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Paper for the House Committee

Report of the Subcommittee on Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation 2014

Purpose

This paper reports on the deliberations of the Subcommittee on Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation 2014 ("the Subcommittee").

Background

- 2. As revealed by the survey of the Centre for Food Safety ("CFS") conducted from May to September 2012 ("the 2012 survey"), some infant formula products were found to contain iodine at a level not only lower than that prescribed by the Codex Alimentarius Commission ("Codex"), but also below the intake level recommended by the World Health Organization. As iodine deficiency may affect the functioning of the thyroid gland, which may in turn affect the brain development of infants, the survey results have aroused wide public concern over the safety and regulation of formula products.
- 3. Currently, the safety of formula products and prepackaged food for infants and young children under the age of 36 months is regulated by section 54 of the Public Health and Municipal Services Ordinance (Cap. 132) ("PHMSO"), which stipulates that all food for sale must be fit for human consumption. However, there are no specific provisions in PHMSO governing the requirements and standards of nutritional composition and nutrition labelling for formula products and prepackaged food for infants and young children under the age of 36 months. The Food and Drugs (Composition and Labelling) Regulations (Cap. 132W) ("the principal Regulations") have introduced a mandatory Nutrition Labelling Scheme ("NLS") for prepackaged foods since July 2010 to, inter alia, regulate

misleading or deceptive nutrition labels and claims. However, NLS does not cover formula products and prepackaged food for infants and young children under the age of 36 months due to their special nutritional requirements and the separate requirements on nutrition labelling stated in the concerned guidelines published by Codex.

The Amendment Regulation

- 4. On 9 June 2014, the Director of Food and Environmental Hygiene, in exercise of the power under section 55(1) of PHMSO, made the Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation 2014 ("the Amendment Regulation"). The Amendment Regulation aims to ensure that infant formula, follow-up formula and prepackaged food for infants and young children under the age of 36 months are properly labelled to reflect the values of important nutrients; and as far as infant formula is concerned, it must be nutritionally adequate, so as to protect the health of infants and young children under the age of 36 months.
- 5. The main purposes of the Amendment Regulation are to amend the principal Regulations to provide for -
 - (a) the standards of nutritional composition of infant formula

It is proposed that infant formula must contain energy and 33 nutrients ("1+33") in accordance with the Codex standards. The level of energy and each nutrient must fall within the range as specified in the new Divisions 1 and 2 of Part IV of Schedule 1 to the principal Regulations. Infant formula with added taurine or DHA is also required to follow the relevant Codex standards in terms of maximum value and proportion respectively. It is also proposed to regulate fluoride in infant formula.

(b) the nutrition labelling requirement of infant formula, follow-up formula and prepackaged food for infants and young children

The new regulation 4C to the principal Regulations requires infant formula, follow-up formula and prepackaged food for infants and young children to be marked or labelled with their energy value and nutrient content in compliance with Schedule 6A. For infant formula, the new section 1(1) of Schedule 6A provides for labelling of such food with energy value and 29 nutrients ("1+29"), following the relevant Codex standards. As

regards follow-up formula, the new section 1(3) of Schedule 6A provides for labelling of such food with energy value and 25 nutrients ("1+25"), following the relevant Codex standards. With respect to prepackaged food for infants and young children under the age of 36 months, the new section 1(4) of Schedule 6A provides for labelling of such food with energy value and 4 nutrients ("1+4"), as well as vitamins A and D if they are added to the food, by making reference to the relevant Codex standards.

(c) <u>items that are exempt from certain requirements</u>

The following items are exempt from the nutritional composition or nutrition labelling requirements - (i) nutritional composition and nutrition labelling requirements for formula for special medical purposes ("FSMP"); and (ii) nutrition labelling requirements for products with small package size.

