LEGISLATIVE COUNCIL BRIEF

2013 PAY LEVEL SURVEY: APPLICATION TO THE CIVIL SERVICE

INTRODUCTION

At the meeting of the Executive Council on 10 February 2015, the Council **ADVISED** and the Chief Executive **ORDERED** that—

- (a) the salaries of civilian civil servants in Job Levels (JLs) 1 to 4 or equivalent should remain unchanged, and those of civilian civil servants in JL 5 (i.e. those remunerated on the Master Pay Scale (MPS) points 45 to 49) be raised by 3% with effect from 1 October 2014 in accordance with the recommendation of the Standing Commission on Civil Service Salaries and Conditions of Service (Standing Commission) made in its Report No. 52;
- (b) the recommended pay adjustment for civilian civil servants in (a) above should be applied to civil servants in the disciplined services and staff of the Independent Commission Against Corruption (ICAC) remunerated on the equivalent range of pay points as JL 5¹ as well as directorate officers in the disciplined services and ICAC (except for their heads)² with effect from the same date as recommended by the Standing Committee on Disciplined Services Salaries and Conditions of Service (SCDS), i.e. their salaries be raised by 3% with effect from 1 October 2014;

Civil servants in the disciplined services and staff of the Independent Commission Against Corruption (ICAC) remunerated on the equivalent range of pay points as JL 5 include those remunerated on the Police Pay Scale (PPS) points 49 to 54a, General Disciplined Services (Officer) Pay Scale points 33 to 39 or ICAC Pay Scale points 39 to 44a.

Directorate officers in the disciplined services and ICAC (excluding their heads) refer to those remunerated on the General Disciplined Services (Commander) Pay Scale points 1 to 3 (except Controller, Government Flying Service), PPS points 55 to 58 and ICAC Pay Scale points 45 to 48.

- (c) the salaries of civilian directorate civil servants³ and heads of disciplined services and ICAC be adjusted in the same way as civilian civil servants in JL 5 (i.e. to be raised by 3%) with effect from the same date (i.e. 1 October 2014) as recommended by the Standing Committee on Directorate Salaries and Conditions of Service (Directorate Committee);
- (d) the salaries of aided school teachers and related staff in the same salary range as JL 5 be adjusted in the same way as those of civilian civil servants in JL 5 (i.e. to be raised by 3%) with effect from the same date (i.e. 1 October 2014); and
- (e) the subvention for some subvented bodies would be adjusted so as to enable them to remunerate their specified employees whose salaries are linked to civil service pay by law or on grounds of policy promulgated in the public, and in the latter case the link is specified as contractual commitments.

JUSTIFICATIONS

The 2013 PLS

2. The Government's civil service pay policy is to offer sufficient remuneration to attract, retain and motivate staff of a suitable calibre to provide the public with an effective and efficient service; and such remuneration is to be regarded as fair by both civil servants and the public they serve by maintaining broad comparability between civil service and private sector pay. To implement this policy, the Executive Council (ExCo) endorsed the Improved Civil Service Pay Adjustment Mechanism (the Improved Mechanism)⁴ in 2007. Under the Improved Mechanism, the PLS is conducted every six years to ascertain whether civil service pay is broadly comparable with private sector pay. The 2013 PLS is the second PLS under the Improved Mechanism⁵. In order

³ Civilian directorate civil servants are either remunerated on the Directorate Pay Scale or the Directorate (Legal) Pay Scale.

⁴ The Improved Civil Service Pay Adjustment Mechanism (the Improved Mechanism) was endorsed by the Executive Council in 2007 and comprises, among others, the conduct of (a) the six-yearly pay level survey (PLS); (b) the triennial starting salaries survey (SSS); and (c) the annual pay trend survey.

⁵ The last PLS was conducted in 2006 by the Civil Service Bureau with the assistance of professional consultants. In December 2011, the Administration, in accordance with the timetable specified under the Improved Mechanism, invited the Standing Commission on Civil Service Salaries and Conditions of Service to conduct the second PLS.

to enhance the credibility of the PLS and having regard to the Standing Commission's rich experience in conducting surveys on private sector pay-related matters and profound knowledge about the civil service, the Administration, with the support of the Consultative Group on Civil Service Pay Adjustment Mechanism⁶, invited the Standing Commission in December 2011 to conduct the 2013 PLS and advise the Administration on how the survey findings should be applied to non-directorate civilian civil service grades.

Survey methodology of the 2013 PLS

Α

В

3. With the assistance of a professional consultant, the Standing Commission has completed the 2013 PLS and submitted its findings and recommendations to the Chief Executive (CE) on 30 October 2014 vide its Report No. 52 (at Annex A). Having consulted staff representatives before commencing data collection and reviewed the PLS methodology, the Standing Commission decided to make reference to the methodology of the last PLS and adopted the broadly-defined Job Family and JL method for the 2013 PLS. Under the methodology, non-directorate civilian civil servants are classified into five JLs according to their level of responsibilities (and hence their pay points) (JL 1 being the lowest and JL 5 being the highest). The detailed descriptions of the methodology are summarised in Annex B. The Standing Commission also decided to take 1 October 2013 as the survey reference date.

Consultation with stakeholders

4. The Standing Commission fully recognised the importance of consultation with staff in conducting the 2013 PLS and had closely engaged staff representatives at various key stages of the survey. Six consultation meetings were held to consult the staff sides of the four central consultative councils⁷ and the four major service-wide staff unions⁸ on the methodology, survey field and application framework, etc. Their views had been taken into account in the Standing Commission's deliberations as appropriate. In addition, it had also maintained close liaison with human resources professional bodies and

⁶ The Consultative Group on Civil Service Pay Adjustment Mechanism comprises the staff sides of the four central consultative councils and representatives from the four major service-wide staff unions.

The four central consultative councils are the Senior Civil Service Council (SCSC), the Model Scale 1 Staff Consultative Council, the Police Force Council (PFC) and the Disciplined Services Consultative Council (DSCC).

⁸ The four major service-wide staff unions are the Government Employees Association, the Hong Kong Civil Servants General Union, the Hong Kong Federation of Civil Service Unions and the Government Disciplined Services General Union (GDSGU).

exchanged views with them on the prevailing practices of conducting pay surveys.

Survey findings

5. The 2013 PLS successfully collected pay data from 128 private sector organisations and matched private sector counterparts for 162 civil service benchmark jobs. The survey found that the pay level of civil servants in all but one JL (JL 5) remained broadly comparable with the upper third quartile (i.e. P75) level of private sector pay for jobs with comparable nature and similar level of responsibilities on the survey reference date (i.e. 1 October 2013). The pay for civil servants in JL 5, on the other hand, was found to be around 8% lower than the P75 level of private sector pay. Findings of the 2013 PLS are summarised in the following table –

Job Level	Civil Service Pay Indicator (a)	Private Sector Pay Indicator (b)	Comparison Ratio (a) / (b)
Job Level 1	178,017	182,536	98%
Job Level 2	352,368	337,789	104%
Job Level 3	589,552	614,298	96%
Job Level 4	907,681	923,634	98%
Job Level 5	1,223,094	1,333,969	92%

Recommendations of the Standing Commission

- 6. In Chapters 6 and 7 of the Report, the Standing Commission sets out the details about the survey findings and the principles and considerations of application of findings. In sum, it recommended adopting a **holistic approach** for the application of survey findings to the non-directorate civilian civil servants, taking into account a host of factors as follows
 - (a) "broad comparability" with the private sector;
 - (b) nature of the PLS;
 - (c) attractiveness and stability of civil service pay;
 - (d) inherent differences between the civil service and private sector and their uniqueness;

- (e) inherent discrepancies in statistical surveys and elements of chance; and
- (f) overall interest.
- 7. Having considered all the above factors, the Standing Commission recommended that
 - (a) no change should be made to the salaries of officers of JLs 1 to 4; and
 - (b) an upward adjustment of 3% should be made to the salaries of officers of JL 5 with effect from 1 October 2014 (i.e. the beginning of the month in which the Standing Commission submitted the 2013 PLS Report to the CE).

The effective date of the recommended pay adjustment

8. In recommending the effective date of the pay adjustment for JL 5 (i.e. 1 October 2014), the Standing Commission was fully aware of the expectation of some staff unions/organisations that the pay adjustment should take retrospective effect from the survey reference date (i.e. 1 October 2013). It however considered such an arrangement inappropriate having regard to the considerable time gap between the survey reference date and the date on which the 2013 PLS Report was submitted. For the present exercise, if the effective date of the pay adjustment were to be set as the survey reference date of 1 October 2013, it would entail backdating payment of more than one year. The Standing Commission did not see a justifiable case for such a long backdating arrangement, nor did it think it would meet public expectation of prudent use of public funds.

Other observations of the Standing Commission

9. In the course of conducting the 2013 PLS, the Standing Commission recognised the potentially far-reaching implications of the PLS on the civil service. It acknowledged the diverse interests of different stakeholders, primarily civil servants of different grades and ranks and the general public. The Standing Commission foresaw that the PLS would continue to be a challenging and controversial exercise in future. In the light of the experiences gained in conducting the two PLSes under the Improved Mechanism, the Standing Commission considered that it is an opportune time for the Administration to give thought to a review of the PLS mechanism, covering the survey methodology and frequency for the conduct of the PLS, etc.

- 10. Upon completion of the last PLS, the ExCo endorsed the application framework for the disciplined services and directorate grades in April 2007. Under the endorsed framework
 - (a) the results of a PLS should be applied to the disciplined services based on the internal relativities within the civil service as at the reference date of the PLS, which means that the dollar values of the various disciplined services pay scales will be adjusted in step with any adjustments to the equivalent ranges of pay points on the MPS; and
 - (b) the adjustment to the dollar values of directorate pay scales, including the Directorate Pay Scale (DPS), Directorate (Legal) Pay Scale, General Disciplined Services (Commander) Pay Scale and Point 55 or above of the Police Pay Scale, etc. will follow that for the highest JL in a PLS.
- 11. The 2013 PLS, as in the last PLS, did not cover the disciplined services and directorate grades. We have therefore sought the advice of the SCDS and the Directorate Committee on whether and how the 2013 PLS findings should be applied to them upon receiving the 2013 PLS Report. The two advisory bodies, having consulted the relevant staff organisations⁹, recommended that -
 - (a) by internal relativities, the salaries of disciplined services officers remunerated on the equivalent range of pay points as JL 5 and the directorate grades of the disciplined services but excluding their heads should be adjusted in step with the adjustment of JL 5 as recommended by the Standing Commission, i.e. their salaries should be raised by 3% with effect from 1 October 2014. The affected pay points of the relevant pay scales are set out in Annex C; and
 - (b) also by internal relativities, the salaries of civilian directorate officers and heads of disciplined services should be adjusted in the same way as the adjustment for the highest JL (i.e. JL 5) as recommended by the Standing Commission, i.e. their salaries should be raised by 3% with effect from the same date.

C

The Standing Committee on Disciplined Services Salaries and Conditions of Service has consulted the staff sides of the PFC and the DSCC, the GDSGU and the ICAC Departmental Grades Staff Committee. The Standing Committee on Directorate Salaries and Conditions of Service has consulted the SCSC staff side.

Staff views

Upon receipt of the 2013 PLS Report, the Civil Service 12. Bureau has consulted the staff sides of the four central consultative councils and the four major service-wide staff unions on the Standing recommendations. Commission's findings and understandably some of them expressed disappointment that only the most senior level would be awarded a pay rise, the majority of them expected the Standing Commission to pay heed to the application framework endorsed by the ExCo in April 2007 and have either agreed to or have not indicated objection to the Standing Commission's recommendations. Individual staff associations criticised methodology and application principles adopted by the Standing Commission, and suggested that the methodology of the PLS should be improved. All parties supported that a review of the PLS mechanism should be conducted. The staff organisations representing the disciplined services demanded that a Grade Structure Review (GSR) should be conducted for them. A brief summary of their views and the Administration's responses are at Annex D. Please refer to their full comments at Annexes E to M. Subsequent to the above staff consultation, a group of 32 staff unions which represent senior civil servants (including the Hong Kong Senior Government Officers Association which is one of the three constituent associations of the Senior Civil Service Council) sent in a letter urging for the early implementation of the Standing Commission's recommendations. letter is attached at Annex N.

The Administration's views

13. We note that individual staff bodies have comments on the PLS survey methodology and application framework, which we understand have been taken into account by the Standing Commission in making its recommendations. While the methodology may not be perfect, there has been no consensus on any other better alternatives. Considering that the objective of our civil service pay policy is to maintain the **broad** comparability between civil service and private sector pay, and having regard to the other factors taken into account by the Standing Commission as set out in paragraph 6 above, the Administration supports the adoption of the holistic approach in applying the 2013 PLS findings to the non-directorate civilian civil servants. We are satisfied that the pay levels of civil servants in JLs 1 to 4 can be regarded as broadly comparable with private sector pay and hence no pay adjustment is required. However, the pay level for JL 5 is notably 8% below market comparators and we are convinced that a moderated pay rise of 3% for JL 5 as recommended by the Standing Commission can help restore its pay level back to a level broadly comparable with the private sector.

D to M

N

- 14. We note that the recommended effective date of pay adjustment, i.e. 1 October 2014, falls short of the expectation of 1 October 2013 of some members of the staff sides. However, we agree with the Standing Commission that given the invariably long lead time required for the PLS, its recommended effective date should be more balanced and practical.
- 15. As for the disciplined services and directorate grades, we agree that the 2013 PLS findings should be applied by internal relativities as recommended by the SCDS and the Directorate Committee. It is worth noting that the existing differential between the highest point of the non-directorate civilian pay scale (i.e. MPS 49) and the lowest point of the DPS (i.e. D1) is 11.5% of MPS 49. Should no adjustment be made to the DPS, the difference will further narrow to 8.2%. In addition, if the DPS is not adjusted upward by 3% in tandem with their disciplined services directorate counterparts recommended by the SCDS, inconsistencies between disciplined services and civilian pay structure will arise.
- 16. We also agree that a comprehensive review of the PLS mechanism, including its methodology and frequency of survey, should be conducted before we decide to kick off the next PLS taking into account the comments of individual staff bodies on the PLS methodology and application framework. We plan to invite the Standing Commission to conduct the review, and will work out the details and timing of the review in due course. However, the request for a GSR for the disciplined services as mentioned in paragraph 12 above should not be acceded to. In October 2009, the ExCo has already endorsed that GSRs or comprehensive reviews of the structure, pay and conditions of service of the disciplined services should be conducted as and when necessary in view of significant changes in the job nature, responsibilities, proven recruitment and retention problems, etc. of the disciplined services. None of the disciplined services grades have fulfilled these criteria. We will continue to explain the policy on GSR to the staff sides and consider any new justifications for the request for GSR which they may put forward.

Application to the subvented sector

17. The Government is generally not involved in the determination of the pay and pay adjustment of staff working in the subvented sector unless there are standing policies which prescribe otherwise for individual subvented bodies. There is no policy requiring all subvented bodies to link the salary structures of their staff to civil service pay scales. The only exception is teaching and related staff in

the aided school sector¹⁰.

- 18. Accordingly, the salaries of aided school teaching and related staff who are remunerated on the pay points of JL 5 in the civil service pay scale should be adjusted in tandem with their civil servant counterparts in government schools with effect from the same date (i.e. to be raised by 3% with effect from 1 October 2014).
- 19. As for the other subvented sectors, including the medical (mainly the Hospital Authority) and social welfare sectors, the salaries of their employees are generally not linked to those of the civil service. It is up to the subvented bodies to decide on the salary levels of their employees. In line with the established practice of the Starting Salaries Survey, the Government would not adjust their subventions because of pay adjustments arising from the PLS, unless there are exceptionally justified considerations (where there are employees in the subvented bodies whose salaries are linked to civil service pay by law or on grounds of policy promulgated in the public (and in the latter case the link is specified as contractual commitments)).

Applicability to judges and judicial officers (JJOs), politically appointed officials (PAOs) and non-civil service contract (NCSC) staff

20. The CE-in-Council's decisions on pay adjustments for the civil service are not relevant and applicable to JJOs, PAOs and NCSC staff as they are subject to different and separate mechanisms for pay adjustment.

IMPLICATIONS OF THE DECISIONS

21. The CE-in-Council's decisions are in conformity with the Basic Law, including the provisions concerning human rights, and have no environmental, family, sustainability and productivity implications.

Financial Implications

22. The annual financial implications for the civil service, ICAC, aided schools and the subvented bodies with exceptional justifications arising from the CE-in-Council's decision are estimated as follows –

The salaries of aided school teachers have historically been pegged to the civil service pay scales out of the policy objective of promoting movement of teachers between aided schools and government schools.

	\$ million
(a) Civilian civil servants in JL 5	153
(b) Disciplined services civil servants in JL 5	35
(c) Directorate	99
Total for civil servants:	287 ¹¹
(d) ICAC staff ¹² and auxiliaries	6
(e) Aided schools	1313
(f) Other subvented bodies (with exceptional justifications)	2.5^{13}

Total about 309

Economic Implications

23. The total number of civil servants, aided school teaching and related staff and relevant staff in subvented bodies with exceptional justifications which will be affected by the CE-in-Council's decision only accounts for 0.1% of the total workforce. Considering also that the relevant pay adjustments are only to bring civil service pay broadly in line with private sector pay, the impact on the overall labour market should be minimal. The impact on inflation of the relevant pay adjustments should also be insignificant.

¹¹ The figure includes about \$23 million additional cost arising from pay adjustment for around 570 civil servants in Job Level 5 and directorate seconded to/working in trading funds, subvented and other public bodies. It also includes an estimated increase of \$55 million in pension payments.

¹² Staff of the ICAC are not civil servants. However, it has been the Government's policy to extend the civil service pay adjustment to ICAC staff.

¹³ This figure has excluded the financial implications arising from pay adjustment for civil servants seconded to/working in subvented bodies, which have been incorporated under item (a) above.

CONSULTATION

24. We consulted the LegCo Panel on Public Service (the Panel) on 17 November 2014 on the Standing Commission's recommendations. Some members expressed concerns that since private sector organisations tended to remunerate their senior staff more generously than their junior staff, the PLS might widen the pay disparity between senior and junior civil servants, which would in turn create conflicts amongst civil servants and aggravate disparity between the rich and the poor in Hong Kong. Some echoed individual staff unions and urged the Administration to review whether the PLS should continue to be conducted in future and, if so, to review the survey methodology, application issues and frequency for the survey, etc. Other members held different view that the PLS should continue to be conducted and the problem of income disparity should be addressed by other policies.

