

ELECTRONIC TRANSACTIONS BILL

COMMITTEE STAGE

Amendments to be moved by the Secretary for
Information Technology and Broadcasting

Clause

Amendment Proposed

1

By deleting subclause (2) and substituting -

"(2) Part I, sections 4 and 9, Part V (other than in relation to the matters referred to in Schedule 1) and Part VI, sections 27C and 27E and Parts VIII, IX, XI and XII shall come into operation at the beginning of the day on which this Ordinance is published in the Gazette.

(3) Sections 3, 5, 6, 7, 8 and 10, Part IV, Part V (in relation to the matters referred to in Schedule 1) and Part VII, section 27D and Schedules 1 and 2 shall come into operation on a day to be appointed by the Secretary for Information Technology and Broadcasting by notice in the Gazette."

- 2(1) (a) In the definition of "accept a certificate"
-
- (i) in paragraph (a) by deleting "or"
at the end;
- (ii) by adding -
- "(aa) uses the certificate;
or".
- (b) By deleting the definition of "certification
authority disclosure record" and
substituting -
- "certification authority disclosure
record" (核證機關披露紀錄), in
relation to a recognized
certification authority, means the
record maintained under section
27C for that certification
authority;".
- (c) In the definition of "code of practice" -
- (i) by deleting "a" and substituting
"the";
- (ii) by deleting "39" and substituting
"27E".

- (d) In the definition of "information system" by deleting "automatically" wherever it appears.
- (e) In the definition of "issue" by deleting "of its" and substituting "its".
- (f) In the definition of "recognized certificate" -
 - (i) in paragraph (b) by adding "or" at the end;
 - (ii) in paragraph (c) by adding "designated as a recognized certificate" after "certificate".
- (g) In the definition of "recognized certification authority" by deleting "or a" and substituting "or the".
- (h) In the definition of "rule of law" -
 - (i) in paragraph (a) by deleting "or";
 - (ii) in paragraph (b) -
 - (A) by adding "a rule of" before "equity";
 - (B) by adding "or" at the end;
 - (iii) by adding -
 - "(c) customary law;".

(i) In the definition of "trustworthy system" in paragraph (d) by deleting "procedures" and substituting "principles".

3 (a) In paragraph (a) by deleting "to give or present information" and substituting "for information to be or given".

(b) In paragraph (d) by deleting "documents, records or".

4 By deleting the clause and substituting -

"4. Ordinance to bind Government

This Ordinance binds the Government."

5 (a) In subclause (1) -

(i) by deleting "in writing, given or presented" and substituting "or given";

(ii) by deleting "that rule of law" and substituting "the requirement".

(b) In subclause (2) by deleting everything from "given" where it first appears to "record" where it first appears and substituting "or given in writing, an electronic record satisfies that rule of law".

6(1) By deleting "that rule of law" and substituting "the requirement".

7(1) (a) By deleting "that rule of law" and substituting "the requirement".
(b) In paragraph (a) by deleting "as an electronic record".

8(1) (a) By deleting "documents, records or".
(b) By deleting "that rule of law" and substituting "the requirement".
(c) In paragraph (a) -
 (i) by deleting "the information or";
 (ii) by deleting "document or" and substituting "electronic".
(d) In paragraph (b) by deleting "form" where it twice appears and substituting "format".

- 11
- (a) In subclause (1) by deleting "a rule of law" wherever it appears and substituting "an Ordinance".
 - (b) In subclause (2) -
 - (i) by deleting "any rule of law" where it first appears and substituting "an Ordinance";
 - (ii) in paragraph (a) -
 - (A) by deleting "any rule of law" and substituting "that Ordinance";
 - (B) by deleting "a rule of law" where it twice appears and substituting "that Ordinance".
 - (c) In subclause (3) by adding "or cases" after "persons".
- 12
- (a) By deleting "any rule of law" and substituting "an Ordinance".
 - (b) By deleting "executed" and substituting "made".

- (c) By deleting "the rule of law" and substituting "that Ordinance".
- (d) By deleting "that rule of law" and substituting "that Ordinance".

