

**Legislative Council Panel on Financial Affairs
Meeting on 21 February 2011**

**Consultation Conclusions on the
Proposed Statutory Codification of Certain Requirements to
Disclose Price Sensitive Information by Listed Corporations**

Request for Information

To provide information on past cases in which corporations listed on more than one exchange failed to make simultaneous disclosure in Hong Kong of information announced in an overseas market, and the outcome of the investigation by the Securities and Futures Commission or the Stock Exchange of Hong Kong (“SEHK”)

Reply:

From SEHK’s records since 2005, there has been one dually listed corporation which once had breached the Listing Rules by releasing an announcement with price-sensitive information first on the overseas exchange and, at a later point of time, on the SEHK. The listed corporation was in breach of the Listing Rules and the SEHK issued a warning letter to the listed corporation.

**Stock Exchange of Hong Kong Limited
March 2011**