PUBLICITY

25. A press release will be issued on 10 February 2015. We will inform the Standing Commission, the SCDS, the Directorate Committee, staff sides of the four central consultative councils and the four major service-wide staff unions of the ExCo's decision. We will also brief the Panel and seek the approval of the FC for the adjustments to the relevant pay scales.

ENQUIRIES

26. Enquiries on this brief should be addressed to Miss Winnie Chui, Principal Assistant Secretary for the Civil Service (Tel: 2810 3112).

Civil Service Bureau 10 February 2015 Hong Kong
Standing Commission on Civil Service
Salaries and Conditions of Service

REPORT No. 52

CIVIL SERVICE PAY LEVEL SURVEY 2013

CHAIRMAN

DR WILFRED WONG YING-WAI, SBS, JP

OCTOBER 2014

公務員薪俸及服務條件常務委員會 Standing Commission on Civil Service Salaries and Conditions of Service

30 October 2014

The Honourable C Y Leung, GBM, GBS, JP
The Chief Executive
Hong Kong Special Administrative Region
People's Republic of China
Tamar
Hong Kong

Dear Sir,

At the invitation of the Administration, the Standing Commission on Civil Service Salaries and Conditions of Service (Standing Commission) has conducted a Pay Level Survey under the *Improved Civil Service Pay Adjustment Mechanism*.

On behalf of the Standing Commission, I have the honour to submit our Report No. 52: Civil Service Pay Level Survey 2013 which contains our findings and recommendations.

Yours faithfully,

(Wilfred Wong Ying-wai) Chairman

Wilfred Wong

Standing Commission on Civil Service Salaries and Conditions of Service

HONG KONG STANDING COMMISSION ON CIVIL SERVICE SALARIES AND CONDITIONS OF SERVICE

REPORT No. 52

CIVIL SERVICE PAY LEVEL SURVEY 2013

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Summary of Conclusions and Recommendations

Paragraph **Survey Methodology** (1) The broadly-defined Job Family and Job Level 2.1 - 2.3(JF-JL) method was adopted as the survey methodology for the 2013 Pay Level Survey (PLS) making reference to the methodology adopted in the 2006 PLS. 2.7 - 2.9(2) After taking into account the views from staff and weighing the pros and cons of various options, the Standing Commission on Civil Service Salaries and Conditions of Service (the Commission) decided to set the survey reference date of the 2013 PLS as 1 October 2013. 5.8 (3) Comparison between civil service pay and private sector pay was made on the basis of total cash compensation. The upper quartile (P75) of private sector pay level was adopted for the determination of the private sector pay indicators; while the notional mid-point salary plus the actual average expenditure on fringe benefits paid in cash was adopted for the determination of the civil service pay indicators. **Principles and Considerations for Application** 6.3 - 6.15**(4)** The Commission considers that a holistic approach should be adopted under which a number of principles and considerations have been formulated making reference to those adopted in the 2009 and 2012 Starting Salaries Surveys. These principles

and considerations are broad comparability with the private sector, nature of the PLS, attractiveness and stability of civil service pay, inherent differences between the civil service and private sector and their uniqueness, inherent discrepancies in statistical surveys and elements of chance, and overall interest.

7.4

Survey Findings

(5) The civil service pay indicators for JL 1, JL 3, JL 4 and JL 5 are lower than the private sector pay indicators by 2%, 4%, 2% and 8% respectively; and the civil service pay indicator for JL 2 is higher than the private sector pay indicator by 4%. The differences between the civil service pay indicators and private sector pay indicators for JL 1 to JL 4 are all within 4%, while the difference between the two indicators for JL 5 is 8%.

Recommendations on Application of Survey Findings

- (6) In deciding at which point civil service pay is 7.5 7.6 considered out of line with the market that warrants pay adjustment; and if adjustment is considered warranted how civil service pay should be adjusted, the Commission has made reference to the principles and considerations under the holistic approach.
- (7) Having considered these principles 7.7 - 7.20and considerations, the Commission is of the view that for JL 1 to JL 4 where the differences between the civil service pay indicators and private sector pay indicators were -2%, +4%, -4% and -2% respectively their pay should be regarded as broadly comparable; while the pay difference of -8% for JL 5 should be considered significant and hence should appropriately addressed.
- (8) The Commission recommends that no change be 7.7 7.20 made to the salary of officers of JL 1 to JL 4 (i.e. Model Scale 1 Pay Scale and Master Pay Scale Point 0 to 44), and an upward adjustment of 3% to the salary of officers of JL 5 (i.e. Master Pay Scale Point 45 to 49).

Issues Relating to Implementation

- (9) The Commission recommends that no change be made to the other non-directorate civilian pay scales since their pay points are all within the pay range of JL 1 to JL 4.
- (10) The Commission recommends that the effective date 7.22 7.23 be set as the first day of the month the Commission Report is submitted to the Chief Executive.

Other Observations

(11) In the light of the experiences gained in conducting the 2006 and 2013 PLSs, the Commission is of the view that it is an opportune time for the Administration to give thought to whether a review is warranted, which may possibly cover, inter alia, the survey methodology, application issues and frequency for the conduct of the PLS.

Chapter 1

Introduction

1.1 This report sets out the work and recommendations of the Standing Commission on Civil Service Salaries and Conditions of Service (the Commission) in relation to the 2013 Civil Service Pay Level Survey (PLS).

Background

The Commission

1.2 The Commission was appointed by the Chief Executive to advise on the structure, salaries and conditions of service of the non-directorate civilian grades in the civil service. Its terms of reference and membership are at **Appendix A** and **Appendix B** respectively.

Civil Service Pay Policy

1.3 The Government's civil service pay policy is to offer sufficient remuneration to attract, retain and motivate staff of a suitable calibre to provide the public with an effective and efficient service; and to ensure that civil service remuneration is regarded as fair by both civil servants and the public they serve through maintaining broad comparability between civil service and private sector pay.

Improved Civil Service Pay Adjustment Mechanism

- 1.4 To achieve such broad comparability, under the *Improved Civil Service Pay Adjustment Mechanism (Improved Mechanism)*, civil service pay is compared with private sector pay on a regular basis through the following three separate surveys
 - (i) an annual Pay Trend Survey (PTS) to ascertain year-on-year pay adjustments in the private sector;
 - (ii) a Starting Salaries Survey (SSS) every three years to compare the starting salaries of non-directorate civilian

- grades in the civil service with the entry pay of jobs in the private sector requiring similar qualifications; and
- (iii) a PLS every six years to ascertain whether civil service pay remains broadly comparable with private sector pay.
- 1.5 The last PLS was conducted by the Administration from 2003 to 2007 using 1 April 2006 as the survey reference date. The 2013 PLS was the first one conducted by the Commission under the *Improved Mechanism*. The Commission appointed Aon Hewitt (the Consultant) in September 2012 to offer professional advice on the 2013 PLS, including proposing the survey methodology and collecting data from the private sector.

The 2013 Pay Level Survey

Invitation from the Administration

1.6 On 13 December 2011, the Secretary for the Civil Service (SCS) invited the Commission to conduct the next round of SSS and PLS, and recommend how these survey findings should be applied to the non-directorate civilian grades of the civil service. The invitation letter from the SCS is at **Appendix C**¹. Having considered that the PLS was more complex and had a wider scope and impact on the civil service, and would be a much more complicated exercise requiring a much longer time to complete as compared to the SSS, the Commission, while accepting the invitation, was of the view that the SSS and PLS should be delinked, and that the two surveys should be conducted separately. Following the completion of the 2012 SSS in December 2012, the 2013 PLS was the focus of the Commission in 2013 and 2014.

Scope and Mode of Operation

1.7 In inviting the Commission to conduct the PLS, the Administration advised that it only covers the non-directorate civilian civil service. As for the directorate and disciplined services grades of the civil service, which are excluded from the PLS, the Administration will consider whether, and if so how, the Commission's recommendations would be applied to them, taking into account the advice of the Standing Committee

¹ In SCS's letter, the current PLS was referred to as the "2012 PLS". Given the subsequent decision to use 1 October 2013 as the reference date for the survey, it has since been referred to as the "2013 PLS".

on Directorate Salaries and Conditions of Service (Directorate Committee) and Standing Committee on Disciplined Services Salaries and Conditions of Service (SCDS) as appropriate.

- As these two Committees will be consulted separately by the Administration on the application of survey findings to the directorate and disciplined services grades, they have been invited by the Commission to each nominate a member as an observer in the Commission's relevant proceedings. The Directorate Committee nominated Mr Stanley Wong, SBS, JP; whilst the SCDS nominated Professor Richard Ho, JP and Mr William Chan, BBS (subsequent to Professor Ho's retirement in 2013).
- 1.9 The Commission notes that during the conduct of the 2013 PLS, there were requests for reviews of the pay for individual grades and ranks, their entry requirements as well as remuneration system of the civil service. The Commission wishes to clarify that the PLS seeks to compare the overall pay practices between the civil service and private sector rather than making precise comparison of the pay levels of individual jobs between the two. The above-mentioned issues are therefore not within the scope of the 2013 PLS.

Consultation with Stakeholders

- 1.10 The Commission firmly believes that staff consultation is crucial to the smooth conduct of the 2013 PLS, and has closely engaged staff bodies throughout the course of the survey. Details of the various types of engagements held are as follows
 - (i) an informal meeting² was held in April 2012 with representatives of the Staff Sides of the four Central Consultative Councils³ and the four major service-wide staff unions⁴ (Staff Sides) to exchange views on the proposed framework for the 2013 PLS;

The practice of arranging informal meetings with the Staff Sides was established in the recent pay-related surveys conducted by the Commission so as to encourage an open exchange of views. Having said that, in view of the more complicated nature of the 2013 PLS and the potential need for the Consultant to follow up with the Staff Sides' comments as appropriate, meetings held after this informal meeting adopted a formal arrangement including the issue of minutes of meetings.

The four Central Consultative Councils are the Senior Civil Service Council, the Model Scale 1 Staff Consultative Council, the Police Force Council and the Disciplined Services Consultative Council.

⁴ The four major service-wide staff unions include the Government Employees Association, the Hong Kong Civil Servants General Union, the Hong Kong Federation of Civil Service Unions and the Government Disciplined Services General Union.

- (ii) six consultation meetings were held from February 2013 to September 2014 with the Staff Sides to exchange views on the proposed survey methodology and application framework for the 2013 PLS;
- (iii) three briefing sessions were held in February 2013 with the grade / departmental management (GM / DM) of Government bureaux / departments, Departmental Consultative Committees (DCCs) and staff unions / associations to brief them on the proposed survey methodology;
- (iv) three technical workshops were conducted in April and May 2013 by the Consultant with the GM / DM, DCCs and staff unions / associations to brief them on details of the job inspection process; and
- (v) close engagement was maintained by the Consultant with staff throughout the exercise to facilitate their understanding on the 2013 PLS through briefings and telephone discussions.
- 1.11 The staff bodies contributed significantly to the conduct of the 2013 PLS. The Commission has, where appropriate, taken into account their views in the course of its deliberations.
- 1.12 The Commission has also maintained close liaison with the Employers' Federation of Hong Kong, the Hong Kong Institute of Human Resource Management and the Hong Kong People Management Association, and exchanged views with them on the prevailing practices of conducting pay surveys in the private sector. The exchanges were useful for the conduct of the 2013 PLS.

Chapter 2

Overview of the Survey Methodology

2.1 In inviting the Commission to conduct the PLS, the Administration made it clear that the Commission may modify the general framework and general application principles as it sees fit. Accordingly, as the first step, the Commission tasked the Consultant to draw up and recommend a detailed methodology for the 2013 PLS using the methodology of the 2006 PLS, i.e. the broadly-defined Job Family and Job Level (JF-JL) method, as a reference.

The Broadly-defined Job Family and Job Level Method

- 2.2 In the process of reviewing the survey methodology, a considerable number of comments were received from the Staff Sides, GM / DM, DCCs and staff unions / associations. The Consultant has suitably taken their views into account in proposing the survey methodology for the 2013 PLS.
- 2.3 After reviewing various aspects of the broadly-defined JF-JL method, the Consultant recommended its continued adoption in the 2013 PLS. Under this method, comparison of pay information was carried out by the following steps
 - (i) identifying jobs⁵ that are representative of the civil service (hereafter referred to as "civil service benchmark jobs") and have reasonable private sector matches;
 - (ii) carrying out an intensive job inspection process which serves to ascertain details of the job characteristics of civil service benchmark jobs to facilitate identification of private sector job matches;
 - (iii) based on the findings of the job inspection process, matching civil service benchmark jobs with broadly comparable counterparts in the private sector in terms of

⁵ In this context, a "job" refers to a rank within a grade which generally comprises a group of ranks in the civil service, the higher of which are normally filled by promotion from the lower ranks.

- job content, work nature, level of responsibility, and typical requirements on qualification and experience;
- (iv) collecting the pay information of matched private sector jobs; and
- (v) aggregating the matched private sector jobs by JFs and JLs, consolidating them into private sector pay indicators for five JLs, and comparing the consolidated private sector pay indicator for each JL with the corresponding civil service pay indicator.
- 2.4 Civil service benchmark jobs in the civilian grades on the Master Pay Scale (MPS) and Model Scale 1 (MOD 1) Pay Scale were categorised into five JFs and five JLs, primarily based on their broad nature of work and general level of responsibility respectively, for matching with broadly comparable counterparts in the private sector. The combinations of JFs and JLs are shown in **Table 1** below –

Table 1

	JF1: Clerical and secretarial	JF2: Internal support	JF3: Public services	JF4: Works- related	JF5: Operational support
JL1: MPS 0-10 and MOD 1 0-13 (Operational staff)					
JL2: MPS 11-23 (Technicians and assistant executives / professionals)					
JL3: MPS 24-33 (Middle-level executives and professionals)					
JL4: MPS 34-44 (Managerial and senior professionals)					
JL5: MPS 45-49 (Senior managers and lead professionals)					

2.5 The pay data of broadly comparable private sector jobs were consolidated on the basis of the typical organisation practice approach, under which each surveyed organisation was given equal weight irrespective of its employment size. After the process of data

consolidation, a private sector pay indicator was produced for each JL for comparison with their respective civil service pay indicator.

2.6 The proposed methodology was endorsed by the Commission in April 2013. The Consultancy Report – Fieldwork and Results of the Pay Comparison Survey, which contains details of the survey methodology and process of conducting the survey, is available for public access on the website of the Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service (Joint Secretariat) at http://www.jsscs.gov.hk.

Survey Reference Date

- 2.7 The survey reference date was set for the purpose of data collection. Pay data from the private sector were collected during the 12-month period immediately preceding the survey reference date.
- 2.8 The Commission has considered various options for the date, namely 1 April 2013, 1 July 2013 and 1 October 2013. adoption of 1 April 2013 would be consistent with other recent pay surveys, it may be considered not up-to-date taking into account the overall schedule of the survey and the Commission's view that the survey reference date should be set at a date that would enable the Commission to submit its report to the Administration within around one year from the survey reference date. On the other hand, while the dates of 1 July 2013 and 1 October 2013 may not be a common choice for pay-related surveys, they would be closer to the commencement of data collection, which is desirable for minimising the gap between the reference date and the date of completion of the 2013 PLS. In addition, the impact of the revised rate of the Statutory Minimum Wage, which came into effect on 1 May 2013, was considered when analysing the three options. After taking into account the views from staff and weighing the pros and cons of each option, the Commission decided to set the survey reference date of the 2013 PLS as 1 October 2013, as it could provide the most up-to-date information from the private sector amongst the three options for pay comparison.
- 2.9 Details of how information from the civil service and private sector were obtained for pay comparison are set out in Chapter 3 and Chapter 4 respectively.

Interface with the Pay Trend Survey

- 2.10 In its invitation to the Commission, the SCS highlighted the three main differences between the PLS and PTS, and stated that alignment of these differences may not be necessary simply for the sake of alignment. In the course of formulating the survey methodology for the 2013 PLS, the Consultant has assessed the suitability of aligning the differences and recommended maintaining the status quo based on its analyses as summarised below
 - (i) organisations surveyed: the PLS covers organisations with 100 or more employees only, while the PTS also covers organisations with 50-99 employees. Organisations with fewer than 100 employees are less likely to produce a significant number of job matches and provide a wider range of jobs at more levels to reflect the relativity within the hierarchy of their organisations. In addition, the figures are more prone to be influenced by pay levels of individual employees due to personal circumstances;
 - organisation practice approach (which is in effect an un-weighted average approach) while the PTS uses the weighted average approach. The typical organisation practice approach is considered the most relevant in reflecting the pay practice of a typical organisation, and is widely used in the market for such a purpose. It also avoids the risk of the findings being unduly influenced by a small number of exceptionally low- or high-paying organisations with a large number of staff, and is less susceptible to deviations due to a small number of outlying pay data arising from individual circumstances; and
 - (iii) <u>number of JLs and salary bands</u>: the PLS categorises the surveyed employees into five JLs, while the PTS uses a three salary band categorisation. The five JLs serve as a good yardstick for job matching with private sector jobs. Any reduction in the number of JLs would reduce the level of refinement for job matching and usefulness of the survey in reflecting private sector pay level across

different JLs for pay comparison. The classification is also easily understood and can be readily translated into most mid- to large-sized organisations.

2.11 Noting the Consultant's analyses above, the Commission is satisfied that given the different objectives of the two surveys, the three main differences between the PLS and PTS could remain in the 2013 PLS.

Chapter 3

Selection of Civil Service Benchmark Jobs for Pay Comparison

3.1 Under the survey methodology, matching with private sector counterparts for the purpose of pay comparison was carried out on the basis of job descriptions (JDs)⁶ of the civil service benchmark jobs. This was to ensure that pay comparison made between the civil service and private sector was based on jobs that are broadly comparable. The selection of civil service benchmark jobs was therefore an important step as it would form the basis for comparison.