New

By adding -

"14A. When sections 5, 6 and 7 apply to transactions between persons who are not government entities

(1) If an Ordinance requires information to be given by a person to another and neither person is or is acting on behalf of a government entity, section 5(1) applies only if the person to whom the information is to be given consents to it being given in the form of an electronic record.

(2) If an Ordinance permits information to be given by a person to another and neither person is or is acting on behalf of a government entity, section 5(2) applies only if the person to whom the information is to be given consents to it being given in the form of an electronic

record.

(3) If an Ordinance requires the signature of a person ("the signer") and neither the signer nor the person to whom the signature is to be given ("the second mentioned person") is or is acting on behalf of a government entity, section 6 applies only if the second mentioned person consents to the signer's digital signature being given.

(4) If an Ordinance requires information to be presented in its original form and neither the person presenting it nor the person to whom it is to be presented ("the second mentioned person") is or is acting on behalf of a government entity, section 7(1) applies only if the second mentioned person consents to it being presented in the form of an electronic record.

(5) In this section -
"consent" (同意) includes consent that can be reasonably inferred from the conduct of

the person concerned;

"government entity" (政府單位) means a public officer or a public body."

15

(a) In subclause (1) -

- (i) by deleting "a rule of law" and substituting "a requirement or permission in an Ordinance for information to be or given in writing ("requirement for writing")";
- (ii) by deleting "in that rule of law" and substituting "in that Ordinance";
- (iii) by deleting "related rule of law" and substituting "related Ordinance";
- (iv) by deleting "requirement or permission to give or present information in writing" and substituting "requirement for writing";
- (v) by deleting "to that rule of law"

and substituting "to the
requirement for writing".

(b) In subclause (2) -

- (i) by deleting "a rule of law" and substituting "a requirement in an Ordinance for the signature of a person";
- (ii) by deleting "in that rule of law" and substituting "in that Ordinance";
- (iii) by deleting "related rule of law" and substituting "related Ordinance";
- (iv) by deleting "to that rule of law" and substituting "to the requirement for the signature of a person".

(c) In subclause (3) -

- (i) by deleting "a rule of law" and substituting "a requirement in an Ordinance for information to be presented or retained in its original form ("requirement for

original form")";

(ii) by deleting "in that rule of law"
and substituting "in that
Ordinance";

(iii) by deleting "related rule of law"
and substituting "related
Ordinance";

(iv) by deleting "information to be
presented or retained in its";

(v) by deleting "to that rule of law"
and substituting "to the
requirement for original form".

(d) In subclause (4) -

(i) by deleting "a rule of law" and
substituting "a requirement in an
Ordinance for information to be
retained ("requirement for
retention")";

(ii) by deleting "in that rule of law"
and substituting "in that
Ordinance";

(iii) by deleting "related rule of law"
and substituting "related

Ordinance";

(iv) by deleting "documents, records or information to be retained" and substituting "retention";

(v) by deleting "to that rule of law" and substituting "to the requirement for retention".

16 (a) In subclause (1) by adding "in whole or in part" before "expressed".

(b) By adding -

"(3) For the avoidance of doubt, it is stated that this section does not affect any rule of common law to the effect that the offeror may prescribe the method of communicating acceptance.".

18(2)(a)(ii) By deleting "attention" and substituting
and (b) "knowledge".

19 (a) In subclause (2) by deleting everything before "須就" and substituting -

“(2) 除第(4)款及第20(2)條另有規定外，
第(1)款所指的申請必須以訂明方式並以署長指明的
格式提出，申請人並”。

(b) In subclause (3) -

(i) by deleting paragraph (a) and
substituting -

“(a) the relevant particulars
and documents specified
under section 27B; and”;

(ii) in paragraph (b)(i) -

(A) by deleting “certifies that”
and substituting “contains an
assessment as to whether”;

(B) by deleting “any” and
substituting “the”.

(c) In subclause (4) by deleting “if the
Director considers it appropriate to do so”
and substituting “in the circumstances
specified in subsection (5)”.

(d) By adding -

“(5) The Director may waive the
requirements referred to in subsection
(4) only if -

- (a) the applicant is a certification authority with a status in a place outside Hong Kong comparable to that of a recognized certification authority ("comparable status"); and
- (b) the competent authority of that place accords to a recognized certification authority a comparable status on the basis of it being a recognized certification authority."

