# 立法會 Legislative Council

LC Paper No. LS46/98-99

# Paper for the House Committee Meeting of the Legislative Council on 23 October 1998

# Legal Service Division Report on Subsidiary Legislation Gazetted on 16 October 1998

**Date of Tabling in LegCo** : 21 October 1998

**Amendment to be made by** : 18 November 1998 (or 25 November

1998 if extended by resolution)

Estate Agents Ordinance (Cap. 511)
Estate Agents (Licensing) Regulation (L.N. 328)

In exercise of its power under section 56(1) of the Estate Agents Ordinance (Cap. 511) ("EAO"), the Estate Agents Authority ("the Authority") has, with the approval of the Secretary for Housing, made this Regulation prescribing the following matters:-

- (a) the form of the register of licences under section 13 of EAO;
- (b) (in Schedule 1) the 14 forms for the purposes of EAO and this Regulation;
- (c) (in Schedule 2) the fees for the grant or renewal of a licence, replacement or amendment of a licence or a statement of particulars of business and certification of a copy or extract form any entry in the register;
- (d) the minimum age for holding a licence under this Regulation to be 18;

- (e) the educational qualifications and experience requirements for application of licences;
- (f) the minimum number of licensed directors for corporate licensed estate agent to be one;
- (g) the period of validity of every licence granted or renewed to be 12 months or such lesser period as may be specified by the Authority from the date of such grant or renewal;
- (h) the requirement of applying by a licensed estate agent before carrying on estate agency business at any place under a particular name for the grant of a statement of particulars of business for that place and name;
- (i) the requirements for replacement, amendment of particulars and renewal of a licence or a statement of particulars of business;
- (j) the duty of the licensee to display his licence conspicuously at each of his places of business and to state clearly and conspicuously the licensee's name, his licence number, his business name, and (in appropriate cases) his place of business on all letters, accounts, receipts, advertisements, pamphlets, and brochures issued by him or on his behalf; and
- (k) the minimum period within which no new application for a licence may be made upon the revocation or the refusal of the grant or renewal of a licence to be 12 months from the date of such revocation or refusal or from the date of an appeal against the refusal or revocation being determined or abandoned.

This Regulation will come into operation on 19 November 1998.

According to the LegCo Brief Reference: HB TC 31/98 Pt.4 issued by the Housing Bureau on 20 October 1998, consultation with the trade and the public was conducted last May. A paper (LC Paper No. CB(1)148/98-99(06)) on the licensing requirements has also been submitted to the Housing Panel for information on 7 September 1998.

This Division is seeking clarification from the Administration on the right of the licensees to seek rectification of the register under section 13 of EAO and the effect of an appeal on the prescribed period prohibiting new application for a licence. A further report may be issued if necessary.

# Estate Agents Ordinance (Cap. 511) Estate Agents (Exemption from Licensing) Order (L.N. 329)

This Order exempts the following classes of persons from the requirement of obtaining an estate agent's licence or a salesperson's licence:

- (a) a person who does estate agents work exclusively in relation to properties outside Hong Kong; and
- (b) a dormant partner of a partnership carrying on an estate agency business.

This Order shall come into operation on 19 November 1998.

# Estate Agents Ordinance (Cap. 511) Estate Agents Ordinance (Cap. 511) (Commencement) Notice 1998 (L.N. 330)

This Notice appoints 21 October 1998 as the day on which:-

- (a) Part III except sections 15 and 16; and
- (b) sections 31, 32, 33, 35, 53, 54(2) and 55(1)(c), (d), (h)(iv), (i), (j) and (k), (3)(b) and (d) and (6)

of the Estate Agents Ordinance ("the Ordinance") shall come into operation.

Those provisions of the Ordinance related to the licensing regime, which are not commenced by this Notice, are targeted to be implemented on 1 January 1999 (see paragraph 9 of the LegCo brief referred to above under the report on L.N. 328).

# Commodities Trading Ordinance (Cap. 250) Commodities Trading (Trading Limits and Position Limits) (Amendment) Rules 1998 (L.N. 331)

These Rules seek to amend the Schedule to the Commodities Trading (Trading Limits and Position Limits) Rules (Cap. 250 sub. leg.) in the following manner:-

(a) by amending item 6 to state the current name of the relevant company;

- (b) by repealing item 18 and substitute therefor a new HIBOR futures contract; and
- (c) by adding 12 new stock futures contracts (which by definition (section 2(1)) include options) numbering as items 19 to 30 whereby the trading and position limits of the number of futures contracts which may be entered into, or held or controlled by any shareholder of the Hong Kong Futures Exchange Limited in any one contract month for its own account or separately for each of its clients are set.

Members may refer to the LegCo Brief dated 25 September 1998 issued by the Securities and Futures Commission for further information.

# Securities Ordinance (Cap. 333) Securities (Exchange-Traded Stock Options) (Amendment) Rules 1998 (L.N. 332)

These Rules seek to amend the Schedule to the Securities (Exchange-Traded Stock Options) Rules (Cap. 333 sub. leg.) by amending the existing item 6 to state the current name of the relevant company and adding 11 new stock option classes numbering as items 41 to 51 whereby the limit of the number of option contracts which may be directly or indirectly held or controlled by any person in any one expiry month and the reporting level for holding and controlling such option contracts are set.

Members may refer to the LegCo Brief dated 25 September 1998 issued by the Securities and Futures Commission for further information.

# Toys and Children's Products Safety Ordinance (Cap. 424) Toys and Children's Products Safety Ordinance (Amendment of Schedule) Notice 1998 (L.N. 333)

By this Notice, the Schedule to the Toys and Children's Product Safety Ordinance (Cap. 424) is amended so that in relation to the products set out in the first column in the table below, the standards specified in the second column directly opposite to each of them shall be applicable in addition to the British Standard.

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# **Table**

	ASTM* Standard				
Bunk beds for	Australian / New Zealand Standard				
domestic use	European Standard				
	International Standard				
Child safety barriers for domestic use	ASTM* Standard				
	ASTM* Standard				
Children's cots for domestic use	European Standard				
	International Standard				
Children's paints	International Standard				
Children's safety harness	Australian Standard				

<sup>\*</sup> American Society for Testing and Materials

In respect of Playpens for domestic use and Wheeled child conveyance, consequential amendments are made upon the respective standard organisations' amendment of the relevant standards.

This Notice, other than section 2(f) and (g), shall come into operation on 28 November 1998 and section 2(f) and (g) shall come into operation on 1 January 1999.

Members may refer to the LegCo Brief Reference: TIB05/46/5 issued by the Trade and Industry Bureau in October 1998 for additional information.

Prepared by

KAU Kin-wah Assistant Legal Adviser Legislative Council Secretariat 21 October 1998

#### B3113

#### 《地產代理(發牌)規例》

(由地產代理監管局在房屋局局長批准下根據《地產 條例》(第 511 章)第 56 條訂立)

#### 1. 生效日期

本規例自 1998年 11 月 19 日起實施。

## 2. 釋義

在本規例中,除文意另有所指外——

- "現存從業員" (existing practitioner)指任何個人(包括資深從業員)而他在緊接實施期前的 一年內的某段時間曾在香港淮行地產代理工作;
- "專業測量師" (professional surveyor)指在實施日期爲香港測量師學會會員或爲《測量師註冊條例》 (第417章)所指的註冊專業測量師的個人;
- "資深從業員" (senior practitioner)指在緊接實施日期前的 7 年內有至少 6 年或合計共有至 少 6 年是在香港進行地產代理工作的個人;
- "實施日期" (implementation date)指 1999 年 1 月 1 日;
- "營業詳情說明書" (statement of particulars of business)指由監管局按照第 10 條批給稱爲營業詳情說明書的文件。

#### 3. 登記冊

監管局須用以下格式設立和備存本條例第 13 條所指的登記冊 一

- (a) 將有關事官記錄在釘裝簿冊內或以其他可閱形式記錄;或
- (b) 將有關事宜以可閱形式以外的其他形式記錄,但該等紀錄必須能以可閱形式重現。

#### 4. 表格

- (1) 附表 1 的表格是為施行本條例及本規例而訂明的。
- (2) 根據本條訂明的表格 ——

### ESTATE AGENTS (LICENSING) REGULATION

de by the Estate Agents Authority, with the approval of the Secretary for Housing, under section 56 of the Estate Agents Ordinance (Cap. 511))

#### 1. Commencement

Regulation shall come into operation on 19 November 1998.

## 2. Interpretation

In this Regulation, unless the context otherwise requires-

"existing practitioner" (現存從業員) means an individual, including a senior practitioner, who has done estate agency work in Hong Kong for any period of time within the period of one year immediately before the implementation date;

"implementation date" (實施日期) means 1 January 1999;

"professional surveyor" (專業測量師) means an individual who is a member of The Hong Kong
Institute of Surveyors, or a registered professional surveyor under the Surveyors
Registration Ordinance (Cap. 417), on the implementation date;

"senior practitioner"( 資深從業員) means an individual who has done estate agency work in Hong
Kong for a period or periods totalling at least 6 years within the period of 7 years
immediately before the implementation date;

"statement of particulars of business" (營業詳情說明書) means the document described as such and granted by the Authority in accordance with section 10.

#### 3. Register

The register under section 13 of the Ordinance shall be established and maintained by the Authority by recording the matters in question-

- (a) in bound books or any other legible form; or
- (b) otherwise than in a legible form so long as the recording is capable of being reproduced in a legible form.

#### 4. Forms

- (1) The forms in Schedule 1 are prescribed for the purposes of the Ordinance and this Regulation.
- (2) A form prescribed under this section shall be -

- (a) 須按照表格內所指明的指引及指示填寫;
- (b) 須附同表格內所指明的文件及(如適用的話)訂明費用;及
- (c) 若需在填妥後提交 ——
  - (i) 監管局;
  - (ii) 代監管局行事的另一人;或
  - (iii) 任何其他人,

則須以表格內所指明的方式(如有的話)如此提交。

#### 5. 訂明費用

(1) 爲施行本條例及本規例,附表 2 第 2 欄各項事宜的訂明費用爲第 3 欄與其相對的位置所列者。

(2) 如任何牌照因任何理由不再有效,根據本條繳付的費用均不予退還。

(3) 就由兩人或多於兩人以合夥形式在某地點經營的地產代理業務而言,如一名合夥成員已就該業務繳付某項費用,則該合夥的所有其他成員在該項費用方面須承擔的法 律責任即獲解除。

#### 6. 最低年齡

任何個人除非在申請牌照當日已年滿 18 歲,否則無資格獲批給、持有或繼續持有牌照。

#### 7. 特牌人的學歷及經驗

(1) 除第(2)款另有規定外,只有符合以下條件的個人方可獲批給牌照或獲爲其 牌照續期 ——

- (a) 他已完成中學五年級教育或同等程度的教育,並於緊接他申請批 給牌照的日期前的12個月內在有關考試中考獲合格成績;或
- (b) 就於 2002 年 1 月 1 日前提出批給牌照申請的個人而言 ——
  - 他是現存從業員或資深從業員,且符合有關條件;或

- (a) completed in accordance with such directions and instructions as are specified in the form;
- (b) accompanied by such documents as are specified in the form and, where applicable, the prescribed fee; and
- (c) if the completed form is required to be provided to-
  - (i) the Authority;
  - (ii) another person on behalf of the Authority; or
  - (iii) any other person,

so provided in the manner, if any, specified in the form.

#### 5. Prescribed fees

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(1) For the purposes of the Ordinance and this Regulation, each fee set out in the third column in Schedule 2 shall be prescribed for the matter set out in the second column opposite thereto.

(2) If for any reason a licence ceases to be valid, no part of any fee paid under this section shall be refunded.

(3) For any estate agency business carried on at any place by two or more persons in partnership, payment of any fee in respect of the business by one member discharges all other members of the partnership from the liability in respect of the fee.

### 6. Minimum age

An individual is not eligible to be granted or hold or continue to hold a licence unless he has attained the age of 18 years at the date of his application for the licence.

# 7. Educational qualifications and experience for licensees

(1) Subject to subsection (2), no licence shall be granted to or renewed in favour of an individual unless-

- (a) he has completed an educational level of Form 5 of secondary education or its equivalent and has, within 12 months immediately before the date of his application for the grant of the licence, passed the relevant examination; or
- (b) in the case of an application for the grant of a licence made before 1 January 2002-
  - (i) he is an existing practitioner or a senior practitioner and has complied with the relevant condition; or

- (ii) 他是專業測量師並於緊接實施日期前的 24個月內有至少 12個月或合計共有至少 12個月在香港進行地產代理工作。
- (2) 在 2001 年 12 月 31 日或之前,現存從業員或資深從業員可獲批給牌照, 其牌照亦可獲續期,但該等牌照的有效期須在該日期當日或之前屆滿,而該項批給或續期是 附有規定的,即該等從業員須在該日期當日或之前符合有關條件。
- (3) 根據第(2)款批給任何人的牌照在 2002 年 1 月 1 日或之後續期的先決條件 爲 ——
  - (a) 該人已在 2002 年 1 月 1 日 之 前 符 合 有 關 條 件 ; 或
  - (b) 監管局已根據第(4)款就該人批准延期,且
    - i) 所延展的期間尚未屆滿;或
    - (ii) 在所延展的期間內,他已符合有關條件。
- (4) 監管局如認爲公正和合理,可批准將任何現存從業員或資深從業員符合有關條件的限期延長至 2002 年 1 月 1 日之後。
  - (5) 在本條中 ——

"有關考試" (relevant examination) ——

- (a) 就地產代理牌照而言,指地產代理的資格考試;
- (b) 就營業員牌照而言,指地產代理的資格考試或營業員的資格考試,

而該等考試須與地產代理工作有關並由監管局在房屋局局長批准下藉憲報公告指 明的;

"符合有關條件" (compliance with the relevant condition) ——

- (a) 就資深從業員而言,指完成監管局在房屋局局長批准下藉憲報公 告指明的與地產代理工作有關的訓練課程;
- (b) 就現存從業員而言,指在有關考試中考獲合格成績

#### 8. 持牌地產代理公司的持牌董事的最少人數

任何公司須有至少一名董事是持牌地產代理,方有資格獲批給、持有或繼續持有地 產代理牌照。

- (ii) he is a professional surveyor and has done estate agency work in Hong Kong for a period or periods totalling at least 12 months within the period of 24 months immediately before the implementation date.
- (2) A licence may, on or before 31 December 2001, be granted to or renewed in favour of a person who is an existing practitioner or a senior practitioner for a period expiring not later than that date subject to the requirement that the person shall comply with the relevant condition on or before that date.
- (3) No licence granted to any person under subsection (2) shall be renewed on or after 1 January 2002 unless-
  - (a) he has complied with the relevant condition before that date; or
  - (b) the Authority has granted him an extension under subsection (4) and-
    - (i) the period of extension has not expired; or
    - (ii) he has complied with the relevant condition within the period of extension.
- (4) The Authority may, if it considers it just and reasonable to do so, grant an extension of time beyond 1 January 2002 within which period an existing practitioner or a senior practitioner must comply with the relevant condition.
  - (5) In this section-

"compliance with the relevant condition" (符合有關條件) means-

- in relation to a senior practitioner, completing a training course relevant to estate agency
  work as the Authority, with the approval of the Secretary for Housing, specifies by notice
  in the Gazette:
- (b) in relation to an existing practitioner, passing the relevant examination;

"relevant examination" (有關考試) means-

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- (a) in the case of an estate agent's licence, the qualifying examination for estate agents;
- (b) in the case of a salesperson's licence, the qualifying examination for estate agents or the qualifying examination for salespersons,

which is relevant to estate agency work and specified by the Authority, with the approval of the Secretary for Housing, by notice in the Gazette.

# 8. Minimum number of licensed directors for corporate licensed estate agent

A company shall not be eligible to be granted or hold or continue to hold an estate agent's licence unless at least one director of the company is a licensed estate agent.