Selection Criteria for Civil Service Benchmark Jobs

- 3.2 A set of pre-defined criteria was adopted to ensure that civil service benchmark jobs were reasonably representative of the civil service and have broadly comparable private sector job matches. To be qualified, the civil service benchmark jobs concerned must
 - (i) have reasonable counterparts, in terms of broadly comparable job nature, skills, qualifications and experience, in a large number of private sector organisations;
 - (ii) be representative of the civil service. Each civil service benchmark grade should have an establishment size of not less than 100 posts;
 - (iii) taken together, be reasonably representative of various civil service pay scales, the breadth of disciplines, the depth of JLs and the range of Government bureaux / departments;
 - (iv) have a sufficient number of jobs at different JLs to ensure that the survey results are reliable; and
 - (v) be such that the total number of benchmark jobs to be matched and for which private sector pay data are to be

JDs are a set of documents setting out the characteristics and accountabilities of each civil service benchmark job for the purpose of identifying their private sector counterparts.

collected should be reasonable and manageable for participating private sector organisations to ensure the integrity of the comparison on the one hand, while not deterring these organisations from participating in the survey on the other.

Job Inspection Process for Civil Service Benchmark Jobs

- In accordance with the selection criteria above and with reference to the list adopted in the 2006 PLS, a total of 214 civil service benchmark jobs in 68 grades were proposed for the job inspection process, which was conducted to ascertain details of the characteristics of the benchmark jobs to facilitate proper identification of job matches from the private sector. They comprised (i) the 193 ranks from the 2006 PLS; (ii) 14 ranks in the medical and health care field (details are set out in paragraphs 3.9 to 3.10 below); and (iii) 7 ranks with *prima facie* case for consideration of inclusion as civil service benchmark jobs.
- 3.4 An intensive job inspection process was carried out by the Consultant, which included seeking comments on the JDs from GM / DM in consultation with their staff, and conducting 221 interviews with 1 504 staff representatives.

Review of the List of Civil Service Benchmark Jobs

- 3.5 Opportunity was taken during the job inspection process to assess the suitability of the grades and ranks, particularly the newly-proposed ones, against the selection criteria for inclusion in the 2013 PLS.
- As set out in paragraph 4.3, one of the selection criteria for private sector organisations is that they should not use the civil service pay scales or pay adjustments as major factors in determining the pay levels or pay adjustments for their staff, or should not have done so in the past five years. In this connection, the education, medical and health care and

⁷ These 14 ranks in the medical and health care field are the grades of Enrolled Nurse (1 rank), Medical and Health Officer (2 ranks), Medical Laboratory Technician (5 ranks), Pharmacist (2 ranks) and Registered Nurse (4 ranks).

These 7 ranks are the grades of Dental Officer (2 ranks)(also in the medical and health care field), Police Translator (4 ranks), and the rank of Senior Clerical Officer in the Clerical Officer grade.

social welfare fields were excluded from the 2006 PLS. This was because most of the private sector organisations where reasonable counterparts could be found would not comply with such a selection criterion.

- 3.7 With the lapse of time since the 2006 PLS, the market practice in these three sectors may have changed due to various factors. Hence, the Consultant re-examined the issue under the prevailing situation in the 2013 PLS.
- 3.8 Upon examination of the prevailing circumstances, the Consultant concluded that the situation for the education and social welfare fields persisted. Hence, it recommended the continued exclusion of these two fields in the 2013 PLS.
- 3.9 On the other hand, the Consultant's initial assessment was that it was worthwhile to consider including the medical and health care field in the 2013 PLS in view of the noticeable trend of organisations moving towards the market pay practices compared with the 2006 PLS. The 14 ranks as mentioned in paragraph 3.3 above were hence included in the job inspection process for further examination, together with the Dental Officer grade which was separately suggested for inclusion as a civil service benchmark job.
- 3.10 It was ascertained during the job inspection process that the duties of many civil servants in this field, with a primary focus on public health services, such as law enforcement and health education, are uncommon in the private sector. In addition, there are different perspectives between the Government and the private sector for clinical and laboratory duties. The senior ranks of these grades also bear heavier responsibility on supervisory and administrative roles as compared with those in the private sector. Taking into account factors such as limitations on the number of potential matches as well as coverage and representativeness of potential matches, it was decided that the entire medical and health care field be excluded from the 2013 PLS.

Finalised List of Civil Service Benchmark Jobs

3.11 The job inspection process served its purpose of ascertaining details of the characteristics of the civil service benchmark jobs. The Consultant has also assessed the suitability of the grades and ranks as civil service benchmark jobs against their selection criteria and made reference

to views from staff during the job inspection process. Upon completion of the job inspection process, eight civil service benchmark jobs⁹ were found to have no longer met the selection criteria. Subsequent to the decision of excluding the entire medical and health care field from the 2013 PLS, the 16 civil service benchmark jobs in the field (including the two ranks of the Dental Officer grade) were also removed from the list accordingly. total of 190 civil service benchmark jobs in 61 grades were included in the finalised list of civil service benchmark jobs. It represented about 67% of the establishment of the non-directorate civilian ranks. It was also confirmed that there was no fundamental change in the job nature that warranted the re-categorisation of any grades and ranks to another JF-JL combination as requested by some staff. As a product of the intensive job inspection process, a total of 361 JDs were compiled for the purpose of job matching.

These eight civil service benchmark jobs are the three ranks in the Building Surveyor grade, Senior Clerical Officer, Estate Assistant, Senior Assistant Assessor, Assistant Estate Surveyor and Assistant Maintenance Surveyor.

Chapter 4

Selection of Private Sector Organisations for Pay Comparison

4.1 Civil service benchmark jobs were matched with broadly comparable counterparts in the private sector in terms of job content, work nature, level of responsibility and typical requirements on qualification and experience as set out in the JDs. Private sector organisations were invited to participate in the PLS so that broadly comparable jobs in the private sector could be identified for pay comparison.

Selection Criteria for Private Sector Organisations

- 4.2 In deciding the criteria for selecting private sector organisations to be surveyed for collecting pay information, the guiding principle was that in their entirety, the organisations to be included should provide a reasonable representation of pay levels prevailing in the Hong Kong market for reference.
- 4.3 On the above basis, the following selection criteria were adopted in the $2013 \, PLS -$
 - (i) the organisation should be generally known as steady and good employers conducting wage and salary administration on a rational and systematic basis;
 - (ii) the organisations should have a sufficient number of jobs that are reasonable counterparts to benchmark jobs in the civil service;
 - (iii) the organisations should be typical employers in their respective fields employing 100 or more employees;
 - (iv) the organisation should determine pay levels on the basis of factors and considerations applying to Hong Kong rather than outside Hong Kong;
 - (v) the organisation should not use civil service pay scales or pay adjustments as major factors in determining the pay

- levels or pay adjustments for their staff, or should not have done so in the past five years;
- (vi) if they form part of a group in Hong Kong, the selected organisations should be treated as separate organisations where pay practices are determined primarily with regard to conditions in the relevant economic sector;
- (vii) taken together, the selected organisations should represent a breadth of economic sectors;
- (viii) the total number of surveyed organisations should be sufficient to ensure that each JF-JL combination will have data coming from at least ten organisations; and
 - (ix) at least 70 100 organisations should be included in the survey field.

List of Private Sector Organisations

- As a starting point, the list of more than 400 organisations invited in the 2012 SSS was used as the basis for the 2013 PLS to ensure a reasonable level of participation. A total of 412 organisations were included upon reviewing the organisations against the selection criteria. In addition, 40 new organisations belonging to the following categories were included, namely (i) organisations from the medical and health care field (details are set out in paragraphs 3.6 to 3.10 above); (ii) organisations which have the potential of providing relevant jobs to the JF-JL combinations with insufficient or marginally sufficient data in the 2006 PLS; and (iii) organisations as proposed by staff. Hence, a total of 452 organisations were recommended for inclusion in the 2013 PLS at the outset for the purpose of kick-starting the preparatory work for job matching.
- 4.5 The following steps were taken by the Consultant upon completion of the job inspection process
 - (i) re-examined the tentative list based on their research and information available from the market as well as information received during the job inspection process;

- (ii) reassessed the continued compliance of these organisations against the selection criteria;
- (iii) added some organisations, which were considered to have potential private sector counterparts, in the list in response to views from staff; and
- (iv) removed organisations with primarily medical-related jobs in view of the decision of excluding the entire medical and health care field from the 2013 PLS.

The finalised list of private sector organisations invited to participate in the 2013 PLS comprised a total of 447 organisations.

Chapter 5

Job Matching and Collection of Pay Information from the Private Sector Organisations

5.1 Subsequent to the selection of civil service benchmark jobs and private sector organisations as explained in Chapters 3 and 4 respectively, the next step was to identify broadly comparable counterparts in the private sector for the purpose of data collection and analysis. This was done through the process of job matching.

Job Matching

Job matching was one of the most important steps in the PLS. The process ensured that broadly comparable counterparts in the private sector would be selected for pay comparison.

Results of Job Matching

Out of the 190 civil service benchmark jobs in 61 grades selected for job matching, a total of 162 civil service benchmark jobs in 59 grades were identified with private sector matches. Two JF-JL combinations (i.e. JF 4 – JL 1 and JF 5 – JL 2) could not meet the vetting criterion of having pay data from at least 10 private sector organisations and were excluded from the data consolidation process. The list of matched civil service benchmark jobs (**Appendix D**) formed the basis for pay comparison with the private sector.

Profile of participating organisations

5.4 Of the 447 private sector organisations invited, a total of 128 organisations (<u>Appendix E</u>) provided data to the Consultant. These 128 organisations cover a wide range of economic sectors in Hong Kong. Details are in **Table 2** below –

Table 2

Economic Sector	No. of Organisations	%
1. Accommodation and Food Services	8	6.3%
2. Construction	13	10.2%
3. Financing, Insurance and Real Estate	29	22.7%
4. Information and Communications	2	1.6%
5. Manufacturing	9	7.0%
6. Professional and Business Services	14	10.9%
7. Social and Personal Services	17	13.2%
8. Transport, Storage, Postal, Courier Services and Utility	16	12.5%
9. Wholesale, Retail and Import / Export	20	15.6%
Total	128	100%

5.5 The participating organisations comprised a reasonable mix of different sizes. Their distribution by employment size is shown in **Table 3** below –

Table 3

Employment Size	No. of Organisations	%
1. 100 – 500	52	40.6%
2. 501 – 1,000	21	16.4%
3. 1,001 – 5,000	45	35.2%
4. Above 5,000	10	7.8%
Total	128	100%

5.6 The number of organisations which provided data for each JL^{10} is in **Table 4** below –

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Since one organisation could provide data to more than one JL, the sum of the number of organisations which provided data at each JL is not equal to the total number of participating organisations in the 2013 PLS.

Table 4

Job Levels (JLs)	No. of Organisations which Provided Data
JL 1	108
JL 2	123
JL 3	116
JL 4	107
JL 5	80

5.7 Pay data from the 128 participating private sector organisations were collected by the Consultant for pay comparison. The data of the matched private sector jobs were aggregated by JFs and JLs and consolidated into private sector pay indicators for different JLs using the typical organisation practice approach. Details on how the consolidated private sector pay indicator for each JL was compared with the corresponding civil service pay indicator at the JL are set out in paragraph 5.8 below.

Parameters for Pay Comparison

- 5.8 Comparison between the civil service pay and private sector pay for each JL was made on the following basis
 - (i) Total cash compensation: Pay comparison between the civil service and private sector was made on the basis of total cash compensation (rather than basic cash compensation). The total cash compensation of the civil service includes salary and fringe benefits paid in cash¹¹. Similarly, the total cash compensation of the private sector includes base salary, variable pay and fringe benefits paid in cash;
 - (ii) P75 of private sector pay: The upper quartile (i.e. the 75th percentile, or P75) of the private sector total cash compensation, consolidated on the basis of the typical organisation practice approach, was adopted for the determination of the private sector pay indicator for each JL. It accords with the general objective that the Government should be a good employer and, hence, civil

Fringe benefits paid in cash include housing allowances, local education allowance, overseas education allowance and school passage allowance.

- service pay should be measured against that of the better paying private sector jobs; and
- (iii) Notional mid-point salary of civil service: The notional mid-point salary for each specified JL plus the actual average expenditure on fringe benefits paid in cash was adopted for the determination of the civil service pay indicator for each JL. Unlike the actual average salary, the notional mid-point salary would not be skewed by the age and seniority profile of the civil service at a particular point in time due to exceptional circumstances.
- 5.9 The private sector pay indicators as consolidated by the Consultant and the civil service pay indicators are set out in Chapter 7.

Inherent Differences between the Civil Service and Private Sector and their Uniqueness

- 5.10 The Commission appreciates and fully recognises that there are unique features and inherent differences between the civil service and private sector in terms of the nature of operation, job requirements, appointment / remuneration practices, etc. In respect of the civil service, such uniqueness could be broadly classified into the following two categories
 - (i) unique responsibilities such as law enforcement, regulatory duties, law drafting, policy formulation and implementation, attendance at meetings or conferences on behalf of the Government which may have territory-wide implications, discharge of emergency duties under short notice and exceptional circumstances, etc; and
 - (ii) unique features such as stronger community oversight in their performance of duties, higher standards of integrity, more stringent rules in personal conduct, etc.

The Commission is also fully aware of the increasing pressure faced by civil servants and the rising public expectation on transparency, accountability and responsiveness of the Government amidst the changes in social and political landscape in recent years.

- 5.11 While the civil service has its own unique duties and features, there are also characteristics unique to the private sector as ascertained by the Consultant during the survey. For example, since the job nature and duties of job holders in the private sector can be more easily measured, they are generally under constant pressure to meet targets. In general, their career prospect and job security are more directly impacted by the individual and organisational performance, as well as the macroeconomic environment. The private sector usually adopts a more flexible hire-and-fire practice, recruitment and severance takes place from time to time as and when needed. Progression is generally varied and is more influenced by individual performance, performance of the organisation, and market conditions.
- 5.12 The Commission appreciates that it is impossible to quantify the unique duties and features of both the civil service and private sector. Having said that, they have been taken into account in a holistic manner in considering the application of survey findings to the non-directorate civilian grades of the civil service.

Chapter 6

Principles and Considerations for Application of Survey Findings

6.1 The Administration has indicated in its invitation to the Commission that the application framework of the 2006 PLS was purely for reference, and that the Commission should not feel encumbered in any way in its recommendations on how the survey findings of the 2013 PLS should be applied.

Application in the 2006 Pay Level Survey

The Commission notes that in the 2006 PLS, a plus / minus 5% was adopted as the acceptable range of difference between the civil service and private sector pay indicators for a JL. Where the difference was within this range, no downward / upward adjustment was to be made to the relevant civil service pay points. Where the difference fell outside this range, the downward / upward adjustment to the relevant civil service pay points was to be made to the upper / lower limit of the 5% range. Since the difference between the civil service and private sector pay indicators for all JLs was within the acceptable range of plus / minus 5%, all the civil service pay scales remained unchanged as a result of the 2006 PLS.

Holistic Approach for the 2013 Pay Level Survey

6.3 For the 2013 PLS, the Commission is of the view that a holistic approach should be adopted. To this end, a number of principles and considerations which together formed the basis of a holistic approach have been formulated, making reference to those adopted in the 2009 SSS and 2012 SSS. The Commission has sought and suitably taken into account comments from the Staff Sides on these principles and considerations.

Principles and Considerations for Application for the 2013 PLS

Broad comparability with the private sector

Broad comparability with the private sector remains one of the main objectives of the entire civil service pay policy to, amongst others, ensure that civil service pay is regarded as fair by both civil servants and the public. Given the fact that a PLS is conducted at six-yearly intervals and the unpredictability of changes in market pay level, it is necessary to take a broader view and aim to maintain broad comparability with the private sector from a longer-term perspective.

Nature of the PLS

- 6.5 The PLS is designed to be conducted at six-yearly intervals to assess whether civil service pay is broadly comparable with that of the market at a particular reference point in time. Its scope covers all non-directorate civilian ranks. Therefore, it is imperative that excessive volatility in civil service pay be avoided, and flexibility be adopted in applying the survey results.
- In addition, the market is dynamic. The PLS only captures market information at a particular point in time, whilst the survey findings will be applied to all non-directorate civilian ranks for a considerable period of time¹². It is therefore inappropriate to follow strictly the snapshot of private sector pay in determining the civil service pay level.

Attractiveness and stability of civil service pay

A cornerstone of the civil service pay policy is to offer sufficient remuneration to attract, retain and motivate staff of a suitable calibre to provide the public with an efficient and effective service. It is thus important to ensure the attractiveness of civil service pay to attract, retain and motivate talent in an increasingly competitive manpower market. Any considerations in adjusting the pay level of the civil service should be given in a prudent manner.

6.8 The Commission also agrees that the general objective of the Government as a good employer should continue to be upheld. In the

For the disciplined services and directorate grades, the Commission would defer to the Administration to consider whether, and if so how, the Commission's recommendations would be applied to them, taking into account the advice of the SCDS and the Directorate Committee as appropriate.

context of the PLS, this is effected by comparing civil service pay with that of the better paying private sector jobs. The upper quartile (P75) level of private sector pay will be used as the parameter for comparison.

Inherent differences between the civil service and private sector and their uniqueness

- 6.9 It is generally recognised that there are inherent differences between the civil service and private sector in respect of, for example, the mechanism of salary progression, mobility of employees, flexibility of pay structure, etc.
- Moreover, the civil service and its private sector comparators have their own unique duties and features. Certain duties such as policy formulation, law enforcement, law drafting, regulatory work, rescue work, duties during emergency and exceptional circumstances, etc. are unique to the civil service. In addition, civil servants in general are subject to stronger community oversight in their performance of duties, have to meet higher standards of integrity, abide by more stringent rules in their conduct, etc. On the other hand, there are certain characteristics that are unique to the private sector. For example, some individuals are under constant pressure to meet stretched sales targets. The different environment makes it inappropriate for civil service pay to strictly mirror fluctuations in private sector pay.
- 6.11 The Commission acknowledges the existence of such inherent differences and uniqueness, and will take them into consideration when recommending the application of the survey results.

