

List of information/documents requested by Members

- a. Written records/documents concerning the communication of the Hong Kong Monetary Authority (HKMA) with banks engaged in retail sale of structured financial products requesting the latter to consider the need to rank products containing collateralized debts obligations as high risk;
- b. Criteria adopted by HKMA for undertaking investigation into complaint cases regarding Lehman Brothers minibonds; systemic issues concerning the operation of banks identified in the cases investigated; and examples of such cases;
- c. Detail of the first case the investigation of which is to be completed by HKMA within the week commencing 13 October 2008;
- d. Timetable for handling all the complaint cases lodged with HKMA;
- e. Overall plan for handling the Lehman Brothers incident; and
- f. Types of investment products relating to Lehman Brothers including Equity Linked Notes and Credit Linked Notes and the amount and number of investors involved; whether the list entitled "經銀行發售與雷曼有關的結構性票據" provided by HKMA and tabled at the special House Committee meeting on 13 October 2008 had included all such products; the respective percentages and amount of the Lehman Brothers-related structured products sold by placement and retail; and the profile of the latter category of investors, such as the number of retirees, elderly, experienced and inexperienced investors.