



6 May 2002

The Honourable Legislative Council Member,

New SFC Investor Leaflet –“Wise Ways when Dealing with Brokers”

We believe that the most effective investor protection starts with an informed and educated investor. To educate investors of their rights and responsibilities in using stock trading services, the Securities and Futures Commission has published a Chinese investor leaflet - "Wise Ways when Dealing with Brokers" for retail investors.

Using simple cartoon illustrations, the leaflet aims to remind investors to carefully check transaction documents and monitor activities on their stock accounts. This leaflet forms part of the initiatives of our investor education programmes.

Enclosed please find the Chinese leaflet for your reference. Should you wish to obtain additional copies for distribution to members of public in your constituency, please do not hesitate to contact Miss Karen Heung at 2842 7711.

Yours sincerely,

Ivy Lai
Associate Director
Investor Education and Communications

Encl

月結單不妥要立即提出



一旦發現買賣單有不安或跟平日收到的不同，應立即與經紀行管理層聯絡，並向證監會查詢。

證券及期貨事務監察委員會
 香港中環皇后大道中15號置地廣場公爵大廈12樓
 投資者熱線: 2840 9333 傳真: 2524 3718

投資者保障自己有方法



不收買賣單手尾長



買賣單應該由經紀行直接寄給你，收到後緊記核對單上資料，了解戶口情況。

月結單不可不看



買賣單只記錄某一宗買賣的資料。要掌握戶口整個月內的一切買賣，以及戶口內的現金和股票結餘，唯有月結單可以顯示。