Inherent discrepancies in statistical surveys and elements of chance

- 6.12 Similar to any other surveys, the PLS cannot provide an absolutely precise picture of private sector pay. Some degree of discrepancy is inevitable for a survey of such a wide coverage and complexity. Hence, it is advisable to allow some degree of flexibility in applying the survey findings.
- Statistical discrepancies and elements of chance caused by various factors are unavoidable. Such factors may include the setting of the survey reference date, the selection of organisations for the survey, the willingness of selected organisations to take part in a survey, the depth and breadth of the pay data provided by the participating organisations, the

availability of comparable job matches in the participating organisations, the staff profile and business performance of the participating organisations, etc. While the survey findings provide objective data for comparison, it is inappropriate to follow strictly the market situation which can be highly influenced by a range of factors.

Overall interest

- A stable and permanent civil service is essential to the smooth running of the Government and the efficient delivery of public services without disruption. Volatility or frequent changes in civil service pay are undesirable in maintaining a stable civil service, and likely to affect staff morale and motivation. Furthermore, as the Government is the largest employer in Hong Kong, any action in pay adjustment by the Government, be it upward or downward, will have an impact on the private sector, both in terms of labour market implications and the signal it sends to the community.
- 6.15 The Commission acknowledges that the views and interests of civil servants as well as staff morale have been and should continue to be relevant factors in the consideration of adjustments to civil service pay. It is paramount to take account of the overall community interests, including interests of civil servants and the public, in formulating recommendations on the application of survey findings. For the PLS to be credible, survey findings should be applied in a manner considered fair by both civil servants and the public they serve.

Chapter 7

Pay Comparison and Recommendations on Application of Survey Findings

7.1 The 2013 PLS, using 1 October 2013 as the survey reference date, has successfully collected pay data from 128 private sector organisations, and matched private sector counterparts for 162 civil service benchmark jobs.

Findings of Pay Comparison

7.2 The findings of the 2013 PLS are summarised in **Table 5** below –

Table 5

Job Level	Civil Service Pay Indicator (annual)(\$)	Private Sector Pay Indicator (annual)(\$)	Comparison Ratio
	(a)	(b)	$(\mathbf{a}) / (\mathbf{b})$
Job Level 1	178,017	182,536	98%
(MPS 0 – 10 & MOD 1)			
Job Level 2 (MPS 11 – 23)	352,368	337,789	104%
Job Level 3	589,552	614,298	96%
(MPS 24 – 33)			
Job Level 4	907,681	923,634	98%
(MPS 34 – 44)			
Job Level 5	1,223,094	1,333,969	92%
(MPS 45 – 49)			

7.3 The civil service pay indicator for each JL is derived by aggregating the notional mid-point salary plus actual average expenditure on fringe benefits paid in cash for that particular JL. On the other hand, the private sector pay indicator for each JL is derived by aggregating the base salary, variable pay and fringe benefits paid in cash for that particular JL. The comparison ratios (by percentage) are obtained by dividing the

civil service pay indicator by the private sector pay indicator to indicate any pay differential. A comparison ratio of 100% would mean that the civil service pay indicator is the same as the private sector pay indicator for that JL.

As shown in the above table, the civil service pay indicators for JL 1, JL 3, JL 4 and JL 5 are lower than the private sector pay indicators by 2%, 4%, 2% and 8% respectively; and the civil service pay indicator for JL 2 is higher than the private sector pay indicator by 4%. The differences between the civil service pay indicators and private sector pay indicators for JL 1 to JL 4 are all within 4%, while the difference between the two indicators for JL 5 is 8%.

Recommendations on Application of Survey Findings

- 7.5 Given the above survey findings, the question then for the Commission is at which point civil service pay is considered out of line with the market that warrants pay adjustment; and if adjustment is considered warranted how civil service pay should be adjusted.
- 7.6 In answering the above questions, the Commission has made reference to the principles and considerations under the holistic approach as set out in **Chapter 6**. The analysis of the Commission is elaborated in the ensuing paragraphs.

Broad comparability with the private sector

- As enshrined in the Government's pay policy for the civil service, a key objective is to maintain broad comparability between civil service pay and private sector pay. Such a policy is intended for good reasons, as it is neither fair nor practical to achieve strict comparability with the market in view of the inherent differences between the civil service and private sector and their respective uniqueness. Indeed, the broadly-defined JF-JL method used in this PLS was designed to enable broad comparison between civil service pay and private sector pay, rather than comparison by individual grades and ranks.
- 7.8 Looking at the survey findings in paragraph 7.2 above, strict comparability would have meant an indiscriminate and automatic numeric adjustment of civil service pay to bring it in precise alignment with the market. For instance, for JL 2 with a comparison ratio of 104% a

downward adjustment of 4% would have been triggered, whilst for JL 3 with a ratio of 96% an upward adjustment of 4% would have followed. Such alignments would have occurred mechanically without further analysis and regardless of the magnitude of the differences. This is clearly in violation of the principle of broad comparability.

Having reviewed the survey findings, the Commission is of the view that for JL 1 to JL 4 where the differences between the civil service pay indicators and private sector pay indicators are -2%, +4%, -4% and -2% respectively their pay should be regarded as broadly comparable. As regards JL 5 for which the difference is -8%, the Commission considers it significant and hence should be appropriately addressed.

Nature of the PLS

- A PLS only captures market information at a specific reference point in time. In the case of the 2013 PLS, 1 October 2013 was used as the survey reference date. Basic salary of the participating private sector organisations was annualised based on 1 October 2013 while other components included in the calculation were collected for the 12-month period prior to that date. As reported by the Government Economist, at the point of the survey, the economy of Hong Kong attained a moderate growth amid a still challenging external environment. The labour market stayed firm and remained in full employment throughout 2013. wages and earnings continued to see solid improvement. labour demand stayed sturdy in 2013 on the back of steady economic growth and resilient domestic demand. The Commission therefore observes that the current PLS was conducted amidst a generally steady and favourable macro-economic environment. Yet most recently the Government Economist advised that the Hong Kong economy is only poised to attain modest growth for 2014 as a whole. The growth forecast for the Gross Domestic Product for 2014 as a whole is revised downward from 3%-4% in the May round of review to 2%-3% in the August round in view of the latest economic situation in the local and external environments.
- 7.11 Against the above backdrop, the Commission assesses that in addressing the pay gap for JL 5, a moderated upward adjustment (i.e. not to the full extent of 8%) would be reasonable.

Attractiveness and stability of civil service pay

- 7.12 The Commission notes that staff in JL 5 are experienced civil servants such as Chief Executive Officers, Senior Government Counsels and Senior Engineers. In general, they possess a wealth of expertise and play an important role in implementing Government policies and projects and discharge higher-level duties, such as strategic planning, supervision of large-scale projects, and provision of professional advice, etc. They are also instrumental in training and grooming their subordinates which are crucial in ensuring continuity of the civil service.
- 7.13 The Commission further notes that in the 2006 PLS, JL 5 also recorded a pay deficiency with its civil service pay indicator lagging behind that of the market. In the 2006 PLS, the comparison ratio for JL 5 was 95%, whilst in the current PLS it is 92%. Hence the pay gap for this Job Level has widened by 3% during the past seven years.

Inherent differences between the civil service and private sector and their uniqueness

- As mentioned in paragraph 5.10 above, the Commission appreciates and fully recognises that the civil service and private sector are distinct in many ways. The structure of civil service pay is more progressive in nature and civil service pay practices are more uniform and standardised, whilst private sector pay allows much more flexibility in adjusting to the ever-changing market situation. The Government places greater emphasis on the overall interest of the community while the private sector is mostly commercial in nature which is profit-driven. A stable and permanent civil service is essential to the smooth running of the Government while the private sector tends to be much more dynamic and responsive.
- 7.15 The Commission therefore considers that such inherent differences and uniqueness between the two sectors should reaffirm its observations under the principle of broad comparability as set out in paragraphs 7.7 to 7.9 above, i.e. it is inappropriate to strictly compare and align the pay between the two sectors, and that for JL 1 to JL 4 the pay levels are broadly in line while for JL 5 there is a significant variance that warrants adjustment.

Inherent discrepancies in statistical surveys and elements of chance

- 7.16 While a PLS serves to provide a scientific and objective comparison between civil service pay and private sector pay, it is important to acknowledge that a PLS cannot offer a fully precise picture of private sector pay at a particular point in time. Some degree of discrepancy and elements of chance is inevitable for a survey of such a wide coverage and complexity.
- 7.17 On this, the Commission observes that a plus / minus 5% range was adopted in the 2006 PLS having regard to, amongst others, the discrepancies and elements of chance embedded in statistical surveys. The Commission is of the view that such a range may serve as a reasonable buffer to avoid excessive volatility in civil service pay. Referencing this range in the current PLS, the upward adjustment for JL 5 would be in the magnitude of 3%.

Overall interest

- 7.18 The Commission fully appreciates that while it is important to ensure attractiveness of civil service pay, it is equally imperative that any adjustments be considered fair by both civil servants and the public they serve given that civil service pay is funded by public money. Throughout the exercise, the Commission has strived to discharge its role objectively and fairly, taking into account and balancing as best it could the diverse and at times conflicting interests of the various stakeholders.
- 7.19 Since there is no mathematical formula which could derive a threshold for pay adjustment in a precisely scientific manner, a judgment has to be made in determining whether an adjustment is warranted for each of the JLs and if so the magnitude of adjustment. Having balanced the interests of individual stakeholders, the civil service and the community as a whole, the Commission is satisfied that a recommendation of no change to the salary of officers of JL 1 to JL 4 and a moderated increase of 3% to the salary of officers of JL 5 would be a balanced, reasonable and justified one in the context of the 2013 PLS.

Conclusion

7.20 Following from the above analysis, the Commission **recommends** that the findings of the 2013 PLS be applied in the following manner –

Job Level (JL)	Recommendation
JL 1	No change is proposed to the salary of non-directorate
	civilian staff in MPS Point 0 – 10 and MOD 1 Pay Scale
	Point 0 – 13
JL 2	No change is proposed to the salary of non-directorate
	civilian staff in MPS Point 11 – 23
JL 3	No change is proposed to the salary of non-directorate
	civilian staff in MPS Point 24 – 33
JL 4	No change is proposed to the salary of non-directorate
	civilian staff in MPS Point 34 – 44
JL 5	An upward adjustment of 3% is proposed to the salary
	of non-directorate civilian staff in MPS Point 45 – 49

Issues Relating to Implementation

- 7.21 As no change is recommended to JL 1 to JL 4, the Commission also **recommends** that no change be made to the other non-directorate civilian pay scales¹³ since their pay points are all within the pay range of JL 1 to JL 4.
- As regards the effective date of the pay adjustment for JL 5, the Commission **recommends** that it be set as the first day of the month this report is submitted. The Commission realises that there may be expectation that the pay adjustment would take retrospective effect from the survey reference date (i.e. 1 October 2013), given that this has been the case for the annual civil service pay adjustment ¹⁴. However, the Commission does not consider such an arrangement appropriate.
- Owing to its scale and complexity, the PLS takes a much longer time to complete as compared with the annual PTSs. There will inevitably be a considerable time gap between the survey reference date and the date when the Commission submits its report to the Administration upon completion of the survey and finalisation of its recommendations. For the present exercise, if the effective date of the pay adjustment were to be set as the survey reference date of 1 October 2013, it would entail a

Other non-directorate civilian pay scales are the Training Pay Scale, Craft Apprentice Pay Scale and Technician Apprentice Pay Scale.

¹⁴ For the annual civil service pay adjustment, the established practice is that any upward pay adjustments would take retrospective effect from 1 April which is also the reference date of the PTS.

backdating payment of more than one year. The Commission does not see a justifiable case for such a long backdating arrangement, nor does it think it would meet the public expectation of the prudent use of public funds.

Chapter 8

Other Observations and Acknowledgements

8.1 In this final chapter, the Commission wishes to set out for reference of future PLSs a few observations gathered in the course of the 2013 PLS, and express its appreciation to all parties concerned which have contributed to the smooth conduct of the 2013 PLS.

Observations

- 8.2 The 2013 PLS covered non-directorate civilian grades of the service. The Commission fully recognises its potentially far-reaching implications on the civil service. It also acknowledges the diverse interests of different stakeholders, primarily civil servants of different grades and ranks and the general public. In the course of the 2013 PLS, the Commission has conducted extensive consultation with staff and external stakeholders, and strived to seek common grounds despite differences with a view to working out a set of recommendations which would be regarded as fair and acceptable by both civil servants and the public they serve. However, it is important to acknowledge that there is no recommendation which could possibly meet the interest and expectation of all stakeholders. The Commission is of the view that the current recommendation has presented a fine balance between the interests of Nevertheless, with the diverse interests of different various parties. stakeholders and its far-reaching implication, the PLS will continue to be a challenging and controversial exercise.
- 8.3 This is the first time that a PLS is conducted by the Commission under the *Improved Mechanism*, and that in the process reference had been drawn from previous pay-related surveys, including the 2006 PLS. In the light of the experiences gained in conducting the two PLSs, the Commission is of the view that it is an opportune time for the Administration to give thought to whether a review is warranted. The review may possibly cover, inter alia, the following areas
 - (i) <u>survey methodology</u>: Due to the wide coverage and complexity of the 2013 PLS as well as the lapse of time since the 2006 PLS, the Consultant conducted a comprehensive review of the survey methodology at the

beginning of the 2013 PLS. The Commission considers that the broadly-defined JF-JL method is on the whole a practicable methodology to compare civil service pay and private sector pay under the principle of broad comparability. Nevertheless, pay practices and policies of the private sector may change from time to time. The Commission considers it prudent to review the survey methodology again as appropriate for the next PLS;

- (ii) application issues: The recommendations on how the findings of the 2013 PLS should be applied were arrived at having regard to the principles and considerations under the holistic approach which have undergone extensive staff consultation. In the process, the Commission was not bound by the application framework of the 2006 PLS as explained in **Chapter 6**. Likewise, the Commission is of the view that the application framework for future PLSs should be decided taking into account the then prevailing circumstances and should not be bound by the approach adopted in the 2013 PLS. That said, the Commission considers it worthwhile to take stock of the experiences gained in the 2006 and 2013 PLSs, which should be of good reference value for future PLSs: and
- (iii) frequency for the conduct of the PLS: Conducting a survey of such a scale was not without challenges. Due to its highly complex nature and in-depth involvement of both the civil service and private sector, the survey took more than two years to complete. In the course of the survey, the Commission and the Consultant had undergone thorough review and extensive consultation with staff and management at every stage. The job inspection process was a labour intensive process taking up a considerable amount of time and effort on the part of the civil service. Pay comparison with the private sector, on the other hand, also required tremendous effort on the part of the private sector organisations.

Moreover, under the *Improved Mechanism*, an SSS is to be conducted every three years and a PLS every six years. This means every six years there would be an overlap and

both surveys would need to be done concurrently within the same year. The Commission finds this undesirable given the amount of work and resources involved. Indeed, in agreeing to undertake what was supposed to be the 2012 round of the SSS and PLS, the Commission proposed and the Administration agreed that the two should be delinked. In the light of the above, the Administration may wish to consider whether the frequency of conducting future PLSs should be reviewed, i.e. whether they should continue to be conducted every six years or at different intervals.

8.4 The Commission also wishes to point out that in order for future PLSs to be credible and be supported by relevant stakeholders, it is paramount that this review be completed before the next PLS commences.

Acknowledgements

- 8.5 The Commission would like to express its appreciation to all parties which have contributed to the 2013 PLS. We would like to thank the Staff Sides and their members for the useful views expressed at the PLS Consultation Meetings and their written submissions. We would also like to show our gratitude to the GM / DM for their assistance in liaising with their staff during the survey, as well as to the DCCs and staff unions / associations for their useful views expressed. Our appreciation also goes to all staff representatives who participated in the intensive job inspection process.
- 8.6 We would also like to thank the Employers' Federation of Hong Kong, the Hong Kong Institute of Human Resource Management and the Hong Kong People Management Association for sharing with us their experience in conducting pay surveys and appealing to their member organisations to participate in the 2013 PLS. Our gratitude also goes to the participating private sector organisations for their support and co-operation.
- 8.7 The Commission would also like to record our appreciation to the former Chairman, Mr Nicky Lo Kar-chun, SBS, JP, for his outstanding leadership in launching the exercise. Last but not least, we would like to express our gratitude to the staff of the Joint Secretariat for their hard work and dedicated support throughout the exercise.

Standing Commission on Civil Service Salaries and Conditions of Service

Terms of Reference

- I. To advise and make recommendations to the Chief Executive in respect of the non-directorate civil service, other than judicial officers and disciplined services staff, on
 - (a) the principles and practices governing grade, rank and salary structure;
 - (b) the salary and structure of individual grades;
 - (c) whether overall reviews of pay scales (as opposed to reviews of the salary of individual grades) should continue to be based on surveys of pay trends in the private sector conducted by the Pay Survey and Research Unit, or whether some other mechanisms should be substituted;
 - (d) the methodology for surveys of pay trends in the private sector conducted by the Pay Survey and Research Unit, subject to advice under I(c) and having regard to the advice of the Pay Trend Survey Committee;
 - (e) matters relating to those benefits, other than salary, which the Commission advises as being relevant to the determination of the civil service remuneration package, including the introduction of new benefits or proposed changes to existing benefits;
 - (f) suitable procedures and machinery to enable staff associations and staff to discuss with management their views on matters within the terms of reference of the Commission;
 - (g) the circumstances in which it would be appropriate for the Commission itself to consider any issue, and how staff associations and management might present their views to the Commission in such circumstances; and

- (h) such matters as the Chief Executive may refer to the Commission.
- II. The Commission shall keep the matters within its terms of reference under continuing review, and recommend to the Chief Executive any necessary changes.
- III. The Commission shall give due weight to any wider community interest, including financial and economic considerations, which in its view are relevant.
- IV. The Commission shall give due weight to the need for good staff relations within the Civil Service, and in tendering its advice shall be free to make any recommendations which would contribute to this end.
- V. In considering its recommendations and advice, the Commission shall not prejudice the 1968 Agreement between the Government of the Hong Kong Special Administrative Region and the Main Staff Associations (1998 Adapted Version).
- VI. The staff associations making up the Staff Side of the Senior Civil Service Council and the Model Scale 1 Staff Consultative Council may jointly or individually refer matters relating to civil service salaries or conditions of service to the Commission.
- VII. The heads of departments may refer matters relating to the structure, salaries or conditions of service of individual grades to the Commission.
- VIII. The Commission shall not consider cases of individual officers.
- IX. The Commission may wish to consider in the light of experience whether changes in its composition or role are desirable.
- X. In carrying out its terms of reference, the Commission should ensure that adequate opportunities are provided for staff associations and management to express their views. The Commission may also receive views from other bodies which in its view have a direct interest.

