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4 November 2011

By fax: 2869 6794

Subcommittee on Securities and Futures (Professional Investor)
 (Amendment) Rules 2011
 Legislative Council
 Legislative Council Complex
 1 Legislative Council Road Central
 Hong Kong

Attention: Mr. Fred Pang, Clerk to Subcommittee

Dear Sirs

Securities and Futures (Professional Investor) (Amendment) Rules
 (Amendment Rules)

Thank you for your letter dated 1 November 2011.

As previously submitted to the Securities and Futures Commission (SFC), HKAB supports the objectives of the Amendment Rules to prescribe additional means of ascertaining whether an investor is a professional investor under section 3(a), (b) and (c) of the Securities and Futures (Professional Investor) Rules (Cap. 571D) (Rules) and to prescribe additional types of corporations as professional investors under section 3(d) of the Rules. We have no further comments on the Amendment Rules proposed by the SFC and set out in the Annex to its Legislative Council Brief dated 14 September 2011.

Yours faithfully

Eva Wong
 Secretary

Chairman Bank of China (Hong Kong) Ltd
Vice Chairmen The Hongkong and Shanghai Banking Corporation Ltd
 Standard Chartered Bank (Hong Kong) Ltd
Secretary Eva Wong Mei Seong

主席 中國銀行(香港)有限公司
副主席 香港上海滙豐銀行有限公司
 渣打銀行(香港)有限公司
秘書 黃英嫦

Incorporated by Ordinance, Cap. 364
 根據條例第364章成立