

**Replies to initial written questions raised by Finance Committee Members  
in examining the Estimates of Expenditure 2011-12**

**Controlling Officer : Commissioner, Independent Commission Against  
Corruption  
Session No. : 7**

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Examination of Estimates of Expenditure 2011-12

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC001

Question Serial No.

0317

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : The Government has in recent years allocated a huge fund to the Operation Building Bright and legislated compulsory maintenance works for private buildings. This has therefore become an area prone to corruption. Is there a task force in the Independent Commission Against Corruption (ICAC) to proactively investigate the situation? What is its establishment?

Asked by : Hon. LAU Wong-fat

Reply :

The ICAC has all along been concerned with the corruption problem derived from building management. At present, an establishment of 43 officers in the Operations Department of the ICAC is tasked to investigate corruption complaints received in this area. We will flexibly deploy resources to cater for investigative needs according to the increase/decrease in the number of related corruption complaints and gravity of the cases concerned.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 10.3.2011

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

**ICAC002**

Question Serial No.

0767

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

- Question : (a) Please provide the establishment of the Technical Services Division by rank and the resources allocated to the Division for 2010-11 and the estimated figures for the same items for 2011-12.
- (b) Please provide a detailed list of the work undertaken by the Technical Services Division in 2010-11, including the types of support services it has provided.
- (c) Please provide the establishment of the Technical Services Division by rank, number of staff and salaries who are responsible for undertaking interception of communications and surveillance duties.

Asked by : Hon. TO Kun-sun, James

Reply :

	<u>2010-11</u> (Actual)	<u>2011-12</u> (Estimate)
(a) <b><u>Establishment</u></b>		
Senior Commission Against Corruption Officer	3	3
Commission Against Corruption Officer (Upper)	6	6
Commission Against Corruption Officer (Middle/Lower)	25	25
Assistant Commission Against Corruption Officer	22	22
Senior Personal Secretary/Personal Secretary II	2	2
Clerical Assistant/Workman II	<u>2</u>	<u>2</u>
Total :	60	60
<b><u>Resources</u></b>	<u>(\$M)</u>	<u>(\$M)</u>
Personal Emoluments	32.120	32.556
General operational equipment, consumables, maintenance services, etc.	<u>3.581</u>	<u>3.653</u>
Total :	35.701	36.209

(b)&(c) The main role of the Technical Services Division is to provide technical support to the Operations Department of the Independent Commission Against Corruption (ICAC) in the investigation of corruption. To disclose details of its work will inevitably compromise the efficiency and effectiveness of the ICAC investigative work. It is hence not in the public interest to disclose such details.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 15.3.2011

Examination of Estimates of Expenditure 2011-12  
**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC003

Question Serial No.

0768

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) : 103 – Rewards and  
Special Services

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : (a) Under this Subhead, has the Independent Commission Against Corruption (ICAC) allocated provision for expenditure in relation to the Technical Services Division for 2010-11? If affirmative, please state the number of occasions and the actual amount of the expenditure.

(b) What is the estimated provision for allocation to the Technical Services Division in 2011-12? Is the Technical Services Division subject to any expenditure approval limit in the use of the allocated provision? If positive, what is the limit and how is it compared with that in 2010-11?

Asked by : Hon. TO Kun-sun, James

Reply :

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature, including expenditure incurred by the Technical Services Division for supporting the work of the ICAC. The expenditure is based on actual requirement. As this subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

Examination of Estimates of Expenditure 2011-12

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

**ICAC004**

Question Serial No.

0843

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) : 103 – Rewards and  
Special Services

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : Would the Government please:

- (a) provide the number of cases involved and the number of persons who received rewards/special services fee as well as the number of occasions on which rewards/special services fee were provided and the actual amount of such expenditure in 2010-11?
- (b) list the number of cases attracting wanted persons rewards, the amount of rewards offered, the actual expenditure and the number of occasions where payments were made as well as the number of rewards recipients in 2010-11?
- (c) provide the expenditure for procurement and maintenance of equipment in 2010-11 under this Subhead?

Asked by : Hon. TO Kun-sun, James

Reply :

As at 4 March 2011, the total expenditure under Subhead 103 (Rewards and Special Services) for 2010-11 was \$12.82M. There were 54 occasions on which reward / special services fee was provided but there was no case involving reward on wanted persons.