## 9. 牌照的有效期

每個獲批給或續期的牌照的有效期爲自批給或續期之日(視屬何情況而定)起計的 12個月,或監管局在該牌照內所指明的較短期間。

#### 10. 營業詳情說明書

- (1) 持牌地產代理在於某地點以特定營業名稱經營地產代理業務前,須就該地 點及名稱向監管局申請批給營業詳情說明書,並須向監管局繳付關乎該業務的牌照費有關部分。
- (2) 於接獲第(1)款所指的申請而牌照費有關部分亦已繳付後,監管局可向該持牌地產代理(如該業務由兩名或多於兩名持牌地產代理經營,則可向其中任何一名持牌地產代理)批給營業詳情說明書。
- (3) 所批給的任何營業詳情說明書和應申請而續期的任何營業詳情說明書的 有效期,爲已繳付的該業務的牌照費有關部分所關乎的期間,而該有效期的屆滿日不得遲於
  - (a) 將持有該說明書的人的地產代理牌照有效期屆滿日;或
  - (b) (如屬就合夥持有的說明書)該合夥的任何成員的地產代理牌照 有效期屆滿日(以最後的屆滿日期爲進)。
- (5) 爲免生疑問,監管局就由兩人或多於兩人以合夥形式經營的業務批給營業 詳情說明書或爲該等業務的營業詳情說明書續期,不論有效期長短,並不使上述的人有權在 他不再是持牌地產代理後進行地產代理工作。
- (6) 凡任何人根據第(1)或(3)款爲其獨自或是以合夥形式經營的業務申請批給 營業詳情說明書或爲該業務的營業詳情說明書申請續期,不論該申請是與就批給地產代理牌 照或地產代理牌照續期而提出的申請同時提出或是在其後提出,均構成後述申請的一部分。

### 9. Period of validity of licence

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Every licence shall be granted or renewed for a period of 12 months, or such lesser period as may be specified by the Authority in the licence, from the date on which it is granted or renewed (as the case may be).

#### 10. Statement of particulars of business

- (1) A licensed estate agent shall, before carrying on estate agency business at any place under a particular business name, apply to the Authority for the grant of a statement of particulars of business for that place and name and shall pay to the Authority the relevant portion of the licence fee in respect of such business.
- (2) The Authority may, upon an application under subsection (1) and payment of the relevant portion of the licence fee, grant to the licensed estate agent (or, where the business is carried on by two or more licensed estate agents, to any one of them) a statement of particulars of business.
- (3) A statement of particulars of business may be granted, and may upon application be renewed, for a period for which the portion of the licence fee in respect of the business has been paid and expiring not later than-
  - (a) the expiry of the estate agent's licence of the person by whom the statement is to be held; or
  - (b) in the case of a statement to be held in respect of a partnership, the latest date on which the estate agent's licence of any member of the partnership is to expire.
- (4) A statement of particulars of business shall have effect only in so far as the person named therein (or if two or more persons are so named, at least one of them) is a licensed estate agent and, accordingly, the Authority may require any person in possession of any statement that has ceased to have effect under this subsection to surrender it.
- (5) For the avoidance of doubt, the grant or renewal of a statement of particulars of business for any period in respect of a business carried on by two or more persons as members of a partnership shall not entitle any such person to do estate agency work after he ceases to be a licensed estate agent.
- (6) An application by any person under subsection (1) or (3) for the grant or renewal of a statement of particulars of business for a business carried on by him or as a member of a partnership, whether made at the same time as his application for the grant or renewal of a estate agent's licence or thereafter, forms part of the latter application.

## 11. 補發牌照或營業詳情說明書

如監管局信納任何牌照或營業詳情說明書已遺失、被竊、損毀或銷毀,則監管局於持牌人繳付訂明費用後,可向持牌人補發牌照或營業詳情說明書(視屬何情況而定)。

### 12. 修訂牌照等的詳情

監管局於持牌人繳付訂明費和交出監管局所需的任何書面證據或其他證據後,可按該持牌人的請求修訂牌照或營業詳情說明書的任何詳情。

## 13. 牌照續期

牌照續期申請須於該牌照有效期屆滿前 3 個月至屆滿前 1 個月的期間內提出,或於 監管局以書面准許的在該牌單有效期屆滿前的較短期間內提出。

## 14. 施加於持牌人的一般條件

- (1) 每名地產代理牌照持有人 ——
  - (a) 須在並保持在他以地產代理身分經營業務的每個地點的顯眼位置展示有關的營業詳情說明書;
  - (b) 須在他發出或代他發出的信件、帳目、收據、單張、小冊子及其 他文件上淸楚而顯眼地述明以下事項 ——
    - (i) 有關持牌人的姓名或名稱;
    - (ii) 他的牌照號碼;
    - (iii) 在有關營業詳情說明書內述明的營業名稱;及
    - (iv) 在有關營業詳情說明書內述明的營業地點;
  - (c) 須在所有由他或代他發出或發布的廣告(單張及小冊子除外)內 清楚而顯眼地述明(b)(i)、(ii)及(iii)段所規定的資料;
  - (d) 不得在批給他的營業詳情說明書內所指明的營業地點以外的地 點或以該說明書內所指明的營業名稱以外的名稱經營地產代理 業務:及

# 11. Replacement of licence or statement of particulars of business

On payment of the prescribed fee, the Authority may issue a replacement of a licence or a statement of particulars of business to a licensee: if the Authority is satisfied that the licence or the statement of particulars of business, as the case may be, has been lost, stolen, damaged or destroyed.

#### 12. Amendment of particulars of a licence, etc.

On payment of the prescribed fee and the production of any documentary or other evidence as the Authority may require, the Authority may upon the request of a licensee amend any particulars of a licence or a statement of particulars of business.

#### 13. Renewal of licence

An application for the renewal of a licence shall be made not more than 3 months and not less than 1 month prior to the expiration of the licence or within such shorter period prior to the expiration thereof as the Authority may in writing permit.

# 14. General conditions imposed on licensees

- (1) Every holder of an estate agent's licence shall-
  - (a) display and keep displayed, at a conspicuous position at each place at which he carries on business as an estate agent, the relevant statement of particulars of business;
  - (b) state clearly and conspicuously on any letter, account, receipt, pamphlet, brochure and other document issued by him or on his behalf the following
    - (i) the name of the licensee;
    - (ii) the number of his licence;
    - (iii) the business name as stated in the relevant statement of particulars of business; and
    - (iv) the place of business as stated in the relevant statement of particulars of business;
  - (c) state clearly and conspicuously in all advertisements, pamphlets and brochures excepted, by him or on his behalf, the items in paragraph (b)(i), (ii) and (iii);
  - (d) not carry on estate agency business under a business name or at a place of business other than the one specified in a statement of particulars of business granted to him; and

- (e) 在他的營業詳情說明書內所述任何事項因任何理由而屬不正確 時,須根據第12條向監管局申請將該說明書修訂。
- (2) 每名持牌人須按監管局的書面請求將他的牌照交回監管局,以修訂或更改現有條件或將新條件附加於牌照。

#### 15. 牌照申請不得於訂明期間內提出

爲施行本條例第 25 條而訂明的期間爲自以下日期起計的 12 個月 ——

- (a) 就批給有關牌照或有關牌照的續期而提出的申請被拒絕的日期;
- (b) 監管局撤銷有關牌照的日期;或
- (c) 針對該項拒絕或撤銷而提出的上訴(如有的話)獲裁定或被放棄的日期, 視屬何情況而定。

# 16. 在申請有待處置期間作出的作爲不屬違反 本條例第 15 及 16 條

- (1) 如任何申請人在實施日期前已按照本規例就批給牌照提出申請,但該申請 在實施日期當日或之前仍未獲最終處置,則該申請人不得僅以他在實施日期至他獲批給並接 獲該牌照的日期的期間內,或在實施日期至他獲通知該申請被拒絕的日期的期間內作出有關 條文所提述的任何事情爲理由,而被視爲違反該有關條文。
  - (2) 在本條中, "有關條文" (relevant provision) ——
    - (a) 就批給地產代理牌照而提出的申請而言,指本條例第 15 條;
    - (b) 就批給營業員牌照而提出的申請而言,指本條例第 16 條。

- (e) apply to the Authority to have his statement of particulars of business amended under section 12 if any matter stated therein is for any reason incorrect.
- (2) Every licensee shall, upon request in writing by the Authority, surrender his licence to the Authority for amendment or variation of any existing conditions or for attachment of new conditions.
- (3) For the purpose of this section, where a licensed estate agent carries on at any place estate agency business under different business names, he shall display at that place a statement of particulars of business for each and every business name so used.

# 15. Application for licence not to be made within prescribed period

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The period prescribed for the purposes of section 25 of the Ordinance is 12 months commencing on-

- (a) the date on which the application for the grant or renewal of the licence concerned is refused;
- (b) the date on which the licence concerned is revoked by the Authority; or
- (c) the date on which an appeal (if any) against the refusal or revocation is determined or abandoned.

as the case may be.

# 16. Acts pending disposal of application not considered contravention of sections 15 and 16 of the Ordinance

- (1) If an application has been made for the grant of a licence by an applicant in accordance with this Regulation before the implementation date and has not been finally disposed of on or before the implementation date, then the applicant shall not, by reason only of his doing anything referred to in the relevant provision during the period from the implementation date to the date when the licence is granted and received by him or when he is notified of the refusal of the application, be regarded as contravening the relevant provision.
  - 2) In this section, "relevant provision" (有關條文) means-
    - in the case of an application for the grant of an estate agent's licence, section 15 of the Ordinance;
    - (b) in the case of an application for the grant of a salesperson's licence, section 16 of the Ordinance.

B3124	1998 年第 328 號法律公告	1998 年第 42 期憲報	第二號法律副刊	L.S. NO. 2 TO GAZETTE NO. 42/1998	L.N. 328 OF 1998	B3125
	附表	1	〔第4條〕		SCHEDULE 1	[s.4]
	索引				INDEX	
牌照登記冊			表格 1	Register of licences		Form 1
就批給地產代	(理(個人)牌照提出的申請		表格 2	Application for the grant of estate ager	nt's licence (individual)	Form 2
就批給地產代	(理(公司)牌照/營業詳情說明書	提出的申請	表格 3	Application for the grant of estate ager	nt's licence (company)/statement of	Form 3
就批給營業員	<b>  牌照提出的申請</b>		表格 4	particulars of business		
地產代理(個	1人)牌照		表格 5	Application for the grant of salesperso	n's licence	Form 4
地產代理(公	:司)牌照		表格 6	Estate agent's licence (individual)		Form 5
營業員牌照			表格 7	Estate agent's licence (company)		Form 6
就批給營業詳	生情說明書提出的申請		表格 8	Salesperson's licence		Form 7
營業詳情說明	書		表格 9	Application for grant of statement of p	articulars of business	Form 8
就補發牌照/	´ 營業詳情說明書提出的申請		表格 10	Statement of particulars of business		Form 9
就地產代理(	個人)牌照續期提出的申請		表格 11	Application for replacement of licence	statement of particulars of business	Form 10
就地產代理(	公司)牌照/營業詳情說明書續期	]提出的申請	表格 12	Application for renewal of estate agent	's licence (individual)	Form 11
就營業員牌照	[續期提出的申請		表格 13	Application for renewal of estate agent	's licence (company)/statement of	Form 12
就營業詳情說			表格 14	particulars of business		
				Application for renewal of salesperson	's licence	Form 13
				Application for renewal of statement of	f particulars of business	Form 14

表格 1 [第 4 條]

# 地產代理監管局

# 牌照登記冊

甲部

# 牌照種類:地產代理(個人)牌照

					有效	) 期	暫時吊	銷期間	
牌照號碼	持牌人姓名	營業名稱	營業 地點	通訊用登記地址	由	至	由	至	撤銷日期
		(如適用)	(如適用)						

Form 1 [s.4]

# Estate Agents Authority

# Register of Licences

Part A

# Type of Licence: Estate Agent's Licence (Individual)

					Val	idity	Suspe	ension	
Licence Number	Name of Licensee	Business Name	Place of Business	Registered Address for	From	То	From	То	Revocation on
		(if applicable)	(if applicable)	Communication					

表格 1 [第 4 條]

# 地產代理監管局

# 牌照登記冊

乙部

# 牌照種類:地產代理(個人)牌照

					有效	文 期	暫時吊	銷期間	
牌照號碼	持牌公司名稱	營業名稱	營業地點	通訊用登記地址	毌	至	由	至	撤銷日期

Form 1 [s.4]

# Estate Agents Authority

# Register of Licences

# Part B

# Type of Licence: Estate Agent's Licence (Individual)

				Val	idity	Suspe	ension	
Name of Licensee	Business Name	Place of Business	Registered Address for	From	То	From	То	Revocation on
			Communication					
	Name of Licensee	Name of Licensee Business Name	Name of Licensee Business Name Place of Business	Name of Licensee Business Name Place of Business Registered Address for Communication	Name of Licensee Business Name Place of Business Registered Address for From		Name of Licensee Business Name Place of Business Registered Address for From To From	Name of Licensee Business Name Place of Business Registered Address for From To From To

表格 1 [第 4 條]

# 地產代理監管局

# 牌照登記冊

丙部

# 牌照種類:營業員牌照

			有效	女期	暫時吊	銷期間	
牌照號碼	持牌人姓名	通訊用登記地址	由	至	曲	至	撤銷日期

Form 1 [s.4]

# Estate Agents Authority

# Register of Licences

# Part cC

# Type of Licence: Salesperson's Licence

			Val	idity	Suspe	ension	
Licence Number	Name of Licensee	Registered Address for Communication	From	To	From	To	Revocation on

[第4條]

			表格 2 地產代理監管局					第4	Ck)
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<b>基系中排表格前</b>	・納金剛 「地産	()理及營業は發料	手栅」・						
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<b>光成監管局指導</b>	的訓練課化		[ ] 完成課程,前	付上有關證明文件		1	14	<b>e</b> III	
<b>作業洲量師</b>			[ ] 是·並附上有	開證明文件		- 1	14	<b>4</b> H	
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	5*敦縣	街遊名構及號		傳真號高(如本	(的集長)		香港//	tu <b>M</b> U #i	w.
<b>坤-2 聲明</b>		街道名博及號 地區	(P)				香港//	†∟ <b>Me</b> J\$ti	w•
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中-2 響明 注意: 你是否未來 整協議或《 你是否一個 有牌照的行	下列問題 1 至 6 9 複解除破疼的破棄 負務價溫安排? 閉公別的董事或 解格·或你在終公 (精神健康條例)	田道名稱及號 地區 地區 人,或在緊接本 以及,而該公 。 可如此喪失資格 (第136 年)第2(	時 考慮因素有關,並終本 申請表目期之前5年內 可當其時保練(地產代 當目地市該公司的董事 除所指的精練素觀的人	本表格的一部分而。 ,已與你的價權人 理條例》(第511 1 或高級人員? 或該條府指的病人	必須作答・ ま「立債務重 後)喪失持	1	E }	es I I	1
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L. S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 of 1998

		FORM 2					[s.4]	1
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	ECTATE A	GENT'S LICENCE (IN	DIVIDUAL)					4
u are advised to read the Licensing H	andbook for Esta	te Agents and Salespers	ons ("Licensing Ha	ndbook") t	ctore comp	icting t	his	١
olication form. Stion 55(1)(c) of the Estate Agents Of ar on conviction upon indictment or be see or misleading statement or furnish icence.	rdinance (Cap. 51	1) makes it an offence p	unishable by a fine	at level 6	and/or impri	sonme	nt for I	,
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Completion of Training Course specificationity	ied by the	[ ] Yes, proof is end	losed		Not A	pplica	ble	
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Are you an undischarged bank application made a composition	most or have you	within the 5 years imme	diately prior to the editors?	date of this		1	ı	
Are you a director or officer or     Arenes Ordinance (Cap. 511)	f a company whice	h is for the time being once, or were you a direc	iconstitied under th	ie Estate company	Į aı	1	ŧ	
the date when the company was  Are you a mentally disordered Ordinance (Cap. 136)?	I person or a putie	ent within the meaning o	f section 2 of the N	lental Heal	ith [	1	t	
4. Have you ever been convicted dishonest acts?	1, whether in Hon	g Kong or elsewhere, o	any fraud, corrupti	on or othe	r (	1	ι	
5. Have you ever been convicted bave been sentenced to impri	sonment, whether	the sentence is suspend	ed of not.			j	ţ	
6. If you have answered 'Yes' to	any of the above	questions, please give	details (Use additio	nal sheet it	(necessary):			
Photograph 40 mm X 50 mm	estate agency b statement of pa	that for each place at vasiness (if any), I have triculars of business (For PLETE AND TRUE to ity to verify the foregoin	m 8), and that all t	he foregon	ng informati belief and	on in t autho	his appli	cz
					of Applicant			