Membership of the Commission

Chairman

Dr Wilfred Wong Ying-wai, SBS, JP (since 1 August 2012)

Mr Nicky Lo Kar-chun, SBS, JP (until 31 July 2012)

Members

Mr Owen Chan Shui-shing, JP (until 31 July 2014)

Mr T C Chan, BBS, JP (since 1 January 2014)

Miss Elaine Chan Wing-yi

Mr Barry Cheung Chun-yuen, GBS, JP (until 31 December 2012)

Ms Virginia Choi Wai-kam, JP (until 31 July 2012)

Dr Miranda Chung Chan Lai-foon (until 31 December 2013)

The Hon Jeffrey Lam Kin-fung, GBS, JP (until 31 December 2013)

Mr Lee Luen-fai (since 1 January 2014)

Ms Angela Lee Wai-yin, BBS, JP (since 1 August 2014)

Mr Joseph Lo Kin-ching (since 1 January 2013)

Mr Pang Yiu-kai, SBS, JP (until 31 December 2013)

Professor Suen Wing-chuen

The Hon Tony Tse Wai-chuen, BBS (since 1 January 2014)

Dr Carrie Willis Yau Sheung-mui, SBS, JP (since 1 August 2012)

Mr Wilfred Wong Kam-pui

公務員事務局局長 政府總部

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11 November 2011

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Chairman
Standing Commission on
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88 Queensway
Hong Kong

Joan Nicky,

2012 Pay Level Survey and 2012 Starting Salaries Survey

I am writing to advise that pending the favourable response from the staff side, I intend to invite the Standing Commission on Civil Service Salaries and Conditions of Service ("Standing Commission") to conduct the 2012 Pay Level Survey ("PLS") and the 2012 Starting Salaries Survey ("SSS") and to recommend how the findings of these two surveys should be applied to non-directorate civilian grades in the civil service.

Improved Civil Service Pay Adjustment Mechanism

As you know, under the Improved Civil Service Pay Adjustment Mechanism ("the Mechanism"), civil service pay is compared with private sector pay on a regular basis through three different types of surveys, namely

(i) a PLS every six years to ascertain whether civil service pay remains broadly comparable with private sector pay; (ii) a SSS every three years to compare the starting salaries of civil service civilian grades with the entry pay of jobs in the private sector requiring similar qualifications; and (iii) an annual pay trend survey ("PTS") to ascertain year-on-year pay adjustment in the private sector.

2012 Pay Level Survey

As the last PLS was undertaken in 2006, the next one is due to be conducted in 2012. As in the last PLS, the coming one will only cover the non-directorate civilian civil service. However, as the directorate and the disciplined services are also integral parts of the civil service, the Administration will consider how the findings of the 2012 PLS should be applied to these two parts of the civil service in consultation with the Standing Committee on Directorate Salaries and Conditions of Service and the Standing Committee on the Disciplined Services Salaries and Conditions of Service.

The 2006 PLS was conducted by the Civil Service Bureau (CSB) with the assistance of professional consultants and in consultation with the staff sides. Its findings and proposed application were submitted to the Standing Commission (and the other two committees on salaries and conditions of service of disciplined services civil servants and directorate civil servants) for information and advice. With a view to enhancing the credibility of the PLS and engendering greater acceptance of the survey findings in the civil service and the community at large, we believe it would be better for the Standing Commission (instead of CSB) to conduct the 2012 PLS. In this connection, the Commission may wish to note the key features of the 2006 PLS (which had gone through extensive discussions with the staff sides) as set out below -

(a) the adoption of a broadly defined job family and job level method:

- (b) the selection of civil service benchmark jobs in each job family and job level with comparators in the private sector;
- (c) the matching and comparison of civil service benchmark jobs with counterpart jobs in the private sector;
- (d) the selection of steady and good employers in the private sector to participate in the survey;
- (e) the collection of both basic cash and total cash compensation data from surveyed companies; and
- (f) the adoption of the typical organisation practice approach for consolidation of data collected from surveyed companies

In light of the experience of the 2006 PLS, the Executive Council endorsed the adoption of the above general framework for future PLSs, subject to a review of the following three main differences between the PLS and the PTS –

- (a) companies surveyed: the PLS covers companies with 100 or more employees only while the PTS also covers companies with 50-99 employees;
- (b) data consolidation method: the PLS uses the typical organisation practice approach (which is in effect an un-weighted average approach) while the PTS uses the weighted average approach with gross-up factors; and
- (c) number of job levels and salary bands: the PLS categories the surveyed employees into five job levels, while the PTS uses a three salary band categorisation.

Without wishing to undermine the independence of the Standing Commission and its decision on the methodology of the 2012 PLS should it agree to conduct the survey, we believe the above stated differences are there because of the different objectives and uses of the PLS and PTS. We do not consider they need to be aligned simply for alignment sake.

In addition to conducting the 2012 PLS (should it agree to do so), we would also look to the Commission to advise the Administration on how the survey findings should be applied to non-directorate civilian grades in the civil service. In this connection, the Commission may wish to note the application framework endorsed by the Executive Council in the context of the 2006 PLS –

- (a) the adoption of total cash compensation data at the upper third quartile (P75) for determination of the private sector pay indicator for each job level;
- (b) the adoption of the notional mid-point salary plus the actual average expenditure on fringe benefits paid in cash for determination of the civil service pay indicator for each job level;
- (c) the adoption of a plus/minus 5% as the acceptable range of difference between the civil service and private sector pay indicators for a job level. Where the difference is within this range, no downward/upward adjustment is to be made to the relevant civil service pay points. Where the difference falls outside this range, the downward/upward adjustment to the relevant civil service pay points is to be made to the upper/lower limit of the 5% range; and
- (d) the application of PLS results, in accordance with items (a) to (c) above, to all civil service pay scales on the basis of their internal relativities as at the reference date of the concerned PLS.

Again, we wish to underline that the above is set out purely for reference and that the Standing Commission should not feel encumbered in any way in its recommendations on how the 2012 PLS findings should be applied to the civil service (should it agree to take on this role).

We will be consulting the staff sides on our intention to invite the Standing Commission to conduct the 2012 PLS and advise on application of its findings in the non-directorate civilian part of the civil service early next month.

2012 Starting Salaries Survey

The last SSS, covering the non-directorate civilian civil service grades only, was conducted in 2009 by the Standing Commission. The survey findings and the Commission's recommendations on application in the civil service were implemented in full by the Administration, following consultation with the Standing Committee on Disciplined Service Salaries and Conditions of Service and the staff sides.

Building upon the successful experience of the 2009 SSS and in the interest of underlining the independence and credibility of the survey, we would very much prefer the Standing Commission to conduct the 2012 SSS which will again cover the non-directorate civilian civil service grades only. In this connection, we are mindful that the first cohort of Hong Kong Diploma of Secondary Education graduates under the new 3-3-4 academic structure will enter the labour market in the second half of 2012. This notwithstanding, the vast majority of job seekers in the labour market in the near term will still be holding qualifications of the "old" academic structure (e.g. Hong Kong Certificate of Education Examination, Hong Kong Advanced Level Examination, etc.) and the civil service Qualification Groups system should still be relevant.

We would also look to the Standing Commission (should it agree to conduct the 2012 SSS) to advise the Administration on the application of the survey findings in the non-directorate civilian part of the civil service. We would separately seek the advice of the Standing Committee on Disciplined Service Salaries and Conditions of Service on how the survey findings should be applied to the disciplined services.

As with the 2012 PLS, we will be consulting the staff sides on our intention to invite the Standing Commission to conduct the 2012 SSS and advise on application of its findings in the non-directorate civilian part of the civil service early next month.

Resources

I hope to write again next month to formally invite the Standing Commission to conduct the two surveys in 2012 and to advise the Administration on application of their findings in the civil service. I also hope the Commission will signify its agreement. It goes without saying that

we will make available sufficient financial and manpower resources to the Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service to support the Standing Commission should it agree to undertake the two important assignments.

Thank you for your attention.

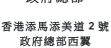
(Miss Denise Yue)

Secretary for the Civil Service

c.c. SG/JSSCS

公務員事務局局長 政府總部

政府總部西翼





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13 December 2011

Mr. LO Kar-chun, Nicky, SBS, JP Chairman Standing Commission on Civil Service Salaries and Conditions of Service Room 701, 7/F, Tower Two Lippo Centre 88 Queensway Hong Kong

Joan Nicky,

2012 Pay Level Survey and 2012 Starting Salaries Survey

Further to my letter of 11 November, I write to formally invite the Standing Commission on Civil Service Salaries and Conditions of Service (the Standing Commission) to conduct the 2012 Pay Level Survey (PLS) and the 2012 Starting Salaries Survey (SSS) and to recommend how these survey findings should be applied to the non-directorate civilian grades of the civil service.

The staff representatives (namely the Staff Sides of the four Central Consultative Councils and the four major service-wide staff unions), whom I consulted with last week, have expressed support for the above invitation, having regard to the independence of the Standing

Commission, its rich experience in the conduct of surveys on private sector pay-related matters and its profound knowledge about the civil service. They have specifically asked for close engagement with, and consultation by, the Commission during the preparation and throughout the conduct of the two surveys and, in particular, the PLS.

Having regard to the reference dates for the last PLS (1 April 2006) and SSS (1 April 2009) and the 6- and 3-yearly interval for the conduct of these two types of survey respectively, the reference date for the coming PLS and SSS should be 1 April 2012. While this is also the Administration's preferred reference date for the two surveys, we appreciate the Standing Commission must have sufficient time to undertake the necessary preparatory work, engage with the staff representatives and conduct the actual surveys. We also appreciate the importance of upholding the independence of the Standing Commission. For these reasons, we will leave it to the Standing Commission to decide on the reference date(s) for the 2012 PLS and the 2012 SSS. the Standing Commission to complete the two surveys and submit the survey findings and its recommendations to the Administration within a reasonable period of time from the reference date(s) to be chosen, since the relevance of the findings of a pay-related survey is inevitably affected by the time lag between the survey reference date on the one hand and the availability of survey findings and recommendations on their application to the civil service on the other. During the course of the coming PLS and SSS, the established timeframe for the conduct of the annual Pay Trend Survey ("PTS") under the supervision of the PTS Committee will continue to be adhered to.

In my above-mentioned letter, we have alluded to the general framework for future PLS and the general application principles of the findings of future PLS to the civil service. We wish to take the opportunity to advise that the information has been provided for reference purpose. In the interest of upholding the independence of the Standing Commission, we consider it should decide on the methodology of the 2012 PLS (and the 2012 SSS) and on how the findings of this survey (as well as those of the 2012 SSS) should be applied to the relevant grades of the civil service.

As stated in my earlier letter, the coming PLS and SSS will only cover the non-directorate civilian civil service; and the Administration will, upon receipt of the survey findings and recommendations from the Standing Commission, consult the Standing Committee on the Disciplined Services Salaries and Conditions of Service and the Standing Committee on Directorate Salaries and Conditions of Service as appropriate on application to the disciplined services and directorate grades of the civil service. That said, we encourage the Standing Commission to consider whether and - if so - how to engage the two Committees at suitable junctures of the two surveys. I shall be writing to these two advisory bodies to inform them of the Administration's invitation to the Standing Commission to conduct the coming PLS and SSS.

The Standing Commission commands respect from civil servants not only for its impartiality and professionalism, but also for its track record of working closely with the staff representatives under your leadership. We therefore have no doubt that it will respond favourably to their request for close engagement and consultation during the preparation and throughout the conduct of the coming two surveys. Likewise, we are confident that the Commission will continue its established practice of interacting with relevant external stakeholders (e.g. human resources institutes) as appropriate in its conduct of pay-related surveys with a view to enhancing transparency and credibility of the whole process.

Thank you for your attention. I look forward to receiving the Commission's favourable response to our invitation.

(Miss Denise Yue)

Secretary for the Civil Service

c.c. SG/JSSCS

Appendix D

Civil Service Benchmark Jobs with Private Sector Matches – Categorised in Job Family-Job Level Combinations

\$ value as at 1.4.2013	Job Family 1 Clerical and Secretarial	Job Family 2 Internal Support	Job Family 3 Public Services	Job Family 4 Works-related	Job Family 5 Operational Support
Job Level 1 MPS 0-10, MOD 1 0-13 (\$9,930 - \$18,535)	Assistant Clerical Officer Clerical Assistant Personal Secretary II	Computer Operator II Supplies Supervisor II	Cultural Services Assistant I Cultural Services Assistant II Postal Officer Postman Programme Assistant	Works Supervisor II #	Artisan Foreman Ganger Motor Driver Property Attendant Senior Artisan Senior Estate Assistant Workman I Workman II
<u>Job Level 2</u> MPS 11-23 (\$19,675 - \$35,930)	Clerical Officer Personal Secretary I	Accounting Officer II Analyst/Programmer II Assistant Information Officer Assistant Supplies Officer Assistant Valuation Surveyor Computer Operator I Executive Officer II Law Clerk Official Languages Officer II Senior Computer Operator Statistical Officer II Supplies Supervisor I	Assistant Assessor Assistant Controller of Posts II Assistant Labour Officer II Assistant Leisure Services Manager II Assistant Manager, Cultural Services Assistant Programme Officer Housing Officer Liaison Officer II Occupational Safety Officer II Senior Cultural Services Assistant Senior Postal Officer Transport Officer II	Assistant Architect Assistant Building Services Engineer Assistant Building Services Inspector Assistant Clerk of Works Assistant Electrical and Mechanical Engineer Assistant Electronics Engineer Assistant Electronics Inspector Assistant Electronics Inspector Assistant Engineer Assistant Engineer Assistant Geotechnical Engineer Assistant Inspector of Works Assistant Mechanical Inspector Assistant Quantity Surveyor Assistant Structural Engineer Survey Officer Technical Officer Works Supervisor I	Senior Foreman #

[#] Two JF-JL combinations (i.e. JF 4 – JL 1 and JF 5 – JL 2) could not meet the vetting criterion of having pay data from at least 10 private sector organisations and were excluded from the data consolidation process.

	Job Family 1 Clerical and Secretarial	Job Family 2 Internal Support	Job Family 3 Public Services	Job Family 4 Works-related	Job Family 5 Operational Support
\$ value as at 1.4.2013					
Leb I amal 2		Accounting Officer I	Assessor * Assistant Controller of Posts I	Architect *	
Job Level 3		Analyst/Programmer I Assistant Computer Operation Manager	Assistant Controller of Posts 1 Assistant Housing Manager	Building Services Engineer * Building Services Inspector	
		Estate Surveyor*	Assistant Labour Officer I	Clerk of Works	
		Executive Officer I	Assistant Leisure Services Manager I	Electrical And Mechanical Engineer *	
		Government Counsel*	Liaison Officer I	Electrical Inspector	
		Information Officer	Manager, Cultural Services	Electronics Engineer *	
		Official Languages Officer I	Occupational Safety Officer I	Electronics Inspector	
		Senior Law Clerk II	Programme Officer	Engineer*	
		Senior Statistical Officer	Superintendent of Posts	Geotechnical Engineer *	
MPS 24-33		Senior Supplies Supervisor	Transport Officer I	Inspector of Works	
(\$37,625 - \$56,810)		Senior Valuation Officer		Maintenance Surveyor*	
		Statistical Officer I		Mechanical Inspector	
		Statistician*		Quantity Surveyor*	
		Supplies Officer		Senior Survey Officer	
		Treasury Accountant*		Senior Technical Officer	
		Valuation Surveyor*		Structural Engineer *	

^{*} Ranks having pay scale straddling between job levels 3 and 4.

\$ value as at 1.4.2013	Job Family 1 Clerical and Secretarial	Job Family 2 Internal Support	Job Family 3 Public Services	Job Family 4 Works-related	Job Family 5 Operational Support
MPS 34-44 (\$57,275 - \$86,440)		Chief Supplies Officer Computer Operation Manager Estate Surveyor * Government Counsel * Principal Information Officer Senior Accounting Officer Senior Executive Officer Senior Information Officer Senior Law Clerk I Senior Official Languages Officer Senior Supplies Officer Statistician * Systems Manager Treasury Accountant * Valuation Surveyor *	Assessor * Chief Liaison Officer Controller of Posts Divisional Occupational Safety Officer Housing Manager Labour Officer Leisure Services Manager Principal Programme Officer Senior Divisional Occupational Safety Officer Senior Liaison Officer Senior Manager, Cultural Services Senior Transport Officer	Architect * Building Services Engineer * Chief Survey Officer Chief Technical Officer Electrical and Mechanical Engineer * Electronics Engineer * Engineer* Geotechnical Engineer * Maintenance Surveyor* Principal Survey Officer Principal Technical Officer Quantity Surveyor* Senior Clerk of Works Senior Electrical Inspector Senior Inspector of Works Senior Inspector of Works Senior Mechanical Inspector Structural Engineer *	
Job Level 5		Chief Executive Officer Chief Information Officer Principal Supplies Officer Senior Government Counsel Senior Statistician	Chief Manager, Cultural Services Chief Transport Officer Deputy Chief Occupational Safety Officer Principal Liaison Officer Senior Assessor	Senior Architect Senior Building Services Engineer Senior Electrical and Mechanical Engineer Senior Electronics Engineer Senior Engineer	
MPS 45-49 (\$89,565 - \$103,190)		Senior Systems Manager Senior Treasury Accountant	Senior Controller of Posts Senior Housing Manager Senior Labour Officer	Senior Geotechnical Engineer Senior Quantity Surveyor Senior Structural Engineer	

^{*} Ranks having pay scale straddling between job levels 3 and 4.

