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Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2016-17

Director of Bureau : Commissioner, Independent Commission Against Corruption

Session No. : 3

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CONTROLLING OFFICER'S REPLY

ICAC001

(Question Serial No. 0692)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

- (a) What were the numbers of cases and recipients involved in the payment of expenses under Rewards and Special Services, as well as the number of and actual expenditure for such payments in 2015-16?
- (b) What were the number of cases involving a reward notice and the amount offered, the actual expenditure and number of payments made, as well as the number of reward recipients in 2015-16?

Asked by: Hon James TO Kun-sun (Member Question No. 21)

Reply:

- (a) As at 29 February 2016, the total expenditure under Subhead 103 (Rewards and Special Services) for 2015-16 was \$11.66 million. There were 34 occasions on which reward/special services fee was provided but there was no case involving reward on wanted persons.
- (b) Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides the main source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

- End -

CONTROLLING OFFICER'S REPLY

ICAC002

(Question Serial No. 0693)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under Programme (2) (Operations), what was the expenditure under Subhead 103 (Rewards and Special Services) for the procurement and maintenance of equipment in 2015-16?

Asked by: Hon James TO Kun-sun (Member Question No. 22)

Reply:

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides the main source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

- End -

CONTROLLING OFFICER'S REPLY

ICAC003

(Question Serial No. 0138)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

With regard to preventive education against bid-rigging in mandatory building maintenance works, will the ICAC, in the coming year, organise talks or other training sessions to assist flat owners who have received the mandatory maintenance notices to avoid breaking the law when preparing tender invitations for the maintenance works? If so, what will be the relevant expenditure?

Asked by: Dr Hon LAU Wong-fat (Member Question No. 5)

Reply:

- The ICAC has all along attached great importance to promoting integrity building management. Over the years, the ICAC has been organising seminars/workshops, talks, visits and other publicity and education activities for flat owners, owners' corporations (OCs) (including the newly formed and those having received repair orders from Buildings Department or Fire Safety Directions) and other related organisations. Efforts have been made to explain anti-corruption laws, introduce preventive measures against bid-rigging and remind flat owners to actively participate in managing the buildings so as to effectively monitor building maintenance works. In the past year, over 8 600 people and 800 OCs/related organisations were reached.
- The ICAC also produced the "Building Maintenance Toolkit" which covered corruption preventive measures on building maintenance works and tendering. Measures like introducing the probity and anti-collusion clauses in tender documents, adopting open tendering and appointing project consultants with a good reputation etc., can reduce the opportunities of corruption and bid-rigging in building maintenance. The Toolkit and educational videos against bid-rigging were uploaded onto the ICAC "Integrity and Quality Building Management" thematic website for reference of the public. In 2015, the website recorded a view count of over 380 000.

- The Community Relations Department of the ICAC also provides enquiry services to OCs and flat owners through the “Integrity Building Management Enquiry Hotline” and its Regional Offices (ROs). More than 860 building management related enquiries were handled by the ICAC in the past year.
- In 2016-17, the ICAC will continue the services and provide corruption preventive education to the building management bodies and flat owners. It will also cooperate with relevant government departments, public and professional bodies, and district organisations.
- These activities, which are the daily work of the Corruption Prevention Department, and the Community Relations Department and its 7 ROs, are not separately budgeted for.

- End -

CONTROLLING OFFICER'S REPLY

ICAC004

(Question Serial No. 0450)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations,(3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

According to paragraph 131 of the 2016 Policy Address, the Government will enhance publicity, technical support to building owners, and take enforcement action to deal with the problem of bid-rigging in certain building repair and maintenance works. Will the Administration inform this Council about the details of these measures including the estimated expenditure and manpower to be allocated for performing these duties?

Asked by: Hon Abraham SHEK Lai-him (Member Question No. 13)

Reply:

- The ICAC has all along attached great importance to promoting integrity building management. Over the years, the ICAC has been organising seminars/workshops, talks, visits and other publicity and education activities for flat owners, owners' corporations (OCs) (including the newly formed and those having received repair orders from Buildings Department or Fire Safety Directions) and other related organisations. Efforts have been made to explain anti-corruption laws, introduce preventive measures against bid-rigging and remind flat owners to actively participate in managing the buildings so as to effectively monitor building maintenance works. In the past year, over 8 600 people and 800 OCs/related organisations were reached.
- The ICAC also produced the "Building Maintenance Toolkit" which covered corruption preventive measures on building maintenance works and tendering. Measures like introducing the probity and anti-collusion clauses in tender documents, adopting open tendering and appointing project consultants with a good reputation, etc., can reduce the opportunities of corruption and bid-rigging in building maintenance. The Toolkit and educational videos against bid-rigging were uploaded onto the ICAC "Integrity and Quality Building Management" thematic website for reference of the public. In 2015, the website recorded a view count of over 380 000.

- The Community Relations Department of the ICAC also provides enquiry services to OCs and flat owners through the “Integrity Building Management Enquiry Hotline” and its Regional Offices. More than 860 building management related enquiries were handled by the ICAC in the past year.
- In 2016-17, the ICAC will continue the services and provide corruption preventive education to the building management bodies and flat owners. It will also cooperate with relevant government departments, public bodies, and professional bodies, and district organisations, such as offering corruption prevention input regarding the Urban Renewal Authority’s pilot scheme in providing support to flat owners.
- With regard to law enforcement, it is the statutory duty of the ICAC to receive and handle corruption complaints involving building management, including complaints related to building maintenance. If a complaint about bid-rigging allegedly involves corruption, the ICAC will take follow-up action in accordance with the law.
- The Operations Department of the ICAC has an established investigation group comprising 43 officers dedicated to the investigation of all corruption cases related to building management and maintenance, including those which involve bid-rigging. The Operations Department regularly reviews the trend of such complaints as well as the gravity and complexity of cases, so that its resources and manpower can be flexibly deployed. If necessary, it will consider setting up a task force to cope with investigation needs and step up enforcement actions against related corrupt activities. For instance, in April 2015, the Operations Department set up a task force comprising 9 officers to investigate a number of interrelated complaints. The task force successfully cracked several cases in which bid-rigging and corruption were involved in the tendering exercises for the renovation contracts of several housing estates and residential buildings. It is believed that such enforcement actions could effectively combat and deter corrupt activities.
- For cases of suspected corruption in the course of tendering exercises, the Operations Department will take law enforcement actions in a timely manner to combat bid-rigging activities at an early stage in order to prevent flat owners from suffering losses. This could also serve to remind flat owners and other stakeholders of the risks involved.
- As the above are regular duties of the ICAC, there is no separate budget for this end.

- End -

CONTROLLING OFFICER'S REPLY

ICAC005

(Question Serial No. 0592)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The number of pursuable corruption reports in 2015 rose by 23% as compared to that in 2014. Has the Administration increased resources and manpower to cope with the increased workload? If so, what are the details? If not, why?

Asked by: Prof Hon Joseph LEE Kok-long (Member Question No. 46)

Reply:

The number of corruption reports received by the Independent Commission Against Corruption (ICAC) fluctuated in the past and the figure rose by 23% in 2015 as compared to that of 2014. The fluctuation in the number of corruption reports was attributable to many factors. One of the factors behind the increase in corruption reports received in 2015 was possibly the ICAC's continuous publicity campaign in recent years to encourage the public to report corruption, which has been well received by the community and proved effective.

The Operations Department has been granted approval to create 2 Commission Against Corruption Officer (Middle/Lower) posts in 2016-17 to deal with its increasingly heavy and complex investigation work. As always, the Operations Department will flexibly deploy manpower and resources to meet the investigation needs. If necessary, creation of new posts will be applied for in accordance with the existing mechanism.

- End -

CONTROLLING OFFICER'S REPLY**ICAC006****(Question Serial No. 2131)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): Not SpecifiedProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide details of the Commission's meetings, visits or exchanges taken place on the Mainland with the organisations concerned in each of the past 5 years; and list the following details of each trip in order of date:

- (a) purpose, place;
- (b) titles of local officials met;
- (c) number and titles of accompanying Hong Kong officials;
- (d) duration of the trip;
- (e) total expenses involved;
- (f) whether details of the trip were released to the public before the trip; if not, what were the reasons for keeping it confidential;
- (g) whether minutes of the meeting were kept on file; if not, what were the reasons for that; and
- (h) whether an agreement was reached; if so, what were the details and what was the implementation progress of the agreement;
- (i) the respective expenses on transport (list out the air tickets and the local transport at the destination);
- (ii) accommodation;
- (iii) meals;
- (iv) receptions or entertainment;
- (v) the gifts presented.

Date	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(ii)	(iii)	(iv)	(v)

Asked by: Hon Cyd HO Sau-lan (Member Question No. 85)Reply:

At present, the Commission has details of the Commissioners' duty visits taken place on the Mainland in the past 5 years only. Details of each trip including date, destination, duration, purpose, number of accompanying ICAC officers and expenditure, as well as the

details on official entertainment and presentation of souvenirs during the official visits of the incumbent Commissioner are listed as follows:

2011-12

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
22.6.2011 – 26.6.2011	Beijing and Changsha, China	5	Discussed with the Ministry of Supervision (MoS) on issues for continued cooperation Paid a courtesy visit to the Hong Kong and Macao Affairs Office of the State Council Visited Hunan University and gave a lecture	8	126,592	Air passage: 52,077 Subsistence Allowance / Others: 74,515
2.7.2011 – 4.7.2011	Shanghai, China	3	Attended the 3 rd Training Seminar of International Association of Anti-corruption Authorities (IAACA) Convened the 1 st Steering Group Meeting of IAACA International Anti-Corruption Public Service Announcement (PSA) Video Competition and Workshop	7	62,702	Air passage: 37,624 Subsistence Allowance / Others: 25,078
19.8.2011	Shenzhen, China	1	Exchanged anti-corruption experience with Shenzhen Municipal Supervision Bureau	7	Nil	Nil

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
14.9.2011 – 17.9.2011	Beijing and Tianjin, China	4	<p>Visited the Supreme People's Procuratorate (SPP) to discuss IAACA-related matters, including the drafting of the declaration for the 5th Annual Conference and General Meeting of IAACA</p> <p>Exchanged views and experience on corruption prevention with Tianjin Municipal People's Procuratorate</p>	4	67,750	<p>Air passage: 30,725</p> <p>Subsistence Allowance / Others: 37,025</p>
				Total: 4 visits and total expenditure \$257,044		

2012-13

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
20.6.2012 – 22.6.2012	Beijing, China	3	Visited the SPP, MoS and the Chinese Academy of Social Sciences	5	71,115	<p>Air passage: 38,058</p> <p>Subsistence Allowance / Others: 33,057</p>	-
27.7.2012 – 29.7.2012	Guangzhou, China	3	<p>Officiated the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited Guangdong Provincial People's Procuratorate (GDPP), Provincial Commission for Discipline Inspection and Department of Supervision</p>	6	38,600	<p>Passage: 3,220</p> <p>Subsistence Allowance / Others: 35,380</p>	2 crystal models of the ICAC Building (A total of \$1,000)

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
12.9.2012 – 15.9.2012	Beijing, China	4	Visited relevant counterpart agencies, including SPP and MoS	7	115,518	Air passage: 48,453 Subsistence Allowance / Others: 67,065	1 book (\$1,494), 2 crystal models of the ICAC Building (A total of \$800), 2 ICAC glass trophies (A total of \$792), 5 ICAC gift pens (A total of \$325)
31.1.2013	Shenzhen, China	1	Visited Shenzhen Municipal People's Procuratorate	6	Nil	Nil	1 ICAC glass trophy (\$396)
				Total: 4 visits and total expenditure \$225,233			

2013 – 14
Nil.

2014-15

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
21.9.2014 – 26.9.2014	Beijing, Guangzhou and Shenzhen, China	6	Paid official visits to counterpart agencies, including SPP, MoS, GDPP, Guangdong Provincial Department of Supervision, Shenzhen Municipal People's Procuratorate, Shenzhen Municipal Commission for Discipline Inspection and Supervision Bureau	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014 Passage: 895 Subsistence Allowance / Others: 32,338	-
4.11.2014 – 7.11.2014	Shanghai, China	4	Attended the opening ceremony of the 54 th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong and delivered a keynote speech. Paid official visits to counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau	1	19,387	Air passage: 4,002 Subsistence Allowance / Others: 15,385	-
				Total: 2 visits and total expenditure \$63,634			

2015-16 (up to 29.2.2016)

Date	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Supervision Bureau	1	13,911	Air passage: 5,814 Subsistence Allowance /Others: 8,097	1 ICAC plaque (\$290)
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities for the 70 th anniversary of the victory of Chinese people's resistance against Japanese aggression held in Beijing	-	7,886	Air passage: 3,760 Subsistence Allowance /Others: 4,126	-
23.11.2015 – 26.11.2015	Nanning, Guangxi	4	Attended the 9 th China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884 Subsistence Allowance /Others: 11,140	-
				Total: 3 visits and total expenditure \$37,821			

Except for the 2 trips respectively made by former Commissioner TONG Hin-ming to Shanghai during 2-4 July 2011 and to Shenzhen on 19 August 2011, arrangements had been made to release details of all the trips listed above to the public.

In respect of official entertainment during duty visits, since assuming office in July 2012 the incumbent Commissioner Simon YL PEH has just treated 5 guests from the Commission Against Corruption of Macao including its Commissioner to meal during an official visit to Guangzhou made by a 7-member delegation led by him during 27-29 July 2012. The total expenditure involved was \$2,282.2.

- End -

CONTROLLING OFFICER'S REPLY**ICAC007****(Question Serial No. 2132)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): Not SpecifiedProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide information in the following format on the research studies, if any, conducted by your bureau and the departments under its purview on the formulation and assessment of policies.