(d) <u>offences and penalties for non-compliance</u>

Any person who advertises for sale, sells or manufactures for sale any infant formula which does not conform to the nutritional composition requirements, or any infant formula, follow-up formula or prepackaged food for infants and young children under the age of 36 months that is not marked or labelled in compliance with the nutrition labelling requirements, commits an offence and is liable to a fine at level 5 (i.e. \$50,000) and to imprisonment for six months.

6. The Amendment Regulation comes into operation on the expiry of 18 months commencing on the day on which it is published in the Gazette (i.e. 13 June 2014) except the provisions relating to the follow-up formula and prepackaged food for infants and young children, which come into operation on the expiry of 24 months after gazettal.

The Subcommittee

7. At the House Committee meeting on 20 June 2014, Members agreed to form a subcommittee to scrutinize the Amendment Regulation. The membership list of the Subcommittee is in **Appendix I**. Under the chairmanship of Hon Alice MAK, the Subcommittee has held five meetings with the Administration. The Subcommittee also received views from 22 deputations and individuals at one of these meetings. A list of the

organizations and individuals which/who have given views to the Subcommittee is in **Appendix II**.

8. To allow more time for the Subcommittee to study the Amendment Regulation, the Subcommittee agreed that the Chairman should move a motion before the expiry of the 28 days' scrutiny period (i.e. the Council meeting of 22 October 2014) to extend the scrutiny period of the Amendment Regulation to the Council meeting of 12 November 2014. However, the motion was not dealt with due to heavy agenda of the Council meetings. As such, the period for amending the Amendment Regulation expired at the Council meeting of 22 October 2014.

Deliberations of the Subcommittee

Length of grace period

- 9. Members are advised that the results of the public consultation has revealed that while the public advocates a shorter grace period, most traders request a minimum grace period of two years for calibration of the composition of their products and product reformulation etc.. Having considered the views received and the fact that a two-year grace period had been imposed for launching NLS, the Administration has proposed introducing the same grace period of two-years for follow-up formula and prepackaged food for infants and young children, so that the trade will have sufficient time to prepare for the commencement of the Amendment Regulation. As for infant formula, given the fact that infant formula is the sole source of nutrition for infants when breastfeeding is not feasible, a shorter grace period of 18 months is proposed for infant formula for better protection of infants' health.
- 10. Members in general consider that the Administration should provide the same grace period for infant formula, follow-up formula and prepackaged food for infants and young children under the age of 36 months, but they hold different views on the suitable length of grace period. Hon Vincent FANG and Hon WONG Ting-kwong share the concern of the deputations from the trade that as the Administration has not released the final details of the technical guidance notes, formula products manufacturers will need a longer grace period of 24 months to re-formulate their products and conduct stability study. In their view, the same grace period of 24 months should be provided across the board. Mr FANG has indicated that he will consider moving an amendment to this effect.

- 11. Dr Helena WONG, however, shares the concern of parents groups and considers that in the interest of protecting infants' health, a shorter grace period of 18 months should be provided for all formula products and prepackaged food for infants and young children. She has also indicated her intention to move an amendment with a view to providing the same grace period of 18 months for all formula products and prepackaged food for infants and young children under the age of 36 months.
- 12. The Administration has reiterated its explanation on why different grace periods are proposed for infant formula, follow-up formula and prepackaged food for infants and young children under the age of 36 months. It has further advised that CFS has provided the provisional draft of the technical guidance notes to the trade and the finalized version of the notes will be released after the completion of scrutiny of the Amendment Regulation. It has also stressed that in order to protect the health of infants and young children, the Administration will only consider proposing a shorter grace period of 18 months if the same grace period should be provided across the board.
- 13. As mentioned in paragraph 8 above, the period for amending the Amendment Regulation expired at the Council meeting of 22 October 2014 without being extended. Members note that it is technically not feasible for the Subcommittee or any Member to amend the Amendment Regulation.