List of Private Sector Organisations Participating in the Survey

1	7-11 LIMITED	7-11 有限公司
2	ABB (Hong Kong) Limited	
3	AECOM	_
4	Airport Authority Hong Kong	香港機場管理局
5	ASM Pacific Technology Limited	_
6	Bank of China (Hong Kong) Limited	中國銀行(香港)有限公司
7	Belle Worldwide Limited	百麗環球有限公司
8	Black & Veatch Hong Kong Limited	博威工程顧問有限公司
9	Build King Holdings Limited	利基控股有限公司
10	BYME Engineering (HK) Ltd.	嘉福機電工程有限公司
11	Café de Coral Holdings Limited	大家樂集團有限公司
12	Carrier Hong Kong Ltd.	開利(香港)有限公司
13	Castco Testing Centre Ltd.	佳力高試驗中心有限公司
14	Cathay Pacific Airways Limited	國泰航空有限公司
15	Celestica Hong Kong Limited	-
16	Chevalier Group	其士集團
17	Chubb HK Ltd.	集寶香港有限公司
18	CITIC Pacific Limited	中信泰富有限公司
19	COSCO-HIT Terminals (Hong Kong) Limited	中遠 - 國際貨櫃碼頭(香港)有限公司
20	Dah Sing Bank, Limited	大新銀行有限公司
21	Dairy Farm International Holdings	牛奶國際控股
22	David S. K. Au and Associates Ltd.	區兆堅建築及工程設計顧問有限公司
23	DHL Express (HK) LTD	敦豪速遞(香港)有限公司
24	Employees Retraining Board	僱員再培訓局
25	Esquel Enterprises Limited	溢達企業有限公司
26	Evangelical Lutheran Church Social Service – Hong Kong	基督教香港信義會社會服務部
27	EY	安永
28	Fugro Geotechnical Services Limited	輝固土力工程有限公司
29	Gammon Construction Limited	金門建築有限公司
30	Great Eagle Holdings Limited	鷹君集團有限公司
31	Green Island Cement (Holdings) Limited	青洲英坭 (集團) 有限公司
32	Hip Hing Construction Co. Ltd.	協興建築有限公司
33	HKR International Limited	香港興業國際集團有限公司
34	Hong Kong Aero Engine Services Limited	香港航空發動機維修服務有限公司
35	Hong Kong Aircraft Engineering Company Limited	香港飛機工程有限公司
36	Hong Kong Baptist Hospital	香港浸信會醫院
37	Hong Kong Cable Television Limited	香港有線電視有限公司

38	Hong Kong Convention and Exhibition Centre	香港會議展覽中心(管理)有限公司
39	(Management) Limited Hong Kong Cyberport Management Company Limited	香港數碼港管理有限公司
40	Hong Kong Exchanges and Clearing Limited	香港交易及結算所有限公司
41	Hong Kong Ferry (Holdings) Co., Ltd.	香港小輪(集團)有限公司
42	Hong Kong Housing Society	香港房屋協會
43	Hong Kong Productivity Council	香港生產力促進局
44	Hong Kong Science & Technology Parks Corporation	香港科技園公司
45	Hong Kong Tourism Board	香港旅遊發展局
46	Hong Kong Trade Development Council	香港貿易發展局
47	Hong Kong Tramways, Limited	香港電車有限公司
48	Hong Yip Service Co., Ltd	康業服務有限公司
49	Hongkong International Theme Parks Limited	香港國際主題樂園有限公司
50	Hongkong Land Group Limited	置地集團有限公司
51	Hongkong United Dockyards Limited	香港聯合船塢集團有限公司
52	Hopewell Holdings Limited	合和實業有限公司
53	Hsin Chong Construction Group Limited	新昌營造集團有限公司
54	IKEA Trading (Hong Kong) Limited	宜家貿易(香港)有限公司
55	Inchcape Hong Kong Group	英之傑香港集團
56	InterContinental Hong Kong	香港洲際酒店
57	ISS Facility Services Limited	
58	K. Wah Construction Materials (Hong Kong) Limited	嘉華建材(香港)有限公司
59	Kai Shing Management Services Limited	啟勝管理服務有限公司
60	Kerry Properties (H.K.) Limited	嘉里發展有限公司
61	KONE Elevator (HK) Ltd	通力電梯(香港)有限公司
62	KPMG	畢馬威會計師事務所
63	Langham Hotels International Limited	朗廷酒店國際有限公司
64	Li & Fung (Trading) Ltd.	利豐 (貿易) 有限公司
65	Mandatory Provident Fund Schemes Authority	強制性公積金計劃管理局
66	Manulife (International) Limited	宏利人壽保險(國際)有限公司
67	Miramar Hotel & Investment Company Limited	美麗華酒店企業有限公司
68	Modern Terminals Limited	現代貨箱碼頭有限公司
69	MTR Corporation Limited	香港鐵路有限公司
70	Nestlé Hong Kong Limited	雀巢香港有限公司
71	New Hong Kong Tunnel Company Limited	新香港隧道有限公司
72	New World First Bus Services Limited	新世界第一巴士服務有限公司
73	Ngong Ping 360 Limited	昂坪 360 有限公司
74	Ocean Empire International Limited	海皇國際有限公司
75	Ocean Park Corporation	海洋公園公司
76	ONC Lawyers	柯伍陳律師事務所
77	Orient Overseas Container Line Limited	東方海外貨櫃航運有限公司
78	Paul Y Management Limited	保華建業集團有限公司
79	Pearson Education Asia Limited	培生教育出版亞洲有限公司

80	Perfect Combo Limited	健味堡有限公司	
81	Rider Levett Bucknall Limited	利比有限公司	
82	River Trade Terminal Co. Ltd.	香港內河碼頭	
83	SAE Magnetics (Hong Kong) Limited	新科實業有限公司	
84	Samsonite Asia Limited	-	
85	Shiu Wing Steel Limited	紹榮鋼鐵有限公司	
86	Shun Tak Holdings Limited	信德集團有限公司	
87	Sik Sik Yuen	嗇色園	
88	SOCAM Development Limited	瑞安建業有限公司	
89	Sogo Hong Kong Company Limited	祟光香港百貨有限公司	
90	St. James' Settlement	聖雅各福群會	
91	Sun Hung Kai Properties	新鴻基地產	
92	Sun Life Assurance Company of Canada	_	
93	The Bank of East Asia, Limited	東亞銀行有限公司	
94	The Commercial Press (Hong Kong) Limited	商務印書館(香港)有限公司	
95	The Hong Kong and China Gas Company Limited	香港中華煤氣有限公司	
96	The Hong Kong Jockey Club	香港賽馬會	
97	The Hong Kong Philharmonic Society Ltd.	香港管弦協會有限公司	
98	The Jardine Engineering Corporation Limited	怡和機器有限公司	
99	The Kowloon Motor Bus Co. (1933) Ltd.	九龍巴士(一九三三)有限公司	
100	The Nielsen Company (Hong Kong) Limited	尼爾森(香港)有限公司	
101	The Sincere Company Limited	先施有限公司	
102	TNT Express Worldwide (HK) Ltd	_	
103	Tung Wah Group of Hospitals	東華三院	
104	Urban Group	富城集團	
105	Urban Renewal Authority	市區重建局	
106	Van Shung Chong Hong Limited	萬順昌行有限公司	
107	Wellcome Company Limited	惠康有限公司	
108	Wing Hang Bank, Limited	永亨銀行	
109	Wong & Ouyang (HK) Limited	王歐陽(香港)有限公司	
110	YATA Limited	一田有限公司	
111	YMCA of Hong Kong	香港基督教青年會	
112 -	112 – 128 Anonymous*		

^{*} These organisations do not want to have their names published.

Summary of the Methodology of the 2013 Pay Level Survey (PLS)

Under the broadly-defined Job Family-Job Level method, pay comparison was carried out by the following steps –

- (a) "civil service benchmark jobs" which are representative and have reasonable private sector matches are identified for inclusion in the survey. They are categorised into five job levels (JLs) based on their pay scales (JL 1 being the lowest and JL 5 being the highest) and five job families based on their job natures;
- (b) an intensive job inspection process which serves to ascertain details of the job characteristics of civil service benchmark jobs is carried out to facilitate identification of private sector job matches;
- (c) based on the findings of the job inspection process, private sector jobs which are comparable with the civil service benchmark jobs in terms of job content, work nature, level of responsibility and typical requirements on qualification and experience are identified;
- (d) the pay information of each of the matched private sector jobs is collected. The information is aggregated and consolidated by JLs, such that each JL will have a "private sector pay indicator"; and
- (e) a civil service pay indicator is computed for each JL for comparison with the private sector pay indicator of the same JL.
- 2. Concerning the pay comparison, it should be noted that
 - (a) the total cash compensation (but not only basic salary) of civil service benchmark jobs and private sector jobs is taken as the basis of comparison. The private sector pay indicator for each JL includes base salary, variable pay and fringe benefits paid in cash. Similarly, the civil service pay indicators also include both salary (notional mid-point salary of the JL as at the reference date (1 October 2013)) and the actual average expenditure on fringe benefits paid in cash (i.e. housing, education and passage allowances) over the 12 months prior to 1 October 2013; and

- (b) in accordance with the general objective that the Government should be a good employer and, hence, civil service pay should be measured against that of the better paying private sector jobs, the upper third quartile (P75) level of private sector pay indicators is used for the comparison with the civil service pay indicators.
- 3. More details about the methodology of the 2013 PLS, the selection of the civil service benchmark jobs and the private sector jobs as well as the job matching and collection of pay information can be found in Chapters 2 to 5 of the 2013 PLS Report.

Pay points on

(a) disciplined services pay scales equivalent to Job Level 5 and (b) directorate ranks of the disciplined services recommended for pay adjustment by the Standing Committee on Disciplined Services Salaries and Conditions of Service

(A) Non-directorate ranks of the disciplined services

Affected pay points	Relevant disciplined services ranks
Police Pay Scale	> Superintendent of Police
(PPS) points 49 to 54a	> Senior Superintendent of Police
(Dollar value: \$94,845 to \$118,840) 1	
General Disciplined Services (Officer) Pay Scale points 33 to 39 (Dollar value: \$94,845 to \$118,840) 1	 Correctional Services Department Superintendent of Correctional Services Industries Superintendent of Correctional Services Senior Superintendent of Correctional Services Customs and Excise Department Superintendent of Customs and Excise Senior Superintendent of Customs and Excise Fire Services Department Divisional Officer Senior Divisional Officer
	 Assistant Chief Ambulance Officer Senior Assistant Chief Ambulance Officer

Dollar values as at 1 April 2014, after the implementation of the 2014-15 Civil Service Pay Adjustment which was approved by the Legislative Council Finance Committee on 16 January 2015.

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Affected pay points	Relevant disciplined services ranks	
	Government Flying Service Pilot I ² Senior Pilot Air Crewman Officer I ² Senior Air Crewman Officer Aircraft Engineer ² Senior Aircraft Engineer Immigration Department Assistant Principal Immigration Officer Principal Immigration Officer	
Independent Commission Against Corruption (ICAC) Pay Scale points 39 to 44a ³ (Dollar value: \$94,775 to \$118,840) ¹	 Commission Against Corruption Officer (Upper)⁴ Senior Commission Against Corruption Officer Forensic Accountant⁴ Senior Forensic Accountant 	

_

For Pilot I, Air Crewman Officer I and Aircraft Engineer ranks, only part of their pay scales from General Disciplined Services (Officer) Pay Scale point 33 and above are subject to an upward adjustment of 3%.

Staff of the Independent Commission Against Corruption (ICAC) are not civil servants. However, it has been the Government's policy to extend the civil service pay adjustment to ICAC staff.

⁴ For Commission Against Corruption Officer (Upper) and Forensic Accountant ranks, only part of their pay scales from ICAC Pay Scale point 39 and above are subject to an upward adjustment of 3%.

(B) <u>Directorate ranks of the disciplined services (excluding heads of disciplined services⁵)</u>

Affected pay points	Relevant disciplined services ranks
PPS 55 to 58	> Deputy Commissioner of Police
(Dollar value: \$125,450 to \$208,900) 1	 Senior Assistant Commissioner of Police Assistant Commissioner of Police Chief Superintendent of Police
General Disciplined	Correctional Services Department
Services (Commander) Pay Scale points 1 to 3	 Deputy Commissioner of Correctional Services
(Dollar value: \$125,450 to	 Assistant Commissioner of Correctional Services
\$183,700)1	Chief Superintendent of Correctional Services
	 General Manager (Correctional Services Industries)
	 Customs and Excise Department Deputy Commissioner of Customs and Excise
	 Assistant Commissioner of Customs and Excise
	Chief Superintendent of Customs and Excise
	Fire Services Department
	 Deputy Director of Fire Services
	> Chief Ambulance Officer
	> Chief Fire Officer
	> Deputy Chief Ambulance Officer

Heads of disciplined services include Commissioner of Police, Controller, Government Flying Service, Commissioner of Correctional Services, Commissioner of Customs and Excise, Director of Fire Services, Director of Immigration and Commissioner, ICAC. The Standing Committee on Directorate Salaries and Conditions of Service separately recommends that their salaries should also be adjusted upward by 3% with effect from 1 October 2014. See paragraph 13(b) of the main text.

Affected pay points	Relevant disciplined services ranks
	> Deputy Chief Fire Officer
	Government Flying Service
	 Chief Aircraft Engineer
	> Chief Pilot
	<u>Immigration Department</u>
	 Deputy Director of Immigration
	> Assistant Director of Immigration
	> Senior Principal Immigration Officer
ICAC 45 to 48 (Dollar value:	 Director of Operations, Commission Against Corruption
\$125,450 to \$208,900) 1	Deputy Director of Operations, Commission Against Corruption
	 Senior Assistant Director of Community Relations, Commission Against Corruption
	 Senior Assistant Director of Corruption Prevention, Commission Against Corruption
	 Assistant Director, Commission Against Corruption
	 Secretary to the Commission Against Corruption
	 Chief Commission Against Corruption Officer
	> Chief Forensic Accountant

Summary of the Views of the

Staff Sides of the Four Central Consultative Councils and the Four Major Service-wide Staff Unions on the 2013 Pay Level Survey (PLS) and the Administration's responses

Staff sides of the four central consultative councils

The views of the staff sides of the four central consultative councils are as follows –

- (a) both staff sides of the Disciplined Services Consultative Council and the Police Force Council support/do not object to the application of the 2013 PLS findings to them, but consider that the effective date of the pay adjustment should be 1 October 2013, i.e. the reference date of the 2013 PLS, instead of 1 October 2014. They also request a grade structure review (GSR) for the disciplined services/the Police;
- (b) the Model Scale 1 Staff Consultative Council (MOD 1 Council) staff side considers it important to avoid fluctuation in civil service salaries. It supports the Standing Commission on Civil Service Salaries and Conditions of Service (Standing Commission)'s recommendation of maintaining the status quo for the pay of Job Level (JL) 1 and suggests a review to enhance the PLS mechanism;
- (c) the Association of Expatriate Civil Servants of Hong Kong and the Hong Kong Senior Government Officers Association, constituent associations of the Senior Civil Service Council (SCSC), think that the 2013 PLS has indicated that the pay for JL 5 is no longer broadly comparable with private sector pay and hence, appropriate measures are required to re-align the pay disparity; and
- (d) the Hong Kong Chinese Civil Servants' Association (HKCCSA), which is a constituent association of both the SCSC and the MOD 1 Council, comments that the 2013 PLS methodology is "crude and broad brush". It considers that the uniqueness of civil service duties, in particular those of civil servants in JLs 2 to 4, have not been fairly reflected in the survey. It also criticises the lack of transparency during the job matching process. As for the application framework, the HKCCSA thinks that the Standing Commission had recommended a mechancial approach as it had not given regard to the

uniqueness of civil service duties. It also expresses concern that the five-JL framework of the PLS would twist the existing incremental creeps and pay scales and is inconsistent with the annual civil service pay adjustment (which classifies civil servants into three salary bands). The HKCCSA recommends that –

- (i) the Administration should suitably adjust the salaries of civil servants in JLs 2 to 4 in order to address the shortcomings of the 2013 PLS that it has not given regard to the uniqueness of civil service duties;
- (ii) a +/- 10% range of acceptable deviation should be adopted, i.e. no pay adjustment should be made if the difference between the civil service and private sector pay indicators for a JL is within 10%; and
- (iii) in applying the PLS findings, the three-salary-band framework should be adopted.

The four major service-wide staff unions

- 2. The views of the four major service-wide staff unions are as follows
 - (a) the Government Disciplined Services General Union respects the established pay adjustment mechanism for the civil service and the 2013 PLS. It requests the Government to apply the 2013 PLS findings to the disciplined services and directorate grades as soon as practicable. It also requests a GSR for the disciplined services;
 - (b) the Government Employees Association agrees to the Standing Commission's recommendations and welcomes the Standing Commission's view that a review of the PLS mechanism should be conducted;
 - (c) the Hong Kong Civil Servants General Union respects the Standing Commission's recommendations made in accordance with the established mechanism; and
 - (d) the Hong Kong Federation of Civil Service Unions considers it inappropriate to compare civil service and private sector pay and comments that the 2013 PLS methodology is "crude and broad brush" and fails to take into account the differences in the nature of civil service and private sector jobs. It suggests a review of the need and the mechanism for the PLS.

The Administration's responses

A. Concerns about the PLS methodology

3. The Administration notes that the Standing Commission has closely engaged staff representatives at various key stages of the survey. Their views on methodology, survey field and application framework have been taken into account by the Standing Commission as appropriate. While the methodology of the 2013 PLS may not be perfect, it is effective for serving the purpose of the survey, which is to ascertain whether the civil service pay and private sector pay are broadly comparable. Our views on the various issues raised by the staff representatives are set out as follows –

Inherent differences between the civil service and private sector and their uniqueness

- 4. The Administration notes that the Standing Commission fully recognised that there were unique features and inherent differences between the civil service and private sector in terms of the nature of operation, job requirements, appointment/remuneration practices, etc. In respect of the civil service, the Standing Commission considered that the uniqueness could be broadly classified into the following two categories
 - (i) unique responsibilities such as law enforcement, regulatory duties, law drafting, policy formulation and implementation, attendance at meetings or conferences on behalf of the Government which may have territory-wide implications, discharge of emergency duties under short notice and exceptional circumstances, etc.; and
 - (ii) unique features such as stronger community oversight in their performance of duties, higher standards of integrity, more stringent rules in personal conduct, etc.
- 5. The Standing Commission was also fully aware of the increasing pressure faced by civil servants and the rising public expectation on transparency, accountability and responsiveness of the Government amidst the changes in social and political landscape in recent years.