20

- (a) By adding -

"(1A) The Director must give reasons in writing to the applicant for refusing an application under subsection (1)(b)."

- (b) In subclause (3) -

(i) by deleting paragraph (a) and substituting -

"(a) whether the applicant has the appropriate financial status for operating as a recognized certification authority in accordance with this Ordinance and the code of practice;"

(ii) in paragraph (c) by deleting "and standard" and substituting ", security arrangements and standards".

(c) In subclause (4) -

(i) by adding "shall" after "Director" where it first appears;

(ii) by deleting ", shall" and substituting a comma.

21

(a) By adding -

"(1A) An applicant under subsection (1) must make the application in the

prescribed manner and in a form specified by the Director and furnish to the Director the relevant particulars and documents specified under section 27B."

(b) By adding -

"(5A) The Director must give reasons in writing to the applicant for refusing an application under subsection (5)."

(c) In subclause (8) by deleting "(2), (3), (4), (5)" and substituting "(1A), (2), (3), (4), (5), (5A)".

22

(a) By deleting the heading and substituting **"Director may revoke recognition"**.

(b) In subclause (2) by deleting "notice in writing of the intention to do so and" and substituting "a notice of intention to revoke the recognition specifying".

(c) By deleting subclause (4) and substituting -

"(4) If the Director decides to revoke a recognition, the Director must

immediately give the certification authority notice in writing of the decision specifying the reasons for the decision and the date on which the decision was made.".

(d) In subclause (6) by deleting everything after "which" and substituting "the decision to revoke the recognition is made.".

(e) By deleting subclauses (8) and (9).

23

(a) In subclause (1) by deleting everything after "days" and substituting a full stop.

(b) By adding -

"(1A) If the Director decides to suspend a recognition, the Director must immediately give the certification authority notice in writing of the decision specifying the reasons for the decision and the date on which the decision was made.".

(c) In subclause (2) by deleting "就證書而暫時吊銷" and substituting "暫時吊銷證書的".

(d) By deleting subclause (4) and substituting -

"(2A) Subject to subsection (3), a suspension takes effect on the expiry of 7 days from the date on which the decision to suspend the recognition is made."

(e) By deleting subclauses (6) and (7).

24 By deleting paragraph (c) and substituting -

"(c) the relevant report furnished under section 37."

25 (a) In subclause (1) by deleting "effect" and substituting "effect or the period of validity of a recognition specified under section 20(5)(b) has expired".

(b) In subclause (2) by deleting "recognized certificates" and substituting "a recognized certificate".

(c) By deleting subclause (3).

(d) By deleting subclause (5) and substituting -

"(5) The revocation or suspension of the recognition of a certification authority does not affect the valid use

of a recognized certificate issued by that certification authority before the revocation or suspension took effect or after the reinstatement of the recognition.

(6) The revocation or suspension of the recognition of a certificate does not affect the valid use of the certificate concerned before the revocation or suspension took effect or after the reinstatement of the recognition.

(7) The expiry of the period of validity of the recognition of a certificate specified under section 21(6) or the expiry of the period of validity of a recognized certificate does not affect the valid use of the certificate concerned before the expiry of the period of validity of the recognition or the certificate, as the case may be.

(8) The expiry of the period of

validity of the recognition of a certification authority specified under section 20(5)(b) does not affect the valid use of a recognized certificate issued by that certification authority during the period of validity of its recognition."

26

(a) In subclause (1) -

(i) by adding "to the Director" after "apply";

(ii) by deleting everything after "recognition" where it first appears and substituting a full stop.

(b) By adding -

"(1A) An application for renewal must be made at least 30 days before but not earlier than 60 days before the expiry of the period of validity of the recognition.

(1B) An application for renewal must be sent to the Director as an

electronic record or delivered by hand to the Director or left at the office of the Director during the ordinary business hours of that office."

