CITIBANK (HONG KONG) LIMITED

SUPLLEMENTAL SUBMISSIONS IN RESPONSE TO THE LETTER OF THE LEGISLATIVE COUNCIL SUBCOMMITTEE TO STUDY ISSUES ARISING FROM LEHMAN BROTHERS-RELATED MINIBONDS AND STRUCTURED FINANCIAL PRODUCTS DATED 28 MAY 2010

- 1. In respect of the 1,421 customers who had purchased Lehman Brothers(LB)-related market-linked notes (MLNs) and LB-related equity-linked notes (ELNs) from Citibank (Hong Kong) Limited (CHKL) between March 2007 and June 2008, please provide:
 - (b) copies of the risk profiling questionnaires completed by these 1,421 customers (identity of individual customers can be masked).
- 1.1 Please kindly find enclosed copies of the risk profiling questionnaires of the 1,421 customers who had purchased LB-related MLNs and/or LB-related ELNs from CHKL between March 2007 and June 2008.