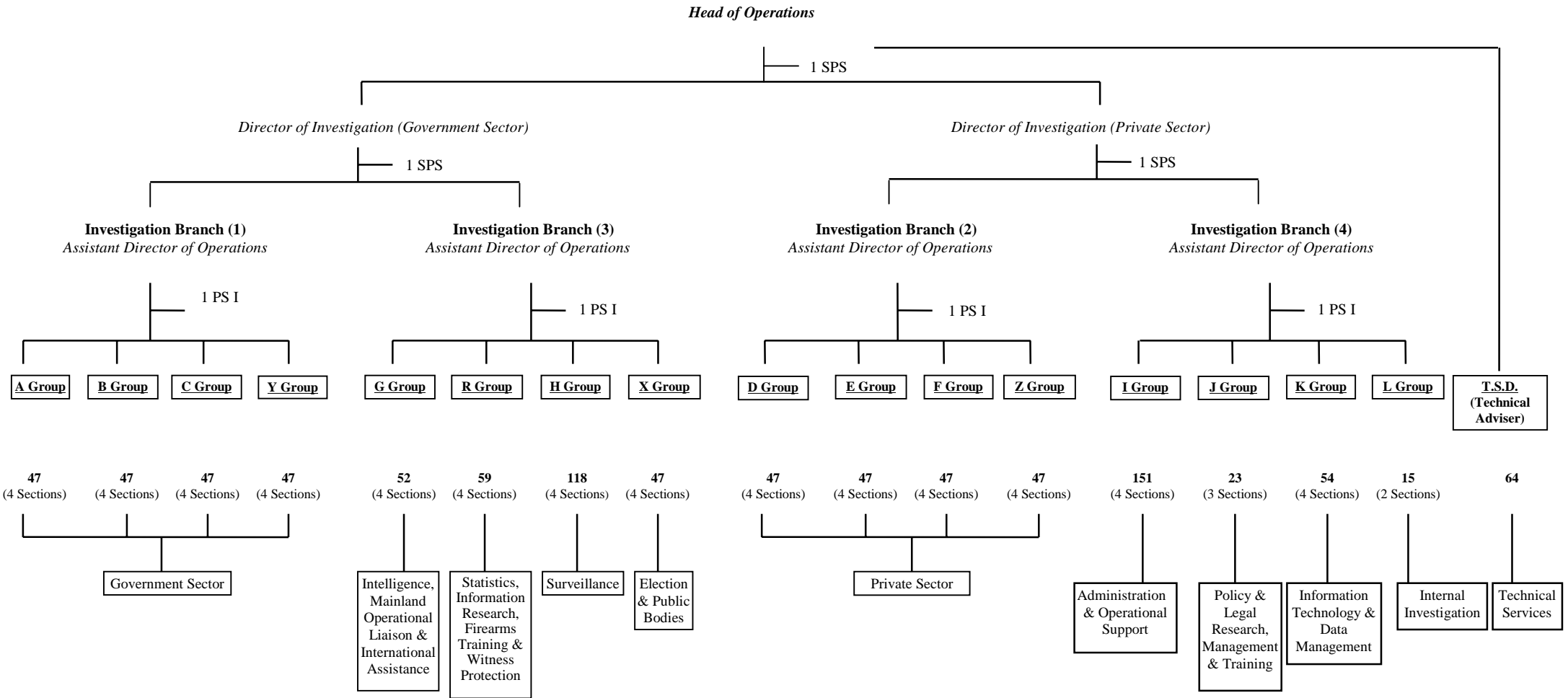
Date: 20 March 2001

Organisation of Operations Department in 2000-01



Total Establishment : 961 + 7 Directorate = 968

Organisation of Operations Department in 2001-02 (Projected)



**Total Establishment : 966 + 7 Directorate
= 973**

Examination of draft Estimates of Expenditure 2001-02**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please provide the establishment of the Research and Intelligence Group and the Strategic Research Unit in the past three years.

Asked by: Hon James TO

Reply:

	<u>1998-99</u>	<u>1999-2000</u>	<u>2000-01</u>
<u>Establishment of the Research and Intelligence Group</u>			
Senior Commission Against Corruption Officer	1	1	1
Commission Against Corruption Officer (Upper)	5	4	4
Commission Against Corruption Officer (Middle/Lower)	19	17	17
Assistant Commission Against Corruption Officer	14	12	12
Support Grade	<u>1</u>	<u>1</u>	<u>2</u>
	40	35	36
<u>Establishment of the Strategic Research Unit</u>			
Senior Commission Against Corruption Officer	1	1	1
Commission Against Corruption Officer (Upper)	–	1	1
Commission Against Corruption Officer (Middle/Lower)	–	2	2
Assistant Commission Against Corruption Officer	–	1	1
Support Grade	<u>3</u>	<u>–</u>	<u>–</u>
	4	5	5

Signature: _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 20 March 2001

Bureau Serial No.