(a) Please provide in the following table information on the funded public policy and strategic public policy research studies in the past 2 financial years (2014-15 and 2015-16):

Name of consultant	Award method (open auction/ tender/ others (please specify))	Title, content and objectives of project	Consultancy fee (\$)	Date of commencement	Progress of study (under planning/ in progress/ completed)	Follow-up actions by the Administration on the study report and their progress (if any)	If completed, have they been made public? If so, through what channels? If not, why?

(b) Are there any projects for which funds have been earmarked for conducting internal studies in this financial year (2016-17)? If so, please provide the following information:

Title, content and objectives of project	Date of commencement	Progress of study (under planning/ in progress/ completed)	Follow-up actions by the Administration on the study report and their progress (if any)	If completed, have they been made public? If so, through what channels? If not, why?

(c) Are there any projects for which funds have been earmarked for conducting consultancy studies in this financial year (2016-17)? If so, please provide the following information:

Name of consultant	Award method (open auction/ tender/ others (please specify))	Title, content and objectives of project	Consultancy fee (\$)	Date of commencement	Progress of study (under planning/ in progress/ completed)	Follow-up actions by the Administration on the study report and their progress (if any)	If completed, have they been made public? If so, through what channels? If not, why?

(d) What were the criteria for awarding the consultancy projects to the research institutions concerned?

Asked by: Hon Cyd HO Sau-lan (Member Question No. 87)

Reply:

The Independent Commission Against Corruption did not fund any public policy research studies and strategic public policy research studies in 2014-15 and 2015-16, and have no plan to do so in 2016-17.

- End -

CONTROLLING OFFICER'S REPLY**ICAC008****(Question Serial No. 2133)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): Not SpecifiedProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

In regard to the increasing cross-boundary cooperation between Hong Kong and the Mainland in recent years, please provide the relevant information on the Hong Kong/Mainland cross-boundary projects or programmes in which your bureau and the departments under its purview have been involved.

(a) Please list in the following table information on the Hong Kong/Mainland cross-boundary projects or programmes from 2014-15 to 2015-16:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13 th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have such documents been made public? If not, why?	Are there any minutes of meetings? If so, have such records been made public?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme

(b) Has provision been earmarked for this year (2016-17) for the Hong Kong/Mainland cross-boundary projects or programmes? If so, please list in the following table information on the Hong Kong/Mainland cross-boundary projects or programmes in 2016-17:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13 th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have such documents been made public? If not, why?	Are there any minutes of meetings? If so, have such records been made public?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme

- (c) Apart from the projects or programmes listed above, are there any other forms of Hong Kong/Mainland cross boundary cooperation? If so, in what forms are they conducted? What were the manpower and expenditure involved in the past year and how many financial and manpower resources have been earmarked in Estimates for 2016-17?

Asked by: Hon Cyd HO Sau-lan (Member Question No. 88)

Reply:

- (a) Information on the Hong Kong/Mainland cross-boundary projects or programmes from 2014-15 to 2015-16 is as follows:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13 th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have such documents been made public? If not, why?	Are there any minutes of meetings? If so, have such records been made public?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme
Forum on supervision of major construction projects (Jiangmen)	To share experience in promoting integrity in enterprises and supervision of major construction projects. This item was not related to the Framework Agreement on Hong Kong/Guangdong Cooperation or the 13 th Five-Year Plan.	\$860 for the return ferry tickets for 2 officers of the Corruption Prevention Department. 10 sets of capacity building package on corruption prevention for the construction industry were also presented to the Zhuhai Municipal	Officials of Guangdong Provincial Bureau of Corruption Prevention, Zhuhai Municipal Bureau of Corruption Prevention and Jiangmen Municipal Bureau of Corruption Prevention	No	No	Item completed. The forum was held on 18 Dec 2014 in Jiangmen.	No announcement was made because the forum only involved experience sharing and was not a publicity event.	No	Not applicable

		Bureau of Corruption Prevention and the Jiangmen Municipal Bureau of Corruption Prevention.							
Visits to the Anti-Corruption Education Centre in Guangdong Province	To share anti-corruption strategies and experience. This item was not related to the Framework Agreement on Hong Kong/Guangdong Cooperation or the 13 th Five-Year Plan.	A total transportation cost of \$27,880 for 62 ICAC officers.	Officials of the Guangdong Provincial Department of Supervision (GPDS)	No	No	Item completed. 2 visits to Panyu were respectively made on 27 Nov 2014 and 22 Jan 2015.	No announcement was made because the visits only involved experience sharing and were not a publicity event.	No	Not applicable
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/Guangdong cooperation.	The forum in 2014 was hosted by the Guangdong People's Procuratorate (GDPP). The expenditure incurred was unknown. The total amount of subsistence allowances and traveling expenses of 8 ICAC representatives on a one-night duty visit to Shenzhen was around \$25,000. The forum in 2015 was hosted by the Commission Against Corruption of Macao (CCAC). The expenditure incurred was unknown. The total amount of subsistence allowances and traveling expenses of 8 ICAC representatives on a one-night duty visit to Macao was around \$31,000.	Officials of the Supreme People's Procuratorate (SPP), the GDPP and the Hong Kong and Macao Affairs Office (HKMAO) of the State Council	No	Minutes of meetings are available. Since the contents of the forums are confidential, the ICAC cannot disclose the details to the public.	This programme is a standing arrangement which is hosted once a year by the GDPP, the ICAC and the CCAC on a rotational basis.	Exchanges of mutual case assistance in cross-boundary corruption were made amongst the GDPP, the ICAC and the CCAC. Since the contents of the forums are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable
Exchange programme on corruption prevention for the backbone of investigating officers of the GPDS (Hong Kong)	To introduce the systemic corruption prevention model and corruption prevention work processes, and the investigation system and	\$6,800 for the lunch provided to 31 Mainland officials.	Officials of the GPDS and the Commission for Discipline Inspection at the municipal level or above and Supervision	No	No	Item completed. The training programme was held in the ICAC Building on 13 August 2015.	No announcement was made because the programme only involved experience sharing and was not a publicity	No	Not applicable

	practice of the Operations Department. This item was not related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13 th Five-Year Plan.		Bureaux				event.		
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(b) Information on the Hong Kong/Mainland cross-boundary projects or programmes in 2016-2017 is as follows:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13 th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have such documents been made public? If not, why?	Are there any minutes of meetings? If so, have such records been made public?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Details to be confirmed. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	Guangdong Hong Kong Macao Mutual Case Assistance Forum in 2016 will be hosted by the ICAC. The expenses are estimated to be around \$49,000.	Officials of the SPP, the GDPP and the HKMAO of the State Council	No	Minutes of meetings will be made available. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	This programme is a standing arrangement which is hosted once a year by the GDPP, the ICAC and the CCAC on a rotational basis.	Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable

(c) The Independent Commission Against Corruption does not have other forms of cross-boundary cooperation projects or programmes. As the items in the table above are part of the daily work of the Commission, the manpower involved cannot be calculated.

- End -

CONTROLLING OFFICER'S REPLY**ICAC009****(Question Serial No. 2134)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): Not SpecifiedProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide details of the duty visits made by the Commissioner, Independent Commission Against Corruption, in each of the past 5 years, and list out the followings of each visit in order of date: (a) purpose, place; (b) titles of local officials met; (c) number and titles of accompanying Hong Kong officials; (d) duration of the trip; and (e) total expenses involved, and the respective expenses on (i) transport (list out the air tickets and the local transport at the destination); (ii) accommodation; (iii) meals; (iv) receptions or entertainment; (v) the gifts presented.

Date	(a)	(b)	(c)	(d)	(e)	(i)	(ii)	(iii)	(iv)	(v)

Asked by: Hon Cyd HO Sau-lan (Member Question No. 89)Reply:

Details of the Commissioners' duty visits in the past 5 years including date, destination, duration, purpose, number of accompanying ICAC officers and expenditure, as well as the details on official entertainment hosted and souvenirs presented by the incumbent Commissioner during duty visits are listed as follows:

2011-12

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
8.5.2011 – 10.5.2011	Doha, Qatar	3	Attended the Executive Committee Meeting of the International Association of Anti-corruption Authorities (IAACA)	4	134,042	Air passage: 122,660 Subsistence Allowance / Others: 11,382
31.5.2011 – 2.6.2011	Bangkok, Thailand	3	Attended an anti-corruption conference	5	50,792	Air passage: 24,413 Subsistence Allowance / Others: 26,379
22.6.2011 – 26.6.2011	Beijing and Changsha, China	5	Discussed with the Ministry of Supervision (MoS) on issues for continued cooperation Paid a courtesy visit to the Hong Kong and Macao Affairs Office of the State Council Visited Hunan University and gave a lecture	8	126,592	Air passage: 52,077 Subsistence Allowance / Others: 74,515
2.7.2011 – 4.7.2011	Shanghai, China	3	Attended the 3 rd Training Seminar of IAACA Convened the 1 st Steering Group Meeting of IAACA International Anti-Corruption Public Service Announcement (PSA) Video Competition and Workshop	7	62,702	Air passage: 37,624 Subsistence Allowance / Others: 25,078
19.8.2011	Shenzhen, China	1	Exchanged anti-corruption experience with Shenzhen Municipal Supervision Bureau	7	Nil	Nil

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
14.9.2011 – 17.9.2011	Beijing and Tianjin, China	4	Visited the Supreme People's Procuratorate (SPP) to discuss IAACA-related matters, including the drafting of the declaration for the 5 th Annual Conference and General Meeting of IAACA Exchanged views and experience on corruption prevention with Tianjin Municipal People's Procuratorate	4	67,750	Air passage: 30,725 Subsistence Allowance / Others: 37,025
19.10.2011 – 27.10.2011	Marrakesh, Morocco	9	Attended the 5 th Annual Conference and General Meeting of IAACA and the 4 th Session of the Conference of the States Parties to the United Nations Convention against Corruption. Chaired the 2 nd Meeting of the Steering Committee of the International Anti-Corruption PSA Video Competition and Workshop	6	593,463	Air passage: 473,272 Subsistence Allowance / Others: 120,191
				Total: 7 visits and total expenditure \$1,035,341		

2012-13

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
20.6.2012 – 22.6.2012	Beijing, China	3	Visited the SPP, MoS and the Chinese Academy of Social Sciences	5	71,115	Air passage: 38,058 Subsistence Allowance / Others: 33,057	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
27.7.2012 – 29.7.2012	Guangzhou, China	3	Officiated the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited Guangdong Provincial People's Procuratorate (GDPP), Provincial Commission for Discipline Inspection and Department of Supervision	6	38,600	Passage: 3,220 Subsistence Allowance / Others: 35,380	2 crystal models of the ICAC Building (A total of \$1,000)
12.9.2012 – 15.9.2012	Beijing, China	4	Visited relevant counterpart agencies, including SPP and MoS	7	115,518	Air passage: 48,453 Subsistence Allowance / Others: 67,065	1 book (\$1,494), 2 crystal models of the ICAC Building (A total of \$800), 2 ICAC glass trophies (A total of \$792), 5 ICAC gift pens (A total of \$325)
19.9.2012 – 20.9.2012	Macao, China	2	Attended a Macao Commission Against Corruption (CCAC) seminar which marked the 20 th anniversary of Macao's anti-graft work and visited the CCAC and the Macao Public Prosecutions Office	6	28,539	Passage: 4,270 Subsistence Allowance / Others: 24,269	2 ICAC glass trophies (A total of \$792), 2 ICAC gift pens (A total of \$140)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
3.10.2012 – 7.10.2012	Kuala Lumpur, Malaysia	5	Attended the Executive Committee Meeting and the 6 th Annual Conference and General Meeting of IAACA, and delivered a keynote speech.	5	99,637	Air passage: 33,345 Subsistence Allowance / Others: 66,292	1 ICAC glass trophy (\$670), 1 ICAC plague (\$290), 3 ICAC gift pens (A total of \$210)
31.1.2013	Shenzhen, China	1	Visited Shenzhen Municipal People's Procuratorate	6	Nil	Nil	1 ICAC glass trophy (\$396)
				Total: 6 visits and total expenditure \$353,409			

2013-14

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
7.4.2013 – 10.4.2013	New Delhi, India	4	Attended the Executive Committee Meeting of IAACA	4	73,224	Air passage: 37,443 Subsistence Allowance / Others: 35,781	2 ICAC ties (A total of \$100), 2 ICAC key chains (A total of \$70)
17.11.2013 – 25.11.2013	United States (New York, Washington) and Panama	9	Visited New York and Washington of the United States to pay official visits to relevant law enforcement agencies, government departments and international rating institutions, and attended the 7 th Annual Conference and General Meeting of IAACA held in Panama and delivered a keynote speech.	2	298,547	Air passage: 223,129 Subsistence Allowance / Others: 75,418	2 ICAC plaques (A total of \$396)
				Total: 2 visits and total expenditure \$371,771			

2014-15

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
26.3.2014 – 4.4.2014	Germany, Spain and Switzerland	10	Paid an official visit to the Chair of Transparency International (TI), visited anti-corruption and law enforcement agencies in Germany, attended the Executive Committee Meeting of IAACA, paid an official visit to senior officials of the World Economic Forum, paid an official visit to the officials of the International Institute for Management Development, Lausanne, Switzerland who are responsible for compiling their own Corruption Perception Indices and attended a seminar at the invitation of the Institute to explain the probity situation and anti-corruption strategies in Hong Kong	3	275,382	Air passage: 186,778 Passage: 1,144 Subsistence Allowance/ Others: 87,460	2 ICAC plaques (A total of \$396)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
21.9.2014 – 26.9.2014	Beijing, Guangzhou and Shenzhen, China	6	Paid official visits to counterpart agencies, including SPP, MoS, GDPP, Guangdong Provincial Department of Supervision, Shenzhen Municipal People's Procuratorate, Shenzhen Municipal Commission for Discipline Inspection and Supervision Bureau	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014 Passage: 895 Subsistence Allowance/ Others: 32,338	-
4.11.2014 – 7.11.2014	Shanghai, China	4	Attended the opening ceremony of the 54 th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong and delivered a keynote speech. Paid official visits to counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau	1	19,387	Air passage: 4,002 Subsistence Allowance/ Others: 15,385	-
				Total: 3 visits and total expenditure \$339,016			