	就批給地產代理(	表格 3 地産代理監管局 公司)牌照/管業群	情說明書提出的申請	[第4錄]
¥855}	申請批給地產代理(公司	)牌照及營業地點營業	<b>群情說明書</b>	
(為申請表格前·納拿	間「地產代理及營業員發牌子	冊」・		
生或提供任何雄假或具。 2序定罪・可處第 5 級	誤導性的資料,即屬達法,有 劉款及/或監禁 6 個月、	開卵行・一種循公訴	給牌照或牌照權期時,作出任代 程序定罪,可處第6級罰款及/ 人(公司)的地產代理業務並創	或艦號 一年,如豬餾多
P-1 申請人(公司)#	平博			
2可註冊證書號碼				
<b>意業登記號碼</b>			有效期屆滿日(日/月/年份	)
業名稱				
<b>交替業名稱</b>				
产記辦事處地址	ž	1復20	床	
	大廈/屋苑名橋	· · · · · · · · · · · · · · · · · · ·		
	街道名稱及號碼	ļ		香港/九龍/新昇*
	地區			
6業地點地址 如與上址不同)	*	標階	<u></u>	_
	大廈/屋苑名稱			
	街道名稱及號碼	,		香港/九龍/新昇*
	地區		1.77	
就新 / 手提電話*號傳			傳真號碼 (如有的話)	
》可的其他營棄地點數	<u> </u>			
<b>公司董事數目</b>				
P-2 学明 注意:ド列間 ぶるみめであご	観 1 至 3 與決定批給牌照/營業 ・指申請批給地産代理(公司	要詳情説明書的号慮込 ) 2000 / 登楽詳情報	東有關・並爲本表格的一部分   明書的申續人(公司)。	而必須作答・在問題!
"你"是否正在清解	當中或是任何清盤令的標的 中·已與價權人訂立價務重整	· 或有接管人已就"你	で 両獲委任・或是否在本申	是 哲
"你"曾否根據(J	地產代理條例》(第 511 章)	<b>技定罪?</b>		1 1 1 1
以上問題如答:是	'・講詳加設明(如有需要・	<b>膚用料加紙強</b> ):		
4歳ン一部分様を就扱	可)· 譬明就申請人(公司)】 給營業終情說明書提出的申請 地產代理監管局接其認爲合語	(表格 8)・並磐明劇	2每一地點及每一營業名稱,中 本人所別及所信此申請表所提! 所提供的資料。	納人(公司)已作為 供的資料皆爲全面、完

# L. S. NO. 2 TO GAZETTE NO. 42/1998

			FORM 3		{s.4}
			AGENTS AUTHOR		
		APPLICATI ENT'S LICENCE (COMPA	ON FOR THE GRAIN		BUSINESS
tion A	1	lication for Grant of Estate Statement of Purticulars of E	Business for Place of	Business	
	read the Licen	sing Handbook for Estate A	gents and Salesperso	ns ("Licensing Handbool	") before completing this
dication form.	the Estate Apr	ents Ordinance (Cap. 511) n	nakes it an offence pu	mishable by a fine at leve	el 6 and/or imprisonment for I
er on conviction se or misleading	upon indictme statement or f	nt or by a tine at level 5 and urnishes any false or mislea	ding information in c	connection with an applic	ation for the grant or renewal of
ective control of	the applicant	n has to be completed by a r company's estate agency bu	epresentative of the a isiness and he has to	ipplicant company. The be a licensed estate agent	representative must be in
Delete as inappre	opriate) ers of Applican	t Company			
ertificate of Inco					
				Expiry Date	
usiness Registrat	ion No.			(DD:MM/YYYY)	
usiness Name					
usiness Name in	Chinese				
ddress of registe	red office	Flat/Room	Floor	Block	
		Name of Building/E	state		
		Number and Name	of Street		
		B1			HK/KLN/NT*
		District			
Address of Place	of Business above)	Flat/Room	Floor	Block	<del></del>
		Name of Building/	Estate		
		Number and Nume	of Street		
		District			HK/KI.N/N1*
		District		Fax No (if any)	·
Telephone/Mobi				Pax (40 (11 alis))	
		f Business of the Company			
Number of Direc	tors in the Co	mpany			
A-2 Declar					
		ow refer to factors which wi css. They form an integral po or the grant of the Estate Ag			rant of licence/Statement of " in questions 1 to 3 means the culars of Business.
1. Are you i	n fiquidation o	r the subject of a winding-u the 5 years immediately pr	p order, or is there a r ior to the date of this	receiver appointed in rela	ition to
		of arrangement with your cr victed under the Estate Age		51112	
1					1 1 1
3. If you hav	re answered "Y	es' to any of the above que	stions, please give de	tails (Use additional shee	it if necessary)
company is to c	arry on an est	ate agency business, the app	plicant company has	filed as part of this appro-	me under which the applicant cation an application for the grant is FULL, COMPLETE AND TRUING information in any manner as
Date (DD/M	M/YYYY)	Name of Representative (in BLOCK LETTERS) (i	Position n BLOCK LETTERS	Estate Agent's Licenc (Individual) Number (if applicable)	e Authorized Signature and Star

B3136 1998 年第 328 號法律公告

1998 年第 42 期憲報第二號法律副刊

技嫌(地) 進変提供!								
技嫌(地) 進変提供!		公司董事詳 (公司董事務(				表格 3 (	第2	m)
技嫌 (地) 進変提供:								_
空序定罪	産代理條例》(第511章) ・ 任何慮假或具誤導性的資料 ・可慮第5級罰款及/或監禁	第 55 (1)(c)條・若任仲 4・即屬進法・有關罪行・	(人在申請批給) 一種循公訴程(	牌照或牌照續期時,作 予定罪,可處第 6 級置	F出任何虛例 動款及/或監例	3或具织 4一年	導性的 如猶豫	可除 前易
	判數量的表格 3 乙部分・比		<b>,</b> .					
(*將不達	明者附去)							
2-1	申請人 (公司) 詳情							
商業登記! 與本表格	號碼 第一頁所列相同)			有效期屆滿日(日/	円/年份)			_
#果名稱								
<u>2</u>	軍事群情							
尔有否從	事地康代理工作?	D #/		口 沒有				
也產代理	牌照號碼(如適用)			有效期隔滿日(日/	月/年份)			
如你沒有	地産代理牌照・請填寫以)	F乙-2 部分各項及乙-3 部分	<del>)</del> :					
đ.		中文的	ŧ4s					
8				別名				
香港身分	<b>遊/旅遊遊件*號碼</b>	T		性別	[]男		J女	
出生日期	(日/月/年份)		地產代理工作	經驗(如適用)	年	月		
	雪明 注意:下列問題   至 6 與 i	h = / HL 6A Hn BZ/86 M d Y 4M 80) (	日本的 美雄民士	有關 · 並貫本表格的	…部分而必须	須作答・		_
	注意・ド列叫鹿・王・天の	CAETAGRAPHINO MI SKRY IN BUC	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			楚	ą	5
	是否未獲解除破產的破產人	. who was the strain of the state of the sta	う前く年以 ・ 戸	1886的健康人们立体		٦,		
. 你是	6名木張蔣林敬應可敬度人 G膜或債務價遷安排?	· 家住茶在平中研究口外	C104 > 4-43 C	350000000000000000000000000000000000000				
21								
. 你想	<ul><li>と否一間公司的董事収高級</li><li>常照的資格・或你在該公司</li></ul>	人異·而鉄公司當其時根 如此喪失資格當日是否該	據(地產代理約 公司的董事或高	版例》(第511章)與第 版級人員?	e# (	1	ι	1
2. 你是 有罪	牌照的資格・或你在該公司	如此喪失資格當日是否該	公司的董事或制	8級人員?	J ##	1	l I	1
2. 你是 有算 3. 你是 1. 你看	牌照的資格・並你在該公司 を否為 (精神健康條例) (美 曾否因任何罪行在香港収其	如此喪失資格當日是否該 第136章)第2條所指的報	公司的董事或制	N級人員? 该條所指的病人?	ı			
2. 你想 有算 3. 你想 4. 你怕	準照的資格·減你在該公司 差否為《精神健康條例》(須 會否因任何罪行在香港或其 ? 曾否根據《地底代理條例)。	如此喪失資格當日是否該 第136章)第2條所指的賴 他地方被定罪,而該項定	公司的董事或制 同种紊亂的人或 罪裁斷你曾有與	斯級人員? 核條所指的病人? 以祚性、舞弊或不誠實	[ enne [	1	ı	1
2. 你想 有 3. 你想 4. 你怕 5. 你怕	準照的資格·減你在該公司 差否為《精神健康條例》(須 會否因任何罪行在香港或其 ? 曾否根據《地底代理條例)。	如此喪失資格當日是否該 第136章)第2條所指的輔 他地方被定罪,而該項定 (第511章)被定罪,並に	公司的董事 疾制 自种素亂的人或 罪教斷你曾有第 已就此項定罪被	斯級人員? 该條所指的病人? 次許性、舞弊成不誠實 州遇監禁,而不論是《	[ enne [	] ]	I I	1

L. S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 of 1998

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B3437	5

	L	indbook for Estate Agents and Salesperson	s ("Licensine Handbook")	before (	comple	ung t	his	$\dashv$
Continue forms								
ction 55(1)(c) o		dinance (Cap. 511) makes it an offence pur y a fine at level 5 and/or imprisonment for s any false or misleading information in eq						
icence.	tains number of Section	on B of Form 3 as necessary for the comple	ation by EACH director wh	o is an	individ	ual.		
ease obtain suit Delete as inapp		m 19 01 1 01 m 3 as necessary 100 m 3 m 3 m 3 m						_
1 Particul	ars of Applicant Comp	any						_
usiness Registra ame as in page	ition No. 1 of this form)		Expiry Date (DD/MM/YYYY)					
usiness Name						_		
-2 Particu	lars of Director							_
re you engaged ork?	in estate agency	□ Yes □ N	lo					
state Agent's L	icence No. (if		Expiry Date (DD/MM/YYYY)					
you do not hav	e an Estate Agent's Li	cence. please complete the following of	Section B-2 and Section B-	3:		_		
umame		Name in Chinese						_
ther Name (s)			Alias					
LK 1D Card/T	ravel Document* No.	Γ	Sex	( )	Male	ī	] Ferr	ual
	D/MM/YYYY)	Estate Ager applicable)	ncy Work Experience (if		Years	=	Mon	th
Note : Quest								
Partic	ulars of Business. They	to factors which will be considered in the y form an integral part of this form and mu	st be answered.		Yes		No	
Partic	ulars of Business. They	y form an integral part of this form and mu	st be answered. tely prior to the date of this			emen 		
Partic  1. Are you a applicatio  2. Are you a Agents O	ulars of Business. They un undischarged bankru in made a composition	y form an integral part of this form and mu pt or have you within the 5 years immedia or scheme of arrangement with your credu to company which is for the time being disq r holding a licence, or were you a director	st be answered.  tely prior to the date of this tors?  ualified under the Estate		Yes		No	
1. Are you a application 2. Are you a Agents O the date of 3. Are you a	ulars of Business. They in undischarged bankru in made a composition i director or officer of a rdinance (Cap. 511) fo when the company was	y form an integral part of this form and mu pt or have you within the 5 years immedia or scheme of arrangement with your credu to company which is for the time being disq r holding a licence, or were you a director	st be answered.  tely prior to the date of this tors?  ualified under the Estate or officer of that company a	al	Yes	ı	No [	
1. Are you a application 2. Are you a Agents O the date work or a Cordinance o	ulars of Business. They in undischarged bankru in made a composition is director or officer of a rdinance (Cap. 511) fo when the company was a mentally disordered p e (Cap. 136)? is ever been convicted, is	y form an integral part of this form and mu pt or have you within the 5 years immedia or scheme of arrangement with your credit company which is for the time being disq- thing a licence, or were you a director so disqualified?	st be answered.  tely prior to the date of this tors?  ualified under the Estate or officer of that company is tection 2 of the Mental Healt	at th	Yes I I	1	No [	
Partic  1. Are you a application 2. Are you a Agents O the date of Ordinance 4. Have you dishonest 5. Have you	ulars of Business. They in undischarged bankru in made a composition director or officer of director drinance (Cap. 511) fo when the company was a mentally disordered p of (Cap. 136)? In ever been convicted, lacts?	If form an integral part of this form and mu pt or have you within the 5 years immedia or scheme of arrangement with your credit company which is for the time being disqui- th holding a licence, or were you a director so disqualified? erson or a patient within the meaning of se	st be answered.  tely prior to the date of this tors?  unlified under the Estate or officer of that company is  ection 2 of the Mental Healt y fraud, corruption or other  11) in respect of which you	al th	Yes I I	1	No [ [	•
Partic  1. Are you a supplication  2. Are you a Agents O the date v  3. Are you a Ordinane  4. Have you dishonest  5. Have you have been	ulars of Business. They in undischarged bankru in made a composition idirector or officer of drinance (Cap. 511) fo when the company was imentally disordered p is (Cap. 136)? is ever been convicted, is ucts? is ever been convicted to in sentenced to impriso	pt or have you within the 5 years immedia or scheme of arrangement with your cedib company which is for the time being disq r holding a licence, or were you a director so disqualified; version or a patient within the meaning of sa whether in Hong Kong or elsewhere, of an anuder the Estate Agents Ordinance (Cup. 5	tely prior to the date of this one?  unified under the Estate or officer of that company is estion 2 of the Mental Healt yellow or not other.  It is mespect of which you or not?	at th	Yes  I  I  I	1 1	No [ [ [	
Partic  1. Are you a application Agents O the date v  3. Are you a Ordinane  4. Have you dishonest  5. Have you have been  6. If you ha	in undischarged bankrus in undischarged bankrus in made a composition director or officer of a redinance (Cap. 511) for when the company was an entally disordered p e (Cap. 136)? e ever been convicted, users? e ever been convicted to impriso we answered 'Yes' to a sentenced to impriso we answered 'Yes' to a control of the cap.	pt or have you within the 5 years immedia or scheme of arrangement with your credit company which is for the time being disa rholding a licence, or were you a director so disqualified? erron or a patient within the meaning of so whether in Hong Kong or elsewhere, of an under the Estate Agents Ordinance (Cup. 3 nament, whether the sentence is suspended	tely prior to the date of this out?  usilified under the Estate or officer of that company is section 2 of the Mental Healt yellow of the most of the thick by the corruption or other things of the Mental Healt with the corruption of the think by the corruption of	at th necessa	Yes  [  [  I  I  I  ary).  ——  esst of m	1 1 1	No [ [ [ [ [	

日期(日/月/年份)

內部分		公司董事詳情 (公司董事爲一間公司)			#0 KB 3	(36.3	TH I
CENT NOT HE	  接表搭前・接音階「地産代		L			- (24)	_
根據 ( 逃收排 程序定	「地産代理條例)(第 511 章  供任何虚似或其親導性的資  罪・可慮第 5 級罰獻及/東電	第 55 (1)(c) 録・若任何人在申請排料・即屬違法・有關銀行・一經婚公訓 禁 6 個月・					
		以供毎位層公司的董事填寫・					
(*)特:	不適用者剛去)						
汽-1	申請人(公司)詳情						
	記號碼 (格第一頁所列相同 )		有效期屆滿日(日/月/年	E <b>t}</b> )			
營業名	桐						
卢-2	董事群情					-	
	從事地產代理工作?	D 49	D 124i				
	理牌照號碼(如適用)	- "	有效期质源目(日/月/4	(化)			
		F内-2 部分各項及円-3 部分:					
商業登	起號碼	T	有效期屆滿日(日/月/年	E67 )			
營業名	HR .						
英文營	業名精						
	至5内的"你",指批給地	决定批給牌照/營集詳情說明書的考慮認 整代理(公司)牌照 / 營業詳情說明會	申請人 (公司) 的董事 (而	該董事原 是	MT 2	·问)• 至	
		何清盤令的標的、或有接管人已就"你 人訂立價務重整協議或價務價遷安排?		ι	1	ſ	1
		級人員,而終公司當其時根據《地產f 公司如此喪失資格當日是否終公司的追		t	1	ſ	-
	你"曾否因任何罪行在香港或 【的作爲?	其他地方被定罪,而該項定罪數斷"的	"曾有欺詐性、舞弊或不誠	ι	1	I	į
4. "	你"曾否极課(地產代理條係	() (第 511 章 ) 被定罪?		1	J	ſ	1
5. ≇	5"你"對以上任何一項回答	'是',驕慢供詳情(如有需要請用附加	D紙張):				
		的董事),聲明就本人所知及所信此申 《核實此申請表所提供的資料	情表所提供的資料皆爲全面·	完整及	英雅・	而本人	授作