ICAC 009

Question Serial No.

0334

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please list out in detail corruption reports received by the ICAC in 1998, 1999 and 2000 involving Mainland-funded organisations or state owned enterprises, stating the number of :-

- corruption reports received;
- pursuable reports;
- non-pursuable reports;
- investigations completed;
- persons prosecuted;
- persons convicted; and
- Mainlanders still on the list of ICAC wanted persons.

Asked by: Hon James TO

Reply:

It is the statutory duty of the ICAC to investigate any alleged breaches of the Hong Kong anti-corruption law. We conduct thorough investigation irrespective of the background or status of the suspects. We, therefore, do not maintain particular statistics on corruption reports involving Mainland-funded and state owned enterprises.

There are currently 36 Mainlanders on the wanted list of the ICAC.

Signature: _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 20 March 2001

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Please list out for 1998, 1999 and 2000 the number of occasions on which ICAC investigators visited the Mainland and Mainland officials came to Hong Kong under the Mutual Case Assistance Scheme, and the number of occasions on which witnesses were interviewed.

Asked by: Hon James TO

Reply:

The ICAC do not keep statistics on the number of occasions on which witnesses were interviewed under the Mutual Case Assistance Scheme. However, we maintain a record on the number of witnesses interviewed under the Scheme. The relevant figures are as follows:-

Year	ICAC Enquiries in Mainland		Mainland Enquiries in HK	
	Visits to Mainland	Witnesses Interviewed	Visit to HK	Witnesses Interviewed
1998	12	21	31	70
1999	13	19	40	108
2000	29	34	69	115

Signature : _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 19 March 2001

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 1 – Corruption Prevention

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: What will be the resources and manpower reserved by the ICAC in 2001–02 for providing corruption preventive training to site supervisors of the Housing Department and consultant firms on government's approved lists?

Asked by: Hon James TO

Reply:

The ICAC has been attaching much importance to corruption prevention work for the construction industry. A task force comprising officers with knowledge of the industry from the Operations, Community Relations and Corruption Prevention Departments was established in April 2000 to study and analyse problems prevailing in the industry and to co-ordinate corruption prevention training for construction industry practitioners. In 2000–01, about 500 training talks were organised, of which about 120 were for site supervisors of the Housing Department and consultant firms on government's approved lists.

In 2001–02, the ICAC will redeploy 20 experienced officers to continue with this area of work. We shall redeploy additional manpower, if necessary.

Signature: _____
Name in block letters: Alan N LAI
Post Title: Commissioner, ICAC
Date: 19 March 2001

Bureau Serial No.
ICAC 012
Question Serial No.
0641

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): 103 – Rewards and Special Services

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Subhead 103 is for expenditure on rewards and services of a confidential nature. Please provide a breakdown of expenditure for the various services.

Asked by: Hon Cyd HO

Reply:

Since the Rewards and Special Services Subhead caters for expenditure on rewards and services of a confidential nature, it is not appropriate to disclose such expenditure details.

Signature : _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 16 March 2001

Examination of draft Estimates of Expenditure 2001–02
**CONTROLLING OFFICER'S REPLY TO
WRITTEN/SUPPLEMENTARY QUESTION**

Head: 72 – Independent Commission Against Corruption

Subhead (No. & title): –

Programme: 2 – Operations

Controlling Officer: Commissioner, ICAC

Bureau Secretary: Commissioner, ICAC

Question: Under “Matters Requiring Special Attention in 2001–02”, the Operations Department will formalise the permanent establishment of the Quick Response Team. Please advise:-
(1) when will this plan be implemented;
(2) the establishment of this team after formalisation and its scope of responsibilities; and
(3) what amount of expenditure will it incur?

Asked by: Hon Cyd HO

Reply:

- (1) Formalisation of the establishment of the Quick Response Team (QRT) will be implemented on 1 April 2001.
- (2) The establishment of QRT will comprise 11 posts, including 1 Chief Investigator, 2 Senior Investigators / Investigators and 8 Assistant Investigators.

QRT will be tasked to investigate complaints of a minor nature which appear capable of quick resolution. The consistently high number of corruption reports and caseload, coupled with the sophistication of many of these cases have subjected the Operations Department to enormous work pressure. After formalising the establishment of QRT, which is currently staffed by internal re-deployment of investigative resources, investigation sections will be brought back to their original strength to tackle cases of substance and complexity.

- (3) It will incur \$4 million.

Signature: _____

Name in block letters: Alan N LAI

Post Title: Commissioner, ICAC

Date: 16 March 2001