2015-16 (up to 29.2.2016)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Supervision Bureau	1	13,911	Air passage: 5,814 Subsistence Allowance/ Others: 8,097	1 ICAC plague (\$290)
20.5.2015 – 27.5.2015	Washington, United States and Berlin, Germany	9	Paid official visits to 3 international rating institutions in the United States, including RAND Corporation, TRACE International and Heritage Foundation Paid an official visit to TI in Germany	2	226,410	Air passage: 159,307 Passage: 672 Subsistence Allowance/ Others: 66,431	-
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities for the 70 th anniversary of the victory of Chinese people's resistance against Japanese aggression held in Beijing	-	7,886	Air passage: 3,760 Subsistence Allowance/ Others: 4,126	-
4.9.2015 – 5.9.2015	Putrajaya, Malaysia	2	Attended the 16 th International Anti-Corruption Conference organised by TI and delivered a keynote speech Paid an official visit to the Vice-Chair of TI, and met with Sri Lanka's Secretary-General for Anti-Corruption and Law Enforcement Agencies upon invitation	1	28,066	Air passage: 17,751 Passage: 200 Subsistence Allowance/ Others: 10,115	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)	Details on presentation of souvenirs
29.10.2015 – 1.11.2015	St. Petersburg, Russia	4	Attended the 8 th Annual Conference and General Meeting of IAACA and delivered a keynote speech	1	28,770	Air passage: 15,340 Subsistence Allowance/ Others: 13,430	-
23.11.2015 – 26.11.2015	Nanning, Guangxi	4	Attended the 9 th China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884 Subsistence Allowance/ Others: 11,140	-
				Total: 6 visits and total expenditure \$321,067			

In respect of official entertainment during duty visits, since assuming office in July 2012 the incumbent Commissioner Simon YL PEH has just treated 5 guests from the CCAC including its Commissioner to meal during an official visit to Guangzhou made by a 7-member delegation led by him during 27-29 July 2012. The total expenditure involved was \$2,282.2.

- End -

CONTROLLING OFFICER'S REPLY**ICAC010****(Question Serial No. 2138)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): (000) Operational ExpensesProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

1. Please provide in the following table details of the expenses on entertainment and gifts by your bureau and the departments under its purview in 2014-15 and 2015-16.

Bureau/ branch/ department and year	Estimated expenses on entertainment and gifts in the year	Final actual expenses respectively on entertainment and gifts in the year	Ceiling of expenses (including beverages) per head in the year	Ceiling of expenses on gifts per guest in the year	Number of guest receptions held and total number of guests received during the year

2. Please provide in the following table details of the expenses on entertainment and gifts by your bureau and the departments under its purview in 2015-16.

Bureau/ branch/ department	Date of reception (year/month/ day)	Departments/ organisations (list out by department or organisation and the number of people) and titles of guests received during the reception	Food expenses incurred in the reception	Beverage expenses incurred in the reception	Gift expenses incurred in the reception	Venue of the reception (department office/ restaurant in government facilities/ private restaurant/ others (please specify))

3. Please provide in the following table the estimated expenses on entertainment and gifts for 2016-17.

Bureau/branch/ department	Estimated provision for entertainment and gifts	Ceiling of expenses on entertainment per guest	Ceiling of expenses on gifts per guest

Asked by: Hon Cyd HO Sau-lan (Member Question No. 86)

Reply:

- The expenses on official entertainment and procurement of souvenirs by the Independent Commission Against Corruption (ICAC) were as follows:

Financial year	Expenses on official entertainment (\$)	Expenses on souvenirs (\$)
2014-15	104,363.34	1,780 ¹
2015-16 ²	417,965.28 ³	2,520 ¹

- The Commission laid down in the Commission Standing Orders stringent guidelines pertaining to official entertainment and souvenirs. Officers are reminded to exercise prudence when incurring official entertainment and souvenir expenses and should adhere to strict economy in expenses to avoid giving any notion of unjustified expenses.
- The detailed allocation of funding within the Commission is still under preparation and the estimates for official entertainment and souvenirs for 2016-17 are not yet available.

- End -

¹ The expenses concerned were for the making of copper plates on the souvenirs and inscription. As the souvenirs were old stock items, they did not incur expenditure in 2014-15 and 2015-16.

² Up to 29 February 2016.

³ Including \$279,003.04 as the official entertainment expenses in relation to the 6th ICAC Symposium and the 3rd Annual Meeting of Economic Crime Agencies Network; excluding the entertainment expenses for these two events, the Commission's general entertainment expenses for 2015-16 were \$138,962.24.

CONTROLLING OFFICER'S REPLY

ICAC011

(Question Serial No. 3181)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (1) Corruption Prevention, (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please list the number of corruption cases involving different government departments in the past year, and the numbers of persons involved, arrested, prosecuted and convicted in those cases.

Asked by: Hon Cyd HO Sau-lan (Member Question No. 84)

Reply:

The numbers of ICAC investigations, and persons prosecuted and convicted, arising from pursuable corruption complaints involving government departments in the past year are listed in Annexes 1 to 3. The ICAC does not maintain statistics on the number of persons involved or arrested in each investigation, and therefore cannot provide such information.

**Number of ICAC investigations arising from pursuable corruption complaints
involving government departments (2015)***

Government Department	No. of Investigations
Hong Kong Police Force	138
Lands Department	39
Food & Environmental Hygiene Department	33
Housing Department	24
Leisure & Cultural Services Department	22
Customs & Excise Department	15
Hongkong Post	14
Buildings Department	13
Water Supplies Department	12
Electrical and Mechanical Services Department	12
Fire Services Department	11
Home Affairs Department	11
Social Welfare Department	10
Immigration Department	9
Correctional Services Department	8
Highways Department	8
Agriculture, Fisheries and Conservation Department	7
Education Bureau	7
Transport Department	6
Department of Health	6
Architectural Services Department	5
Civil Engineering and Development Department	5
Other departments (23)	44
Total	459

* Government departments involved in 5 or more investigations of pursuable corruption complaints in 2015 are listed in the table above. There were 23 other government departments each involved in less than 5 investigations of pursuable corruption complaints in the past year.

**Number of persons prosecuted in ICAC corruption investigations
involving government departments (2015)***

Government Department	No. of Persons
Customs & Excise Department	7
Hong Kong Police Force	3
Auxiliary Medical Service	1
Electrical and Mechanical Services Department	1
Food & Environmental Hygiene Department	1
Government Logistics Department	1
Immigration Department	1
Others ^	1
Sub-total (government servants)	16
Private Individuals (involved in cases related to government departments)	9
Total	25

* The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings. The figures in the above table denote the numbers of prosecutions. If a person is prosecuted in different cases, calculation of the figures will take into account the number of prosecutions made against that person.

^ The case involves a former Chief Executive of HKSAR.

**Number of persons convicted in ICAC corruption investigations
involving government departments (2015)***

Government Department	No. of Persons
Hong Kong Police Force	5
Department of Health	4
Immigration Department	2
Fire Services Department	2
Customs & Excise Department	1
Food & Environmental Hygiene Department	1
Housing Department	1
Government Logistics Department	1
Auxiliary Medical Service	1
Sub-total (government servants)	18
Private Individuals (involved in cases related to government departments)	10
Total	28

* The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings. The figures in the above table denote the numbers of convictions. If a person is convicted in different cases, calculation of the figures will take into account the number of convictions made against that person.

- End -

CONTROLLING OFFICER'S REPLY

ICAC012

(Question Serial No. 0988)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In 2016-17, the estimated expenditure for the 3 ICAC Programmes—Corruption Prevention, Preventive Education and Enlisting Support—is lower than the revised expenditure for the same in the previous financial year. What are the reasons for this? Whereas in Preventive Education, why is the target number of business organisations, government departments and non-profit-making organisations expected to be reached smaller than that of the previous year? What is the size of expenditure and manpower to be earmarked by the ICAC to enhance the confidence of members of the public and the international community in Hong Kong's probity situation? What are the details of the relevant plans?

Asked by: Dr Hon LAM Tai-fai (Member Question No. 56)

Reply:

- In line with the three-year 0-1-1 Savings Programme launched by the Government since 2015-16, the Independent Commission Against Corruption (ICAC) will achieve more efficient use of public resources through greater efforts in re-engineering and re-prioritisation in 2016-17 such that the savings can be re-deployed to the implementation of new or enhanced services.
- In formulating its annual work plan on preventive education, the Community Relations Department (CRD) of the ICAC considers a host of factors including the needs of society and service targets, corruption trends, and developments in different sectors/trades so as to set timely work strategies and priorities. As such, the Plan/Estimate figures for a specific sector may be adjusted across the years in line with the work priorities and strategies. In fact, in recent years, the overall total number of organisations and people reached through different sectors had not been reduced. Last year, the actual number of organisations and people reached for certain sectors exceeded the Plan/Estimate figures as a result of the need to step up preventive education efforts for particular sectors based on the actual circumstances and needs. Details are as follows:

Business Sector

- The target number of business organisations to be reached by the ICAC each year has been set at around 1 500 over the past 3 years. The actual number of business organisations reached last year was higher than estimated mainly because of various “one-off” activities organised by the CRD in relation to the 20th Anniversary of the Hong Kong Ethics Development Centre. In addition, more training courses were arranged upon the request of different professions such as insurance and accounting, etc. Taking into account the different factors and the actual achievement in 2014, we have raised the number of business organisations planned to be reached from 1 500 to 1 700.

Public Sector

- Regarding the corruption preventive education for the public sector, the target number of government departments and public bodies reached annually has been set at 120 for the past 3 years. These targets were set in light of the integrity training plans discussed and agreed with individual departments/public bodies.
- In the past few years, the actual number of government departments/public bodies reached by the CRD has exceeded 120, mainly due to the launch of several integrity training programmes organised on ad hoc basis. For example, to promulgate the newly produced Reference Package on Conflict of Interest for Managers in the Civil Service, the CRD has stepped up contact with the senior management of all government bureaux/departments between 2012 and 2014. Since 2015, CRD representatives have also attended meetings of Ethics Committees/Corruption Prevention Groups of different bureaux/departments to promulgate the newly launched “Web Learning Portal on Integrity Management for Civil Servants”, for enhancing the integrity training for civil servants.
- In drawing up the planned number of organisations and people to be reached in the public sector in 2016, reference has also been made to the actual achievement in the past years. For the number of people reached, the planned figure is the same as last year as it has already been increased from 28 000 people in 2014 to 30 000 people in 2015. For the number of organisations reached, the over-achievement in the past years is mainly due to the launch of a number of new initiatives. As only on-going educational activities are planned for 2016, the number of organisations planned to be reached will be kept at the level of 120, after having considered the training plans worked out with government departments/public bodies.

Non-profit-making Organisations (NPOs)

- The ICAC has consistently aimed to reach a target of about 1 000 NPOs annually to provide corruption prevention training for their staff and members. Both the actual number of NPOs and their staff/members reached in 2015 had exceeded the Target figures due to some special activities being conducted in the year. To tie in with the 2015 District Council Election, the CRD conducted briefings for members of 140 elderly centres and district organisations to promote clean election messages. Upon invitation, the ICAC also attended a number of seminars organised by the Education Development Bureau to brief principals and teachers of some 700 education institutes on corruption prevention measures in

schools. In addition, representatives from a total of 70 non-governmental organisations (NGOs) attended the launching seminar for the Sample Code of Conduct for Board Members & Staff of NGOs in Social Welfare Sector. All these were not on-going activities. In setting the performance indicator for 2016, the ICAC had already made reference to the on-going activities and special/“one-off” activities. The number of NPOs planned to be reached had been suitably adjusted upward from 1 100 to 1 400.

- The CRD has diligently performed the statutory duties of educating the public against the evils of corruption and enlisting their support. Throughout the years, the CRD has organised various “face-to-face” preventive education activities and made flexible use of different mass media and new media to promote probity messages to all walks of life and to sustain public confidence and support to anti-corruption work. According to international ranking surveys and the Annual Survey conducted by independent survey firms commissioned by the ICAC, Hong Kong continues to have a clean society and a clean civil service as well as a level-playing business environment.
- To further enhance understanding of and support to Hong Kong’s probity situation, the ICAC will launch a territory-wide education and publicity programme under the theme of “All for Integrity” to strengthen public support to safeguard the core value of integrity and anti-corruption work. Following the Slogan and Icon Design Competition launched late last year as the precursor, the ICAC will cooperate with the 18 District Councils to organise activities and invite partners from different strata of the community including the business sector, government departments, public bodies and schools, etc. to help promote integrity in support of the programme. A variety of media and online publicity activities will also be launched to widely publicise the Programme.
- While endeavouring to enlist support from local community through various activities, the ICAC has also been proactively fostering close relationship with the international community, viz. international rating agencies, anti-corruption bodies in different countries and foreign chambers of commerce so as to update them on Hong Kong's probity situation and enhance their understanding of the effectiveness of our anti-corruption work through different channels. These channels include co-organising seminars with foreign chambers of commerce, participating in international conferences, conducting duty visits, inviting leaders of the relevant organisations to visit Hong Kong, and reaching them via electronic messages, etc. In parallel, the ICAC receives about 80 overseas and Mainland visitors under the Sponsored Visitors Programme of the Information Services Department every year to introduce the work of the ICAC and Hong Kong’s probity situation. In the year ahead, the ICAC will further enhance the contents of its corporate website for the international community to facilitate their better understanding of the anti-corruption efforts and achievements in Hong Kong. The manpower and expenditure involved are included in the recurrent expenditure.