Nutritional composition and nutrition labelling requirements for infant formula, follow-up formula and prepackaged food for infants and young children

- 14. Members have expressed concern that it has become increasingly popular for some parents to buy formula products directly from overseas markets via online purchasing agents. They have asked the Administration whether these online purchasing activities are subject to the regulation of the The Administration has advised that under the Amendment Regulation. Amendment Regulation, any person who advertises for sale, sells or manufactures for sale any infant formula that does not conform to the nutritional composition requirements in the Amendment Regulation, or any infant formula, follow-up formula or prepackaged food for infants and young children that does not comply with the nutrition labelling requirements in the Amendment Regulation, commits an offence. The provisions are applicable to conducting sales and advertising for sale on the Internet. However, the provisions do not regulate conduct outside the Hong Kong jurisdiction.
- 15. Members have also enquired whether the labels indicating energy value and 33 nutrients will comply with the nutrition labelling requirement under the

Amendment Regulation and whether the trade is required to reprint these labels. According to the Administration, presently there is no legislation requiring infant formula to indicate its "1+33" nutrition composition. The Administration proposes, through the Amendment Regulation, to require infant formula to be labelled with a list of nutrients setting out "1+29", and the composition requirement on the relevant product is "1+33". The new section 1(7) of Schedule 6A stipulates that other information may be set out in a list of nutrients if the information is not false, misleading or deceptive in any respect as to the nutritional or dietary value of the infant formula, follow-up formula or prepackaged food for infants and young children. Therefore, the labels of products indicating energy value and 33 nutrients should have conformed to the Amendment Regulation and no re-printing is required.

16. In response to the concern raised by Dr Hon Helena WONG about the tolerance limits adopted for formula products, the Administration has advised that in enforcing labelling requirements, CFS allows some discrepancies between "values on nutrition labels" and "values from testing and measurement" since nutrients in food may degrade during the shelf-life period. It is proposed that the same tolerance limits as the existing NLS for nutrition labelling of infant formula, follow-up formula and prepackaged food for infants and young children be adopted. The tolerance limit for nutrients which may have a positive effect on the body is set at no less than 80% of the labelled values while that of nutrients which may have a negative effect on the body is set at no more than 120% of the labelled values. For added vitamins and minerals, the tolerance limits are set at no less than the labelled values. As for vitamin A and vitamin D, since both insufficient intake and excessive intake have negative effect on the body, the tolerance limits of their nutrition labels will be set at 80-180% of the labelled values.

Regulation of fluoride content in infant formula

- 17. Members note that if fluoride is contained in infant formula at a level exceeding 100 µg per 100 kcal or 24 µg per 100 kJ, equivalent to the Codex standards, it is mandatory to include a statement associated with dental fluorosis as specified in the new section 1(2) of Schedule 6A. The formula must be marked or labelled with a statement (a) indicating that consumption of the formula may cause dental fluorosis; and (b) recommending that the risk of dental fluorosis should be discussed with a medical practitioner or health professional.
- 18. Members are concerned that if fluoride is present in infant formula, and when combined with fluoridated tap water in Hong Kong, excessive fluoride intake may occur. It is suggested that the Administration should consider

requiring infant formula products be marked or labelled with a statement indicating the recommended daily dosage limit of fluoride to alert consumers about the risk of dental fluorosis.