- (c) In subclause (2) -
 - (i) by deleting "subsection (4)" and substituting "subsections (1A), (1B) and (4)";
 - (ii) by deleting "prescribed particulars and documents, if any" and substituting "relevant particulars and documents specified under section 27B".
- (d) In subclause (4) by adding ", in the circumstances specified in section 19(5)," after "may" where it first appears.
- (e) By deleting subclause (5).
- (f) In subclause (6) by adding "and (5)" after "20(3)".

27

- (a) In subclause (1) -
 - (i) in paragraph (b) by adding "or" at the end;

(ii) by deleting everything after
"days" and substituting "from the
date on which the relevant
decision is made."

(b) By adding -

"(1A) An appeal under subsection
(1) must be commenced by sending a
notice of appeal to the Secretary as an
electronic record or delivering the
notice by hand to the Secretary or
leaving the notice at the office of the
Secretary during the ordinary business
hours of that office.

(1B) A certification authority who
appeals to the Secretary under this
section must also give notice of the
appeal to the Director as soon as
practicable."

(c) In subclause (2) by adding "under subsection
(1)" after "appeal".

(d) By adding -

"(3) The Secretary must give the
appellant notice of the decision on

appeal, together with reasons -

- (a) by sending it to the appellant as an electronic record; or
- (b) by sending it by post or registered post to the last known address of the appellant.

(4) If in a particular case it is not reasonably practicable to give the notice of the decision on appeal by either of the means specified in subsection (3), the notice is taken to have been given if the Secretary publishes it in the certification authority disclosure record maintained under section 27C for the appellant.".

New

By adding in Part VII -

"27A. How Director may give notices under this Part

(1) A notice or other document the Director is required to give to a certification authority under this Part is

taken to have been given if it is -

- (a) sent to the certification authority as an electronic record; or
- (b) sent by post or registered post to the last known address of the certification authority.

(2) If in a particular case it is not reasonably practicable to give a notice or other document under this Part by either of the means specified in subsection (1), the notice or document is taken to have been given if the Director publishes it in the relevant certification authority disclosure record.

27B. Director to specify particulars and documents by notice in the Gazette

(1) The Director must specify by notice published in the Gazette any particulars and documents to be furnished under sections 19(3)(a), 21(1A) and (8) and 26(2).

(2) A notice under subsection (1) is not subsidiary legislation.”.

New By adding -

“PART VIIA

CERTIFICATION AUTHORITY DISCLOSURE
RECORDS AND CODE OF PRACTICE

**27C. Director to maintain certification
authority disclosure record**

(1) The Director must maintain for each recognized certification authority an on-line and publicly accessible record.

(2) The Director must publish in the certification authority disclosure record information regarding that certification authority relevant for the purposes of this Ordinance (in addition to the information required to be given in it under other provisions of this Ordinance).

**27D. Director to notify revocations,
suspensions and non-renewals
of recognition, etc.**

(1) The Director must give notice in the relevant certification authority

disclosure record, immediately -

- (a) when the Director makes a decision to revoke a recognition under section 22(4);
- (b) when a revocation has taken effect under section 22(6) or (7);
- (c) when the Director makes a decision to suspend a recognition under section 23(1A);
- (d) when a suspension has taken effect under section 23(2A) or (3);
- (e) when the recognition of a suspended recognition is reinstated;
- (f) when the Director receives a notice of appeal under section 27(1B); or
- (g) on becoming aware that the Secretary has confirmed,

varied or reversed the
decision of the Director to
revoke or suspend a
recognition.

(2) Where the revocation or suspension of a recognition has taken effect, the Director must, as soon as practicable, give notice of the revocation or suspension for at least 3 consecutive days in one English language daily newspaper and one Chinese language daily newspaper in circulation in Hong Kong.

(3) If a recognized certification authority does not apply for renewal before the end of the period during which an application for renewal can be made under section 26(1A), the Director must, at least 21 days before the expiry of the period of validity of the recognition, give notice -

(a) for at least 3 consecutive
days in one English language
daily newspaper and one
Chinese language daily

newspaper in circulation in
Hong Kong; and

- (b) in the certification
authority disclosure record
maintained for the
certification authority,

of the date of the expiry of the validity
and that the certification authority has not
applied for renewal.

27E. Director may issue code of practice

The Director may issue a code of
practice specifying standards and procedures
for carrying out the functions of recognized
certification authorities."