- End -

CONTROLLING OFFICER'S REPLY

ICAC013

(Question Serial No. 1895)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention, (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The number of ICAC corruption cases involving the Hong Kong Police Force has all along been greater than that involving other departments, and some of the convictions even made the public feel surprised. While the ICAC periodically conducts refresher courses and reviews relevant work practices and procedures with its management, what will be done by the ICAC to ensure that the relevant work can be updated in a timely manner and reach sufficient police officers?

Asked by: Hon WONG Yuk-man (Member Question No. 20)

Reply:

In 2015, corruption complaints involving the Hong Kong Police Force (HKPF) accounted for approximately 8% of the total complaints received, which was roughly the same as in the previous 5 years (8%-9%). Compared to other government departments, the HKPF attracted more corruption complaints probably because of their large number of officers. The relatively frequent contacts with the public by their frontline officers were likely to increase the chance of their being subjects of complaints.

The representatives of the Independent Commission Against Corruption (ICAC) regularly attend meetings of the Force Committee on Integrity Management and the Police Corruption Prevention Group (PCPG) of the HKPF to assist the Force in formulating and reviewing their integrity management policies and measures, and review work areas for conducting corruption prevention studies. In determining the priority of individual studies, the PCPG considers a number of factors including the corruption risks involved and whether the subject area is of public concern. For any of such assignment studies conducted for the Force by the Corruption Prevention Department (CPD) of the ICAC, the CPD will also follow up with the Police on the implementation of the related corruption preventive measures.

On preventive education, the ICAC has been working closely with the Police College to incorporate anti-corruption talks into their training programmes for new recruits as well as

officers newly posted to crime investigation units and the district special duty squads, and also the different command courses. Serving police officers are also arranged to attend refresher courses, etc. To ensure the training content up-to-date, the ICAC makes reference to the latest corruption trend and corruption prevention assignment reports on the HKPF recently completed by the CPD. For example, the course content on the common law offence “Misconduct in Public Office” was enhanced in 2014, and the scenarios for discussion in Sergeant Promotion and Station Sergeant Promotion courses are being updated.

Besides, Regional Offices of the Community Relations Department (CRD) also conduct tailor-made training sessions for individual police districts to enhance their staff’s awareness on corruption prevention, and organise train-the-trainers workshops to facilitate district training officers to incorporate corruption prevention messages in their in-house training. Apart from face-to-face training, the “Web Learning Portal on Integrity Management for Civil Servants” launched by the CRD last year also facilitates police officers in receiving integrity training online.

- End -

CONTROLLING OFFICER'S REPLY

ICAC014

(Question Serial No. 1896)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Last year, how many citizens were reached through the preventive education services provided for building management bodies and flat owners? What was the number of enquiries handled by the Community Relations Department in relation to building management?

In the coming year, apart from adopting the one-way approach in providing information, what are the new initiatives to be implemented by the Community Relations Department to strengthen the corruption prevention work in building management?

Asked by: Hon WONG Yuk-man (Member Question No. 21)

Reply:

- The Independent Commission Against Corruption (ICAC) attaches great importance to promoting integrity building management to flat owners, owners' corporations (OCs) (including the newly formed and those having received repair orders from the Buildings Department or the Fire Safety Directions) and other related organisations. Efforts have been made to explain the anti-corruption laws and introduce preventive measures.
- In the past year, the ICAC organised district seminars/workshops, talks, visits and other publicity and education activities on building management, reaching over 8 600 people and 800 OCs/related organisations. In these activities, participants received corruption prevention messages, raised questions and exchanged views with each other. Further corruption prevention and education services were subsequently provided to some of the OCs afterwards.
- The ICAC also produced toolkits on daily operation of building management, financial management and building maintenance, which covered useful corruption preventive measures to help reduce the opportunities of corruption in daily operation and building maintenance. The toolkits and educational videos were uploaded onto the ICAC

“Integrity and Quality Building Management” website for reference of the public. In 2015, the website recorded a view count of over 380 000.

- The Community Relations Department (CRD) of the ICAC also provides enquiry services to OCs and flat owners through its Regional Offices and the “Integrity Building Management Enquiry Hotline”. More than 860 building management related enquiries were handled by the ICAC in the past year. In addition, apart from delivering corruption prevention talks in district seminars on building management, the Corruption Prevention Advisory Service offered corruption prevention advice to OCs/members of the public concerning building management on 32 occasions.
- In 2016-17, the CRD will continue the above services and provide corruption preventive education to the building management bodies and flat owners. It will also organise publicity projects on integrity building management at district level to explain the anti-corruption laws to OCs/related organisations and flat owners, and encourage flat owners to actively take part in daily operation of their buildings. Meanwhile, pamphlets will be produced for distribution to flat owners to enhance publicity and education effectiveness.

- End -

CONTROLLING OFFICER'S REPLY

ICAC015

(Question Serial No. 1897)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The ICAC will assist kindergartens to enhance good governance with a view to achieving a high standard of integrity. Apart from ordinary briefings and workshops, are there any other preventive measures?

Asked by: Hon WONG Yuk-man (Member Question No. 22)

Reply:

- The Corruption Prevention Department (CPD) of the Commission is compiling a sample code of conduct for managers and staff of kindergartens (the Sample Code) to help enhance their integrity management and the governance of kindergartens to meet public expectation. The CPD has consulted the Education Bureau (EDB) and the stakeholders in the kindergarten sector in drafting the contents.
- The Sample Code provides guidelines covering acceptance of advantages and entertainment, managing conflict of interest, keeping of confidential information, use of kindergarten assets and resources, supervisory accountability and managing relationship with parents and voluntary service by parents, etc.
- The CPD will discuss with the EDB how to use its platforms (e.g. workshops and circulars) to promulgate the Sample Code to the kindergartens. Furthermore, the CPD will proactively approach kindergarten associations and school sponsoring bodies, and provide tailor made service to individual kindergartens to help them adopt the guidelines or assimilate the provisions therein into their existing codes.
- When the new funding policy and relating operation details for kindergarten come to implementation, the CPD will move on to provide corruption prevention guidelines and advice on the administrative areas with inherent corruption risks, e.g. admission of students, procurement of goods and services, staff administration and maintenance of school premises.

- End -

CONTROLLING OFFICER'S REPLY

ICAC016

(Question Serial No. 1898)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The ICAC will develop and promulgate a corruption prevention guide on the outsourcing of public medical services through the Public-private partnership (PPP) approach to ensure that proper safeguards are in place in the administration of such PPP projects. Apart from the corruption prevention guide, will the ICAC have any other relevant preventive measures?

Asked by: Hon WONG Yuk-man (Member Question No. 23)

Reply:

The Government has launched various Public-private partnership (PPP) programmes in recent years to provide medical services to eligible patients through collaboration with the private healthcare sector. In view of the substantial amount of public money and various private healthcare organisations/service providers involved (e.g. private hospitals, laboratories and private doctors), and the inherent corruption risks in the administration of the PPP programmes (e.g. securing service contracts from programme administrators by corrupt means, obtaining advantages from service providers by referring patients to them, favouring colluded patients by shortening their waiting time for services), the Corruption Prevention Department (CPD) will issue a corruption prevention guide on the administration of PPP programmes for reference by the Hospital Authority (HA), the Department of Health (DH) and other relevant parties engaged in managing the programmes. After launching the guide, the CPD will work closely with the HA and DH, through organising thematic seminars, to assist them in implementing the corruption prevention measures recommended in the guide and ensuring the safety and health of patients. As a regular commitment, the CPD will continue to conduct assignment reviews and offer corruption prevention advice on vulnerable work areas for the HA and DH to plug the corruption loopholes, and organise integrity management workshops for their staff to enhance their integrity awareness.

- End -

CONTROLLING OFFICER'S REPLY**ICAC017****(Question Serial No. 1873)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): (000) Operational ExpensesProgramme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Will the Independent Commission Against Corruption provide in the following table details of the expenditure for duty visits made by the Commissioner, Independent Commission Against Corruption, in the past 3 years (2013-14 to 2015-16), including the date, place, number of accompanying officers, purpose of duty visit, expenses on hotel accommodation, air tickets and meals and the total expenditure for each visit? Please also list out the amounts of sponsorships and the names of sponsors (if any).

Date of duty visit	Place of duty visit	Number of accompanying officers	Purpose of duty visit	Hotel accommodation expenses	Air ticket expenses	Meal expenses	Total expenditure

Asked by: Hon CHAN Chi-chuen (Member Question No. 26)Reply:

The date, destination, duration, purpose, number of accompanying ICAC officers and expenditure details of duty visits made by the Commissioner, Independent Commission Against Corruption (ICAC) in the past 3 years are listed as follows:

2013-14

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
7/4/2013 – 10/4/2013	New Delhi, India	4	Attended the Executive Committee Meeting of International Association of Anti-corruption Authorities (IAACA)	4	73,224	Air passage: 37,443 Subsistence Allowance/ Others: 35,781
17/11/2013 – 25/11/2013	United States (New York, Washington) and Panama	9	Visited New York and Washington of the United States to pay official visits to counterpart law enforcement agencies, government departments and international rating institutions, and attended the 7 th Annual Conference and General Meeting of IAACA held in Panama and delivered a keynote speech	2	298,547	Air passage: 223,129 Subsistence Allowance/ Others: 75,418
				Total: 2 visits and total expenditure \$371,771		

2014-15

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
26/3/2014 – 4/4/2014	Germany, Spain and Switzerland	10	Paid an official visit to the Chair of Transparency International (TI), visited anti-corruption and law enforcement agencies in Germany, attended the Executive Committee Meeting of IAACA, paid an official visit to senior officials of the World Economic Forum, paid an official visit to the officials of the International Institute for Management Development, Lausanne, Switzerland who are responsible for compiling their own Corruption Perception Indices and attended a seminar at the invitation of the Institute to explain the probity situation and anti-corruption strategies in Hong Kong	3	275,382	Air passage: 186,778 Passage: 1,144 Subsistence Allowance/ Others: 87,460

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
21/9/2014 – 26/9/2014	Beijing, Guangzhou and Shenzhen, China	6	Paid official visits to counterpart agencies, including Supreme People's Procuratorate, Ministry of Supervision, Guangdong Provincial People's Procuratorate, Guangdong Provincial Department of Supervision, Shenzhen Municipal People's Procuratorate, Shenzhen Municipal Commission for Discipline Inspection and Supervision Bureau	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014 Passage: 895 Subsistence Allowance/ Others: 32,338
4/11/2014 – 7/11/2014	Shanghai, China	4	Attended the opening ceremony of the 54 th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong and delivered a keynote speech. Paid official visits to counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau	1	19,387	Air passage: 4,002 Subsistence Allowance/ Others: 15,385
				Total: 3 visits and total expenditure \$339,016		

2015-16 (up to 29.2.2016)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
16/4/2015 – 18/4/2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Supervision Bureau	1	13,911	Air passage: 5,814 Subsistence Allowance/ Others: 8,097
20/5/2015 – 27/5/2015	Washington, United States and Berlin, Germany	9	Paid official visits to 3 international rating institutions in the United States, including RAND Corporation, TRACE International and Heritage Foundation Paid an official visit to TI in Germany	2	226,410	Air passage: 159,307 Passage: 672 Subsistence Allowance/ Others: 66,431
1/9/2015 – 3/9/2015	Beijing, China	3	Attended the commemorative activities for the 70 th anniversary of the victory of Chinese people's resistance against Japanese aggression held in Beijing	-	7,886	Air passage: 3,760 Subsistence Allowance/ Others: 4,126
4/9/2015 – 5/9/2015	Putrajaya, Malaysia	2	Attended the 16 th International Anti-Corruption Conference organised by TI and delivered a keynote speech Paid an official visit to the Vice-Chair of TI, and met with Sri Lanka's Secretary-General for Anti-Corruption and Law Enforcement Agencies upon invitation	1	28,066	Air passage: 17,751 Passage: 200 Subsistence Allowance/ Others: 10,115

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (\$)	Details of expenditure (\$)
29/10/2015 – 1/11/2015	St. Petersburg, Russia	4	Attended the 8 th Annual Conference and General Meeting of IAACA and delivered a keynote speech	1	28,770	Air passage: 15,340 Subsistence Allowance/ Others: 13,430
23/11/2015 – 26/11/2015	Nanning, Guangxi	4	Attended the 9 th China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884 Subsistence Allowance/ Others: 11,140
				Total: 6 visits and total expenditure \$321,067		

The ICAC officers have not received any sponsorship on all the visits mentioned above other than local transport arrangements provided by the receiving organisations.

- End -

CONTROLLING OFFICER'S REPLY

ICAC018

(Question Serial No. 2028)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Regarding the corruption prevention work of the ICAC, please advise of the following:

1. In regard to the integrity of voter registration for the direct elections of the Legislative Council (LegCo) Members through geographical constituencies (GCs), what were the number of corruption cases involving voters of the LegCo functional constituencies, the number of people involved, the number of arrests, prosecutions and convictions as well as the maximum and minimum sentences in each of the past 5 years?
2. What are the work plans, schedules, expenses and manpower involved as well as the anticipated effectiveness regarding maintaining the integrity of voter registration for the direct elections of LegCo Members through GCs?

Asked by: Dr Hon Kenneth CHAN Ka-lok (Member Question No. 185)

Reply:

e past 5 years, the Independent Commission Against Corruption (ICAC) received 2 reports concerning alleged breaches of Section 16 of the Elections (Corrupt and Illegal Conduct) Ordinance (ECICO) (Cap. 554) by ineligible voters of the LegCo functional constituencies. The 2 cases were both received in 2012. No person was arrested or prosecuted after investigation.

2. The ICAC has been working closely and conducted a number of working meetings with the Registration and Electoral Office (REO) to discuss the focus of corruption prevention work for various public elections and to provide corruption prevention advice on various electoral procedures. Among corruption prevention work carried out for the 2016 Legislative Council Functional Constituencies election, the ICAC issued a Best Practice Checklist to the Specified Bodies under the Legislative Council Functional Constituencies in late 2015 with a view to improving the transparency, objectivity and accountability, as well as reducing the risk of conflict of interest of the

membership administration systems of the Specified Bodies. The ICAC will also take follow-up actions on reports of suspected breaches of ECICO in accordance with the law.