# L. S. NO. 2 TO GAZETTE NO. 42/1998

Section C		Particulars of Compar (Directorship held by a					Form	3 (Page	3)
ou are advised to	read the Licensing H	andbook for Estate Agents	and Salespersons	("Licensing Handboo	ok") befor	e comp	pleting	this	٦
rear on conviction also or misleading licence.	upon indictment or b statement or furnish tient copies of Section	rdinance (Cap. 511) makes y a fine at level 5 and/or in es any false or misleading is n C of Form 3 as necessary	nprisonment for 6 nformation in con	months on summary nection with an appli	conviction ication for	the gr	ant or r	DAXCS &	m) i
C-1 Particula	rs of Applicant Com	pany							ヿ
Business Registrati same as in page 1	on No. of this form)			Expiry Date (DD/MM/YYYY)					
Business Name									_
C-2 Particula	rs of Director								
Are you engaged is work?	n estate agency	□ Yes	□ No						
Estate Agent's Lic applicable)				Expiry Date (DD/MM/YYYY)					
If you do not have	an Estate Agent's L	cence, please complete the	following of Sec		C-3:				[
Business Registrat	ion No.			Expiry Date (DD/MM/YYYY)					
Business Name					_				4
Business Name in	Chinese								
C-3 Declara	lion								-
Particul director (directo	ars of Business. The of the company app rship is held by a co		is form and must state Agent's Lice	be answered "You" nce (Company)/ State	in question ement of f	ns I to Particu	S mean lars of es	ns the Busine No	·
you, or hav	e you, within the 5 y	oject of a winding-up order, ears immediately prior to the gement with your creditors	he date of this app	iver appointed in rela dication, entered into	a a	ι	ì	l	}
Agents Ord	inance (Cap. 511) fo en the company was		you a director or	officer of that compa	any at	1	1	i	1
3 Have you of dishonest a		whether in Hong Kong or e	lsewhere, of any	fraud, corruption or o	other	l	ı	ı	ı
		under the Estate Agents Ord				l	1	1	1
5. If you have	answered 'Yes' to a	ny of the above questions,	please give details	(Use additional shee	et if neces	sary):			
I, on behalf of th TRUE to the bes manner as it dee	t of my knowledge a	hereby declare that all the f and belief and 1 authorize th	oregoing informa e Estate Agents A	tion in this application the transfer of the t	n is FULL foregoing	., COM	4PLET mation	E AND	
Date (DD/MN	WYYYY) N	ame of Representative a BLOCK LETTERS)	Posit (in BLOCK		Authorize	d Sign	alure a	nd Stan	IP.

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		-	表格 4 地產代理監管局 就批給營業員牌照提出	的申請			(3	<b>84</b> g
技嫌 生或	(地產代理條例)(多	導性的資料・即屬達は	牌手冊]。 d)條・若任何人在申請性 に・有關罪行・一種婦公都	比給牌照或牌照欄期時・ 行程序定罪・可慮第 6 級	作出任何虚 罰款及/或監	假或具	<b>見設導性</b> 半・如何	生的原始
ŧ			中文姓名					
,			11.24.11	<b>別名</b>	1			
44	身分数/旅遊鏡件*號	<b>*</b> 1		te Sri	[ ] 男		1 13	<u></u>
	日期 (日/月/年份)			I EM	1 1 29		1 1:	
T.		1 1976	以下 1 1完成中五	或有同等學歷 [	一大專或以			
	格考試中獲台格成績			ひと有脳波明文件	1 / 4 405		不適用	
	99年1月1日前有地	)高化镍工作烯醇	1 是 並附上有		- I		下適用	
	监管局指明的訓練課			************************************	1		(通用)	
	樹豊師							
	地址		[ ] 是,並附上有關		I	11	(適用	
<b>D</b> U	-ear	奎	横層	整				
		大廈/駅売名	500					
		街道名稱及			香港/	九龍/第	斤界•	
		地區		The course of the co				
li.	/ 手提電話*戦略			傳真號碼(如有的	(基5)			
-2	聲明							
-	注意:下列問題:	至 6 與決定批給牌照	的考慮因素有關・並爲本	表格的一部分而必須作名	ş ·			
						是		ट
	你是否未獲解除破產i 整協議或債務價溫安		中請表日期之前5年內・	已與你的債權人訂立債	務重 [	J	I	J
			司當其時根據(地產代理		ē## [	1	1	- 1
			當日是否該公司的董寧卓   條所指的精神紊亂的人=			1	1	1
		香港或其他地方被定罪	· 而該項定罪裁斷你曾有	<b>欺詐性、舞弊或不誠實</b>	ende [	1	ì	ì
		理條例》(第511章)被	<b>技定罪,並已就此項定罪</b> 被	<b>被判處監禁,而不論是否</b>	鞭 !	1	!	1
	刑? 若你對以上任何一項	回答"是",精提供样化	1(如有需要納用附加紙架	<b>¥</b> ):				
		<u> </u>	人所知及所信此中請表所		完整及真確	· ıfti 4	人授相	地点
i								
ĺ		代理監督局按其	認爲合適的方式核實此中	·請表所提供的資料·				
	相片 40 毫米 X 50 毫米	代理監管局接其	認為合適的方式核實此中	「請表所提供的資料・				

		FORM 4					[s.4	
	EST	ATE AGENTS AUTHOR	ITY				,	'∣
		FOR THE GRANT OF						
You are advised to read the Licensing H application form.	landbook for Esta	ate Agents and Salesperso	ns ("Licensing H	andbook"	) before cor	npieting	this	
Section 55(1)(d) of the Estate Agents O year on conviction upon indictment or b false or misleading statement or furnish a licence.	y a fine at level:	5 and/or imprisonment for	6 months on sur	nmary cor	reiction if a	person	makes a	any [
(*Delete as inappropriate)  A-1 Particulars of Applicant		-						$\dashv$
Surname		Name in Chinese	Τ					-
Other Name (s)			Alias	- 1				
H.K.I.D. Card/Travel Document* No.	[		Sex		j Male	1	] Fen	nate
Date of Birth (DD/MM/YYYY)			L	i				
Educational Level	[ ]Below F.5	[ ] Completed F.	S or agon stant	1	l'ertiary o	r aberrea		
Pass in Qualifying Examination	1000013	Yes, proof is enclu				Applica	ble	$\dashv$
Experience in Estate Agency Work price	or to 1 January	Yes, proof is enclo				Applica		-
1999								$\Box$
Completion of Training Course specific Authority	ed by the	Yes, proof is enclo	sed		}Not	Applica	able	
Professional Surveyor		Yes, proof is enclo	sed		Not	Applica	able	
Correspondence Address								
	Flat/Room	Floor		Block				
	Name of Buildi							_
	Number and Na	ame of Street				нкл	KLN/N I	
	District		Te vi					
Telephone/Mobile Phone® No.	<u> </u>		Fax No	(if any)				
A-2 Declaration								
Note: Questions 1 to 6 below refer integral part of this form and			determination fo	the grant	of beence	They fo	orm an	
integral part of this form and	musi oc miswen				,	es.	N	0
Are you an undischarged bankru application made a composition	ipt or have you w or scheme of arr	vithin the 5 years immedia angement with your credi	tely prior to the tors?	tate of this	s (	1	ŧ	1
2 Are you a director or officer of a Agents Ordinance (Cap. 511) for the date when the company was	r holding a licenc				at [	1	ı	1
3 Are you a mentally disordered p Ordinance (Cap. 136)?	erson or a patien	t within the meaning of se	ction 2 of the Me	ntal Heal	ih [	1	ŧ	1
Have you ever been convicted, dishonest acts?	whether in Hong	Kong or elsewhere, of an	y fraud, corruptio	on or other	· t	1	1	1
s Have you ever been convicted u have been sentenced to imprisor				vhich you	ı	1	i	1
6 If you have answered 'Yes' to a	ny of the above q	questions, please give deta	ils (Use addition	al sheet if	necessary)			
1	RUE to the best	hat all the foregoing infor of my knowledge and bel ormation in any manner as	icf and I authorize					
10.000								
	Date (f	DD/MM/YYYY)		Signat	ure of App	licant		

L. S. NO. 2 TO GAZETTE NO. 42/1998

〔第4條〕

## FORM 5

[s.4]

CHIEF EXECUTIVE OFFICER ESTATE AGENTS AUTHORITY

# ESTATE AGENTS AUTHORITY

## ESTATE AGENTS ORDINANCE (Chapter 511)

#### ESTATE AGENT'S LICENCE (INDIVIDUAL)

			Licence No.:
	LICENCE IS GRANTEI	on_	
to			
to carry o	on estate agency work as an esta	agent.	
	This licence shall, unless	rlier suspended or revoked, cease to have effe	ect on
	This licence is subject to	e conditions set out herein.	
		<b>General Conditions</b>	
1.	Every holder of an estate	gent's licence shall:	
	(a)	display and keep displayed, at a conspicuo as an estate agent, the relevant statement of	us position at each place at which he carries on business of particulars of business.
	(b)	state clearly and conspicuously on any document issued by him or on his behalf the	letter, account, receipt, pamphlet, brochure and other ne following -
		(i) the name of the licensee;	
		(ii) the number of his licence;	
		(iii) the business name as stated	in the relevant statement of particulars of business; and
		(iv) the place of business as state	ted in the relevant statement of particulars of business;
	(c)	state clearly and conspicuously in all adver on his behalf, the items in paragraph (b)(i),	tisements, pamphlets and brochures excepted, by him or (ii) and (iii);
	(d)	not carry on estate agency business under one specified in a statement of particulars	a business name or at a place of business other than the of business granted to him, and
	(e)	apply to the Estate Agents Authority to under section 12 of the Estate Agents (L stated therein is for any reason incorrect.	have his statement of particulars of business amended icensing) Regulation (Cap. 511 sub. leg), if any matter
2.	Every licensee shall, upor for amendment or variation	equest in writing by the Estate Agents Autho of any existing conditions or for attachment	rity, surrender his licence to the Estate Agents Authority of new conditions.
3.			es on at any place estate agency business under different f business for each and every business name so used.
		Other Conditions	
1.	[Any other conditions imp	sed by the Estate Agents Authority under sec	tion 17(3) of the Ordinance]

**長格** 5

《地產代理條例》

地產代理(個人)牌照

此牌照於  此	
大給	
地產代理身分進行地產代理工作。 除被暫時吊銷或撤銷外,本牌照將於 本牌照受下列條件規限。	20 11
除被暫時吊銷或撤銷外,本牌照將於 本牌照受下列條件規限。  一般條件  每名地產代理牌照持有人一  (a) 須在並保持在他以地產代理身分經營業務的每個關營業詳情說明書;  (b) 須在他發出或代他發出的信件、帳目、收據、單張	20 11
本牌照受下列條件規限。  - <b>股條件</b> - 每名地產代理牌照持有人一  (a) 須在並保持在他以地產代理身分經營業務的每個 關營業詳情說明書;  (b) 須在他發出或代他發出的信件、帳目、收據、單張	20 11
一般條件      每名地產代理牌照持有人一     (a)    須在並保持在他以地產代理身分經營業務的每個關營業詳情說明書;     (b)    須在他發出或代他發出的信件、帳目、收據、單張	地點的顯眼位置展示
每名地產代理牌照持有人一 (a) 須在並保持在他以地產代理身分經營業務的每個 關營業詳情說明書: (b) 須在他發出或代他發出的信件、帳目、收據、單張	地 點 的 顯 眼 位 置 展 示
(a) 須在並保持在他以地產代理身分經營業務的每個關營業詳情說明書; (b) 須在他發出或代他發出的信件、帳目、收據、單張	地 點 的 顯 眼 位 置 展 示
關營業詳情說明書; (b) 須在他發出或代他發出的信件、帳目、收據、單張 達替西顯照 推進 照以下東语	地點的顯眼位置展示
(b) 須在他發出或代他發出的信件、帳目、收據、單張 清禁西顯開地流明以下東頂一	
清楚而顯眼地述明以下事項— (j) 有關持牌人的姓名或名稱:	、小冊子及其他文件
	3 10 3 20 10 20 11
(i) 有關	<b>留:</b> 15
(iv) 在有關營業詳情說明書內述明的營業地	· · · · · · · · · · · · · · · · · · ·
(c) 須在所有由他或代他發出或發布的廣告(單張及小 眼地述明(b)(i)、(ii)及(iii)段所規定的資料;	冊子除外)內淸楚而
(d) 不得在批給他的營業詳情說明書內所指明的營業 說明書內所指明的營業名稱以外的名稱經營地產代	理業務;及
(e) 在他的營業詳情說明書內所並任何事項因任何理由 《地產代理(發牌)規例》(第511章,附屬法例	而屬不正確時,須根
管局申請將該說明書修訂。	) 第 12 除 问 地 産 八 母
. 每名持牌人須按地產代理監管局的書面請求將他的牌照交回地產代理監有條件或將新條件附加於牌照。	管局,以修訂或更改
. 為施行此等條件,凡持牌地產代理在任何地點以不同的營業名稱經營地 地點就每個如此使用的營業名稱展示一份營業詳情說明書。	産 代 埋 業 務 , 他 須 在
其 他 條 件	
. 〔 地產代理監管局根據本條例第 17(3)條所施加之任何其他條件〕	

Licence No.:

## 表格 6

〔第4條〕

L.S. NO. 2 TO GAZETTE NO. 42/1998

# 地產代理監管局 (地產代理條例)

### 地產代理(公司)牌照

	牌照號碼:	
此 牌 照 於		
批給		
以地產代理身分進行地產代理工作。		
除被暫時吊銷或撤銷外,本牌照將於		
本牌照受下列條件規限。		
<u>一般條件</u>		

- . 每名地產代理牌照持有人—
  - (a) 須在並保持在他以地產代理身分經營業務的每個地點的顯眼位置展示有關營業詳情說明書:
  - (b) 須在他發出或代他發出的信件、帳目、收據、單張、小冊子及其他文件上清楚而顯服地並明以下事項—
    - (i) 有關持牌人的姓名或名稱;
    - (ii) 他的牌照號碼;
    - (iii) 在有關營業詳情說明書內述明的營業名稱;及
    - (iv) 在有關營業詳情說明書內述明的營業地點;
  - (c) 須在所有由他或代他發出或發布的廣告(單張及小冊子除外)內清楚而顯眼地並明(b)(i)、(ii)及(iii)段所規定的資料;
  - (d) 不得在批給他的營業詳情說明書內所指明的營業地點以外的地點或以該說明書內 所指明的營業名稱以外的名稱經營地產代理業務:及
  - (e) 在他的營業詳情說明書內所述任何事項因任何理由而屬不正確時,須根據《地產代理(發牌)規例》(第511章,附屬法例)第12條向地產代理監管局申請將該
- 2. 每名持牌人須按地產代理監管局的書面請求將他的牌照交回地產代理監管局,以修訂或更改現有條件或將新條件附加於牌照。

#### 其他條件

1. [地產代理監管局根據本條例第 17(3)條所施加之任何其他條件]

	簽署 _		
地產代理監管局蓋印	~ 1 -	地產代理監管局行政總裁	

#### FORM 6

[s.4]