- 6. The Standing Commission also noted that there were characteristics unique to the private sector as ascertained by the professional consultant during the survey. For example, since the job nature and duties of job holders in the private sector can be more easily measured, they are generally under constant pressure to meet targets. In general, their career prospect and job security are more directly impacted by the individual and organisational performance, as well as the macroeconomic environment. The private sector usually adopts a more flexible hire-and-fire practice, recruitment and severance takes place from time to time as and when needed. Progression is generally varied and is more influenced by individual performance, performance of the organisation and market conditions.
- 7. The Standing Commission's conclusion was that it was impossible to quantify the unique duties and features of both the civil service and private sector. Having said that, they had been taken into account in a holistic manner in considering the application of survey findings to the non-directorate civilian grades of the civil service.
- 8. The Administration agrees to the Standing Commission's views.

Transparency of the job matching process

9. The Administration notes that both the Standing Commission and the professional consultant were aware of the staff's concerns and the need to enhance the transparency of the job matching process. On the other hand, they also recognised the fact that job matching is a process involving professional judgment, and they must avoid jeopardising the objectivity of the process. Strict confidentiality of information obtained from individual private sector organisations must be maintained. We note that the job matching summaries (recording the results of the job matching process) which have been provided to staff representatives for reference subsequent to the completion of the 2013 PLS have been beefed up to provide more information about the job matching compared with the one prepared in 2006. The Administration trusts that, for the job matching process, the Standing Commission has already striked a balance between responding to staff request for enhancing transparency and the need to ensure objectivity.

<u>The five-JL framework of the PLS versus the three-salary-band</u> <u>framework of the Pay Trend Survey (PTS)</u>

10. Since the PLS and the annual PTS measure different aspects of private sector pay and serve different purposes, the Administation

considers it reasonable that they adopt different methodologies. We do not consider it necessary and appropriate to align their methodologies for alignment's sake. The most important thing is to ensure that the two surveys can provide accurate and relevant findings to serve their purposes effectively.

- 11. The arrangement of three-salary-band has all along been used for the PTS and it has worked well in achieving sufficient data points for each of the three salary bands to facilitate comprehensive data analysis. The five-JL framework of the PLS, on the other hand, was recommended for use by the professional consultant hired by the Administration to advise on the survey methodology in the 2006 PLS. Having considered the objective of the PLS, the professional consultant in the 2006 PLS advised that five-JL categorisation should be adopted. It was fully aware of the three-salary-band arrangement used for the PTS.
- 12. As noted by the Standing Commission, during the course of the 2013 PLS, the professional consultant appointed by the Standing Commission (which was different from the one appointed in the 2006 PLS) had reviewed whether the five-JL categorisation should be aligned with the three-salary-band in the PTS. The consultant was of the view that there was no clear justification and benefit to do so. In particular, the consultant considered that
 - (i) any reduction in the number of JLs would reduce the level of refinement for job matching and usefulness of the PLS in reflecting private sector pay level across different JLs for pay comparison;
 - (ii) a similar level of refinement and comparable definition of JLs are widely used for comparable pay surveys, as such a classification is easily understood; and
 - (iii) the five-JL framework was generally accepted by stakeholders in 2006 and the outcome of the 2006 PLS showed that it worked well.
- 13. Having consulted the staff representatives, the Standing Commission decided to accept the consultant's recommendation. The Administration is convinced that it is a well-thought decision which has taken into account the views of staff representatives and therefore has no objection.

Review of the PLS

14. The Administration agrees that a comprehensive review of the PLS mechanism, including its methodology and frequency of survey, should be conducted before we decide to kick off the next PLS taking into account the comments of individual staff bodies on the PLS methodology and application framework. We plan to invite the Standing Commission to conduct the review, and will work out the details and timing of the review in due course.

B. Application of the PLS findings

Application of the PLS findings to JL 5, the disciplined services and the directorate grades

15. The Administration notes that the Standing Commission has adopted a holistic approach and taken into account all relevant factors including the nature of the PLS, inherent differences between the civil service and private sector and their uniqueness, etc. when making recommendations on application. We do not agree that a more crude application framework of three levels or a broader but more mechanical approach of "plus or minus 10%" could better address the issues raised by some staff representatives. Instead, these counteproposals are arguably more arbitrary and they do not have the support of other staff representatives.

Effective date of the recommended pay adjustment

16. The Administration notes that the recommended effective date of pay adjustment, i.e. 1 October 2014, falls short of the expectation of 1 October 2013 of some members of the staff sides. However, we agree with the Standing Commission that given the invariably long lead time required for the PLS, its recommended effective date should be more balanced and practical.

C. Request for GSR for the disciplined services

17. The Administration considers that the request for GSRs for the disciplined services should not be acceded to. In October 2009, this Council has already endorsed that GSRs or comprehensive reviews of the structure, pay and conditions of service of the disciplined services should be conducted as and when necessary in view of significant changes in the job nature, responsibilities, proven recruitment and

retention problems, etc. of the disciplined services. None of the disciplined services grades have fulfilled these criteria. We will continue to explain the policy on GSR to the staff sides and consider any new justifications for the request for GSRs which they may put forward.

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紀律部序評議會(職方) Disciplined Services Consultative Council (Staff Side)

本函档覧:SS/DSCC/B-4

车函档处:CSBCR/PG/4-085-001/37

Room 326, East Wing, Central Government Offices, 2 Tim Mei Avenne, Tamar, Hong Kong Tel. No. 2810 2703 Fax No. 2537 6937

香港添馬添美道 2 號 政府總部西冀 9 樓 公務員事務局局長 鄧國威先生, J. P.

鄧局長:

2013 薪酬水平調查

謝謝你於 2014年 10月 31 日的來信。

紀律部隊評議會(職方)一直認為紀律部隊工種的獨特性,本質上難與私營機構職位配對作比較,加上 (2013年公務員薪酬水平調查第52號報告書)只涵蓋非首長級文職公務員;故此,紀評(職方)要求政府應盡快對各紀律部隊,再次進行職系架構檢討,以整定紀律部隊各級人員的薪酬水平。

由於政府未行決定在何時為各紀律部隊進行職系架構檢討,因此紀評(職方)不反對薪常會於2013年10月進行的薪酬水平調查,將結果應用於各紀律部隊相對戰級,同時有關調整亦應追溯至調查參照日期,即2013年10月1日。



紀律部隊評議會(職方) 主席蘇秋明

二零一四年十一月二十八日

政府保持测进**产中的**企工的 Generation Flying Service Bricher British State Construence Policy Service

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製造機構整理開発者 Hong Kong Fire Service

京原河町道路県 Hong Kong Fore Sandara Danasanana 安治。同時間の第字代配行 Hong Kong Rim Services Denocrated Authorises 東海河の主角協会 Mang Kang Fire Service Officers Association 音波角原配配工能會 Hong Kang Fire Services Department Staffe Coursel Association 吉治人境が表現を見けれ Hong Kong Immigratio Anderson Union 人資本基正任任命 Separation Service Deferm Association English version only

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POLICE FORCE COUNCIL STAFF ASSOCIATIONS

39/F, Arsenal House, Police Headquarters, 1 Arsenal Street, Hong Kong.

協會檔號 OUR REF: CP PER SS C/4-85/9 PT. 2

來件編號 Your Ref:

5 November 2014

The Hon. TANG Kwok-wai, Paul, JP Secretary for the Civil Service, 9/F., West Wing, Central Government Offices, 2 Tim Mei Avenue, Tamar, Hong Kong

Dear Mr. TANG,

2013 Pay Level Survey (PLS)

We refer to your letter of 2014-10-31 concerning the Standing Commission on Civil Service Salaries and Conditions of Service (SC) Report No. 52 on the PLS.

We are pleased to see that the SC has recognized the importance of adopting a consistent approach to the application of the PLS results and thereby partially reinforced the credibility of the process. As we have previously reflected that we consider that it is imperative that the \pm - 5% tolerance continues to form the basis for future PLS results.

The PFC SS does however; take exception to the proposed date that the pay adjustment should become effective. As we reflected in our letter to the SC dated 2014-09-02, the adjustment should be implemented as soon as practicable and be backdated to the survey reference date i.e. when the pay data was collected and the anomaly in pay determined. We therefore insist that the 3% pay adjustment be backdated to 2013-10-01 and not 2014-10-01 as proposed. This would keep the application of the results in line with what was ordered by the Chief Executive on 2007-04-24 when deciding upon the framework for the conduct and application of Pay Level Surveys.

We should also be grateful if you would confirm that the adjustment to the dollar value of PPS 53 and above including directorate grade officers will follow that for the highest job level, as previously directed by the CE-in-Council.

In addition, we take this opportunity to reiterate our concern that the current job level categories fail to relate to the unique duties of the police and to the Police Pay Scales, reinforcing the need for a comprehensive Grade Structure Review (GSR). The 2008 GSR highlighted the importance of conducting regular reviews every six years suggesting such a review is now well overdue. The PFC SS therefore urge the Administration to initiate a full GSR as soon as possible.

Yours sincerely,

trong

(M)

Min.



Wise CHOY Chairman SPA Henry NGO Chairman HKPIA Ron ABBOTT Chairman OIA Joe CHAN Chairman JPOA

c.c. Commissioner of Police

Chairman, Standing Commission on Civil Service Salaries and Conditions of Service Chairman, Standing Committee on Disciplined Services Salaries and Conditions of Service Disciplined Services Consultative Council (Staff Side) Senior Civil Service Council (Staff Side)

Chinese version only

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第一標準薪級公務員評議會(職方) MODEL SCALE 1 STAFF CONSULTATIVE COUNCIL (STAFF SIDE)

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本函檔號: SSMOD/STA/GEN/6/1 Pt.6 来函檔號: CSBCR/PG/4-085-001/37

香港添馬添美道2號 政府總部西翼 公務員事務局局長 鄧國威先生

尊敬的鄧局長:

2013年薪酬水平調查

局方在 2014 年 10 月 31 日的來信(檔號: CSBCR/PG/4-085-001/37)收悉,現作出以下回覆。

本會職方曾向局方提出意見,認為公務員的薪酬必須保持穩定,避免大起大落。因此,儘管調查結果顯示職位級別一的薪酬水平相對於市場低 2%,本會職方對薪常會建議此職位級別的薪酬維持不變仍表示贊同。我們期望公務員的薪酬架構及調整與市場保持大致相若的同時,能繼續維持其穩定性。

本會職方一直尊重機制,亦尊重是次調查結果。至於將 來的薪酬水平調查,職方相信仍有改善空間,期望局方能繼 續與職方溝通及進行檢討,以更完善現時的機制。

第一標準薪級公務員評議會 職方主席李惠儀 東太

2014年11月21日

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Hong Kong Senior Government Officers Association

Room 328, Central Government Offices East Wing, 2 Tim Mei Avenue, Tamar, Hong Kong

Association of Expatriate Civil Servants of Hong Kong

Room 327, Central Government Offices East Wing, 2 Tim Mei Avenue, Tamar, Hong Kong

Mr Paul TANG, JP Secretary for the Civil Service 9/F, West Wing, Central Government Offices, 2 Tim Mei Avenue, Tamar, Hong Kong

14 November 2014

Dear Mr TANG,

Re: Pay Level Survey 2013

With reference to the results of the 2013 Pay Level Survey (PLS), the Hong Kong Senior Government Officers Association and the Association of Expatriate Civil Servants of Hong Kong have the following stand:

- (a) The Pay Level Survey 2013 has ascertained that civil service pay is <u>NOT</u> broadly comparable with private sector pay for Job Level 5 (Master Pay Scale point 45-49);
- (b) The deficit of 8% far exceeds statistical discrepancies or the element of chance;
- (c) Job Level 5 civil servants have borne the brunt of a 5.38% pay cut in 2009-2010;
- (d) The reference figure of 5% is ordered by the Chief Executive-in-Council (CE-in-Council) in 2007 for PLSs. As the figure has not been reviewed before the commencement of the 2013 PLS, 5% remains a valid and unchallenged reference figure for PLSs. The goal posts cannot be shifted after the announcement of the results; and
- (e) Appropriate measures to re-align the pay disparity between the civil service and the public sector are required to maintain the morale and stability of senior civil servants, and more importantly, to uphold the authority of the CE-in-Council and the credibility of the HKSAR Government.

Thank you for your attention.

Hong Kong Senior Government Officers Association

Room 328, Central Government Offices East Wing, 2 Tim Mei Avenue, Tamar, Hong Kong

Association of Expatriate Civil Servants of Hong Kong

Room 327, Central Government Offices East Wing, 2 Tim Mei Avenue, Tamar, Hong Kong

Yours sincerely,

(CHAN Sai-kwing)

for Hong Kong Senior Government Officers Association (Rebecca DRAKE)

for Association of Expatriate Civil Servants of Hong Kong

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香港政府華員會

HONG KONG CHINESE CIVIL SERVANTS' ASSOCIATION

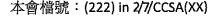
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致香港特別行政區政府 公務員事務局局長 鄧國威先生

尊敬的鄧局長:

對 2013 年公務員薪酬水平調查結果及應用框架建議的意見

對公務員薪俸服務條件常務委員會(薪常會)於 2014年 10月 30日向行政長官提交《2013 年公務員薪酬水平調查第52號報告書》(報告書),所公佈的2013年薪酬水平調查及其應用框 架建議,本會收到不少分會、盟會、會員反映對調查存在不少質疑、對薪常會建議的應用框 架只機械式應用調查結果甚爲不滿;有關意見如下,期望貴局能認真考慮。

甲. 對 2013 年公務員薪酬水平調查結果之意見

本會早於 2004 年已指出:不論在公務員職位與私營機構職位配對作比較,還是在採集 數據樣本及數據整合方面,調查所採用的 `廣義界定職位屬系職位級別法' 是極為粗疏籠統, 只能做到相當模糊的比較。爲此,本會自去年初就透過 "薪酬水平諮詢會議" 及分別於 2013 年1月及3月2次去信薪常會,強烈要求本次調查作出改善,但負責調查的顧問公司及薪常 會是"意見照聽、方法依舊",第 52 號報告書甚至隻字不提本次調查依然存在嚴重的先天缺 陷和不足,只自我吹嘘已作出"科學化、客觀的比較",堅持誤導公務員及公眾,令人甚爲失 望!事實上,調查存在下述3項主要問題,導致其調查結果備受質疑:

1. 公、私營不對等的比較:公務員工作的特殊職務的價值未能得列反映

實際上,在不對等比較的情況下,公務員職位的真正價值未有得到全面的反映。舉例: 某一個公務員比較職位的職責中,若有30%特殊職務(例如:執法、處理選舉及大型 活動籌辦工作、闡釋政府政策、執行厭惡性工作等), 那就最多只有70%的一般職務 可與私營機構比較。這些特殊職務雖只佔30%,但由於要經常執法,處理法例規定的 跟進工作,籌辦一些大型活動及執行厭惡性工作等,對有關政府部門及公眾有重要影 響,有關職系公務員所用的時間和心力往往遠超用在一般的職務之上。事實上,對調 查所劃分的 5 個薪金級別中,特別是第 2、3、4 級的公務員而言,調查並沒有全面反 映他們工作中經常執法、處理法例規定的跟進工作等多樣性的特殊職務;在與私營機 構不對等的比較下,談不上客觀和科學。應用結果時徒流於機械式,見不到顧問公司 及薪常會踐行 *全面考慮的做法(holistic approach)"的承諾,加以平衡上述不對等 的比較。因而,調查實際上是公、私營不對等的比較,對有關職系公務員何來公平?

2. 職位配對近 `黑箱作業' 質素一直存疑

據本會 28 年來的經驗,恰當的公、私營職位配對,是薪酬水平調查的核心環節,涉 及調查的客觀性及準繩度,對調查結果有舉足輕重的影響。爲此,本會曾多次去信忠 告薪常會。是次調查,顧問公司對每個公務員職位與私營機構職位比較的相若程度、 每個配對職位的採樣數目等,並沒有一套客觀的準則;數據點收集的廣度亦明顯受到

局限,以職位屬系 4(工務)/級別 4 爲例,27 個公務員比較職級中,只有 18 個,即約66% 能與私營機構職位作一定程度上的配對比較;事實上,整個調查只有約60%的非首長級文職公務員職位可找到私營機構職位配對。因顧問公司拒不透露必要的資料,每個公務員職位與私營機構職位比較的相若程度、採樣數目(不涉及個別公司的情況)等,供合理的核查,以至例如1986年首次公務員薪酬水平調查出現警察與私營機構保安員配對,以及2006年的調查,出現民政事務總署的地區聯絡主任與私營公司的公關配對等錯配、亂配的情況。今次調查會否重現,究竟有沒有出現"橙與蘋果"或"橙與桔"式的比較,難以得知!因而,職位配對的質素、調查的客觀性和認受性一直受到質疑!

3. 調查數據 "大兜亂" 致誤差率偏高

調查數據收集後,在調查所劃分的 5 個薪金級別中,會把同一級別數十個不同工種的數據 "大兜亂",例如:級別 2 就包括了 44 個職級,而級別 3、4 則分別各有 45 個職級之多,"大兜亂"後,5 個層級分別各得一個平均數與私營機構相應的 5 個層級的各級平均數作比較。可想而知,其調查比較結果在混合 40 多個職級後,必然是粗疏籠統、高度概括,因而,有理由相信:調查結果的模糊性極高,誤差率亦遠遠高於一般調查的固有誤差!

本會從去年初便不斷強烈要求對本次調查方法作出改善,但顧問公司及薪常會一直漠視調查方向存在先天缺陷。但令人遺憾的是: 貴局竟於 2014 年 11 月 14 日向東方日報表示 "局方去年調查前已諮詢團體意見,質疑華員會爲何事後才不滿調查方法"。說法竟如此混淆視聽,令人詫異!