In addition, to maintain the integrity of voter registration for the elections of LegCo Members, the ICAC is now launching a series of education and publicity activities to tie in with the Government's voter registration campaign with an aim to alert members of the public on the requirements of Section 16 of ECICO. The activities include:

- distributing promotional leaflets on “anti-vote rigging” to newly registered electors and those who update their personal particulars as a registered elector through different channels of the REO and the Home Affairs Department;
- tying in with the REO by including information on “anti-vote rigging” in the reminder notes relating to voter registration arrangement issued by the REO to all elderly homes in Hong Kong;
- producing a series of radio programmes jointly with the Radio Television Hong Kong to widely publicise the “anti-vote rigging” messages;
- making use of both the traditional media and on-line channels such as compartments of public transports, public facilities, websites and social media to broadcast the educational filmlet on “anti-vote rigging”;
- launching a new dedicated website on “Clean LegCo Election” to provide reference materials and up-to-date information; and
- maintaining a Clean Election Enquiry Hotline to answer public enquiries on the requirements of ECICO.

The above education and publicity activities will be implemented between March and May this year with funding of around \$600,000 provided by the Constitutional and Mainland Affairs Bureau. The ICAC's Programme Co-ordinator on Clean Election is responsible for the planning of these activities which are being executed with the assistance of the 7 Regional Offices of the CRD.

The ICAC will provide assistance and services for public elections and the related voter registration systems through deployment of internal resources without incurring additional manpower and expenditures.

- End -

CONTROLLING OFFICER'S REPLY

ICAC019

(Question Serial No. 0428)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Has the Corruption Prevention Department ever examined the work procedures of the Marine Department to identify and plug the corruption loopholes, in particular the administration of the Hong Kong Shipping Register, the survey procedures and the work procedures in combating oil pollution in Hong Kong waters, collecting vessel-generated refuse and scavenging floating refuse in specified areas of Hong Kong waters?

If so, please provide a summary. If not, when will there be an examination?

Asked by: Hon Dennis KWOK (Member Question No. 1)

Reply:

The Marine Department (MD) and the Corruption Prevention Department (CPD) meet regularly to review potential areas of work of the MD warranting corruption prevention study. Regarding the areas of work mentioned in the question, the CPD has conducted reviews in the past 10 years on the related subjects, including "Work of the Security and Quality Assurance Section", "Licensing of Local Vessels", "Harbour Patrols" and "Letting and Administration of Marine Cleaning Contracts". The CPD will continue to keep in view the need for carrying out further assignment studies in these as well as other areas.

- End -

CONTROLLING OFFICER'S REPLY

ICAC020

(Question Serial No. 1227)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

1. As shown by statistics, the Independent Commission Against Corruption received a total of 1 834 pursuable corruption reports (excluding election-related reports) in 2015, representing an increase of about 23% as compared with 1 495 in 2014. According to records, the number of pursuable corruption reports received in 2014 was about 11% less than the 2013 figure of 1 673, which was about 41% less than the 2012 figure of 2 832. Please inform the Committee of the reasons for the sudden increase in corruption reports last year and the main areas involved. Will the Administration increase manpower to deal with corruption cases of these categories?
2. It was pointed out by the ICAC that, given the complexity and magnitude of many corruption cases, investigation work of the Operations Department remained onerous. Please inform the Committee of the number of ICAC officers currently responsible for handling complaints and investigation work. Does the ICAC find it necessary to increase manpower to cope with the investigation work? If so, what are the details?

Asked by: Hon CHUNG Kwok-pan (Member Question No. 35)

Reply:

1. The number of corruption reports received by the Independent Commission Against Corruption (ICAC) fluctuated in the past and the figure rose by 23% in 2015 as compared to that of 2014. Of the reports received, the numbers of corruption complaints involving different sectors, namely government departments, public bodies and the private sector, rose by 18%, 12% and 25% respectively. The fluctuation in the number of corruption reports was attributable to many factors. One of the factors behind the increase in corruption reports received in 2015 was possibly the ICAC's continuous publicity campaign in recent years to encourage the public to report corruption, which has been well received by the community and proved effective.

2. At present, a total of 465 officers in the Operations Department of the ICAC are directly responsible for handling complaints and investigation work. The ICAC has been granted approval to create 2 Commission Against Corruption Officer (Middle/Lower) posts in 2016-17 to deal with its increasingly heavy and complex investigation work. The ICAC will review its manpower from time to time and redeploy its staff when necessary to ensure that its investigation work will not be affected by any manpower shortage. If additional manpower is required due to investigation needs, the Commission will make the request in accordance with the established procedures.

- End -

CONTROLLING OFFICER'S REPLY

(Question Serial No. 1309)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In 2016-17, the Community Relations Department of the Commission will continue to provide preventive education services on building management to owners' corporations. In this regard, please advise of the following:

1. How many corruption reports concerning bid-rigging in building maintenance works were received by the Commission in the past 3 years? How many cases were pursued and not pursued respectively? How many cases are being handled?
2. In 2016-17, will the Commission step up publicity and education efforts against corruption in building maintenance for owners and tenants of private buildings (including old buildings)? If so, what are the details of the additional resources, staff establishment and the specific details of the publicity programmes? Was any assessment conducted on the efficacy of previous publicity and education efforts?
And
3. In 2016-17, will additional resources be allocated to strengthen cooperation with the Hong Kong Police Force and other related departments to jointly combat corrupt activities involved in building maintenance? If so, what are the details of the expenditure and the manpower involved? If not, what are the reasons?

Asked by: Hon Tony TSE Wai-chuen (Member Question No. 28)

Reply:

1. Building maintenance corruption complaints may involve bid-rigging or other malpractices. The Independent Commission Against Corruption (ICAC) does not maintain statistical breakdown in respect of the different types of malpractices. In the past 3 years, the number of corruption complaints received by the ICAC in relation to building maintenance and the corresponding number of pursuable complaints, completed investigations and on-going investigations are as follows:

Year	Corruption complaints	Pursuable complaints	Completed investigations ^	On-going investigations ^
2013	278	194	192	2
2014	216	177	175	2
2015	268	222	112	110
Total	762	593	479	114

^ As at 8 March 2016

2. To formulate work objectives in a timely manner and plan anti-corruption publicity and educational activities on a sustainable basis, the ICAC always takes into consideration the development of society and the needs of service targets in reviewing its work strategies, allocating resources and evaluating work performance. With regard to building management, the ICAC attaches great importance to promoting integrity building management to flat owners, owners' corporations (OCs) (including the newly formed and those having received repair orders from the Buildings Department or the Fire Safety Directions) and other related organisations. Efforts have been made to explain the anti-corruption laws and related preventive measures as well as to introduce our Building Maintenance Toolkit to promote effective monitoring of building maintenance works.

In the past year, the ICAC organised seminars/workshops, talks, visits and other publicity and education activities, reaching over 8 600 people and 800 OCs/related organisations. Most of the participants considered these corruption prevention education and publicity activities effective.

The ICAC also produced toolkits on daily operation of building management, financial management and building maintenance advising OCs to take useful corruption preventive measures to help reduce the opportunities of corruption in daily operation and building maintenance. The toolkits and educational videos were uploaded onto the ICAC "Integrity and Quality Building Management" website for reference of the public. In 2015, the website recorded a view count of over 380 000.

The Community Relations Department (CRD) of the ICAC also provides enquiry services to OCs and flat owners through its Regional Offices and the "Integrity Building Management Enquiry Hotline". More than 860 building management related enquiries were handled by the ICAC in the past year.

In 2016-17, the ICAC will continue the above services to provide corruption preventive education to the building management bodies and flat owners. It will also enhance cooperation with relevant government departments and professional bodies.

3. On the law enforcement front, the Operations Department of the ICAC has an established investigation group comprising 43 officers dedicated to investigation of all corruption cases relating to building management and maintenance. The Operations Department regularly reviews the trend of such complaints as well as gravity and complexity of the cases, so that its resources and manpower can be flexibly deployed. If necessary, it will consider setting up a task force to cope with investigation needs

and step up enforcement actions against related corrupt activities. For instance, in April 2015, the Operations Department set up a task force comprising 9 officers to investigate a number of interrelated complaints. The task force successfully cracked several cases in which bid-rigging and corruption were involved in the tendering exercises for the renovation contracts of several housing estates and residential buildings. In addition, for cases involving corruption in the course of tendering exercises, the Operations Department will take enforcement actions in a timely manner to combat bid-rigging activities at an early stage in order to prevent flat owners from suffering losses. This could also serve to remind flat owners and other stakeholders of the risks involved. It is believed that such enforcement actions could effectively combat and deter the relevant corrupt activities.

If the ICAC discovers illegal activities other than corruption in the course of investigation of individual cases, the ICAC will, in accordance with the established procedures, liaise closely with other relevant departments or organisations including the Hong Kong Police Force, the Home Affairs Department and the Competition Commission, etc.

Over the years, the ICAC has collaborated closely with the Hong Kong Police Force, the Home Affairs Department and the Urban Renewal Authority to promote integrity and corruption prevention in building maintenance through providing support to the various schemes (such as RenoSafe Scheme and Operation Building Bright) and holding of regional seminars for OCs and flat owners. The ICAC will continue to work closely with the relevant government departments and public organisations to assist flat owners in preventing corruption in their building maintenance projects.

The activities mentioned in paras. 2 and 3, which are the daily work of the ICAC, are not separately budgeted for.

- End -

CONTROLLING OFFICER'S REPLY

ICAC022

(Question Serial No. 3301)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

1. Please list the number of ICAC investigations and the number of prosecutions in the past 5 years as a result of the proactive approach taken by the Operations Department in investigation.
2. Please list, by the amounts involved, the number of persons prosecuted for corruption and related offences in the past 5 years.
3. Please list, by the 7 items under Section 12(b) of the Independent Commission Against Corruption Ordinance (Cap 204), the number of persons prosecuted in the past 5 years.

Asked by: Hon Albert HO Chun-yan (Member Question No. 50)

Reply:

1. The number of corruption investigations and prosecutions in the past 5 years as a result of the proactive approach taken by the Independent Commission Against Corruption (ICAC) is as follows:

	No. of Investigations	No. of Persons Prosecuted^
2011	53	25
2012	33	24
2013	38	15
2014	51	15
2015	47	22

2. The ICAC has not categorised the number of persons prosecuted by the amounts involved, and therefore cannot provide such information. The number of persons prosecuted for corruption and related offences in the past 5 years is as follows:

	2011	2012	2013	2014	2015
No. of Persons Prosecuted [^]	283	245	220	223	213

3. The number of persons prosecuted in the past 5 years categorised by the offences referred to under Section 12(b) of the Independent Commission Against Corruption Ordinance (Cap 204)* is as follows:

		No. of Persons Prosecuted [^]				
Section 12(b)	Offence	2011	2012	2013	2014	2015
(i)	Offences under the Independent Commission Against Corruption Ordinance (including offences connected with or facilitated by corruption)	178	115	130	161	157
(ii) and (v)	Offences under the Prevention of Bribery Ordinance (Cap 201) (including conspiracy)	97	81	85	61	50
(iii) and (vi)	Offences under the Elections (Corrupt and Illegal Conduct) Ordinance (Cap 554) (including conspiracy)	8	49 [#]	5	1	6
(iv) and (vii)	Offences of blackmail committed by a prescribed officer by or through the misuse of his office (including conspiracy)	0	0	0	0	0
	Total	283	245	220	223	213

[^] The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings. The figures in the above table denote the number of prosecutions. If a person is prosecuted in different cases, calculation of the figures will take into account the number of prosecutions against that person.

* The figures categorised by offences reflect only the primary charge against each person prosecuted, i.e. if a person is prosecuted for multiple offences in a case,

categorisation and calculation of the figures will take into account only the primary charge against that person.

Including 8 persons prosecuted for offences under the Electoral Affairs Commission (Registration of Electors) (Legislative Council Geographical Constituencies) (District Council Constituencies) Regulation (Cap 541A) as a result of election-related investigations.

- End -

CONTROLLING OFFICER'S REPLY

ICAC023

(Question Serial No. 4167)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The actual number of government officers recommended for disciplinary or administrative action in 2015 was 90, which was higher than 48 persons in 2014. What measures will the Administration implement to improve the relevant situation? What is the manpower responsible for handling these cases? Has the Administration provided additional funding to cope with the increased workload? If so, please give details? If not, what are the reasons?

Asked by: Prof Hon Joseph LEE Kok-long (Member Question No. 68)

Reply:

In 2015, upon the conclusion of relevant investigations by the Independent Commission Against Corruption (ICAC) and the endorsement of the Operations Review Committee, a total of 33 cases involving 90 government officers were referred to the respective heads of departments for consideration of disciplinary and/or administrative action. Although fewer cases were referred in 2015 as compared to 39 cases in 2014, the number of persons has seen a rise because there was a case involving 41 non-civil service contract staff members who had submitted false sick leave certificates to their respective departments. The departments concerned were responsible for the related disciplinary and/or administrative work, and hence ICAC resources are not involved.

The ICAC will analyse individual corruption cases and provide the government departments concerned with tailor-made corruption prevention services, including conducting assignment studies on areas with incidents of corruption.

In addition, the ICAC has been proactively assisting government departments to review their integrity management strategies. Taking into consideration individual departments' actual circumstances and needs (including common staff integrity problems), the ICAC provides tailor-made corruption preventive education services to the departments through induction courses for civil servants, thematic workshops and refresher training courses.

The ICAC also actively participates in departments' integrity steering committees, corruption prevention groups and senior staff meetings, etc., with a view to reviewing or assisting in the implementation of various training programmes to enhance the staff's awareness on corruption prevention and integrity culture of the departments.

