

立法會  
*Legislative Council*

LC Paper No. CB(3)111/01-02

**Paper for the House Committee meeting  
on 9 November 2001**

**Questions scheduled for the  
Legislative Council meeting on 14 November 2001**

Questions by:

- |      |  |                 |                |
|------|--|-----------------|----------------|
| (1)  | Hon LEE Cheuk-yan  | (Oral reply)    |                |
| (2)  | Hon CHOY So-yuk  | (Oral reply)    |                |
| (3)  | Dr Hon David CHU<br><i>(Replacing his previous question)</i> | (Oral reply)    | (New question) |
| (4)  | Hon Emily LAU  | (Oral reply)    |                |
| (5)  | Hon Fred LI  | (Oral reply)    |                |
| (6)  | Hon LAU Kong-wah   | (Oral reply)    |                |
| (7)  | Hon Kenneth TING   | (Written reply) |                |
| (8)  | Hon Abraham SHEK   | (Written reply) |                |
| (9)  | Hon LAU Wong-fat   | (Written reply) |                |
| (10) | Hon LAW Chi-kwong  | (Written reply) |                |
| (11) | Hon SIN Chung-kai  | (Written reply) |                |
| (12) | Hon CHOY So-yuk  | (Written reply) |                |
| (13) | Ir Dr Hon HO Chung-tai                                       | (Written reply) |                |
| (14) | Hon Fred LI  | (Written reply) |                |
| (15) | Hon Emily LAU  | (Written reply) |                |
| (16) | Hon LAU Kong-wah   | (Written reply) |                |
| (17) | Hon Abraham SHEK   | (Written reply) |                |
| (18) | Hon LAW Chi-kwong  | (Written reply) |                |
| (19) | Hon SIN Chung-kai  | (Written reply) |                |
| (20) | Hon Kenneth TING   | (Written reply) |                |

註 :

NOTE :

# 議員將採用這種語言提出質詢

# Member will ask the question in this language

#(3) 朱幼麟議員 (口頭答覆)

香港交易及結算所有限公司(“香港交易所”)董事局於去年5月決定，由2002年4月1日起取消指定最低經紀佣金率的規則。就此，政府可否告知本會：

- (一) 是否知悉，香港交易所董事局在作出決定前有否評估在取消該規則後，將有多少間證券公司會因而要裁員或結業；及
- (二) 鑒於證券公司去年的收入因證券交投量偏低而大幅減少，當局會否建議香港交易所擱置取消該規則的決定，以免進一步加重證券公司的經營壓力；若否，原因為何及有何措施協助證券業提高其商機和收入？

(3) Dr Hon David CHU (Oral Reply)

The Board of the Hong Kong Exchanges and Clearing Limited ("HKEx") decided in May last year to remove, with effect from 1 April 2002, the rule which sets a minimum rate of brokerage commission. In this connection, will the Government inform this Council whether:

- (a) it knows if the Board of HKEx had, before making the decision, assessed the numbers of securities companies which may have to lay off their staff or close down their business upon the removal of the rule; and
- (b) in view of the substantial reduction in the revenues of securities companies last year due to the low trading volume, the authorities will propose that the HKEx shelve its decision to remove the minimum commission rule, so as not to apply further pressure on the operation of securities companies; if not, of the reasons for that and the measures in place to increase the business opportunities and revenues of the securities sector?