# ESTATE AGENTS AUTHORITY

#### ESTATE AGENTS ORDINANCE (Chapter 511)

#### ESTATE AGENT'S LICENCE (COMPANY)

	LICENCI	E IS GRANTE	D on
to			
to carry o	n estate agency	work as an est	tate agent.
	This licen	ce shall, unless	carlier suspended or revoked, cease to have effect on
	This licen	ce is subject to	the conditions set out herein.
			General Conditions
1.	Every hole	der of an estate	agent's licence shall:
	(a)		nd keep displayed, at a conspicuous position at each place at which he carries on business as an estate agen int statement of particulars of business.
	(b)		rly and conspicuously on any letter, account, receipt, pamphlet, brochure and other document issued by hir behalf the following -
		(i)	the name of the licensee;
		(ii)	the number of his licence;
		(iii)	the business name as stated in the relevant statement of particulars of business; and
		(iv)	the place of business as stated in the relevant statement of particulars of business;
	(c)		rly and conspicuously in all advertisements, pamphlets and brochures excepted, by him or on his behalf, th aragraph (b)(i), (ii) and (iii);
	(d)		on estate agency business under a business name or at a place of business other than the one specified in of particulars of business granted to him, and
	(e)	apply to t Estate Ag	he Estate Agents Authority to have his statement of particulars of business amended under section 12 of the thents (Licensing) Regulation (Cap. 511 sub. leg), if any matter stated therein is for any reason incorrect.
2. amendme	Every lice nt or variation of	ensee shall, upo of any existing	n request in writing by the Estate Agents Authority, surrender his licence to the Estate Agents Authority fo conditions or for attachment of new conditions.
3. business n	For the p	urpose of these display at that p	e conditions, where a licensed estate agent carries on at any place estate agency business under differen place a statement of particulars of business for each and every business name so used.
			Other Conditions
1.	[Any othe	r conditions im	posed by the Estate Agents Authority under section 17(3) of the Ordinance]
			Signed CHIEF EXECUTIVE OFFICER  EAA Stamp
			ESTATE AGENTS AUTHORITY

1998 年第 328 號法律公告

1998 年第 42 期憲報第二號法律副刊

L.S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 OF 1998

B3147

[s.4]

表格 7 地產代理監管局 〔第4條〕

FORM 7
ESTATE AGENTS AUTHORITY
ESTATE AGENTS ORDINANCE (Chapter 511)

	營業員牌照	SALESPERSON'S LICENCE
	牌照號碼:	
	此 牌 照 於	LICENCE IS GRANTED on
批 給		to
以營業員	員身分進行地產代理工作。	to carry on estate agency work as a salesperson.
	除被暫時吊銷或撤銷外,本牌照將於	This licence shall, unless carlier suspended or revoked, cease to have effect on
	本牌照受下列條件規限。	This licence is subject to the conditions set out herein.
	一般條件	General Conditions
1	每名持牌人須按地產代理監管局的書面請求將他的牌照交回地產代理監管局,以修訂或更改現有條件或將新條件附加於牌照。	Every licensee shall, upon request in writing by the Authority, surrender his licence to the Authority for amendment or variation of any existing conditions or for attachment of new conditions.
	其他條件	Other Conditions
1	[地產代理監管局根據本條例第 17(3)條所施加之任何其他條件]	1 [Any other conditions imposed by the Estate Agents Authority under section 17(3) of the Ordinance]
	第	SignedCHIEF EVECUTIVE OFFICED
	地產代理監管局蓋印 地產代理監管局行政總裁	EAA Stamp CHIEF EXECUTIVE OFFICER ESTATE AGENTS AUTHORITY

B3148 1998 年第 328 號法律公告

1998 年第 42 期惠報第二號法律副刊

中國輔期時,作出任何據就或其談場性的 可繼第6級詞款及/成監禁一年,如婚體 新任義須實期控制該公司的地產代理業務 。而該獨實輕蓋者須為一名的報地產代理 ,到外,每一名, 第一次(與屬何情於而定)的一部分, 一個人與屬何情於而定)的一部分, 一個人與屬何情於而是 一個人與屬何情於而是 一個人與屬何情於 一個人與屬何情於 一個人與屬何情於 一個人與屬何情於 一個人與屬何情於 一個人與屬何情於 一個人與國際 一個人與 一個人與 一個人 一個人 一個人 一個人 一個人 一個人 一個人 一個人
<ul> <li>申慮第6級到款及/収監禁一年・知論開 を代表須實際控制接公司的地庫代理業務。 前該獨實權量者以為一名的報地產代理 198人須獲特牌地產代理・另外・每一名。 が中時(規輔何情况而定)的一部分・</li> <li>会野</li></ul>
<ul> <li>申慮第6級到款及/収監禁一年・知論開 を代表須實際控制接公司的地庫代理業務。 前該獨實權量者以為一名的報地產代理 198人須獲特牌地產代理・另外・每一名。 が中時(規輔何情况而定)的一部分・</li> <li>会野</li></ul>
前該獨與權益者與為一名於陸地產代理 (1985) (19
前該獨與權益者與為一名於陸地產代理 (1985) (19
:野人須痛持解地産(代理・另外・毎一名: の中は、(裁騙何情况而定) 的一部分・ 合野 虚化理解系統的) 明題滿日(日/月/年份)
:野人須痛持解地産(代理・另外・毎一名: の中は、(裁騙何情况而定) 的一部分・ 合野 虚化理解系統的) 明題滿日(日/月/年份)
会野
度(理解系統的) 開題滿日 (日/月/年份) 開題滿日 (日/月/年份)
明始議日(日/月/年分) 関語議日(日/月/年分)
明廷滿日(日/月/年份)
PE PE
arte / Antropoles )
(略(別刊的話) 確・而本人授權地産代理監管局按其認為
現場(如有確・而本

# L. S. NO. 2 TO GAZETTE NO. 42/1998

		ORM 8		[s. 4]
		ENTS AUTHORITY		
	APPLICATION STATEMENT OF PA	ON FOR GRANT O		
				A.C
ou are advised to read the Licensi pplication form.				
ection 55(1)(c) of the Estate Ager ear on conviction upon indictmen alse or misleading statement or fu licence	t or by a fine at level 5 and/or	imprisonment for 6 i	nonths on summary cor	viction if a person makes any
ncence his form has to be completed and	signed by the licensee			
or a company that applies for the	grant of a Statement of Particu	dars of Business, thi	s form has to be comple	ted by a representative of the
company. The representative mu- agent.	st be in effective control of the	company's estate ap	gency business and he h	as to be a licensed estate
For a sole proprietorship that appli proprietor and he must be a license	es for the grant of a Statement ed estate agent.	of Particulars of Bu	siness, this form has to	ne completed by the sole
For a partnership that applies for the bea licensed estate agent. In a	he grant of a Statement of Part addition, each partner of the pa	rtnership has to com	plete and submit a Sect	ion B of this form
This application forms part of the may be.	application for the grant of esta	ate agent's licence of	the latest application f	or renewal thereof, as the case
(*Delete as inappropriate) Section A	Particulars of Business			
Nature of Business (please ✓ in th	e appropriate box)			
Сотрапу 🗀	Sole Proprietorsh		Partnership	
Information on the Licensee (if the who completes this form)	e application is made by a part	nership, please prov		icence number of the partner
Estate Agent's Licence No.			Expiry Date (DD/MM/YYYY)	
H.K.I.D. Card/Travel Document®	No.			
Number of Partners (including the partnership only)			applicable for	
Nature of the place of business(pl	case v in the appropriate box)			
Principal Office		Branc	n Office	
Information on the place of busin	ess			
Business Name				
Business Registration No.			Expiry Date (DD/MM/YYYY)	
Address of the Place of Business	Flat/Room	Floor	Block	
	Name of Building/Esta	le		
	Number and Name of S	Street		HK/KLN/NT*
	District			
Telephone/Mobile Phone* No.			Fax No (if any)	
On behalf of my company 4, I her the best of my knowledge and be deems fit.	lief and I authorize the Estate	ing information in the Agents Authority to	is application is FULL, verify the foregoing in	COMPLETE AND TRUE to formation in any manner as it
(*Delete the entire phrase in itali	es if licensee is an individual)			
	Name of Representative / Sole Proprietor / Partner*	Estate Agent's (Individual) no Representat	imber of ive /	orized Signature and Stamp

乙配分		T	Ġ	74人詳	*						
									於488	(38	2 頁
根據() 進或提 程序定( 請案取)	地產代理( 供任何雄) 罪,可藏( 足夠數量(	以或其誤導性的資料 65級罰款及/或監 6格8的乙部分,以	第 55 (1)(c) 採 計・川陽達法・有限 表 6 個月・	・着任何	7人在申請批 一種婦公訴和	台牌联或牌照帧期時, 8序定界,可越第 6 級	作出任任 到款及/	可收	假读具题 禁一年。	を導作 ・女1を	生的陳 香蘭 易
(-) <del>   1</del>     2-	適用者酬 合夥詳t										
商業費	Ь					FERRINGET (1	7/E1/4F/	( (3			
合野雀9						PEZAMARIMITY					
Z-2	合夥人都	Ftfi						_			
你有形	<b>定事地產作</b>	规工作?	□ 1i			口沒有					
地產代理	聖牌照號品	5(如適用)				有效削減滿日(自	1/月/年代	((			
如你没有	耳地產代月	<b>脚照・綺璃窓以下</b>	乙-2 部分各項及2	么-3 部分	h :						
姓				中交姓	4,						
名						別名					
香港身分	· 治/旅遊2	整件*號霧				性别	1 1 !	冉		14	Z.
出生日期	別(日/月	/年份)			地產代理工作	F経験(如適用)		年	月		
۷-3	餐明										
	是否未獲別					,並爲本表格的一部  			を 是 】	ı	香 ]
		注目的董事或高級人 5・或你在該公司如				版例》(第 511 章)喪9 版級人員?	ė <b>M</b>	t	1	ı	J
3. <b>1</b> 70	是资料(和	神経療祭例)(第	136 章)第2條所	指的精神	#薬亂的人或	<b>该條所指的病人?</b>		ı	1	1	1
i. 你们		<b>到罪行在香港或其他</b>	地方被定罪・而記	<b>美項定界</b>	数断你曾有其	は許性・舞弊或不誠實	的作	l	i	ı	ŀ
5. 你1 刑		(地產代理條例)(	<b>第511 単)被定罪</b>	・並已を	<b>比</b> 机項定罪被	<b>州威監禁・</b> 而不論是さ	観	ſ	)	ŧ	1
5. Æif	<b>尔默以上</b> 们	E何一項回答"是"。	精提供詳情(如1	行需要制	用附加减强:	:					
		所知及所倡此申錄 供的資料。	<b>炎所提供的資料</b> 皆	爲全面	· 完整及真確	・而本人授權地產代別	建監管局	接到	视角合	<b>直</b> 的),	方式
	日期(日	'月/年份)		人姓名			**			_	

Section B	1	Particulars of	Partners					
								8 (Page 2
ou are advised to pplication form.	read the Licensing 11	andbook for Estate Agen	ts and Salesperson	s ("Licensing Handbo	ok") bo	fore comp	deting	this
ection 55(1)(c) of ear on conviction alse or misleading licence	upon indictment or b statement or furnish	rdinance (Cap. 511) make by a fine at level 5 and/or es any false or misleading	imprisonment for g information in co	6 months on summary mnection with an appl	convic	tion if a p	crson	makes any
		on B of Form 8 for the co	ompletion by EAC	H of the partners				
*Delete as inappro 3-1 Particula	opriate) irs of Partnership							
Business Registrat				Expiry Date	_			
Juliness Hegienes		1		(DD/MM/YYYY)	1			
Business Name of	the Partnership			1				
		L						
	ers of Partner							
Are you engaged i work?	n estate agency	☐ Yes	□ No					
Estate Agent's Lic (if applicable)	ence No.			Expiry Date (DD/MM/YYYY)				
If you do not have	an Estate Agent's Li	cence, please complete th	ne following of Sec	_L	B-3			
Surname	T		e in Chinese	1				
Other Name (s)				Alias				
	vel Document* No	T		Sex	+	Malc		Female
Date of Birth (DD				cy Work Experience ()	r   _	Years	<u> </u>	_ Month
		L	applicable)					
B-3 Declara	tion							
Busines	s. They form an integ	to factors which will be o gral part of this form and	must be answered.	_		Statement Yes	of Pa	rticulars o No
		pt or have you within the or scheme of arrangemen			this	ſ	1	I
Agents Ord		company which is for the holding a licence, or we so disqualified?				t	J	ı
3. Are you a n Ordinance (		erson or a patient within t	he meaning of sec	tion 2 of the Mental H	lcaith	t	1	ţ
4. Have you e dishonest a		hether in Hong Kong or	elsewhere, of any	fraud, corruption or of	ther	ı	1	l
		nder the Estate Agents Or iment, whether the senten			you	ı	ı	ι
6. If you have	answered 'Yes' to a	ny of the above questions	, please give detail	ls (Use additional shee	t if nec	essary):		
		information in this applic is Authority to verify the					ny kn	owledge a
Date (DD	WMM/YYYY)	Name of	Partner LETTERS)		Sig	nature		_

L. S. NO. 2 TO GAZETTE NO. 42/1998

1998 年第 42 期憲報第二號法律副刊

L.S. NO. 2 TO GAZETTE NO. 42/1998

Information on licensee(s)

L.N. 328 OF 1998

FORM 9

ESTATE AGENTS AUTHORITY

ESTATE AGENTS ORDINANCE (Chapter 511) STATEMENT OF PARTICULARS OF BUSINESS [s.4]

B3153

# 〔第4條〕

# 地產代理監管局 (地產代理條例) (第511章)

表格の

營業詳情說明書

持隐人沓料

					Na	me of lie	censee(s)	Number of the Estate Agent's	Expiry Date(s)
持牌人	姓名		地產代理牌照號碼	有效期屆滿日				Licence(s)	
営業評情認	明書號碼:			<u> </u>	State	ment of	Particulars of Business Number:		
營業名	稱				Bu	siness N	lame		
營業地	點				Pla	ce of bu	isiiness		
本營業書	情說明書	有效期由		至	This	Statemen	nt of Particulars of Business is valid from		to
以上持層	!人受下列1	條件規限。					s) above is/are subject to the conditions s		
			<u>一般條件</u>					General Conditions	
١.		產代理牌照持有		2. 用热影的照明及黑豆之女朋络类光体的印象。	1.	Every	y holder of an estate agent's licence shall	:	
	(a) (b)			每個地點的顯眼位置展示有關營業詳情說明書: 單張、小冊子及其他文件上清楚而顯眼地述明以		(a)	display and keep displayed, at a con- business:	spicuous position at each place at which he carri-	es on business as an estate agent, the relevant statement of particulars
	(0)	平事 墳 一		平成 小而于及英尼人作工品是而激歌名题为从		(b)	,	ny letter, account, receipt, pamphlet, brochure an	d other document issued by him or on his behalf the following -
		(i)	有關持牌人的姓名或名稱;				(i) the name of the licensee		,
		(ii)	他的牌照號碼;	NE W. A. CT T			(ii) the number of his licence	2;	
		(iii)	在有關營業詳情說明書內述明的				(iii) the business name as sta	ted in the relevant statement of particulars of bus	siness; and
	(c)	(iv) 須太能有力	在有關營業詳情說明書內述明的				(iv) the place of business as	stated in the relevant statement of particulars of b	business;
	(0)			長及小冊子除外)內清楚而顯眼地述明(b)(i)、(ii)		(c)	state clearly and conspicuously in al	advertisements, pamphlets and brochures excep	ted, by him or on his behalf, the items in paragraph (b)(i), (ii) and (iii)
	(d)	不 得 在 批 糸 業 名 稱 以 夕	合他的營業詳情說明書內所指明的 卜的名稱經營地產代理業務;及	營業地點以外的地點或以該說明書內所指明的營		(d)	not carry on estate agency business granted to him; and	under a business name or at a place of business	s other than the one specified in a statement of particulars of busine
	(e)	在 他 的 營 弟 規 例 》 ( 第	类 詳 情 說 明 書 內 所 述 任 何 事 項 因 任 何 身 511 章 , 附 屬 法 例 ) 第 12 條 向 地	可理由而屬不正確時, 須根據《地產代理 (發牌) 產代理監管局申請將該說明書修訂。		(e)	apply to the Estate Agents Authority (Cap. 511 sub. leg), if any matter sta	to have his statement of particulars of business ted therein is for any reason incorrect.	amended under section 12 of the Estate Agents (Licensing) Regulation
2.	每 名 持 新 條 件	牌 人 須 按 地 產 代 附 加 於 牌 照 。	t 理 監 管 局 的 書 面 請 求 將 他 的 牌 照 交	E 回 地 產 代 理 監 管 局 , 以 修 訂 或 更 改 現 有 條 件 或 將	2.	Every	y licensee shall, upon request in writing ing conditions or for attachment of new	by the Estate Agents Authority, surrender his li- conditions.	cence to the Estate Agents Authority for amendment or variation of a
3.	爲 施 行 如 此 使	此等條件,凡持用的營業名稱展	牌地產代理在任何地點以不同的營 示一份營業詳情說明書。	業名稱經營地產代理業務,他須在該地點就每個	3.	For the place	he purpose of these conditions, where a least a statement of particulars of business for	icensed estate agent carries on at any place estate r each and every business name so used.	e agency business under different business names, he shall display at th
			其他條件					Other Conditions	
1.	〔地產	代理監管局根據	本條例第 17(3)條所施加之任何其	他條件〕	1.		[Any other conditions imposed by th	e Estate Agents Authority under section 17(3) of	the Ordinance]
		(							
			● 簽署						Signed
		地產代理監		地產代理監管局行政總裁			EAA	Stamp	CHIEF EXECUTIVE OFFICER ESTATE AGENTS AUTHORITY