Apart from conducting talks and workshops, the Community Relations Department of the ICAC launched the "Web Learning Portal on Integrity Management for Civil Servants" in 2015 to enable a wider coverage among civil servants. This package has been uploaded onto the *Cyber Learning Centre Plus*, an e-learning portal of the Civil Service Training and Development Institute, to provide diversified and handy resources on integrity training for civil servants.

- End -

CONTROLLING OFFICER'S REPLY

(Question Serial No. 4168)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

With regard to enhancing the professional and investigative capabilities of investigating officers, what are the details? What are the resources and the number of persons involved?

Asked by: Prof Hon Joseph LEE Kok-long (Member Question No. 69)

Reply:

To cope with the increasingly complex corruption investigation work, the Operations Department of the Independent Commission Against Corruption (ICAC) will continue to strengthen its human resource management strategies through professional and structural training programmes for enhancement of the overall professional and investigative capabilities of investigating officers. The details are as follows:

- The Operations Department has set up a Curriculum Committee to regularly review the content and arrangement of different training programmes and to formulate well-defined training plans for investigating officers with a view to providing them with more systematic and professional training for enhancement of their investigative capabilities. Upon review, the total durations of induction training conducted at different stages for Assistant Investigators and Investigators have been extended to 21 weeks and 22 weeks respectively, and the programme content on legal knowledge, investigative skills, work procedures and integrity management has been reinforced. Moreover, different command courses are provided for newly promoted Investigators, Senior Investigators and Chief Investigators to strengthen their investigative capabilities and leadership skills.
- The Operations Department also provides refresher training and organises professional knowledge workshops on different topics for serving investigating officers, so that they can keep up to date on legal knowledge, investigative skills, as well as the operation and information of different trades or fields.

- To enhance the financial investigation capabilities of investigating officers in a more systematic and comprehensive manner, the Operations Department not only includes progressive training on a great variety of financial investigation topics in the induction courses, but also arranges for the Forensic Accounting Group to regularly provide investigating officers of different ranks with advanced training on financial investigation to enrich their knowledge. The Operations Department also arranges for officers to attend local and overseas professional financial investigation training courses, so as to intensify the exchanges with local and overseas law enforcement agencies and allow the officers to gain a better understanding of the latest developments of the relevant issues.
- To enhance their computer forensics capabilities, officers of the Computer Forensics Section of the Operations Department would from time to time attend computer forensics courses and lectures organised by local and overseas law enforcement agencies and international computer forensics organisations to keep abreast of the latest computer forensics techniques and procedures. Officers of the Section also maintain close liaison with computer forensics experts from local and overseas law enforcement agencies for sharing of knowledge, skills and experience in computer forensics through work exchanges.

As the above are regular items which have already been included in the recurrent expenditure, the ICAC has not made separate estimates for this end.

- End -

CONTROLLING OFFICER'S REPLY

ICAC025

(Question Serial No. 3485)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (1) Corruption Prevention, (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Previously the 2 corruption cases involving former principal officials in Hong Kong, including the successful prosecution against a former Chief Secretary for Administration and a number of prominent businessmen as well as the on-going trial of the former Chief Executive TSANG Yam-kuen for his alleged acceptance of advantages, have shaken public confidence in public officers' determination to maintain integrity in Hong Kong. For the 2nd year in a row, no mention of maintaining integrity was made in the Civil Service paragraphs of the 2016 Policy Address, which has also shaken public confidence in public officers. In what way will the Administration rekindle public confidence in probity, and will there be a large-scale review targeting the public sector to prevent corruption from arising again in the civil service?

Asked by: Hon CHAN Chi-chuen (Member Question No. 31)

Reply:

- Over the years, the government remains clean and there is no sign of a revival of syndicated corruption within the civil service. The overall situation of corruption complaints against government officers remained stable in recent years and the recent corruption cases involving senior government officials are only isolated incidents.
- To ensure integrity in the civil service, the Independent Commission Against Corruption (ICAC) will continue to organise talks for the management and officers of different ranks of all bureaux and departments. The ICAC will also work with the Civil Service Bureau (CSB) to launch various measures and activities to enhance civil servants' integrity. In view of the corruption cases happened in recent years, the ICAC will set priorities with departments on areas of assignment studies.
- In late 2013, the ICAC and the CSB jointly launched a revised version of the sample code of conduct for civil servants and provide tailor-made preventive services for departments on request to ensure that the conduct of civil servants lives up to the

public expectation.

- Since 2006, the ICAC has joined hands with the CSB in launching the “Ethical Leadership Programme” (ELP). Under the ELP, each government department has appointed a senior officer to serve as its “Ethics Officer” to formulate, coordinate and steer the integrity promotion programmes of the department. Departments are required to report every 2 years on their work of anti-corruption and probity culture promotion carried out and completed during the period, as well as to regularly review integrity management strategies and enhance integrity training in light of the latest development. Currently, there are over 160 Ethics Officers and Assistant Ethics Officers from more than 70 bureaux/departments. The ICAC and the CSB jointly organise thematic workshops on a regular basis to facilitate the exchange of experience on integrity issues among Ethics Officers.
- The ICAC has been working with the CSB to continue stepping up efforts to enhance the integrity culture among high ranking civil servants (including directorate officers). In December 2015, the ICAC Commissioner attended for the first time the “Advanced Leadership Enhancement Programme” organised by the Civil Service Training and Development Institute (CSTDI) to share with course participants at D1 or D2 directorate level the importance of integrity leadership. The Community Relations Department (CRD) plans to organise thematic seminars for high ranking civil servants, which will cover the common law offence of Misconduct in Public Office, handling conflict of interests and managing staff integrity, etc.
- As for individual departments, the ICAC has been proactively assisting government departments to review their integrity promotion strategies. Taking into consideration individual departments’ actual circumstances and needs (including serious and common staff integrity problems), tailor-made corruption preventive education services are provided to civil servants of all ranks through induction courses, thematic workshops and refresher training courses. The ICAC also actively participates in the departments’ integrity steering committees, corruption preventive groups and senior staff meetings, etc., with a view to assisting the departments in enhancing their staff’s awareness on corruption prevention and entrenching the integrity culture.
- To address the heightening public concerns over conflict of interest encountered by civil servants, the ICAC launched a “Reference Package on Conflict of Interest for Managers in the Civil Service” in 2012, and approached all bureaux/departments in 2012-14 to promote the package to the senior management, while organising thematic workshops for individual departments at the same time. The CRD will continue to closely monitor the latest corruption trend and proactively approach bureaux/departments with more corruption complaints to offer timely and enhanced corruption preventive training for their staff.
- To provide a convenient means for civil servants to receive integrity training, the ICAC launched in 2015 the “Web Learning Portal on Integrity Management for Civil Servants”, which was uploaded onto the Cyber Learning Centre Plus of the CSTDI. The Portal serves as a continuous integrity training platform, which enables civil servants who cannot attend face-to-face training to conduct web learning. The CRD has been promoting the Portal to the management of bureaux/departments to

encourage the effective use of web-based resources in strengthening integrity training for their staff.

- Moreover, the ICAC formulated an “Integrity and Corruption Prevention Guide on Managing Relationship with Public Servants” for reference by the business sector. The Guide explains the rules and regulations which govern the acceptance of advantages and entertainment by public servants, and the offering of advantages to public servants. It also provides guidance and the relevant safeguards against malpractices for reference by business operators having dealings with public servants. In March this year, the ICAC wrote to government departments, requesting them to promote the Guide to their suppliers, service contractors, licensees and subvented organisations. The electronic version of the Guide was also uploaded to the ICAC website for use by the relevant organisations or individuals.

- End -

CONTROLLING OFFICER'S REPLY

ICAC026

(Question Serial No. 5538)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): Not Specified

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The number of corruption complaints concerning building management and construction remained high in the past year, and was characterised by a year-on-year increase. Among the building maintenance projects of various large private housing estates carried out in recent years, there have been cases where maintenance companies were involved in serious bid-rigging activities, some of which even involved exorbitant maintenance fees. The earlier arrests of members of relevant companies and owners' corporations involved in bid-rigging activities had little effect in curbing minority flat owners from being exploited in maintenance projects. Some successful tenderers, upon being awarded the contract, employed different tactics by adding new works items, such as replacement of aluminum window frames at the communal passages of the building, which were not covered in the original tender documents, and charged the flat owners for the cost. It was reported that some maintenance companies have been prosecuted for contravening the Trade Descriptions Ordinance. In this regard, did the ICAC take any follow-up action on these problems concerning building maintenance? Is corruption involved? If corruption is not involved, what can be done to educate the minority flat owners on how to deal with the maintenance companies' indiscriminate alteration of works in tender documents? Moreover, how many corruption complaints concerning bid-rigging activities in buildings have been received in the past and how many of them ended up with successful prosecution?

Asked by: Hon CHAN Chi-chuen (Member Question No. 307)

Reply:

- If corruption is suspected in bid-rigging activities concerning building maintenance works, the Independent Commission Against Corruption (ICAC) will conduct investigations in accordance with the law and, if there is evidence, refer the case to the Department of Justice for deciding whether prosecution should be instituted.
- Building maintenance corruption complaints may involve bid-rigging or other malpractices. Though the ICAC does not maintain statistical breakdown in respect of

the different types of malpractices, the Commission set up a task force in 2015 to investigate a number of interrelated complaints. The task force successfully cracked several cases in which bid-rigging and corruption were involved in the tendering exercises for the renovation contracts of several housing estates and residential buildings. A former proprietor of an engineering company involved is awaiting sentencing after pleading guilty to 4 counts of bribery offences. The relevant investigations and criminal proceedings are still in progress.

- In 2013, the ICAC promulgated a new Building Maintenance Toolkit which provided corruption prevention guidelines and advice on building maintenance projects for reference of owners' corporations (OCs)/flat owners. Such advice covers areas including identifying and deciding on the scope of works before inviting tenders, laying down clear tender specifications and implementing control measures concerning the alterations and procedures of works. Meanwhile, the ICAC also conducted district talks in collaboration with the Home Affairs Department and the Urban Renewal Authority to promote the preventive measures recommended in the Toolkit to members of the OC management committees. The Toolkit has been uploaded onto the ICAC "Integrity and Quality Building Management" thematic website for reference of the public. In 2015, the website recorded a view count of over 380 000. In addition, the Commission's Corruption Prevention Advisory Service also provides free and tailor-made preventive advice for OCs on matters concerning building maintenance.
- The ICAC has all along attached great importance to promoting integrity building management to flat owners, OCs (including the newly formed OCs and those having received repair orders from the Buildings Department or the Fire Safety Directions) and other related organisations. Flat owners are also reminded to actively take part in building management and effectively monitor the building maintenance projects. In the past year, over 8 600 people and 800 OCs/related organisations were reached through building management district seminars/workshops, talks, visits and other publicity and educational activities.
- The Community Relations Department of the ICAC also provides enquiry services to OCs and flat owners through its Regional Offices and the "Integrity Building Management Enquiry Hotline". More than 860 building management related enquiries were handled by the ICAC in the past year.
- In 2016-17, the ICAC will continue to provide preventive education services to building management bodies and flat owners in collaboration with the relevant government departments, public bodies, professional bodies and district organisations.

- End -

CONTROLLING OFFICER'S REPLY

ICAC027

(Question Serial No. 6167)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Over the past 5 years, in respect of the ICAC's work and the following committees:

1. Advisory Committee on Corruption
2. Operations Review Committee
3. Corruption Prevention Advisory Committee
4. Citizens Advisory Committee on Community Relations
5. ICAC Complaints Committee

please provide a respective breakdown of the following by year and committee:

1. membership;
2. appointment criteria;
3. attendance rate of members;
4. details of meeting (e.g. venue, time, attendees and their number, agenda and minutes of meeting, etc);
5. expenditure and manpower resources involved;
6. work effectiveness; and
7. future work plan.

Asked by: Dr Hon Kenneth CHAN Ka-lok (Member Question No. 182)

Reply:

1. Please refer to the Appendix for membership of the 5 committees (for 2011-15).
2. Appointment Criteria^{Note} : The government's appointment criteria for non-official members of advisory bodies are based on the principle of selection by capabilities. In this respect, the competence, expertise and experience, etc. of the persons concerned will be taken into account. Full consideration will also be given to the functions and job nature of the advisory bodies. When appointing members for the 4 ICAC advisory committees (i.e. the Advisory Committee on Corruption, the Operations Review Committee, the Corruption Prevention Advisory Committee and the Citizens Advisory

Committee on Community Relations) and the ICAC Complaints Committee, the Government will strictly follow the above principle of selection by capabilities for the engagement of suitable persons to serve as chairmen and members of various committees.

3. The attendance rates* of members of the 5 committees (for 2011-15) are as follows:

Year	Advisory Committee on Corruption	Operations Review Committee	Corruption Prevention Advisory Committee	Citizens Advisory Committee on Community Relations	ICAC Complaints Committee Note
2011	70%	76%	83%	80%	91.7%
2012	86%	83%	79%	80%	69.6%
2013	90%	83%	81%	73%	87.5%
2014	87%	79%	72%	73%	87.5%
2015	80%	87.5%	77%	70%	87.5%

* Calculation method:

$$\frac{\text{Total number of meetings of the year attended by members}}{\text{Total number of meetings of the year} \times \text{Total number of members of the year}} \times 100\%$$

4. Apart from the ICAC Complaints Committee meetings which are held in the conference rooms of the Government Headquarters, meetings of the 4 ICAC advisory committees are held in the ICAC Headquarters Building.

No. of meetings held each year	Advisory Committee on Corruption	Operations Review Committee	Corruption Prevention Advisory Committee	Citizens Advisory Committee on Community Relations	ICAC Complaints Committee Note
	3	8	6	4	3

5. The expenditure and manpower resources for the operation of the ICAC Complaints Committee include secretarial and other auxiliary services provided by 2 to 3 staff members of the Administration Wing of the Chief Secretary for Administration's Office on part-time basis and the relevant miscellaneous expenses (such as photocopying of documents, refreshments during meetings, etc.) funded by the Administration Wing^{Note}.