- 19. The Administration has advised that in drafting the Amendment Regulation, CFS has sought advice from the Dental Service under the Department of Health, which advised that there is no international recommendation for the maximum intake level of fluoride by infants. Nonetheless, most of the adolescents and children in Hong Kong do not have dental fluorosis. According to Codex, fluoride should not be added to infant formula. However, fluoride is naturally present and in any case its level shall not exceed 100 µg per 100 kcal or 24 µg per 100 kJ in infant formula as prescribed by Codex. The Administration has further advised that many major jurisdictions such as the United States, Singapore, and the Mainland have neither regulated the fluoride content of infant formula products nor required the fluoride content to be labelled.
- 20. Noting that the European Union ("EU") has made labelling requirement for fluoride in infant formula, Dr Helena WONG has asked why the Administration did not follow the practice adopted by EU in this regard. To facilitate parents to make an informed choice and to safeguard infants' health, she holds a strong view that the Administration should conduct a study on the fluoride content in infant formula currently on sale in Hong Kong.
- 21. The Administration has explained that the 2012 survey found that 10 products were provided with nutrition labels showing their fluoride content. The fluoride content limits of all these 10 products were found to have complied with the Codex Standard (i.e. not more than 100 μg per 100 kcal). In the Administration's view, the suggested regulatory control has already struck a proper balance between providing sufficient information for parents to make an informed choice and international regulatory practices.
- 22. To ensure the compliance of the infant formula products in the market with the requirements under the Amendment Regulation, the Administration has advised that CFS will, upon implementation of the Amendment Regulation, take samples of infant formula products in the market for examination of their fluoride content. In the interest of better protection of infants' health, members have requested the Administration to consider conducting these sampling tests after the enactment of the Amendment Regulation. At the Subcommittee's request, the Administration has agreed to report to the Panel on Food Safety and Environmental Hygiene ("the FSEH Panel") on the results of the sampling tests.

<u>Legibility of the nutrition labels</u>

- 23. Ms Cyd HO has raised concern about the legibility of the nutrition labels, considering that the Administration should review the principal Regulations and if necessary, introduce legislative amendments to ensure their legibility.
- 24. The Administration has explained that under the principal Regulations, all prepackaged food shall be legibly labelled unless otherwise exempted. According to the advice of the Department of Justice, in enforcing the relevant provisions of the principal Regulations, the department concerned should apply the general rule of statutory interpretation and the word "legible" must be construed in its ordinary and natural meaning, i.e. clear enough to read. Hence, the Administration considers that the existing provisions are sufficient for the department concerned to take effective enforcement actions. Administration has further advised that CFS has issued the "Trade Guidelines on Preparation on Legible Food Label" in May 2012 to assist the trade in providing clear and legible information on the food labels. CFS has tightened up its enforcement strategy since 1 October 2014, taking into account that the trade has become very familiar with and capable of strictly abiding by the requirements stipulated in the relevant provisions of the principal Regulations. If CFS identifies any non-compliance with the requirements, including the legibility requirement, CFS will initiate prosecutions immediately without allowing any time for compliance.
- 25. At the request of the Subcommittee, the Administration has agreed to update the FSEH Panel on enforcement actions, if any, taken by the Administration against non-compliant cases relating to nutritional composition and nutrition labelling requirements for formula products and prepackaged food for infants and young children under the age of 36 months.

Exemptions under the Amendment Regulation

26. Some members including Hon KWOK Wai-keung and Hon TANG Ka-piu have expressed concern about the granting of exemptions for FSMP and prepackaged food for infants and young children with total surface area of package size of less than 100 cm². Members note the Administration's explanation that FSMP are specially manufactured and be used under medical supervision. Given the market size for FSMP is small, manufacturers may not export FSMP products to Hong Kong if they are required to comply with the labelling requirements. The Administration proposes to exempt products packed in a container with a small surface area from the nutritional labelling requirements because such container is too small to be labelled by the trade

with all the required nutrition information in a legible font size. CFS has earlier conducted inspections on retail outlets selling food for infants and young children and no prepackaged food for infants and young children with packages of total surface area of less than 100 cm² is found to be on sale in the market.