# B3154 1998 年第 328 號法律公告

1998 年第 42 期憲報第二號法律副刊

			格 10 型監管局		[第4條
			<b>菲情說明書提出的申請</b>		
填寫申請表格前·	請參閱「地產代理及營	<b>森美登牌手冊」・</b>			
的陳述或提供任何	例》(第 511 章)第 55 ( 建假或其誤導性的資料 議第 5 級罰款及/或監禁	・即屬進法・有關罪行	壬何人在申請批給牌照取牌 3、一種橘公訴程序定罪。	照練期時・作出任何! 可慮第 6 級罰款及/或	遺假返具誤導作 監禁一年,如?
此表格須由持牌人					
		的代表模寫・該代表系	自實際控制該公司的地產代	理業務並爲一名持牌!	也産代理・
(*將不適用者剛達 申請補憂以下牌縣					
牌照 / 營業詳情數			有效期因達	第日(日/月/年份)	
	N / 餐桌詳情說明書的	原因 ( 在適當的空格	中場上が繋り		
_					
	牌照 / 營業詳情說明書	*正本已遺失			
_					
	牌照 / 營業群情說明書	*正本已被竊			
	牌照 / 營業詳情說明書	•正本已被納毀			
	脾照 / 營業詳情說明書	*正本已損毀			
	已損毀的牌照 / 營業詳	情說明醫演喪申請表	一併提文		
持牌人資料					
持牌人名撰(個人	以姓氏爲先)				
	及與上述的名稱不同)				
本人區代表本公司	「聲明就本人所知及所信 申請表所提供的資料・	此申請表所提供的資	料情爲全面、完整及真確,	而本人授權地產代理	<b>監管局接其認</b>
1	、精剛去科體字部分)				
Commodul					
İ					
日期(日/月/年		・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・ ・	後的地產代理(個人)牌照 號碼 (如特牌人爲公司)	養署及6 (如持牌人爲一公司 合夥人,則須	・獨資経営者

L. S. NO. 2 TO GAZETTE NO. 42/1998

R3	ı	5

Date (DD/MM/YYYY	(for company	epresentative ( licensee only) ( LETTERS)	(Individual Repres	nt's Licence l) number of centative	(Stamp is required company, a	Signature and Stamp fired if the licensee is a sole proprietor or a partner)
(*Delete the entire phrase in	italics if licensee is	s an individual)				
fit.				·		
best of my knowledge and b	cticf and I authoriz	e the Estate Agents	Authority to ve	rify the foregoing is	iformation in ar	ry manner as it deems
On hehalf of my company.	hereby declare tha	t all the foregoing	information in th	is application is FU	ILL, COMPLET	E AND TRUE to the
Business Name (if applicabl from above)	- 1					
Name of the Licensee (surna individual)	me first for					
Information on the licensee						
(the dam	nal licence/Statemen aged licence/Statem	nt of Particulars of nent of Particulars	Business * is day of Business has t	maged o be submitted with	this application	a)
the origin	al licence/Statemer	nt of Particulars of	Business * is der	stroyed		
	al licence/Statemen					
the origin	al licence/Statemen	at of Particulars of I	Business * is lost	<u>l</u>		
below):						
Statement of Particulars of Bu Please indicate the reason for	applying for a repla	scement of licence/	Statement of Par		s (picase ✓ in th	e appropriate box
No. of the Licence/				Expiry Date (DD/MM/YYYY)		}
Application for a replacement	of the following lie	ence/Statement of	Particulars of Bu	isiness*		
ontrol of the company's estate *Delete as inappropriate)	e agency business a	and he has to be a li	icensed estate ag	ent.		
this G	orm has to be comn	leted by a represen	tative of the com		entative must be	in effective
ection 55(1)(c) and (d) of the mprisonment for 1 year on co person makes any fatse or mi he grant or renewal of a liceno This form has to be completed	iviction upon indict sleading statement e.	or furnishes any fa	it level 5 and/or i lse or misleading	imprisonment for 6 information in cor	months on sum inection with an	mary conviction if application for
pplication form.				a aunichable by a f	fine at level 6 an	ul/or
ou are advised to read the Lic	ensing Handbook f	or Estate Agents ar	nd Salespersons (	"Licensing Handbo	ook") before con	npicting this
A DDL (C'ATICA)	EOD DEDI ACEM	ESTATE AGENT	TS AUTHORITY E/STATEMENT	OF PARTICULAR	RS OF BUSINE	ss
			RM 10			[5.4]

	就地產代	表格 11 地產代理監管局 理(個人)牌照模期	<b>提出的申請</b>				(38.41	¥)
填寫中請表格前,請參閱「地產( 根據《地產代理條例》(第511章 達或提供任何虛假或具膜導性的資程序定難,可應第5級訓數及/家	)第 55 (1)(c) f  料・即屬違法・有	条・若任何人在中籍推	L給牌照或牌照 程序定罪・可規	夏期時・作出任 第 6 級罰款及	何處加	夏波貝& ▼一年 ·	臭導性的 如循門	内線 音易
(*將不適用者剛去)								
甲-1 申請人詳情			T					
地產代理(個人)脾照號碼			有效期底	滿日(日/月/年	163			_
學歷	[ ] 4	五以下 [ ]完	成中五或有同等	<b>デ学歴</b> [	-   大	專或以	Ŀ	
在資格考試中獲合格成績		·並附上有關證明文( 已於首次申請/以往機		] 不適用				
於 1999 年 1 月 1 日前有地產代理 作經驗	L.[ ] 是・旋附	上有關鍵明文件 已於首次申請/以往續		] 不適用				
完成監管局指明的訓練課程	,	・並附上有關總明文作 已於首次申請/以往續		」不適用				
專業網量師								
在以下甲-1部分的資料項目中 - 6	<b>F只需填寫最近一次</b>	中間後有所更改的資	<b>#</b> •					
通跃地址	*	梅屋		NE NE				
	大廈/屋苑名橋							
	街道名稱及號碼	1				香港//	1.離/新	34 ·
	地區							
電話 / 手提電話*號碼			傳真號碼	(如有的話)				
甲-2 聲明				,				
注意:下列問題1至69	以決定牌照顧期的海	5歳因業有職・並爲本	<b>表格的一部分</b> 扩	前必須作答・				
<ol> <li>你是否未獲解除破產的破產 整協議或債務債遭安排?</li> </ol>	人・或在緊接本申請	情表日期之前5年內。	已與你的債權	人訂立債務重	t	E I	Į.	1
<ol> <li>你是否一間公司的董事或高 有牌照的资格,或你在該公</li> </ol>	酸人員・而該公司2 司如此喪失資格當!	當其時根據《地產代料 日是否數公司的董學》	#條例》(第 511 以高級人員?	章)喪失持	ı	ı	ι	1
3. 你是否爲《精神健康條例》	(第136章)第2條	所指的精神紊亂的人	或践降所指的病	人?	1	1	ĺ	1
<ol> <li>你曾否因任何罪行在香港或 爲?</li> </ol>	其他地方被定罪・言	而該項定罪兼斷你曾有	7次が性・舞弊	或不載實的作	ı	1	l	i
<ol> <li>你曾否根據(地產代理條例 刑?</li> </ol>	)(第511章)被定	?罪・並已就此項定罪	被判慮監禁・而	不論是否線	t	1	ſ	1
6. 若你對以上任何一項回答:	是'・精提供評情(	如有需要請用附加紙	媵):					
本人基實明就本人特從事地來代 情說明島提出的中語或就管業詳 跨玄面·完整及真權,而本人便	有以引鹿 糖 倒提门途	9申續(表格8或 14)	・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・・	J有知及/乔伯氏	部分技	を交就性 (所提供	L給營業 的資料	eaf Hr

L. S. NO. 2 TO GAZETTE NO. 42/1998

	FORM 11 [5-4]				
	ESTATE AGENTS AUTHORITY				
	APPLICATION FOR RENEWAL OF ESTATE AGENT'S LICENCE (INDIVIDUAL)				
u are achised to read the Licensing Handbook fo	r Estate Agents and Salespersons ("I scensing Handbook") before completing this application form				
on independent or by a fine at level 5 and/or	nce (Cap. 511) makes it an offence punishable by a fine at level 6 and/or imprisonment for 1 year on conviction ir imprisonment for 6 months on summary conviction if a person makes any false or misleading statement or n in connection with an application for the grant or renewal of a licence				
- L Particulars of Applicant					
	Expiry Date				
state Agent's Licence (Individual) No.	(DEWINDYYYY)				
ducational Level					
ass in Qualifying Examination	Yes, proof is enclosed       Not Applicable       Proof has already been provided in the first application / previous application for renewal				
Aperatine in Line (1900)	[ ] Yes, proof is enclosed [ ] Nor Applicable				
January 1999	J Proof has already been provided in the first application / previous application for renewal				
Completion of Training Course specified  [ ] Yes, proof is enclosed [ ] Not Applicable [ ] Proof has already been provided in the first application / previous application for renewal					
rofessional Surveyor   Yes, proof is enclosed   Not Applicable   Proof has already been provided in the first application / previous application for renewal					
or the following particulars in Section A-1	, you only need to provide the information that is different from what you have provided in your last applicant				
Correspondence Address	FlavRoom Floor Block				
	Name of Building/Estate				
	Number and Name of Street				
	District HK/KLN/NT*				
Telephone/Mobile Phone® No	Fax No (if any)				
A-2 Declaration					
Note Questions I to 6 below refer to They form an integral part of th	factors which will be considered in the determination for the renewal of ficence is form and must be answered  Yes No.				
<ol> <li>Are you an undischarged bankrupt or made a composition or scheme of ar</li> </ol>	or have you within the 5 years immediately prior to the date of this application [ ] { rangement with your creditors?				
2 Are you a director or officer of a co (Cap. 511) for holding a licence, or so disqualified?	impany which is for the time being disqualitied under the Estate Agents Ordinance				
3 Are you a mentalty disordered pers (Cap. 136)?	ion or a patient within the meaning of section 2 of the Mental Health Ordinance [ ] [				
	ether in Hong Kong or elsewhere, of any fraud, corruption or other dishonest acts?				
<ol> <li>Have you ever been convicted under sentenced to imprisonment, whether</li> </ol>	er the Estate Agents Ordinance (Cap. 511) in respect of which you have been [ ] { er the sentence is suspended or not?				
6 If you have answered 'Yes' to any	of the above questions, please give details (Use additional sheet if necessary).				
the application on application for the grat	nch and each business name under which I am to carry on an estate agency business (if any). I have filed as pa net or conceast of a statement of particulars of business (form 8 or 14), and that all the foregoing information in TRUE to the best of my knowledge and belief and I authorize the Estate Agents Authority to verify the forego				
Date (DD/MM/YYYY)	Signature of Applicant				

			5X10 12		[565 4 184]
		<b>就他是代理(</b> 小	地産代理監管局 可)牌KV營業詳情級	细塞棒似排斗的电棒	
甲部分	申制		中照及營業地點營業8		
填寫中請表格前	·納多明「地方	<b>张代學及營業員發牌</b>	手捌」・		
根 <b>婢(</b> 地産代理	條例》(第 511 假或其與導性的	章)第55(1)(c): 9資料・即購進法・1	様・岩任何人在中期	比給牌將或牌將礦坝時,有2 斥程序定罪,可應第6級罰ま	
		公司)的代表模寫・1	该代表領實際控制中部	人(公司)的地產代理業務並	爲一名持牌地產代理・
(*将不適用者剛: 甲-) 中請人	云) (公司)群僚				
地產代理(公司				有效期屆滿日(日/月/	年份)
營業評慎說明審 (營業地點)	<b>赞</b> 思			有效期屆滿日(日/月/	年份)
公司註冊讀書號	25				
商業登記號碼				有效期隔滿日(日/月/	年份)
營業名稱				<u> </u>	
在以下甲-1 部分	的資料項目中・	你只需填寫最近—2	欠申請後有所更改之1	¥#4 ·	
公司註冊地址		室	標度	<u> </u>	
		大廈/墜売名稱			A-111-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
		街道名稱及號級			香港/九龍/新界*
		地區			BYE ZONE WITH
營業地點地址					
(如與上址不同	)	<b>*</b>	権階		
		大廈/威苑名稱			
		街道名榜及號員	•		香港/九龍/新昇*
		地區			
な話 / 手提電話	·號高			<b>傳真號碼</b> (如有的話)	
公司的其他營業均	地點數目				
公司董事數目					
甲-2 一管明					
			警機期的考慮因案有關 營業詳情說明書級期	着・並爲本表格的一部分而必 的申請人(公司)。	
			· 或有接管人已就 "你 協議或債務償還安排	r" 而獲委任・或是否在本申 ?	1
"你"曾否使	操(地産代理	條例》(第511章)	<b>夜定罪?</b>		[ ] [ ]
以上問題如	答'是'・納群が	加税明(如有需要・	<b>清川附加紙張</b> ):		
申請之一部分提多	で就批給管果肝	情說明書提出的申請	可或就營業群情說明書	之每一地點及每一營業名稱 續期提出的申請(表格 8 頭 理監管局按其紀爲合適的方	(14),並聲明就本人所知
日期(日/月/年	( <del>6)</del> ) 2	司代表名稱	職位	地處代理(個人)	簽署及印章