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

Examination of Estimates of Expenditure 2011-12

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC005

Question Serial No.

0844

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) : 103 – Rewards and  
Special Services

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : (a) Would the Government please provide the amount of expenditure in 2010-11 in respect of information obtained from abroad in connection with investigations as well as the overseas places involved;

(b) Please provide the amount of expenditure in 2010-11 in respect of information obtained locally in connection with investigations; and

(c) Of the proposed provision for 2011-12, what is the estimated percentage to be spent in respect of information obtained from abroad in connection with investigations?

Asked by : Hon. TO Kun-sun, James

Reply :

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

Examination of Estimates of Expenditure 2011-12

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC006

Question Serial No.

0845

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) : 103 – Rewards and  
Special Services

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : In the past three financial years (2008-09, 2009-10 and 2010-11), did the Independent Commission Against Corruption (ICAC) conduct any surprise inspection on the expenditure under Subhead 103 'Rewards and Special Services' to ensure its use was reasonable and in compliance with the procedures? If affirmative, what were the ranks of the inspecting officers and how many inspections were conducted? If not, what are the justifications and is there any plan to conduct surprise inspections in the future?

Asked by : Hon. TO Kun-sun, James

Reply :

The ICAC exercises strict control over the expenditure under Subhead 103 (Rewards and Special Services). All claims under the Subhead are scrutinized by senior officers. Apart from the inspections carried out by the Director of Audit, the Commissioner, the Head of Operations and the Assistant Director (Administration) also conduct surprise inspections on the accounts under this subhead. In the last three years, seven (2008-09), eight (2009-10) and eight (2010-11) surprise inspections were respectively conducted, with no anomalies found.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

Examination of Estimates of Expenditure 2011-12  
**CONTROLLING OFFICER'S REPLY TO  
 INITIAL WRITTEN QUESTION**

Reply Serial No.

**ICAC 007**

Question Serial No.

2019

Head : 72 – Independent  
 Commission Against  
 Corruption

Subhead (No. & title) :

Programme :

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : In view of the implementation of the Framework Agreement on Guangdong/Hong Kong Co-operation (the “Framework Agreement”) and the increased cross-boundary collaborations between China and Hong Kong in recent years, please provide in the following table relevant information of the cross-boundary projects / programmes in which the Independent Commission Against Corruption (ICAC) has taken part:

- (a) Please list out the cross-boundary projects/programmes between China and Hong Kong for 2008-09 to 2010-11 in the following table:

Name of Project/ Programme	Detailed content, purpose and whether it is related to the Framework Agreement	Expenditure incurred	Name of Mainland department or institution involved	Progress (% completed, commencement date, estimated completion date)	Were the detailed content, purpose, amount or the impact on the public, society, culture or ecosystem ever publicized? If yes, through which channel were they publicized and how much manpower and expenditure was involved? If not, what is the reason?

- (b) Please list out the cross-boundary projects/programmes between China and Hong Kong in 2011-12 in the following table:

Name of Project/ Programme	Detailed content, purpose and whether it is related to the Framework Agreement	Expenditure incurred	Name of mainland department or institution involved	Progress (% completed, commencement date, estimated completion date)	Will the detailed content, purpose, amount or the impact on the public, society, culture or ecosystem be publicized? If yes, through which channel will they be publicized and how much manpower and expenditure will be involved? If not, what is the reason?



(c) Other than the projects/programmes listed in the above tables, were there any cross-boundary collaborations between China and Hong Kong in other formats? If yes, in what formats were they conducted? How much manpower and expenditure was involved in the previous three financial years in this respect and how much expenditure and manpower will be reserved in the budget of 2011-12 for this purpose?