29(1) (a) By deleting "through" and substituting "by".

(b) In paragraph (a) by deleting "or" where it
first appears and substituting "and".

30 (a) In subclause (1) by deleting "recognized
repository" and substituting "repository".

(b) In subclause (2) by deleting everything

after "it" where it first appears and substituting a full stop.

32 By deleting "recognized repository" and substituting "repository".

34 By deleting "to the repository in which the certificate is published and".

36(2) (a) In paragraph (b) by deleting "recognized repository" and substituting "repository".

(b) By adding "認可" after "某".

37 By deleting the clause and substituting -

"37. Recognized certification authority to furnish report on compliance with Ordinance and code of practice

(1) At least once in every 12 months, a recognized certification authority must furnish to the Director a report containing an assessment as to whether the recognized certification authority has complied with the provisions of this Ordinance applicable to a recognized certification authority and

the code of practice during the report period.

(2) A report under subsection (1) must be prepared, at the expense of the certification authority, by a person approved by the Director as being qualified to make such a report.

(3) The Director must publish in the certification authority disclosure record for the certification authority the date of the report and the material information in the report.

(4) In subsection (1) "report period" (所涵蓋的期間), in relation to a report ("current report"), means the period beginning on -

(a) the date on which recognition is granted under section 20 or section 28 comes into operation; or

(b) the day following the last day of the period for which the last report under that

subsection was furnished,
as the case may require, and ending on the
last day of the period for which the current
report is furnished."

New By adding in Part IX -

**"38A. Recognized certification authority
to maintain repository**

(1) A recognized certification
authority must maintain or cause to be
maintained an on-line and publicly
accessible repository.

(2) The Director must publish in the
Gazette a list of the repositories
maintained under subsection (1)."

Part X By deleting the Part.

41 (a) In subclause (1) by adding "or permit or
suffer to be disclosed" after "disclose".

(b) In subclause (2) -

(i) in paragraph (a) by deleting "for
the purposes of" where it first
appears and substituting "which is

necessary for";

- (ii) in paragraph (b) by deleting everything after "Hong Kong" and

substituting a semicolon;

- (iii) by adding -

- "(ba) for the purpose of complying with a requirement made under a rule of law with a view to instituting a criminal proceeding in Hong Kong; or".

42 By deleting "後果".

43 By deleting "or an organization".

44 (a) In paragraph (a) -

- (i) by adding "or for recognition or renewal of recognition of certificates" after "authority";
 - (ii) by deleting ", the particulars and

documents to be supplied by an applicant".

(b) In paragraph (b) by deleting "respect of" and substituting "respect of applications for the".

(c) In paragraph (c) by deleting everything after "statements" and substituting a semicolon.

45 By adding "published in the Gazette" after "order".

46 By deleting the clause and substituting -

"46. Protection of public officers

(1) No liability is incurred by the Government or a public officer by reason only of the fact that a recognition is granted, renewed, revoked, suspended or reinstated under Part VII.

(2) Without prejudice to subsection (1), no civil liability is incurred by a public officer in respect of anything done or omitted to be done by the public officer

in good faith in the performance or purported performance of any function under a Part other than Part VII.

(3) The protection conferred under subsection (2) does not in any way affect the liability, if any, of the Government for the act or omission of the public officer in the performance or purported performance of the relevant function."

- Schedule 1
- (a) In the heading by deleting "AND 8" and substituting ", 8 AND 16".
 - (b) In section 2 by adding "(other than resulting, implied or constructive trusts)" after "trust".
 - (c) In section 6 by deleting "約、轉易契、其他書面形式的" and substituting "據、轉易契、其他書面形式的文件或".
 - (d) In section 7 by deleting everything after "他" and substituting "關乎不動產或不動產權益的處置的合約，或任何其他達成該等處置的合約。".
 - (e) By deleting section 8 and substituting -
 - "8. A document effecting a floating

charge referred to in section 2A of the
Land Registration Ordinance (Cap.
128).".

(f) By deleting sections 12 and 13 and
substituting -

"12. A warrant issued by a court or a
magistrate.

13. Negotiable instruments.".