Penalties for non-compliance

- 27. Dr Hon Helena WONG considers that the maximum penalty level provided under the proposed new subsection (1AC) of regulation 5 of the principal Regulations should be raised from level 5 to level 6 so as to achieve sufficient deterrent effect. She has indicated that she has the intention to move an amendment to this effect if the scrutiny period could be extended to 12 November 2014 by resolution.
- 28. The Administration has stressed that in determining the penalties for non-compliance with the Amendment Regulation, the Administration has taken into account the relativity between such penalties and others relating to the food labelling requirements. Under the Amendment Regulation, any person who advertises for sale, sells or manufactures for sale any infant formula, follow-up formula or prepackaged food for infants and young children that is not marked or labelled in compliance with the nutrition labelling requirements, commits an offence and is liable to a fine at level 5 (i.e. \$50,000) and imprisonment for six months. In the Administration's view, the penalties and the associated criminal liability imposed on the offenders can achieve a deterrent effect.
- 29. Having regard to members' view, the Administration has advised that it will conduct a comprehensive review of the food safety-related penalties under PHMSO and its subsidiary legislation, as well as the Food Safety Ordinance (Cap. 612) ("FSO") in 2015. The Administration has also agreed to report the outcome of the study to the FSEH Panel.

Referral to the FSEH Panel

- 30. The Subcommittee has agreed to refer the following issues to the FSEH Panel for follow up -
 - (a) the results of the sampling tests on the fluoride content in infant formula to be conducted by CFS (paragraph 22 refers);
 - (b) the enforcement actions to be taken by the Administration against

non-compliant cases relating to nutritional composition and nutrition labeling requirements for formula products and prepackaged food for infants and young children under the age of 36 months (paragraph 25 refers); and

(c) the outcome of the comprehensive review of the food safety-related penalties under PHMSO and its subsidiary legislation, as well as the FSO to be conducted by the Administration in 2015 (paragraph 29 refers).

Advice sought

31. Members are invited to note the deliberations of the Subcommittee.

Council Business Division 2
<u>Legislative Council Secretariat</u>
13 November 2014

Appendix I

Subcommittee on Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation

Membership List

Chairman Hon Alice MAK Mei-kuen, JP

Members Hon Vincent FANG Kang, SBS, JP

Prof Hon Joseph LEE Kok-long, SBS, JP, PhD, RN

Hon WONG Ting-kwong, SBS, JP

Hon Cyd HO Sau-lan, JP Dr Hon LEUNG Ka-lau

Hon Alan LEONG Kah-kit, SC Hon Steven HO Chun-yin Dr Hon KWOK Ka-ki Hon KWOK Wai-keung

Dr Hon Helena WONG Pik-wan Dr Hon Elizabeth QUAT, JP

Hon TANG Ka-piu, JP

(Total: 13 Members)

Clerk Ms Alice LEUNG

Legal adviser Miss Winnie LO

Subcommittee on Food and Drugs (Composition and Labelling) (Amendment) (No. 2) Regulation

<u>List of organizations/individuals which/who have given views to the</u> Subcommittee

- 1. La Leche League (Hong Kong)
- 2. 自由黨關注批發零售小組
- 3. Democratic Alliance for the Betterment and Progress of Hong Kong
- 4. Hong Kong Breastfeeding Mothers' Association
- 5. Wyeth (Hong Kong) Holding Company Limited
- 6. Hong Kong Infant and Young Child Nutrition Association
- *7. Civic Party
- 8. Baby Friendly Hospital Initiative Hong Kong Association
- 9. Mead Johnson Nutrition (Hong Kong) Limited
- 10. Danone Nutricia Early Life Nutrition (Hong Kong) Limited
- 11. Hong Kong Society for the Protection of Children
- 12. Hong Kong Catholic Breastfeeding Association
- 13. Abbott Laboratories Limited
- 14. Nestle Hong Kong Limited
- 15. FrieslandCampina (Hong Kong) Limited
- 16. Alliance for Children's Commission
- 17. Ms TSANG Pui-yu
- 18. Mamamilkbaby Alliance
- 19. Breastfeeding MaMa Station
- 20. Miss Joey ANG Wing-pui
- 21. Passion Babies

- 22. Hong Kong Committee for UNICEF
- 23. Mr HO Hing-cheong
- *24. Consumer Council
- *25. Mr YEUNG Wai-sing, Eastern District Council Member
- *26. Against Child Abuse

^{*} Organizations/individuals which/who have submitted written views only.