# L. S. NO. 2 TO GAZETTE NO. 42/1998

				FORM 12					T. 11	_
				FORM 12 ENTS AUTHO	RITY				[s 4]	
		,		FOR RENEW						-1
	ESTATE AGE				OF PARTICULARS OF BU	SINESS				-
Section A	Applic	ation for Renewa	l of Estate Ag	ent's Licence (	Company) and					┪
You are advised to r					s ("Licensing Handbook") by	efore co	mpletin	g this	applicat	ion
orm.										İ
onviction upon inc	lictment or by a t	ine at level 5 an	d/or imprison	ment for 6 mo	ishable by a fine at level 6 ar nths on summary conviction	if a pe	rson m	akes a	ny false	or
					with an application for the gra applicant company. The re-	unt or re: presenta				
ontrol of the applic	ant company's est	ate agency busine	ess and he has	to be a licensed	estate agent	are semia		251 04	iii ciicc	
*Delete as inapprop	priate) rs of Applicant Co									
Estate Agent's (Con					Expiry Date					
estate Agent's (Con	npany) Cicence No	"			(DD/MM/YYYY)					1
Statement of Partice place of business)	ilars of Business N	lo.			Expiry Date (DD/MM/YYYY)					
Certificate of Incorp	poration No.									
Business Registration	on No.				Expiry Date (DD/MM/YYYY)					
Business Name										
For the following pa application.	articulars in Sectio	n A-I, you only i	need to provid	e the informatio	on that is different from what	you have	e provi	ded in ;	our las	
Company's Registe	red Address									
		Flat/Room		Floor	Block					
		Name of Bu	ilding/Estate							
		Number and	Name of Stre	et						
							HK	/KLN/I	NT*	
		District								
Address of Place of	Business	Flat/Room		Floor	Block					
(if different from al	bove)			riour	Block				_	
		Name of Bu	ilding/F.state							
		Number and	Name of Stre	et						
		District					HK	/KLN/	NI.	
Telephone/Mobile	Phone® No.				Fax No (if any)					
Number of Additio	nal Places of Busi	ness of the Comp	any	-						_
Number of Directo	rs in the Company									
A-2 Declarat	ion									
Note: Question	ns I to 3 below ret	er to factors whi	ch will be con	sidered in the d	etermination for the renewal	of licen	ce/State	ensent c	Parti	ula
of Busin	iess. They form an wal of Estate Ager	integral part of t it's Licence (Con	ihis form and i noanvVStatem	nust be answer ent of Particula	ed. "You" in questions 1 to rs of Business.	3 means	the co	mpany	applyin	ig i
	-		-				Y	:s	N	o
					ver appointed in relation to your, entered into a composition					
	rrangement with y						•	•	•	
2. Have you ev	er been convicted	under the Estate	Agents Ordina	ance (Cap. 511)	•		1	ı	ŧ	1
3. If you have a	answered 'Yes' to	any of the above	questions, ple	ase give details	(Use additional sheet if neces	ssary)				
carry on an estate a of particulars of bu	agency business, the usiness (Form 8 or	he applicant comp 14), and that all	pany has filed the foregoing	as part of this a information in t	nd each business name under opplication an application for his application is FULL, COR be foregoing information in a	the grant	t or zen	cwat of	a state	ne
Date (DD/MM/		of Representative OCK LETTERS		Position 'K LETTERS)	Estate Agent's Licence A (Individual) Number (if applicable)	Authorize	ed Sign	ature a	nd Stan	·P

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乙部:	<del>()</del>		公司董事評情 (公司董事爲閩人	<b>()</b>			ŧ	付 に	2 (第 2	<b>p</b> ()
根據 建家! 程序!	(地産代理師 提供任何盧哲 定罪・可慮第	例) (第 511 章)  或具膜導性的資料   5 級割飲及/或監   表格 12 乙部分・	理及營棄與投牌手冊」。 第55(1)(c)條,若任何人 は,即驅進法。有關罪行,一 蒙6個月。 以供每位屬個人的董事填寫。	經循公訴程序第	《坂牌照樓期時、作 日本 可處第6級到	出任何点 款及/或[	t假或 b禁一	具製	単性的 如循環	服
Z-1		公司)詳情								
地產	代理 (公司)	牌似號碼		1	「效例超滿日(日/月/	年份)	<u>L</u>			
<b>公果</b>	名稱									_
Z-2			<del></del>							-
	4.從事地產什		D fs		D 沒有 B效期腦滿日		Г			
地產	代理牌縣號員	(如適用)			日/月/年份)					
如伤:	沒有地產代理	牌照・納填寫以	FZ-2 部分各項及Z-3 部分:							
姓			中文姓名							
名				5	則名					
香港	身分證/旅遊詢	i 量件◆號碼		1	生別	[ ]男		(	]4	
	日期(日/月/			地産代理工作 (如適用)	1 th	4		_Л		
乙-3	野明		級/營業詳情說明書機期的考慮							
2.	整協議或價格 你是否一間 有牌照的資格	務價灘安排? 公司的董事或高級 恪,或你在該公司	,或在緊接本申請表日期之前 人員,而該公司當其時根據 如此喪失責格當日是否該公司	(地產代理條例 可的董事或高級	)(第5日章)喪失 人員?	持	Ī	1	[	1
3.			第136章)第2條所指的精神				I	1	l	j
4.	你曾否因任何 爲?	可罪行在香港或其	他地方被定罪,而該項定罪	使断你曾有欺诈	性・舞弊或不誠實的	914	[	1	í	1
5.	你曾否根據 刑?	(地產代理條例)	(第 511 章)被定罪・並已就	此項定罪被判	能監禁,而不論是否	梗	I	1	ĺ	ì
6.	若你對以上	任何一項回答 是	・請提供詳情(如有需要請	用附加紙張):						
本人核實	<b>连参明就</b> 本/ 此中請表所指	、所知及所信此中 提供的資料・	續表所提供的資料皆爲全面,	完整及真確・	而本人授權地產代理	<b>監管局</b>	安其配	爲合	適的方	i式
		113 (A-10)	, 審案休久			**				

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ction B		Particulars of Company (Directorship held by an	Individual)					(Page 2	2)
		dbook for Estate Agents and S	alespersons ("Lic						
ection 55(1)(c) of to inviction upon ind	dictment or by a line	inance (Cap. 511) makes it an at level 5 and/or imprisonne e or misleading information in B of Form12 as necessary for	connection with	an application for the	grant or r	renewal o	ient for ikes an if a licer	l year o y false : ace.	or .
Delete as inappro									-
	mpany) Licence No.			Expiry Date	T				٦
				(DD/MM/YYYY)					-
Jusiness Name		L							$\dashv$
- 1	rs of Director estate agency work?	D Yes	0	No					7
state Agent's Lice				Expiry Date					
if applicable)				(DD/MM/YYYY)					$\dashv$
	an Estate Agent's Lice		Chinese	, D-, and section B.					$\dashv$
Surname	<b>_</b>			Alias					$\dashv$
Other Name (s)	vel Document* No.	τ		Sex	1	Male	(	) Fem	ale
Date of Birth (DD			Estate Agency (if applicable)	Work Experience		_ Years		Mont	ths
B-3 Declara	tion	I							
Nata : Overtion	ns 1 to 6 below refer t	o factors which will be consid	ered in the determ	nination for the renev	al of licer	ice/Stater	nent of	Particul	ars
of Busin	ness. They form an i	ntegral part of this form and n	JUST the Arts wered.			Yes		No	- 1
1. Are you an	undischarged bankr	upt or have you within the	5 years immedia	tely prior to the da	e of this	ŧ	1	i	1
application	made a composition of	r scheme of arrangement with	your creditors?			1	1	ι	,
Ordinance	director or officer of a (Cap. 511) for holding by was so disqualified?	a company which is for the to a licence, or were you a direct	ime being disqua ctor or officer of	lified under the Esta that company at the	iate when	ı	1		,
3. Are you a Ordinance	mentally disordered (Cap. 136)?	person or a patient within th					1	1	1
acts?		hether in Hong Kong or elsew							1
been sente	nced to imprisonment.	nder the Estate Agents Ordinar whether the sentence is suspe-	nded or not?			1	1	ι	1
6. If you have	e answered 'Yes' to ar	y of the above questions, plea	se give details (U	se additional sheet if	necessary	):			
I hereby declare and I authorize the	that all the foregoing i he Estate Agents Auth	information in this application ority to verify the foregoing in	is FULL, COMP formation in any	Manner as it deems	othe best lit	of my kno	ow <b>tedg</b> e	; and be	hef
1									
ļ									
Ì									
1									
					Sie	nalure			

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内部:	•	公司董事記 (公司董事爲一			表格	12 (第 3	ŗ
場段	中間表格的・46参照 ()	也產代理及營業員發牌手冊」。					_
進成! 程序! 請案!	是供任何虚假求具誤導作 2罪・可慮第 5 級罰款2	生的資料・即騰進法・有關罪行	何人在中請批給牌縣或牌駅機関時,作出 ・一種領公斯程序定罪,可應第 6 級罰款 は第 -	任何遊假 及/或監禁	東具記  一年	U啡性的 如循檀	[6] ] §
丙-1	申請人(公司)群批	1					_
地畫	大理 (公月) 脾脱號碼		有效期屆滿日(日/月/年	<del>(6)</del> )			
世典:	S198						
丙-2	董事評情						
你们	5從事地產代理工作?	□ fri	口沒有				_
也產	七理牌照號碼 (如適用)		有效網絡滿日(日/月/年	份)			_
切你	2有地産代理牌照・網络	篇解以下丙-2 部分各項及丙-3 部	分:				
荷樂	比较病		有效明経滅日(日/月/年	( <del>(1)</del>			
世宗:	SM						
	<b>計業名稱</b>						_
<b>₹-3</b>							
3. 4.	持有牌照的資格·敦"员 "你"曾否因任何罪行在行實的作爲? "你"曾否根據(地產代	"在終公司如此喪失資格當日是	定罪數斷"你"曾有欺詐性、無弊或不缺	[	1	l l	1
本人 地產(	· 緬代表本公司(為中間 · 福代表本公司(為中間 · 福祉管局(注) · 福祉管局(注)	人公司的董事)。 學明獻本人所知 他的方式核實此中請表所提供的1	D及所信此申請表所提供的資料皆爲全面 資料	突蟄及』	英確・	而本人	受付
		15 to 16 67	<b>N</b> /4	<b>等等</b> 及6	n <b>4</b>		

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2 (Page 3)			

	icient copies of Section		connection with an application for the completion by EACH of the direct					
	lars of Applicant Comp	any						
state Agent's (Co	ompany) Licence No.		Expiry Date (DD/MM/YYYY)					
Business Name								
-2 Particu	lars of Director	1						_
Are you engaged	in estate agency work?	I						
		□ Yes	□ No					
Estate Agent's Li (if applicable)	icence No.		Expiry Date (DD/MM/YYYY)	.				
	e an Futate Agent's Lic	ence please complete the follow	aing of Section C-2 and Section C-	3.				
Business Registra			Expiry Date (DD/MM/YYYY					_
Business Name								
Business Name is	n Chinese							
C-3 Declar	ration	1						
сопра	any).		pany // Statement of Particulars of E		Ye	s	No	
. Are you in	any).  I liquidation or the subjection of the su	ect of a winding-up order, or is t distely prior to the date of this a	here a receiver appointed in relation application, entered into a composit	ı to you, or				
Are you in have you, scheme of	niy).  I liquidation or the subjewithin the 5 years immearrangement with your director or officer of a c (Cap. 511) for holding	ect of a winding-up order, or is the distelly prior to the date of this a creditors? company which is for the time to a licence, or were you a director.	here a receiver appointed in relation	i to you, or ion or	Ye	s		
1. Are you in have you, scheme of 2 Are you a Ordinance the compa	any).  I liquidation or the subjewithin the 5 years imme arrangement with your director or officer of a c (Cap. 511) for holding any was so disqualified.	ect of a winding-up order, or is the diately prior to the date of this cereditors?  company which is for the time to a licence, or were you a director.	here a receiver appointed in relation application, entered into a composit temp disqualified under the Estate /	i to you, or ion or Agents late when	Ye	s		o
1. Are you in have you, scheme of 2 Are you a Ordinance the compa 3. Have you acts?	any):  I liquidation or the subjetith the 5 years immit arrangement with your director or officer of a c (Cap. 511) for holding any was so disqualified; ever been convicted, wi	ect of a winding-up order, or is the diately prior to the date of this cereditors?  company which is for the time to a licence, or were you a director.	there a receiver appointed in relation application, entered into a composition using disqualified under the Estate A or officer of that company at the A cre, of any fraud, corruption or other	i to you, or ion or Agents late when	Ye	s		
1. Are you in have you, scheme of 2 Are you a Ordinance the connects?  1. Have you acts?  2. Have you acts?	inly).  I liquidation or the subjectivithin the 5 years imms arrangement with your director or officer of a c (Cap. 511) for holding any was so disqualified: ever been convicted, will ever been convicted ur we answered 'Yes' to an	set of a winding-up order, or is to diately prior to the date of this is company which is for the time to a licence, or were you a director hether in Hong Kong or elsewhether in Hong Kong or elsewhether the Estate Agents Ordinano- y of the above questions, please	there a receiver appointed in relation application, entered into a composition of disqualified under the Estate / or or officer of that company as the cire, of any fraud, corruption or othe ci (Cap. 511)? give details (Use additional sheet i	nto you, or ion or Agents state when or dishonest finecessary).	Yes	s 1 1 1 1 1 1 1 1	No I I I	
1. Are you in have you, scheme of 2 Are you a Ordinance the compa 3 Have you acts? 4 Have you fif you have you	iliquidation or the subje- within the 5 years imme arrangement with your director or officer of a c (Cap. 511) for holding my was so disqualified ever been convicted, w ever been convicted us ever been convicted us we answered 'Yes' to an	set of a winding-up order, or is to distately prior to the date of this icordium? company which is for the time to a licence, or were you a directury bether in Hong Kong or elsewhen dder the Estate Agents Ordinano y of the above questions, please	here a receiver appointed in relation application, entered into a composition using disqualified under the Estate / e or officer of that company at the ere, of any fraud, corruption or othe c (Cap. 511)?	i to you, or ion or	Ye: [	I I I I	I I I TRUE	to

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			表格 !: 地產代理監管						[38	4 <b>(</b>
			心							
限據(地 進載提供 程序定罪	表格前・請参閲「地産代理 産代理條例)(第511章) 任例直製収其與導性的資料 ・可慮第5級罰款及/或監	第 55 (1)(d) 1・抑悪進法・	) 体,共任何人在自	糖批給牌縣 公訴程序定	収牌照線 第・可慮	期時・作出行 第 6 級罰款及	·何难仍 /或脏分	東真語  一年	(導性) 如為(1	内腺 暗易
	<b>4</b> 川分明去)									
(\$)-1	中消人群情									
世界美牌	<b>熊教器</b>			Ħ	效期組織	日(日/月/年	9)			
¥#£		1 ]中五以	下 [ ] 完成。	中五或有同等	李斯	[ ]大明	或以上			
在資格等	試中獲合格成績		格・並附上有關證  作已於首次申請ル		中提交	) 不適用				
於 1999 1 作權驗	年1月1日前有地産代理。日		附上有關裁明文件 (件已於首次申請化		Į	] 不適用				
完成監督	网指明的訓練課程		程・並附上有關證  作已於首次申請化		( 中提交	」不適用				
非業別量		) 織明文	例上有關證明文件 (件已於首次申請/)	往續期申請	し 中提交	] 不適用				
在以下甲	B-1部分的資料項目中,你!	7需填寫最近-	一次申請後有所更改	的資料・						
通讯地址	Ł	46	横層			æ.	_			
		大廈/屋苑名	桐							
		街道名稱及	<b>统码</b>					香港	九龍/	折拌
		地區								
電話 / :	手提電話*號碼				傳真號級	(如有的話)				
<b>₩-2</b>	餐明									
注意:	下列問題 1 至 6 與決定牌	Ki横期的考慮!	<b>送業有關・並爲本装</b>	(格的)一部分	而必須作	答・		是	i	s
65 K	2否未獲解除破產的破產人 3議或債務復選安排?							1		1
2. 19:37 Mark	<ul><li>西川公司的董事求高級。</li><li>約資格・東你在政公司知道</li></ul>	しは・海峡公司 七妻失責格賞!	可當其時根據《地畫 日歷西歐公司的董華	《代理條例》 《或高級人員	(363.511.4 ∣?	人) 製集持任	ι	1	ı	
3. 你知	台灣 (精神健康條例) (第	136章)第2	條所指的精神紊亂的	的人或該條所	所指的病人	۱?	I	1	1	
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L. S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 of 1998 B3165

APPLICATION FOR RENEWAL OF SALESPIRSON'S LICENCE  APPLICATION FOR RENEWAL OF SALESPIRSON'S LICENCE  APPLICATION FOR RENEWAL OF SALESPIRSON'S LICENCE  APPLICATION FOR RENEWAL OF SALESPIRSON'S LICENCE  It is a stress of the first and the second of the seco			FORM 13			[5.4]
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# L. S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 of 1998