The manpower resources and expenses of the other 4 ICAC committees include secretarial services provided by 1 to 2 staff members, on part-time basis assigned by the ICAC for each committee and the relevant miscellaneous expenses funded by the ICAC.

6. & 7.

The 5 committees publish annual reports each year to provide brief accounts of their work over the past year. The annual reports are submitted to the Legislative Council and available for public access respectively on the websites of the Administration Wing and the ICAC, or at the ICAC Headquarters or its Regional Offices.

Note The relevant information was provided by the Administration Wing

Appendix

List of Membership of ICAC Advisory Committees 廉政公署諮詢委員會委員名單 (2011)

Advisory Committee on Corruption 貪污問題諮詢委員

The Hon Mrs Laura M Cha, GBS, JP (Chairman)

The Hon CHAN Kam-lam, SBS, JP

The Hon Jeffrey LAM Kin-fung, SBS, JP

Ms Shelley LEE Lai-kuen, GBS, JP

Mr Rimsky YUEN Kwok-keung, SC, JP

Ms MacPherson, Ayesha Abbas

Prof TSUI Lap-chee, JP

Ex officio 當然委員：

Mr Michael SZE Cho-cheung, GBS, JP

Mr Philip CHEN Nan-lok, SBS, P

Prof John LEONG Chi-yan, SBS, JP

史美倫議員, GBS, JP (主席)

陳鑑林議員, SBS, JP

林健鋒議員, SBS, JP

李麗娟女士, GBS, JP

袁國強先生, SC, JP

麥嘉軒女士

徐立之教授, JP

施祖祥先生, GBS, JP

陳南祿先生, SBS, JP

梁智仁教授, SBS, JP

Operations Review Committee 審查貪污舉報諮詢委員會

Mr Michael SZE Cho-cheung, GBS, JP (Chairman)

Mr Roger LUK Koon-hoo, BBS, JP

Mr SIN Chung-kai, SBS, JP

Ms Winnie TAM Wan-chi, SC

Prof Stephen CHEUNG Yan-leung, BBS, JP

Mr Andrew BRANDLER

Mr Nicholas Peter SNAITH

Miss Maria TAM Wai-chu, GBS, JP

Dr Winnie TANG Shuk-ming, JP

Prof Terry AU Kit-fong

Dr the Hon Joseph LEE Kok-long, SBS, JP

Ir Dr LI Kai-shun

Mr Anthony TAN Engnam, MH

施祖祥先生, GBS, JP (主席)

陸觀豪先生, BBS, JP

單仲偕先生, SBS, JP

譚允芝女士, SC

張仁良教授, BBS, JP

包立賢先生

史樂夫先生

譚惠珠女士, GBS, JP

鄧淑明博士, JP

區潔芳教授

李國麟博士, SBS, JP

李啓信博士

陳蔭楠先生, MH

Corruption Prevention Advisory Committee 防止貪污諮詢委員會

Mr Philip CHEN Nan-lok, SBS, JP (Chairman)

Mr Albert AU Siu-cheung

Mr CHEUNG Tat-tong

Mr CHEW Fook-aun

Ir Prof Reuben CHU Pui-kwan, JP

Dr Susan FAN Yun-sun

Dr Allen FUNG Yuk-lun

Mrs Bethy TAM HO Kum-man

Ms Betty HO Siu-fong

Mr Adrian WONG Koon-man, MH, JP

Dr Kelvin WONG Tin-yau

Ms Irene YAU Oi-yuen

陳南祿先生, SBS, JP (主席)

區嘯翔先生

張達棠先生

周福安先生

朱沛坤教授, JP

范瑩孫醫生

馮玉麟博士

譚何錦文女士

何小芳女士

黃冠文先生, MH, JP

黃天祐博士

邱藹源女士

Citizens Advisory Committee on Community Relations 社區關係市民諮詢委員會

Prof John LEONG Chi-yan, SBS, JP (Chairman)

Miss Lisa LAU Man-man, MH, JP

Mr CHOW Yick-hay, BBS, JP

Dr LI Pang-kwong

Mr Christopher YU Wing-fai, MH

Mr Simon IP Shing-hing, JP

Mrs Yvonne LAW SHING Mo-han

Dr David WONG Yau-kar, JP

The Hon Paul CHAN Mo-po, MH, JP

Mrs April CHAN YIU Wai-yee

Dr Lewis LUK Tei

Mr Irons SZE

Mrs Agnes KOON WOO Kam-oi

Prof John LEE Chi-kin, JP

Ms Veronica MA Kit-ching, MH

Dr Royce YUEN Man-chun, JP

梁智仁教授, SBS, JP (主席)

劉文文女士, MH, JP

周奕希先生, BBS, JP

李彭廣博士

余榮輝先生, MH

葉成慶先生, JP

羅盛慕嫻女士

黃友嘉博士, JP

陳茂波議員, MH, JP

陳姚慧兒女士

陸地博士

施榮懷先生

管胡金愛女士

李子建教授, JP

馬潔貞女士, MH

袁文俊博士, JP

Independent Commission Against Corruption Complaints Committee 廉政公署事宜投訴委員會^註

Dr the Hon LEONG Che-hung, GBM, GBS, JP (Chairman)

梁智鴻醫生, GBM, GBS, JP (主席)

Mr CHAN Chi-hung, SC
The Hon Albert HO Chun-yan
Mrs Stella LAU KUN Lai-kuen, JP
Ms Angela LEE Wai-yin, BBS, JP
The Hon Mrs Sophie LEUNG LAU Yau-fun, GBS, JP
The Hon YEH V-nee, JP
Mr Tony MA (Representative of The Ombudsman)

陳志鴻先生, SC
何俊仁議員
劉靳麗娟女士, JP
李慧賢女士, BBS, JP
梁劉柔芬議員, GBS, JP
葉維義議員, JP
馬啟濃先生 (申訴專員代表)

List of Membership of ICAC Advisory Committees 廉政公署諮詢委員會委員名單 (2012)**Advisory Committee on Corruption 貪污問題諮詢委員**

The Hon Mrs Laura M CHA, GBS, JP (Chairman)
 The Hon CHAN Kam-lam, SBS, JP
 The Hon Jeffrey LAM Kin-fung, GBS, JP
 Ms Shelley LEE Lai-kuen, GBS, JP
 Prof Timothy TONG Wai-cheung, JP
 Mr Rimsky YUEN Kwok-keung, SC, JP
 Mrs Ayesha MacPherson LAU

史美倫議員, GBS, JP (主席)
 陳鑑林議員, SBS, JP
 林健鋒議員, GBS, JP
 李麗娟女士, GBS, JP
 唐偉章教授, JP
 袁國強先生, SC, JP
 劉麥嘉軒女士

Ex officio 當然委員：

Mr Michael SZE Cho-cheung, GBS, JP
 Mr Albert AU Siu-cheung
 Prof John LEONG Chi-yan, SBS, JP

施祖祥先生, GBS, JP
 區嘯翔先生
 梁智仁教授, SBS, JP

Operations Review Committee 審查貪污舉報諮詢委員會

Mr Michael SZE Cho-cheung, GBS, JP (Chairman)
 Mr Roger LUK Koon-hoo, BBS, JP
 Mr SIN Chung-kai, SBS, JP
 Ms Winnie TAM Wan-chi, SC
 Prof Stephen CHEUNG Yan-leung, BBS, JP
 Mr Andrew BRANDLER
 Mr Nicholas Peter SNAITH
 Miss Maria TAM Wai-chu, GBS, JP
 Dr Winnie TANG Shuk-ming, JP
 Dr the Hon Joseph LEE Kok-long, SBS, JP
 Ir Dr LI Kai-shun
 Mr Anthony TAN Engnam, MH
 Ms KWAN Sau-ha

施祖祥先生, GBS, JP (主席)
 陸觀豪先生, BBS, JP
 單仲偕先生, SBS, JP
 譚允芝女士, SC
 張仁良教授, BBS, JP
 包立賢先生
 史樂夫先生
 譚惠珠女士, GBS, JP
 鄧淑明博士, JP
 李國麟博士, SBS, JP
 李啓信博士
 陳蔭楠先生, MH
 關秀霞女士

Corruption Prevention Advisory Committee 防止貪污諮詢委員會

Mr Albert AU Siu-cheung (Chairman)
 Mr CHEUNG Tat-tong
 Mr CHEW Fook-aun
 Ir Prof Reuben CHU Pui-kwan, JP
 Dr Allen FUNG Yuk-lun
 Mrs Bethy TAM HO Kum-man
 Ms Betty HO Siu-fong
 Dr Cindy LAM Lo-kuen
 Miss Nancy TSANG Lan-see, JP
 Mr Adrian WONG Koon-man, MH, JP
 Dr Kelvin WONG Tin-yau
 Ms Irene YAU Oi-yuen

區嘯翔先生 (主席)
 張達棠先生
 周福安先生
 朱沛坤教授, JP
 馮玉麟博士
 譚何錦文女士
 何小芳女士
 林露娟醫生
 曾蘭斯女士, JP
 黃冠文先生, MH, JP
 黃天祐博士
 邱藹源女士

Citizens Advisory Committee on Community Relations 社區關係市民諮詢委員會

Prof John LEONG Chi-yan, SBS, JP (Chairman)
 The Hon Paul CHAN Mo-po, MH, JP
 Mr CHOW Yick-hay, BBS, JP
 Dr LI Pang-kwong, JP
 Mr Christopher YU Wing-fai, MH
 Mr Simon IP Shing-hing, JP
 Mrs Yvonne LAW SHING Mo-han
 Dr David WONG Yau-kar, JP
 Mrs April CHAN YIU Wai-yee
 Dr Lewis LUK Tei
 Mr Irons SZE, JP
 Mrs Agnes KOON WOO Kam-oi
 Prof John LEE Chi-kin, JP
 Ms Veronica MA Kit-ching, MH
 Dr Royce YUEN Man-chun, JP
 Ms Katherine CHEUNG Marn-kay

梁智仁教授, SBS, JP (主席)
 陳茂波議員, MH, JP
 周奕希先生, BBS, JP
 李彭廣博士, JP
 余榮輝先生, MH
 葉成慶先生, JP
 羅盛慕嫻女士
 黃友嘉博士, JP
 陳姚慧兒女士
 陸地博士
 施榮懷先生, JP
 管胡金愛女士
 李子建教授, JP
 馬潔貞女士, MH
 袁文俊博士, JP
 章曼琪女士

Independent Commission Against Corruption Complaints Committee 廉政公署事宜投訴委員會^註

Dr LEONG Che-hung, GBM, GBS, JP
 Mr CHAN Chi-hung, SC

梁智鴻醫生, GBM, GBS, JP (主席)
 陳志鴻先生, SC

The Hon Albert HO Chun-yan
Mrs Stella LAU KUN Lai-kuen, JP
Ms Angela LEE Wai-yin, BBS, JP
Mrs Sophie LEUNG LAU Yau-fun, GBS, JP
Mr YEY V-nee, JP (from 1 January 2012 to 30 June 2012)

Mr Tony MA (Representative of The Ombudsman)

何俊仁議員
劉靳麗娟女士, JP
李慧賢女士, BBS, JP
梁劉柔芬女士, GBS, JP
葉維義先生, JP (由二零一二年一月一日至二零一二年六月三十日止)
馬啟濃先生 (申訴專員代表)

List of Membership of ICAC Advisory Committees 廉政公署諮詢委員會委員名單 (2013)**Advisory Committee on Corruption 貪污問題諮詢委員**

The Hon CHOW Chung-kong (Chairman)	周松崗議員 (主席)
The Hon Andrew LEUNG Kwan-yuen, GBS, JP	梁君彥議員, GBS, JP
The Hon Christopher CHUNG Shu-kun, BBS, MH, JP	鍾樹根議員, BBS, MH, JP
Ms Shelley LEE Lai-kuen, GBS, JP	李麗娟女士, GBS, JP
Mrs Ayesha MacPherson LAU, JP	劉麥嘉軒女士, JP
Prof Timothy TONG Wai-cheung, JP	唐偉章教授, JP
Ms Winnie TAM Wan-chi, SC	譚允芝女士, SC
<u>Ex officio 當然委員：</u>	
Mr Michael SZE Cho-cheung, GBS, JP	施祖祥先生, GBS, JP
Mr Albert AU Siu-cheung	區嘯翔先生
Prof John LEONG Chi-yan, SBS, JP	梁智仁教授, SBS, JP

Operations Review Committee 審查貪污舉報諮詢委員會

Mr Michael SZE Cho-cheung, GBS, JP (Chairman)	施祖祥先生, GBS, JP (主席)
Prof Stephen CHEUNG Yan-leung, BBS, JP	張仁良教授, BBS, JP
Mr Andrew BRANDLER	包立賢先生
Mr Nicholas Peter SNAITH	史樂夫先生
Miss Maria TAM Wai-chu, GBS, JP	譚惠珠女士, GBS, JP
Dr Winnie TANG Shuk-ming, JP	鄧淑明博士, JP
Dr the Hon Joseph LEE Kok-long, SBS, JP	李國麟議員, SBS, JP
Mr Anthony TAN Engnam, MH	陳蔭楠先生, MH
Ms KWAN Sau-ha	關秀霞女士
Ms Irene CHOW Man-ling	周雯玲女士
Ir Henry LAM Hing-cheung	林慶樟工程師
Mr TIK Chi-yuen, BBS, JP	狄志遠先生, BBS, JP
Mr John YAN Mang-ye, SC	甄孟義先生, SC

Corruption Prevention Advisory Committee 防止貪污諮詢委員會

Mr Albert AU Siu-cheung (Chairman)	區嘯翔先生 (主席)
Mr CHEUNG Tat-tong	張達棠先生
Mr CHEW Fook-aun	周福安先生
Ir Prof Reuben CHU Pui-kwan, JP	朱沛坤教授, JP
Mrs Bethy TAM HO Kum-man	譚何錦文女士
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Mr Tony MA (Representative of The Ombudsman)	馬啟濃先生 (申訴專員代表)

^{Note} The relevant information was provided by the Administration Wing

- End -

CONTROLLING OFFICER'S REPLY

(Question Serial No. 6168)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Regarding the corruption prevention work of the ICAC, please advise of the following:

1. Please list out by year and department the number of corruption cases involving government departments, the number of people involved, the respective numbers of persons arrested, prosecuted and convicted as well as the maximum and minimum penalties in each of the past 5 years;
2. Please list out by department the details, expenses and manpower involved in the work plans to deal with corruption in government departments.