乙. 對調查結果應用框架建議的意見

同樣,本會這次亦早於本年4月至8月3次去信薪常會一再強調:應盡早制定如何應用薪酬水平調查結果的框架,不應等到調查完成、所有持份者已知悉調查結果後才討論及制定。這既可避免公務員、政府、薪常會從較狹窄、短視的利益角度考慮應用框架,又可避免社會人士誤解有關應用框架乃爲公務員、度身訂造″,不致損害調查的公信力,亦可避免公務員隊伍的分化。可是,薪常會還是取慳水慳力,求短暫方便,而捨長治久安。

1. 薪常會只機械式應用調查結果

由於 2006 年的薪酬水平調查結果顯示全部薪酬級別的公私營薪酬差距都在±5%範圍,當局決定上/下調整線定於±5%(當年高、中、低層 3 個薪酬級別的公務員的薪酬水平因此維持不變)。但本會早在 2006 年時已嚴正指出,今次早在調查結果公佈前亦一再重申:一般調查的固有誤差通常已有±5%,不足以彌補上述調查方法存在的多種問題。2013 年薪酬水平調查結果公佈後,已有不少第 2、3、4 級公務員表達其不滿:他們既要付出不少心血和努力去執行特殊職務(包括執法、籌辦全港大型活動/選舉、處理厭惡性工作等;而這些特殊職務在調查的 "職位 檢視" 環節中,公務員的職位代表已向顧問公司詳細講述及經部門提交了 "職責說明書"),但這些特殊職務卻完全沒有在調查得到反映,薪常會建議的應用調查結果框架又不作考慮及調整,能不引起強烈不滿嗎?!特別將來當某些級別出現薪酬水平向下調時,更會爆發激烈爭議和怨懟,實是 "暗藏殺機",非一個合理、長治久安的機制。

很遺憾,儘管本會多番據理陳述,薪常會卻一直迴避本會的忠告,仍然建議今次調查續用 2006 年所採用的±5%作爲上/下調整公務員薪酬水平的基準界線,未有踐行其採

用 "全面考慮(各種因素/原則)的做法(holistic approach),不會機械式應用調查結果"的承諾。

2. 薪常會貿然行動恐將令現行機制難長治久安

一向以來,薪酬趨勢調查的調整均只根據高、中、低層 3 個薪酬級別來調整;過去兩次的薪酬水平調查實質並沒有真正應用調查結果,現貿然把薪酬水平調查結果應用於 5 個薪酬級別,並未經深思便遽然據此去上調 "高高層"(即第 5 級別、總薪級表第 45 至 49 點薪酬) 3%的薪酬,而全不先去深究這種新的做法對現行薪級表、增薪點差距、未來薪酬水平/趨勢調查結果導致各不相同的調整,可能造成的扭曲和高中低級公務員薪酬差距,以至公務員隊伍的分化等潛在影響。須知公務員職級(非首長級文職及紀律部隊)中就有 149 個職級跨越第 2 及第 3 級,有 9 個職級跨越第 4 及第 5 級。如此 5 級應用調查結果,會令為數不少的公務員出現雖在同一個職級、同一個職位、同一個團隊工作,但因中、高級再分拆為 2、3 級及 4、5 級,而致有不同的薪酬水平調整(向上調,向下調或不變的情況),"同工同級,不同薪酬水平調整"的不公平、分化、怪異現象,恐將令現行機制難長治久安!

丙. 應用調查結果的反建議

本會早於 2006 年已指出:制定調查結果應用框架應充份考慮多方面因素/原則,包括: (1)調查方法存在的局限和缺陷、(2)公務員工作的多樣特殊職務的價值未能在調查中得到反映或充份反映、(3)每次薪酬水平調查結果的應用影響期長達 6 年或更長、(4)薪酬水平調查結果受經濟週期起落的影響及受其他偶然因素影響、(5)公務員薪酬架構(3 層薪金級別及各層薪金級別具相互關係等)有其特點、(6)政府爲良好僱主、(7)維持一支穩定有士氣的公務員隊伍對政府施政至關重要、(8) "內部對比"應作爲公務員應用調查結果的重要原則依據,等等。只有對這些因素/原則給予充份、真正全面的考慮,公務員薪酬水平調查的機制才較具客觀性、合理性、科學性,才有適度彈性,能供較長久使用。據上述的多方面因素/原則,本會的反建議如下:

1. 適當調整第2、3、4級的薪酬值以彌補特殊職務不被計算的缺陷

現時薪酬水平調查方法令公務員職位的特殊職務在調查中得不到合理的計算,特別是處理最多特殊職務的,佔非首長級文職 75%的 5 個薪金級別中的第 2、3、4 級的前線員工及前線管理人員。考慮到第 2、3、4 級公務員所承擔的特殊職務由 5%至 30%不等,而這些特殊職務並不能與私營機構作比較,故扣減這 3 級的薪酬值 10% (這已是一個相當保守的計算),然後才與私營機構相應級別的薪酬值作比較,才較爲對等和合理。

2. 訂定±10%的調查誤差以平衡調查存在粗疏籠統、高度概括的情況

現時採用的"廣義界定職位屬系職位級別法"只能做到是粗疏籠統、高度概括的比較,其誤差率遠遠高於一般的調查,±5%的誤差上/下調整基準線實不足以彌補其巨大的誤差,若遇上經濟週期或其他社會因素影響,其情況將更嚴重,故本會建議訂定±10%誤差上/下調整基準線較爲合理,彼能照顧其應用期長達 6 年或更長,當中私營機構薪酬有相當多的變化。事實上,2008 年首長級薪俸及服務條件常務委員會,亦考慮到爲首長級公務員進行的職系架構檢討 (薪酬水平調查爲其中一部份)的調查方法難以客觀比較首長級公務員與私營機構的高級行政人員,及私營機構的個別差異相當大等先天缺陷,而訂定其應用上/下調基準線爲±15%。

3. 應用薪酬水平調查結果宜採用一向沿用的高、中、低 3 個薪酬級別 爲避免 "5 級制"應用調查結果會嚴重扭曲現行的薪級表、增薪點差距、高中低級公 務員薪酬差距,而做成公務員隊伍的分化、潛在不公平的影響,令現行薪酬調整機制 難以長治久安,應沿用現行的高、中、低 3 個薪酬級別。

丁. 結語

薪常會由去年一開始舉行薪酬水平調查諮詢會議(諮詢會議),便承諾會採用"全面考慮的做法(holistic approach),不會機械式應用調查結果"。諷刺的是:縱觀整個過程,不論在制定調查方法、進行實際調查及制定應用調查結果框架,都照抄 2006 年薪酬水平調查的版本,毫無寸進改善!令人質疑薪常會自吹:"全面考慮的做法"、"務必要保持客觀不偏不倚"、"薪酬水平調查可就公務員及私營機構的薪酬作出科學化及客觀的比較"(第52號報告書)、"現時(薪調水平調查結果)應用的建議在2013年薪酬水平調查背景下是持平、合理而且具充分理據的"(薪常會2014年10月30日新聞稿)等等,只是"講一套、做一套",企圖"自圓其說"的掩眼法;實質是一切照舊,務求"慳水慳力",交"功課"了事。

茲事體大,作爲一個負責任的公務員工會,本會不能只單看上表列出的高、中、低層 5 個調查數據結果,而不予深究、不去指出調查方法、調查過程及應用框架存在的種種問題, 亦不能不考慮其應用框架及長久使用存在的誤導及不合理性。

茲事體大,作爲一個負責任、有承擔的政府,本會誠盼當局能以較長遠的視覺,以長遠 公務員隊伍的穩定去考慮 2013 年薪酬水平調查的應用。

現時社會正彌漫一種對政府不信任,對政府威信肆意打擊之風;公務員隊伍能否堅守崗位,成爲政府施政的基石,爲市民持續如常提供優質的服務,尤爲重要。若 2013 年薪酬水平調查結果應用不當,而對公務員隊伍製造不公、不滿、分化、士氣低落,將難免直接或間接在公務員隊伍中釀成對政府不信任,對公務員隊伍士氣的打擊。誠盼當局認真考慮本會的上述意見,在處理 2013 年薪酬水平調查應用一事宜慎之,又慎之!

副會長



謹啓

(利葵燕) 2014年11月28日

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政府紀律部隊人員總工會

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徐小姐:



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2013 年薪酬水平調查

就 2013 薪酬水平調查結果,本會尊重既定的公務員薪酬調整機制和有關報告。

我們亦期望,政府應按現行機制,盡快將薪酬水平調查結果應用至紀律部隊及首長級職系上。

同時,我們再次強調,《紀律人員薪俸及服務條件常務委員會職系架構檢討報告書》曾經指出:『我們認為設立制度定期檢討紀律部隊職系架構和薪酬水平,以確保其薪酬福利能繼續吸引、招聘、挽留和激勵具備合適才能的人為紀律部隊服務,是合理的做法。我們認為每隔一段時間,例如六年左右,進行一次檢討,可算合理。』上一次有關紀律部隊職系架構檢討至今,已經過了六年。而 2013 年的薪酬水平調查在基礎客觀條件上並不能完全反映紀律部隊職系的獨特性以及工作環境的多樣變化與薪酬的相對情況。因此,我們強烈建議,特別在此困難時期,因應前線紀律部隊人員面對不斷惡化的工作環境,政府實應展開新一次的全面紀律部隊職系架構和薪酬水平的調查工作,以維持紀律部隊人員薪酬的合理性和保持紀律部隊人員的士氣。

政府紀律部隊人員總工會

主席



二零一四年十一月十二日

政府紀律部隊人員總工會 屬會包括

香港消防處救護主任協會 香港消防主任協會 香港戀教人員總工會 香港消防處救護員會 香港消防控制組職員會 香港海關官員協會 香港海關關員工會 香港入境事務助理員工會 政府飛行服務隊飛機工程師會 政府飛行服務隊飛機技術員工會 政府飛行服務隊空勤主任協會 只附中文版



致 公務員事務局局長 鄧國威先生

鄧局長:

關於「2013年薪酬水平調查」的初步意見

有關「2013 年薪酬水平調查」的結果及 「薪常會」提出應用調查結果的建議, 本會表示支持。

首先,本會認同在應用薪酬水平調查結果時,主要是以公務員薪酬與私營機構薪酬保持"大致相若"為基礎;並以整體公務員作為考慮,而不應以機械式地按照調查結果的數字或固定的正負百分比,作為應用的唯一手段。本會尊重調查機制,及今次的調查結果,同意政府按「薪常會」提出之建議,向職位級別 5 人員的薪金向上調整 3%,其他職位級別人員薪金則維持不變。

另外,本會歡迎「薪常會」接納本會的意見,經過兩次薪酬水平調查的經驗,建 議現在是合適時機去考慮是否為「公務員薪酬水平調查」的機制進行檢討,包括調 查方法、應用事宜及調查之時間性等範疇。

在今次薪酬水平調查結果公布後,我們收到許多同事的意見。其中特別是,目前「薪酬水平調查」與「薪酬趨勢調查」,存在不同的職位級別,既有重疊部份,亦有矛盾的部份,令公務員同事經常混淆,甚至社會上亦有誤解,提出為何公務員在一年內可以出現兩次調薪的情況。「公務員薪酬水平調查」主要是保持公務員薪酬與私營機構薪酬"大致相若",我們相信每年進行之「薪酬趨勢調查」已可勝任。最後,「公務員薪酬水平調查」是一項既複雜又難總結的研究工程,且動用經費不菲,每次進行調查的相隔時間,是否仍必須維持每六年進行一次。

祝 工作順利!



游为军

政府人員協會主席 孫名峯

副本送:公務員薪俸及服務條件常務委員會主席 王英偉先生

2014年11月12日

HONG KONG CIVIL SERVANTS

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鄧局長:

2013年薪酬水平調查

多謝貴局於 2014年 10月 31日的來函及附件(2013年薪酬水平調查 報告書),本會尊重薪常會根據概定的機制作出的建議,因此並沒有其他補充。



香港公務員總工會副主席 容繼榮 二零一四年十一月六日

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香港公務員工會聯合會

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鄧局長:

2013年薪酬水平調查

香港公務員工會聯合會認同香港公務員薪俸及服務條件常務委員會在2013年公務員薪酬水平調查的工作及付出,並同意主席王英偉先生強調需全面考慮如何應用調查結果,但對薪常會的建議讓市民大眾覺得今次調整是以差距超出正負五個百分點為標準表示無奈。

本會由始至終都認為不適宜將公務員薪酬與私營機構薪酬作比較。公務員薪酬主要以職位人職學歷的要求釐定,中學、預科、文憑及大學學位都各定下薪酬點及增薪點,無論任何類型職責,只要人職學歷的要求相同都會得到同樣薪酬,而私營機構則主要以市場供求為主要考慮,薪酬福利隨時依隨當時經濟形勢調高調低。公務員主要職責是服務市民大眾,薪酬應以穩定為原則,不宜大上大落;況且,在私人市場不同工種的待遇往往相差極遠。因此,公務員薪酬與私營機構薪酬根本難以比較。

2006年薪酬水平調查經過三十多個月商討,最終採用廣義界定的 職位屬系和職位級別法的調查方法。當時大家都認為該調查方法非常 粗疏籠統,未能正確反映公務員薪酬與私營機構薪酬的差距,但因調 查拖延太久,再加上未有更好的方法,唯有採用該調查方法。令人失 望的是負責2013年薪酬水平調查的顧問公司既未有提出其他可行調查 方法,只簡單地再次採用被評為粗疏籠統的廣義界定的職位屬系和職 位級別法的調查方法,以文字誇大調查方法的效果,並企圖以增加公 務員對比職位及增加私營機構納入調查範圍去表示其調查比上次進 步。再者;顧問公司從未交代公務員與私營機構同類職位兩者職責的 差異處,亦未有解釋公務員與私營機構同類職位兩者職責的 差異處,亦未有解釋公務員與私營機構同類職位兩者職責的 的處理方法,並拒絕透露配對資料提供職方代表查核。若兩者職 更甚遠,而顧問公司又未能公平地處理,調查結果因而偏頗會影響其 認受性。因此,本會對顧問公司未有認真處理今次薪酬水平調查而感 到非常失望。

回顧2006年及2013年薪酬水平調查,兩次都採用粗疏籠統的廣義界定的職位屬系和職位級別法的調查方法,顧問公司在收集數據後,並沒有就兩者職位的職責差異處作出合理調整,只將數據直接套用。本會認為日後若繼續使用此粗疏籠統的調查方法將嚴重打擊調查結果的公信力。最後,本會建議在今次調查結束後應儘快開會檢論常規性每六年一次的薪酬水平調查是否有存在的必要。如果最終決定調查應要繼續,必須先討論出一個較為公平合理的調查方法才進行調查工作,尤其在兩者職位的職責差異處必須作出合理調整。

香港公務員工會聯合會主席



二零一四年十一月二十日

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Secretary for the Civil Service
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Central Government Offices,
2 Tim Mei Avenue, Tamar, Hong Kong
Mr. TANG Kwok Wai, Paul, JP

(By Fax: 2868 5069 & By Email: scsoffice@csb.gov.hk)

26 January 2015

Dear Mr. Tang,

Re: Pay Level Survey 2013

We, the following staff unions representing Senior Civil Servants, wish to express our grave concern on the progress in adopting of the Pay Level Survey (PLS) 2013:

- The PLS 2013 has ascertained that the civil service pay for Job Level 5 (Master Pay Scale point 45-49) is 8% less than the pay of our counterparts.
- 2. The Standing Commission on Civil Service Salaries and Conditions of Service (Standing Commission) has made its recommendations.
- 3. In carrying out our duties to implement government policies, Senior Civil Servants have borne the brunt of mounting public outcries, reasonable or unreasonable as these might be. The principle of "political neutrality" has been steadfastly upheld.
- 4. Despite such mounting public demands and escalating job-related stresses, Senior Civil Servants have borne the brunt of a historic salary cut of -5.38% in 2009.
- 5. While the recommendations by the Standing Commission appear to be hidden in a mist of bureaucratic procrastination, the same historic salary cut has been swiftly remedied for our Chief Executive and Politically Appointed Officials last week with an unprecedented efficiency.
- 6. Months have passed since the publication of the PLS report. No action has been seen to be taken by the Administration. This inevitably adds to mounting frustrations and a decaying morale.

7. With the impending recruitment of yet another new batch of civil servants with different remuneration packages, it is more important than ever to sustain the level of mutual trust, welded together with an unchallenged stability of morale.

Looking forward to your staunched support for all civil servants.

Hong Kong Senior Government Officers Association

Architectural Services Department Architects' Association

Architectural Services Department Maintenance Surveyors Association

Architectural Services Department Quantity Surveyors' Association

Architectural Services Department Structural Engineers' Association

Association of Building Services Engineers of Housing Department

Association of Government Local Land Surveyors

Association of Professional Engineers of Electrical and Mechanical Services Department

Buildings Department Local Building Surveyors' Association

Building Department Structural Engineers Association

Civil Engineering & Development Department Geotechnical Engineers' Association

Government Doctors' Association

Government Social Work Officers Association

Government Waterworks Professionals Association

HKSAR Government Civil Engineers Association

HKSAR Government Executive Grade Association

Hong Kong Government Landscape Architects Association

Hong Kong Government Local Town Planners Association

Hong Kong Housing Department Architects Association

Hong Kong Housing Department Civil Engineers Association

Hong Kong Housing Department Geotechnical Engineers Association

Hong Kong Housing Department Landscape Architects Association

Hong Kong Housing Department Maintenance Surveyors Association

Hong Kong Housing Department Structural Engineers Association

Hong Kong Institute of Environmental Protection Officers

Hong Kong Marine Department Local Professional Officers' Association

Housing Department Estate Surveyors Association

Housing Department Quantity Surveyors' Association

Lands Department Estate Surveyors Association

Marine Officers Association

Planners Associations of Hong Kong Housing Department

Senior Occupational Safety Officers Union

c.c. The Honourable LAM Woon-kwong, GBS, JP

The Honourable CHENG Yiu-tong, GBS, JP

The Honourable Mrs Regina IP LAU Suk-yee, GBS, JP

The Honourable POON Siu-ping, BBS, MH,

- Chairman of the Panel on Public Service, Legislative Council

Dr. Wilfred WONG Ying-wai, SBS, JP

- Chairman of the Standing Commission on Civil Service Salaries and Conditions of Service

Mr Tim Lui Tim-leung, BBS, JP

 Chairman of the The Standing Committee on Directorate Salaries and Conditions of Service

Ms Rebecca DRAKE, Association of Expatriate Civil Servants of Hong Kong Staff Side Associations of Police Force Council

Staff Side Associations of Disciplined Services Consultative Council

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