Asked by : Hon. HO Sau-lan, Cyd

Reply :

(a) The cross-boundary projects/programmes between China and Hong Kong for 2008-09 to 2010-11 are listed in the following table:

Name of Project/ Programme	Detailed content, purpose and whether it is related to the Framework Agreement	Expenditure incurred	Name of Mainland department or institution involved	Progress (% completed, commencement date, estimated completion date)	Were the detailed content, purpose, amount or the impact on the public, society, culture or ecosystem ever publicized? If yes, through which channel were they publicized and how much manpower and expenditure was involved? If not, what is the reason?
(1) Mutual case assistance in cross-boundary corruption enquiries	To organise an annual exchange forum on mutual case assistance in cross-boundary corruption enquiries. This programme is related to the Framework Agreement.	The ICAC does not maintain a separate account on this item as the expenditure incurred is insignificant.	Supreme People's Procuratorate Guangdong Provincial People's Procuratorate (GDPP)	This programme is a standing arrangement. GDPP and Commission Against Corruption of Macao (CCAC) hosted the forum in 2008-09 and 2009-10 respectively. The ICAC hosted the forum in 2010-11 during 29.11.2010 to 1.12.2010.	Corruption enquiries discussed are confidential in nature and not suitable to be publicised.

<p>(2) Corruption Prevention Guide for Small and Medium Enterprises (SME) Entrepreneurs Investing in Hong Kong and Guangdong cum Business Ethics Conference for SME</p>	<p>To enhance the knowledge of cross-boundary SME operators on anti-corruption laws in Guangdong and Hong Kong and to encourage them to adopt corruption preventive measures, ICAC jointly published the Guide with GDPP. The Guide was launched at the Business Ethics Conference for SMEs held in Hong Kong on 23.4.2008. It was participated by about 180 SME operators. ICAC distributed the Guide through a network of about 60 trade associations and 18 foreign chambers of commerce. The publication and the conference were not related to the Framework Agreement.</p>	<p>\$740,000 (cost for 18 000 Chinese and English copies of the Guide)  \$210,000 (for the Conference)</p>	<p>GDPP</p>	<p>Completed (The Guide was launched in April 2008 and the Conference was held in Hong Kong on 23.4.2008)</p>	<p>ICAC issued press release about the Conference on the event day. The Guide was promulgated to SMEs through the ICAC website and a network of trade associations.</p>
<p>(3) Conference on Corporate Governance in the Financial Sector</p>	<p>To assist the financial sector in strengthening governance and corruption prevention capabilities, the Ministry of Supervision (MoS), ICAC and CCAC jointly organised the Conference with the participation of about 300 senior management staff of the financial sector and 100 members of the anti-corruption agencies of Mainland, Hong Kong and Macao. This Conference was not related to the Framework Agreement.</p>	<p>\$770,000</p>	<p>MoS</p>	<p>Completed (Conference held in Hong Kong from 8 to 10.12.2008)</p>	<p>The Conference was promoted through the ICAC website and a network of business chambers. ICAC had also invited press to the event.</p>

<p>(4) Conference on “Prevention and Suppression of Corruption in the Private Sector: Reality and Foresight”</p>	<p>For exchanges of views and experience among anti-corruption officials in the prevention and suppression of private sector corruption, the MoS, ICAC and CCAC jointly organised the Conference which was attended by about 140 participants from the three places. This Conference was not related to the Framework Agreement.</p>	<p>Expenses borne by CCAC</p>	<p>MoS</p>	<p>Completed (Conference held in Macao on 9 and 10.11.2009)</p>	<p>ICAC issued a press release about the Conference on the event day.</p>
<p>(5) Guangdong - Hong Kong - Macao Anti-Corruption TV Commercial Competition for Youth</p>	<p>Jointly organised by ICAC, GDPP and CCAC, the Competition aimed at promoting integrity building messages among youths. The Competition attracted the participation of about 7 000 tertiary and senior secondary students from the three places. Apart from the Competition, training workshops and exchange visits activities were also organised for the participants. This activity was not related to the Framework Agreement.</p>	<p>\$650,000</p>	<p>GDPP</p>	<p>Completed (Competition held from January to July 2011)</p>	<p>The Competition was publicised through ICAC website, posters and pamphlets. ICAC had also invited press coverage and issued press releases about the Competition.</p>
<p>(6) Conference on Transparency of Public Finance and Corruption Prevention</p>	<p>For exchanges of views and experience on anti-corruption work relating to public finance, the MoS, the National Bureau of Corruption Prevention (NBCP), ICAC and CCAC jointly organised the Conference with the assistance of the Heilongjiang Provincial People’s Government (HPPG). The Conference was attended by over 100 members from the anti-corruption</p>	<p>Expenses borne by MoS</p>	<p>MoS NBCP HPPG</p>	<p>Completed (Competition held in Harbin on 30.8.2010)</p>	<p>ICAC issued a press release about the Conference on the event day.</p>

	agencies of the three places. This activity was not related to the Framework Agreement.				
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(b) The cross-boundary projects/programmes between China and Hong Kong in 2011-12 are listed in the following table:

Name of Project/ Programme	Detailed content, purpose and whether it is related to the Framework Agreement	Expenditure incurred	Name of mainland department or institution involved	Progress (% completed, commencement date, estimated completion date)	Will the detailed content, purpose, amount or the impact on the public, society, culture or ecosystem be publicized? If yes, through which channel will they be publicized and how much manpower and expenditure will be involved? If not, what is the reason?
(1) Mutual case assistance in cross-boundary corruption enquiries	To organise an annual exchange forum on mutual case assistance in cross-boundary corruption enquiries. This programme is related to the Framework Agreement.	Not applicable.	Supreme People's Procuratorate  GDPP	GDPP will host the forum in 2011-12.	Corruption enquiries discussed are confidential in nature and not suitable to be publicised.
(2) Corruption Prevention Guide for Cross-boundary Entrepreneurs	ICAC, GDPP and CCAC will jointly produce a Guide to enhance the corruption prevention capabilities of cross-boundary enterprises. Apart from anti-corruption laws of Mainland and Hong Kong, the Guide will be updated with information about the anti-corruption laws of Macao. This publication is an item under the Framework Agreement.	Pending discussion with GDPP and CCAC on the number of copies to be produced and the related budget	GDPP	Preparation in progress (to be launched in 2012 tentatively)	The Guide will be promoted to the business sector through a network of trade associations and business chambers. Information about the Guide will also be publicised through ICAC website and the mass media.

(3) Seminar on Corruption Prevention for Cross-boundary SMEs Operators	For enhancing the corruption prevention capabilities of cross-boundary SMEs, ICAC will collaborate with GDPP and CCAC in organising a seminar on the latest business environment of the Pearl River Delta region and corruption prevention measures. This Seminar is related to item (b)(2) above.	\$150,000 (estimated)	GDPP	Preparation in progress (to be held tentatively in September 2011 in Hong Kong)	The Seminar will be promoted to SMEs through a network of trade associations and business chambers. Information about the Seminar will also be publicised through ICAC website and the mass media.
(4) Computer Animation and Comic Competition for Youth of Mainland, Hong Kong and Macao	To disseminate integrity messages to the youth, the ICAC will collaborate with GDPP and CCAC in organising a computer animation and comic competition on anti-corruption themes for university and senior secondary students of the three places. This activity is not related to the Framework Agreement.	\$280,000 (estimated)	GDPP	Preparation in progress (to be launched in the second half of 2011 tentatively)	The Competition will be promoted through ICAC website, posters and pamphlets. Information about the Competition will also be publicised through ICAC website and the mass media.

(c) The ICAC does not have cross-boundary projects/programmes between China and Hong Kong in other formats.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 16.3.2011

Examination of Estimates of Expenditure 2011-12  
**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC008

Question Serial No.

0938

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme : (1) Corruption Prevention

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : Did the Corruption Prevention Department provide corruption prevention services to schools under the Hong Kong Direct Subsidy Scheme (DSS) in 2010-11? If affirmative, please provide details. If not, is there any plan to conduct assignment studies on the DSS schools in Hong Kong in 2011-12?

Asked by : Hon. NG Margaret

Reply :

In mid 2010, the Corruption Prevention Department (CPD) produced the “Best Practice Checklist (BPC) on Governance and Internal Control in Schools” for reference by all schools, including DSS schools. The BPC provides corruption prevention guidelines in areas vulnerable to corruption and abuse, such as procurement, staff administration, student admission, handling of donations and sponsorships, etc. Through a series of workshops organised with the Education Bureau in end 2010, the CPD introduced the BPC to various schools. Among the participants, there were over 200 School Management Committee directors and staff from 60 DSS schools. The CPD also attended the annual meeting of the Direct Subsidy Scheme Schools Council to promote the BPC. So far, eight DSS schools have approached CPD for corruption prevention services which include conducting seminars and reviewing internal control systems, etc.