Asked by: Dr Hon Kenneth CHAN Ka-lok (Member Question No. 183)

Reply:

1. From 2011 to 2015 (in the past 5 years), the numbers of investigations, and persons prosecuted and convicted, arising from pursuable corruption complaints made to the Independent Commission Against Corruption (ICAC) involving government departments in each of the past 5 years as well as the maximum and minimum penalties are listed in Annexes 1 to 4.

The ICAC does not maintain statistics on the number of persons involved or arrested in each investigation, and therefore cannot provide such information.

2. The ICAC set up Corruption Prevention Groups with government departments for holding regular discussions with the senior management of the relevant departments on areas warranting assignment studies, such as law enforcement, licensing and regulatory systems, procurement, contract management, staff management and public works, etc. The ICAC also provides corruption prevention advice on policies before its

implementation with a view to plugging corruption loopholes at the source. To date, the ICAC already conducted assignment studies on the major working procedures of government departments.

In late 2013, the ICAC and the Civil Service Bureau (CSB) jointly launched a revised version of the sample code of conduct for civil servants and provide tailor-made preventive services for departments on request to ensure that the conduct of civil servants lives up to the public expectation.

Moreover, to help sustain a clean civil service, the ICAC has been working closely with government departments to review and formulate integrity management strategies and provide timely integrity training for government officers of different ranks.

Since 2006, the ICAC has joined hands with the CSB in launching the “Ethical Leadership Programme” (ELP). Under the ELP, each government department has appointed a senior officer to serve as its “Ethics Officer” to formulate, coordinate and steer the integrity promotion programmes of the department. Departments are required to report every 2 years on their work of anti-corruption and probity culture promotion carried out and completed during the period, as well as to regularly review integrity management strategies and enhance integrity training in light of the latest development. Currently, there are over 160 Ethics Officers and Assistant Ethics Officers from more than 70 bureaux/departments. The ICAC and the CSB jointly organise thematic workshops on a regular basis to facilitate the exchange of experience on integrity issues among Ethics Officers.

The ICAC also works with the CSB to continue stepping up efforts to enhance the integrity culture among high ranking civil servants (including directorate officers). In December 2015, the ICAC Commissioner attended for the first time the “Advanced Leadership Enhancement Programme” organised by the Civil Service Training and Development Institute (CSTDI) to share with course participants at D1 or D2 directorate level the importance of integrity leadership. The Community Relations Department (CRD) also plans to organise thematic seminars for high ranking civil servants, covering the common law offence of Misconduct in Public Office, handling conflict of interests and managing staff integrity, etc.

As for individual departments, the ICAC has been proactively assisting government departments to review their integrity promotion strategies. Taking into consideration individual departments’ actual circumstances and needs (including serious and common staff integrity problems), tailor-made corruption preventive education services are provided to civil servants of all ranks through induction courses, thematic workshops and refresher training courses. The ICAC also actively participates in the departments’ integrity steering committees, corruption preventive groups and senior staff meetings, etc., with a view to assisting the departments in enhancing their staff’s awareness on corruption prevention and entrenching the integrity culture.

To address the heightening public concerns over conflict of interest encountered by civil servants, the ICAC launched a “Reference Package on Conflict of Interest for Managers in the Civil Service” in 2012, and approached all bureaux/departments in

2012-14 to promote the package to the senior management, while organising thematic workshops for individual departments at the same time. The CRD will continue to closely monitor the latest corruption trend and proactively approach bureaux/departments with more corruption complaints to offer timely and enhanced corruption preventive training for their staff.

To provide a convenient means for civil servants to receive integrity training, the ICAC launched in 2015 the “Web Learning Portal on Integrity Management for Civil Servants”, which was uploaded onto the Cyber Learning Centre Plus of the CSTDI. The Portal serves as a continuous integrity training platform, which enables civil servants who cannot attend face-to-face training to conduct web learning. The CRD has been promoting the Portal to the management of bureaux/departments to encourage the effective use of web-based resources in strengthening integrity training for their staff.

Being part of the daily work of the ICAC, the above services are not separately budgeted for.

Number of ICAC investigations arising from pursuable corruption complaints
involving government departments (2011-15) *

Government Department	No. of Investigations				
	2011	2012	2013	2014	2015
Hong Kong Police Force	248	245	154	104	138
Lands Department	49	59	25	38	39
Food & Environmental Hygiene Department	116	84	46	48	33
Housing Department	42	43	19	14	24
Leisure & Cultural Services Department	24	32	30	16	22
Customs & Excise Department	20	18	8	13	15
Hongkong Post	11	22	8	7	14
Buildings Department	16	16	12	5	13
Electrical and Mechanical Services Department	9	9	2	4	12
Water Supplies Department	15	6	5	11	12
Fire Services Department	21	14	12	11	11
Home Affairs Department	12	15	7	12	11
Social Welfare Department	13	22	8	4	10
Immigration Department	14	13	7	10	9
Correctional Services Department	31	23	20	26	8
Agriculture, Fisheries and Conservation Department	7	7	3	6	7
Education Bureau	7	13	2	6	7

Department of Health	12	8	8	6	6
Transport Department	12	20	10	4	6
Judiciary	16	20	7	2	4

* Government departments involved in 5 or more investigations of pursuable corruption complaints per year on average in the past 5 years are listed in the table above.

Number of persons prosecuted for corruption and/or related offences
involving government departments (2011-15) *

Government Department	No. of Persons				
	2011	2012	2013	2014	2015
Auxiliary Medical Service					1
Chief Secretary for Administration's Office		1			
Civil Engineering and Development Department		1			
Correctional Services Department	6	1	1	2	
Customs & Excise Department					7
Department of Health				4	
Education Bureau			2	1	
Electrical and Mechanical Services Department					1
Environmental Protection Department				1	
Fire Services Department	2	1	2	3	
Food & Environmental Hygiene Department				2	1
Government Logistics Department					1
Hong Kong Police Force	3	1	2	8	3
Hongkong Post	2	2	3	1	
Housing Department				1	
Immigration Department			1	1	1

Independent Commission Against Corruption		1			
Lands Department		1			
Leisure & Cultural Services Department	5	1	3		
Radio Television Hong Kong	1				
Social Welfare Department			1		
Transport Department		1			
^ Others					1
Sub-total	19	11	15	24	16
Private Individuals (involved in cases relating to government department)	19	16	17	18	9
Total	38	27	32	42	25

* The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings. The figures in the above table denote the numbers of prosecutions. If a person is prosecuted in different cases, calculation of the figures will take into account the number of prosecutions against that person.

^ The case involves a former Chief Executive of HKSAR.

Number of persons convicted of corruption and/or related offences
involving government departments (2011-15) *

Government Department	No. of Persons				
	2011	2012	2013	2014	2015
Auxiliary Medical Service					1
Chief Secretary for Administration's Office				1	
Civil Engineering and Development Department			1		
Correctional Services Department	1	5	1	2	
Customs & Excise Department	1				1
Department of Health	1				4
Education Bureau			1	1	
Environmental Protection Department				1	
Fire Services Department		1	1	1	2
Food & Environmental Hygiene Department				1	1
Government Logistics Department					1
Highways Department	1				
Hong Kong Police Force	4	1	1	6	5
Hongkong Post	2	1	2		
Housing Department					1
Immigration Department			1		2
Independent Commission Against Corruption		1			
Lands Department		1			
Leisure & Cultural Services Department	4	1	3		
Radio Television		1			

Hong Kong					
Social Welfare Department			1		
Transport Department			1		
Sub-total	14	12	13	13	18
Private Individuals (involved in cases related to government departments)	32	14	11	24	10
Total	46	26	24	37	28

* The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings. The figures in the above table denote the numbers of convictions. If a person is convicted in different cases, calculation of the figures will take into account the number of convictions against that person.

Maximum and minimum penalties for persons convicted
in ICAC corruption investigations involving government departments (2011-15)

	Maximum Penalty	Minimum Penalty
2011	Imprisonment of 4 years and 4 months	Fine of \$10,000
2012	Imprisonment of 26 months	Fine of \$1,000
2013	Imprisonment of 6 months *	Fine of \$5,000
2014	Imprisonment of 7 years and 6 months	Fine of \$5,000
2015	Imprisonment of 24 months	80-hour Community Service Order

* The penalty first imposed was 12 months' imprisonment which, following appeal, was reduced to 6 months' imprisonment.

- End -

CONTROLLING OFFICER'S REPLY

ICAC029

(Question Serial No. 6169)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Regarding the corruption prevention work of the ICAC, please advise of the following:

1. In regard to the integrity of voter registration for the Legislative Council (LegCo) functional constituencies, what were the number of corruption cases involving voters of the LegCo functional constituencies, the number of people involved, the respective numbers of persons arrested, prosecuted and convicted as well as the maximum and minimum penalties in each of the past 5 years?
2. What are the work plans, schedules, expenses and manpower involved as well as the anticipated effectiveness regarding maintaining the integrity of voter registration for the LegCo functional constituencies?

Asked by: Dr Hon Kenneth CHAN Ka-lok (Member Question No. 184)

Reply:

1. In the past 5 years, the Independent Commission Against Corruption (ICAC) received 2 reports concerning alleged breaches of Section 16 of the Elections (Corrupt and Illegal Conduct) Ordinance (ECICO) (Cap. 554) by ineligible voters of the LegCo functional constituencies. The 2 cases were both received in 2012. No person was arrested or prosecuted after investigation.
2. The ICAC has been working closely and conducted a number of working meetings with the Registration and Electoral Office (REO) to discuss the focus of corruption prevention work for various public elections and to provide corruption prevention advice on various electoral procedures. Among corruption prevention work carried out for the 2016 LegCo functional constituencies election, the ICAC issued a Best Practice Checklist to the Specified Bodies under the LegCo functional constituencies in late 2015 with a view to improving the transparency, objectivity and accountability,

as well as reducing the risk of conflict of interest of the membership administration systems of the Specified Bodies. The ICAC will also take follow-up actions on reports of suspected breaches of the ECICO in accordance with the law.

In addition, to maintain the integrity of voter registration for the elections of LegCo Members, the ICAC is now launching a series of education and publicity activities to tie in with the Government's voter registration campaign with an aim to alert members of the public on the requirements of Section 16 of the ECICO. The activities include:

- distributing promotional leaflets on "anti-vote rigging" to newly registered electors and those who update their personal particulars as a registered elector through different channels of the REO and the Home Affairs Department;
- tying in with the REO by including information on "anti-vote rigging" in the reminder notes relating to voter registration arrangement issued by the REO to all elderly homes in Hong Kong;
- producing a series of radio programmes jointly with the Radio Television Hong Kong to widely publicise the "anti-vote rigging" messages;
- making use of both the traditional media and online channels such as compartments of public transport, public facilities, websites and social media to broadcast the educational filmlet on "anti-vote rigging";
- launching a new dedicated website on "Clean LegCo Election" to provide reference materials and up-to-date information; and
- maintaining a Clean Election Enquiry Hotline to answer public enquiries on the requirements of the ECICO.

The above education and publicity activities will be implemented between March and May this year with funding of around \$600,000 provided by the Constitutional and Mainland Affairs Bureau. The ICAC's Programme Co-ordinator on Clean Election is responsible for the planning of these activities which are being executed with the assistance of the 7 Regional Offices of the Community Relations Department.

The ICAC will provide assistance and services for public elections and the related voter registration systems through deployment of internal resources without incurring additional manpower and expenditures.

- End -

CONTROLLING OFFICER'S REPLY

ICAC030

(Question Serial No. 6170)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

To strengthen computer forensics and information technology capabilities, is it necessary to upgrade the existing equipment and add new equipment? If it is necessary to procure additional equipment, what are the expenditure for each item and the deployment plan?

Asked by: Dr Hon Kenneth CHAN Ka-lok (Member Question No. 186)

Reply:

The rapid technology advancement has presented new challenges for the computer forensics work of the Independent Commission Against Corruption (ICAC). While the total data storage of the computers seized continues to increase, the ICAC also has to process increasingly popular mobile devices such as mobile phones and tablet computers in order to recover evidence. Officers of the Computer Forensics Section of the Operations Department will enhance their computer forensics capabilities by keeping themselves up to date on the latest developments of computer technology and computer forensics equipment, software and techniques, exploiting advanced computer forensics technology and applying their professional knowledge and experience. Besides, officers of the Section will from time to time attend computer forensics courses and lectures organised by local and overseas law enforcement agencies and international computer forensics organisations to keep abreast of the latest computer forensics techniques and procedures. Officers of the Section also maintain close liaison with computer forensics experts from local and overseas law enforcement agencies for sharing of knowledge, skills and experience in computer forensics through work exchanges. As computer forensics is a regular item which has already been included in the recurrent expenditure, the ICAC has not made separate estimates for this end.

Furthermore, in order to enhance its capability in meeting evolving information technology and better supporting the entire investigation process as well as case management work, the Finance Committee of the Legislative Council approved funding in May 2011 for the ICAC

to develop a New Generation Operations Department Information System. The approved estimate of expenditure on the whole project was \$57.46 million, covering the cost of hardware, software, and implementation service. The project is now in the stage of user acceptance testing and system cut-over. Data migration and system rollout are expected in mid 2016, and the estimated expenditure in 2016-17 is \$20.98 million.

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