The CPD will continue to collaborate with the Education Bureau to offer corruption prevention advice on governance and internal control mechanism, and provide tailor-made corruption prevention service to individual schools upon request.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC009

Question Serial No.

0939

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : In 2011-12 the Operations Department will create a new directorate rank to strengthen supervision over investigative actions involving the use of covert surveillance and telecommunications interception. Please state in detail the scope of duties for this directorate rank; the establishment of the section for “supervision over investigative actions involving the use of covert surveillance and telecommunications interception” under its command, and details of their duties and division of work. Also, has training provided by the Independent Commission Against Corruption (ICAC) for its investigators in the past four years covered the knowledge and understanding of the Interception of Communications and Surveillance Ordinance?

Asked by : Hon. NG Margaret

Reply :

The two Chief Commission Against Corruption Officer (CCACO) (pitched at IPS 45 equivalent to D1) posts to be created are for enhancing supervision over investigative actions involving the use of covert surveillance and telecommunications interception (TI); they are respectively responsible for overseeing G Group and R Group in the Operations Department, with scopes of duties as follows:

CCACO/G

The CCACO/G has the responsibility for examining and processing all TI applications made under the Interception of Communications and Surveillance Ordinance (ICSO), including scrutinizing all requests for TI operations from frontline Principal Investigators (PI), to ensure compliance with the statutory requirements before submitting the applications for consideration by one of the three panel judges of the High Court. He is also responsible for overseeing the operation of the TI unit. The TI unit is specifically tasked to handle and disseminate TI products to frontline investigating sections for investigation and to assist CCACO/G to deal with any information involving legal professional privilege for reporting to the panel judges and Commissioner on Interception of Communications and Surveillance (C/ICS). As the Authorizing Officer designated within the Operations Department, CCACO/G is responsible for considering and approving all applications for Type 2 Covert Surveillance, ensuring their full compliance with the statutory requirements before granting approval. CCACO/G also has the responsibility for overseeing the operation of the Central Registry set up under the Code of Practice (CoP) of the ICSO. Its staff are responsible for managing all administrative matters arising from the execution of various authorizations issued under the ICSO, including TI, Type 1 and Type 2 Surveillance; and ensuring submission of quality weekly report and statistics to C/ICS to facilitate the discharge of his functions and duties as the Oversight Authority.

CCACO/R

As the Compliance Assurance Officer of the Operations Department, CCACO/R ensures statutory compliance with all TI, Type 1 and Type 2 Surveillance operations undertaken by frontline investigation groups under the ICSO. CCACO/R and his subordinates are responsible for assisting the Oversight Authority, viz. C/ICS, to oversee compliance under the ICSO and related CoP by ICAC officers; and conducting internal enquiries on non-compliance cases during which CCACO/R examines not only the work of frontline officers but also the work performed by the frontline PIs. In the event that the Authorizing Officer (i.e. CCACO/G) or the Reviewing Officers (who are directorate officers pitched at IPS 47 equivalent to D3) are involved in the non-compliance, CCACO/R is also required to interview them and demand written statements from the latter where necessary. Reports will then be submitted to C/ICS on details of investigation and findings, including recommendations on such matters as revision of procedures and disciplinary actions. CCACO/R is accountable to C/ICS and responsible for addressing queries raised by him during his inspection visits. He also needs to advise the senior management on the ways to improve the implementation and compliance of the ICSO by such means as refining internal procedures relating to the operation of the ICSO; advise on training policy; conduct relevant briefings and workshops for PIs and their subordinates to enhance their knowledge on the ICSO and related procedures.

The establishment of G Group and R Group includes the following Commission Against Corruption Officer (CACO) grade officers, who provide support to the CCACOs in performing the above functions:

Rank	G Group	R Group
CACO (Upper)	2	1
CACO (Middle/Lower)	11	4
Assistant CACO	26	0

Training for Investigating Officers

Since the ICSO came into force in August 2006, the ICAC has been providing relevant training to all new recruits and serving investigating officers to ensure their thorough understanding of the ICSO and CoP and their related working procedures. Such training includes Induction Courses for Investigators and Assistant Investigators as well as Command Courses and regular briefings/workshops on the ICSO and CoP for investigating officers at all ranks.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 16.3.2011



Examination of Estimates of Expenditure 2011-12  
**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

**ICAC010**

Question Serial No.

0940

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme :

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question :

Please specify the number and duration of overseas visits made by the Commissioner annually from 2001 to 2010 (i.e. 2001-02, 2002-03, 2003-04, 2004-05, 2005-06, 2006-07, 2007-08, 2008-09 and 2009-10), as well as the expenditure details of each visit.

Asked by : Hon. NG Margaret

Reply : The number, duration and expenditure of overseas visits made by the Commissioner annually from 2001 to 2010 are listed as follows:

Year	Number of Visits	Duration of Visits (Days)	Annual Expenditure (\$) #
2001-02	5	15	130,000
2002-03	3	7	14,000
2003-04	5	32	109,000
2004-05	7	25	59,000
2005-06	4	13	17,000
2006-07	8	29	73,000
2007-08	5	22	49,000
2008-09	6	29	150,000
2009-10	7	27	121,000

# Rounded off to the nearest thousand

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 14.3.2011

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

**ICAC011**

Question Serial No.

2656

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme :

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question :

Concerning consultancy commissioned by the Independent Commission Against Corruption (ICAC) to research on the formulation and evaluation of policies (if any), please provide relevant information according to the following format.

- (a) Please advise any relevant information about the public policy research and strategic public policy research projects funded by the government from 2008-09 to 2010-11 in the following table:

Name of consultant	Method of award (open tender/ tender/others (please specify))	Project name, content, and purpose	Consultancy Fee (\$)	Start Date	Research Progress (in preparation /in progress/ already completed)	Follow-up actions taken by authorities and the progress (if any)	If completed, has it been publicized? If yes, through which channel? If not, what is the reason?

- (b) Has provision been earmarked for conducting consultancy research projects in 2011-12? If yes, please provide information in the following table:

Name of consultant	Method of award (open tender/ tender/others (please specify))	Project name, content, and purpose	Consultancy Fee (\$)	Start Date	Research progress (in preparation/in progress/ already completed)	If anticipated to be completed in 2010-11, would it be publicized? If yes, what is the planned channel for promulgation? If not, what is the reason?

- (c) What are the criteria considered in awarding consultancy projects to research institutions?

Asked by : Hon. HO Sau-lan, Cyd

Reply : The ICAC has not commissioned any consultancy to conduct research on its policy from 2008-09 to 2010-11 and does not have any plan to do so in 2011-12.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 10.3.2011

Examination of Estimates of Expenditure 2011-12  
**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

ICAC012

Question Serial No.

3057

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme : (2) Operations

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question : Under this programme, the number of pursuable corruption reports received by the Independent Commission Against Corruption (ICAC) in 2010 increased by 5% as compared to that in 2009. In this regard:

- (a) Has the ICAC made any estimate on the number of pursuable corruption reports in 2011-12? If so, what are the details? If not, what is the reason?
- (b) Please state the strength at all ranks in the ICAC and the wastage rate. Furthermore, has the ICAC assessed if its present manpower can cope with the increasingly heavy investigation work? If so, what are the details? If not, what is the reason?
- (c) If there is understaffing, will the ICAC consider allocation of additional resources for recruitment of staff? If so, what are the details? If not, what is the reason?

Asked by : Hon. LEE Kok-long, Joseph

Reply :

- (a) The number of corruption complaints fluctuates according to the circumstances each year, and hence cannot be estimated.
- (b)&(c) The current strength of the ICAC and its staff wastage in 2010 are as follows:

Rank	Strength (as at 1 March)	Wastage (2010 total)
Directorate	12	2
Senior Commission Against Corruption Officer	36	3
Commission Against Corruption Officer (Upper)	105	6
Commission Against Corruption Officer (Middle/Lower)	366	17
Assistant Commission Against Corruption Officer	372	21
Commission Against Corruption Investigator Grade	114	5
General and Support Grades	315	11
Total	1 320	65

Based on the staff strength of 1 267 as at 1.1.2010, the wastage rate for 2010 is 5.1%. The ICAC will identify suitable candidates through promotion and open recruitment to top up the manpower. A common recruitment exercise was conducted in January 2011 to recruit Assistant Commission Against Corruption Officers. About 3 000 applications were received by closing date of application. Screening of applications is in progress. Successful candidates will be deployed to work in the three different departments of the ICAC after training.

The ICAC constantly reviews its use of human resources and operational efficacy with a view to seeking improvements and ensuring the professionalism of our law enforcement work. The ICAC also frequently reviews the training needs of investigating officers and develops plans to reinforce the professional training of investigating officers to strengthen their investigative capabilities.

In face of the increasingly heavy investigation work, the ICAC will continue to strengthen its cadre through recruitment and enhanced training. It will seek additional resources through the established mechanism, if necessary.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 16.3.2011

**CONTROLLING OFFICER'S REPLY TO  
INITIAL WRITTEN QUESTION**

Reply Serial No.

**ICAC013**

Question Serial No.

3218

Head : 72 – Independent  
Commission Against  
Corruption

Subhead (No. & title) :

Programme :

Controlling Officer : Commissioner, Independent Commission Against Corruption

Director of Bureau : Commissioner, Independent Commission Against Corruption

Question :

In relation to the estimate of expenditure for the Information Technology Management Unit (ITMU) in the department:

- (a) What is the estimated expenditure for 2011-12? What is the rate of increase/decrease as compared to the actual expenditure in 2010-11? What is the reason giving rise to the aforesaid change in expenditure?
- (b) What are the substantive projects mainly involved in the estimate of expenditure for 2011-12? Which of them are on-going projects and new projects? What are the number of staff, expenses and implementation schedule involved in each of the projects? How many civil servants, non-civil service contract staff and outsourcing staff are involved in the projects respectively?
- (c) Is there any provision reserved for the promotion of the “e-engagement” and “opening up of public sector information” initiatives? If yes, what are the concrete contents, including project name, details, staff involved, expenses and implementation schedule? If not, what is the reason and will launching of such initiatives be considered in the future?
- (d) What are the permanent establishment, current strength and number of vacancies of ITMU? Will there be any additional staff in the next financial year? If yes, what will be the estimated number of additional posts? What ranks will be involved? Will they be permanent establishment posts? Will they be employed on civil service terms? If there will be no additional staff, what is the reason?
- (e) Has there been any comprehensive review on the effectiveness of ITMU? If yes, what are the results of review? What concrete improvement initiatives are involved? If there has been no review, what is the reason and will any review be conducted later?

Asked by : Hon. TAM Wai-ho, Samson

Reply :

- (a) The estimated recurrent general departmental expenses for the Information Technology Management Unit (ITMU) of the Independent Commission Against Corruption (ICAC) in 2011-12 is \$20.02M which is 7% lower than the revised estimate of \$21.54M in 2010-11. The estimated expenditure varies with the operational requirements each year.
- (b) The estimated recurrent general departmental expenses in 2011-12 includes on-going system maintenance and support services involving \$13.2M; hardware, software and their repair and maintenance costing \$5.83M; and consumables etc at an estimated cost of \$0.99M. Separately, the estimated expenditure in 2011-12 for the project, titled “Integration and Application of Information Technology in the New Headquarters Building of the ICAC” under the Capital Works Reserve Fund Head 710 – Computerisation Subhead A016YG is \$16M.

The existing establishment of ITMU includes:

Officers on Establishment

Rank	Number of Posts
Senior Commission Against Corruption Officer	1
Commission Against Corruption Officer (Upper)	2
Commission Against Corruption Officer (Middle/Lower)	6
Assistant Commission Against Corruption Officer	6
Analyst Programmer II	1
Senior Computer Operator	1
Computer Operator I	5
Computer Operator II	8

Total: 30

Temporary Contract Staff

Post Title	Number of Posts
Information Technology Manager	2
Systems Analyst	4
Analyst Programmer	5

Total: 11

- (c) ITMU is tasked to formulate policies related to information technology, provide technical support, and ensure the smooth operation of all information technology systems, etc. in the ICAC. Meanwhile, there is no plan to promote the “e-engagement” and “opening up of public sector information” initiatives in the Commission.
- (d) At present, there is one vacancy of Computer Operator II in ITMU. Recruitment was conducted earlier to fill the vacancy.
- (e) The ICAC regularly reviews the manpower resources of ITMU. Resources are flexibly deployed according to development plans and operational needs in effective support of the ICAC’s work.

Signature \_\_\_\_\_

Name in block letters Timothy H M TONG

Post Title Commissioner, ICAC

Date 16.3.2011