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Information on the place of business				
Business Name				
Statement of Particulars of Business			Expiry Date	
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On behalf of my company. I hereby to the best of my knowledge and bel as it deems fit.  (*Delete the entire phrase in italies it	let and I authorize the Estate A	nformation in thi Agents Authority	s application is FULL, to verify the foregoing	COMPLETE AND TRUE information in any manner
	Name of Representative / Sole Proprietor / Partner*	Estate Agent (Individual) Represen	number of	Authorized Signature and Stamp

B3168 1998 年第 328 號法律公告

1998 年第 42 期麼報第二號法律副刊

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	整協	繊拔價務	情運安排?				以你的債權人訂立係 以外(第511章)喪約			是 」 】	1	#i  }
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	你是	舌爲(精	神健凝條例》(	第136章)第2條	所指的精神	<b>神楽亂的人或故</b> [	<b>条所指的病人?</b>		1	)	ĺ	1
	你曾 爲?		罪行在香港或其	『他地方被定罪・『	也地方被定罪・而該項定罪獻斷你曾有欺詐性、舞弊或不誠實的					ì	ſ	J
. !	你 <b>曾</b> 刑?	否根據(	地產代理條例)	(第5日章)被定	罪・並包象	<b>就此項定罪被判</b> 据	<b>進監禁・而不論是?</b>	<b>写相</b>	I	İ	ſ	1
	岩饰	對以上任	何一項回答:是	:'·神提供詳情(!	如1有需要試	用附加紙級):						
			听知及所信此中) 共的資料	請表所提供的資料	<b>特爲全面</b>	・完整及真確・	而本人授權地產代理	型監1	唐局按其	起爲合	通的力	対
× # P	CHA	H 437/1138 I	KDAM 44 -									
	<b>11</b>	<b>明</b> (E1/月	/年份)		9 夥人姓名		•	*	**			

L. S. NO. 2 TO GAZETTE NO. 42/1998

Section I	ction B Particulars of Partners						Form 14 (Page 2)				
application Section Syear on collabse or rathered Please of Please of Please of the Section Se	on form. 55(1 xc) of conviction misleading	the Estate Agents On upon indictment or be statement or furnish tent number of Section	landbook for Estate Agents ar rdinance (Cap. 511) makes it by a fine at level 5 and/or imp es any false or misleading inf ion B of Form14 for the comp	an offence puni risonment for 6 ormation in cor	shable by a fine at leve months on summary c meetion with an applic	th and o	rimpr	isonn	- nent fo makes	ans	
B-I		s of Partnership									
Business	s Registrati	on No.			Expiry Date (DD/MM/YYYY)						
Business	Name of t	he Partnership									
B-2	Particular	rs of Partner	-								
Are you work?	engaged in	estate agency	□ Yes	□ No						_	
Estate A applicab		nce No. (if									
		an Estate Agent's Li	cence, please complete the fo		ion B-2 and Section B-	3				_	
Surname			Name in	Chinese							
Other No	amc (s)				Alias						
		el Document* No.		Sex	( I	Maic	1	] Fem	ale		
Date of Birth (DD/MM/YYYY)		MM/YYYY)	Estate Agenc applicable)		Work Experience (if	Ī	Years		Mo	nths	
B-3	Declarati									_	
Note:	Question of Busine	s I to 6 below refer t ess. They form an int	to factors which will be considered part of this form and mu	dered in the det ast be answered	ermination for the rene l.	wal of St	ateme	nt of	Particu	lars	
							Yes		N	υ	
ı Are	c you an ur plication m	discharged bankrup ade a composition o	t or have you within the 5 year r scheme of arrang ment with	rs immediately your creditors'	prior to the date of thi	s	ı	İ	f	- 1	
A 2	gents Ordin	ector or officer of a cance (Cap. 511) for a the company was s	company which is for the time holding a licence, or were you o disqualified?	e being disqual a a director or c	fied under the Estate officer of that company	al	1	I	l	ı	
3 Ar Or	e you a me dinance (C	ntally disordered pe ap. 136)?	rson or a patient within the m	caning of secue	on 2 of the Mental Hea	lth	ſ	1	ı	ı	
4 Ha dis	ive you eve honest ucts	r been convicted, wh	hether in Hong Kong or elsewhere, of any fraud, corruption or other					I	l	ì	
15 Have you ever been convicted under the Estate Agents Ordinance (Cap. 511) in respect of which have been sentenced to imprisonment, whether the sentence is suspended or not?				in respect of which you ot?	1	I	1	ſ	1		
6 If	you have a	nswered 'Yes' to any	y of the above questions, plea:	se give details (	Use additional sheet it	necessar	y):				
I hereby belief an	declare tha	at all the foregoing it ze the Estate Agents	nformation in this application Authority to verify the forego	is FULL, CON bing informatio	IPLETE AND TRUE	to the bes leems fit.	t of m	, kno	*ledge	: and	
	Date (DD/M	IM/YYYY)	Name of Partn	er –		Signature	. —				

B3170	<b>1998 年第 328 號法律公告</b> 1998 年第 42 期		憲報第二號法律副刊 L.		2 TO GAZE	TTE NO. 42/19	998 <b>L.N. 328 OF 1998</b> E	33171	
	附表 2		〔第5條〕		SC		SCHEDULE 2 [s.	5]	
			費用					FEES	
項		收費	事項	費 用 \$	Item		Matter in re	espect of which the fee is payable Fee \$	
1.	牌照的批給或續期				1.	Grant or i	renewal of a lic	ence	
	(a)	營業員牌照		每年\$2,300		(a)	Salesperson	s's licence 2,300 per year	
	(b)	地產代理(個人) ——個人地產代理		每年\$3,600		(b)	Estate agen	t's licence (individual) for individual estate agent 3,600 per year	
		<u>另加</u> ——在一個營業地! /合夥業務	點以每個營業名稱經營獨資	每年\$3,800			<u>plus</u> –	for operation of a sole proprietorship/partnership under each business name at one place of business	
		<u>另加</u> ——在每個額外營:	業地點以每個營業名稱營業	每年\$3,800			<u>plus</u> –	for operation of each additional place of business under each business name 3,800 per year	
	(c)	地產代理(公司) 一一以一個營業名 (i) (ii) <u>另加</u>	在一個營業地點營業	每年\$5,000 每年\$3,800		(c)	Estate agen	t's licence (company) for operating under one business name (i) at one place of business 5,000 per year (ii) at each additional place of business 3,800 per year	
		——以母個額外官 (i) (ii)	業名稱—— 在一個額外營業地點營業 在每個額外營業地點營業	每年\$5,000 每年\$3,800			<u>plus</u> –	for operating under each additional business	
	凡 批 給 版 照 費 須 亦 作 一 们	牌照或爲牌照續期爲 須參照月份數目按比「 個月計算。	期少於一年,須繳付的 例計算,而不足一個月					(i) at one place of business 5,000 per year (ii) at each additional place of business 3,800 per year	
2.	補發牌照或營業詳情說明書			300		than one	ed or renewed for a period less e fee payable shall be calculated		
3.				300		on a pro i	on a pro rata basis by reference to the number of months and part of a month shall be reckoned as a month.		
4.	核證登記	記冊內任何記項的副	本或摘錄	150	150 2. Replacement of a licence or a statement of particulars of business			e or a statement of particulars of business 300	
					3.	Amendin	g particulars of	a licence or a statement of particulars of business 300	
					4.	Certificat	ion of a copy o	f or extract from any entry in the register 150	

地產代理監管局主席 張建東 Marvin CHEUNG Kin-tung Chairman, Estate Agents Authority

1998年10月13日

B3170	<b>1998 年第 328 號法律公告</b> 1998 年第 42 期憲幸		1998 年第 42 期憲報第二號	第二號法律副刊 L.S. NO. 2 To		TO GAZET	ΓΤΕ NO. 42/1	998 <b>L.N. 328 OF 1998</b> B3171
		附表 2		〔第5條〕				SCHEDULE 2 [s.5]
		費用						FEES
項		收費事項		費用 \$	Item		Matt	er in respect of which the fee is payable Fee \$
1.	牌照的批給或續期				1.	Grant o	r renewal	of a licence
	(a)	營業員牌照	•	每年\$2,300		(a)	Salesper	rson's licence 2,300 per year
	(b)	地產代理(個人)牌照 ——個人地產代理	1	每年\$3,600		<i>(b)</i>	Estate a	gent's licence (individual) for individual estate agent 3,600 per year
		<u>另加</u> ——在一個營業地點以每個營業 /合夥業務	<b></b>	每年\$3,800			<u>plus</u> - per year	for operation of a sole proprietorship/partnership 3,800 under each business name at one place of business
		<u>另加</u> ——在每個額外營業地點以每個		每年\$3,800			<u>plus</u> -	for operation of each additional place of 3,800 per year business under each business name
	(c)	地產代理(公司)牌照 一以一個營業名稱—— (i) 在一個營業地點營業 (ii) 在每個額外營業地點營	· 章業	每年\$5,000 每年\$3,800		(c)	Estate a	gent's licence (company) for operating under one business name- (i) at one place of business 5,000 per year (ii) at each additional place of business 3,800 per year
		另加 一以每個額外營業名稱一一 (i) 在一個營業地點營業 (ii) 在每個額外營業地點營	· 章業	每年\$5,000 每年\$3,800			<u>plus</u> -	for operating under each additional business name-  (i) at one place of business 5,000 per year  (ii) at each additional place of business 3,800 per year
凡批給牌照或爲牌照續期爲期少於一年,須繳付的 牌照費須參照月份數目按比例計算,而不足一個月 亦作一個月計算。			須繳 付的 足一個月			than on	e year, the	s granted or renewed for a period less
2.	補發牌	照或營業詳情說明書	:	300		and par	t of a mon	s by reference to the number of months th shall be reckoned as a month.
3.	修訂牌	照的詳情或營業詳情說明書的詳情	E	300	2.	Replace	ment of a	licence or a statement of particulars of business 300
4.	核證登記冊內任何記項的副本或摘錄			150	3.	Amending particulars of a licence or a statement of particulars of business		
					4.	Certific	ation of a	copy of or extract from any entry in the register 150
地產代理監管局主席 張建東								

1998年10月13日

Marvin CHEUNG Kin-tung Chairman, Estate Agents Authority 註釋

本規例就地產代理及營業員的發牌訂定條文。

- 2. 第 3 及 4 條及附表 1 訂明備存登記冊的形式及格式和以下各項的表格及其內須載有的詳情 --
  - (a) 就牌照及營業詳情說明書的批給、續期及補發而提出的申請;
  - (b) 牌照:
  - (c) 營業詳情說明書。
- 3. 第 5 條及附表 2 就以下事項訂明費用 ——
  - (a) 牌照的批給、續期、修訂及補發;
  - (b) 營業詳情說明書的修訂及補發;及
  - (c) 登記冊的核證副本或摘錄。
- 4. 獲持牌人資格的最低年齡訂明爲18歲。(第6條)
- 5. 取得牌照的學歷訂明爲完成中學五年級或同等程度的教育,且須在監管局指明的有關資格考試中考獲合格成績。對在 1999 年 1 月 1 日前具有地產代理工作經驗的個人亦訂明有替代的規定。(第 7 條)
- 6. 持牌地產代理公司須有至少一名董事是持牌地產代理。(第8條)
- 7. 牌照的有效期爲 12 個月或牌照所指明的較短期間。(第9條)
- 8. 持牌地產代理須就他經營地產代理業務的每個營業地點及每個營業名稱繳付牌照 費的有關部分,及向監管局取得營業詳情說明書,以在有關地點展示。(第10條)
- 9. 第 11 及 12 條就牌照或營業詳情說明書的補發及修訂訂定條文。
- 10. 牌照的續期申請須於牌照有效期屆滿前不多於 3 個月但不少於 1 個月的期間內提出。(第 13 條)

# Explanatory Note

This Regulation provides for the licensing of estate agents and salespersons.

- 2. Sections 3 and 4 and Schedule 1 prescribe the manner and form in which the register is to be kept and the form of and particulars to be contained in-
  - applications for the grant, renewal and replacement of licences and of statements of particulars of business:
  - (b) licences
  - (c) statement of particulars of business.
- 3. Section 5 and Schedule 2 prescribe fees for-
  - (a) the grant, renewal, amendment and replacement of licences;
  - (b) amendment and replacement of a statement of particulars of business: and
  - (c) certified copies or extracts of the register.
- 4. The minimum age for eligibility of a licensee is prescribed to be 18 years. (Section 6)
- 5. Educational qualification for obtaining a licence is prescribed to be completion of Form 5 of secondary education or its equivalent and a pass at the relevant qualifying examination specified by the Estate Agents Authority. Alternative requirements are prescribed for individuals having experience in estate agency work before 1 January 1999. (Section 7)
- 6. A corporate licensed estate agent shall have at least one director who is a licensed estate agent. (Section 8)
- 7. A licence shall be valid for 12 months or such shorter period as may be specified therein. (Section 9)
- 8. A licensed estate agent shall, for each place at which and each business name under which he carries on estate agency business, pay the relevant portion of the licence fee and obtain from the Estate Agents Authority a statement of particulars of business for display at that place. (Section 10)
- 9. Sections 11 and 12 provide for replacement and amendment of a licence or a statement of particulars of business.
- 10. An application for the renewal of a licence shall be made not more than 3 months and not less than 1 month prior to expiration of the licence. (Section 13)

- 11. 施加於持牌人的一般條件計有以下方面:營業詳情說明書的展示、業務文件及廣告 須述明的詳情、營業名稱及營業地點以及交回牌照以作修改。(第 14 條)
- 12. 如任何人較早前就批給牌照或牌照續期提出的申請被拒絕、他的牌照被撤銷或他針對該項拒絕或撤銷而提出的上訴獲裁定或被放棄,則他不得在 12 個月內申請牌照。(第 15 條)
- 13. 申請人如於 1999 年 1 月 1 日前已就批給牌照提出申請,他不得由於在該項申請獲得處置前作出《地產代理條例》(第 511 章)第 15 或 16 條所提述的任何事情而被視爲違反該等條文。(第 16 條)
- 11. General conditions are imposed on licensees regarding display of statement of particulars of business; particulars to be stated in trade documents and advertisements; name and place of business and surrender of licence for amendment. (Section 14)

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- 12. A person shall not apply for a licence within 12 months of the refusal of his earlier application for grant or renewal of a licence, of the revocation of his licence or of determination or abandonment of his appeal against such refusal or abandonment. (Section 15)
- 13. Where an application has been made before 1 January 1999 for the grant of a licence, the applicant shall not be regarded as contravening section 15 or 16 of the Estate Agents Ordinance (Cap. 511) by reason of doing, before the application is disposed of, anything referred to in those sections. (Section 16)

1998 年第 329 號法律公告

# 《地產代理(豁免領牌)令》

(由地產代理監管局在房屋局局長批准下根據 《地產代理條例》(第511章) 第3條訂立)

1. 生效日期

本命令自 1998年 11 月 19 日起實施。

2. 純粹處理香港以外地方的物業的 地產代理及營業員獲豁免

任何作出本條例第 15 或 16 條所提述的任何事情的人如符合以下說明,則獲豁免而不受領取地產代理牌照或營業員牌照的規定規限 ——

- (a) 該人純粹就香港以外地方的物業作出上述事情;及
- (b) 在其所有信件、帳目、收據、單張、小冊子及其他文件內及在任何廣告中, 並明其本人並無處理位於香港的任何物業的牌照。

#### 3. 不任事合夥人無須持有地產代理牌照

- (1) 儘管有本條例第 15 條的規定,任何作爲經營地產代理業務的合夥的成員的人如符合以下說明,則獲豁免而不受領取地產代理牌照的規定規限 ——
  - (a) 該人並非以地產代理身分從事該合夥業務;
  - (b) 該人並非不適當的人;及
  - (c) 該合夥有至少另一名成員是持牌地產代理。
  - (2) 在本條中, "不適當的人" (unfit person)指 ——
    - (a) 以下的人
      - i) (如該人是個人)未獲解除破產的破產人,或在緊接監管局考慮或(如適當的話)開始考慮他是否不適當的人當日之前5年內,已與其債權人訂立債務重整協議或債務償還安排的人;

#### L.N. 329 of 1998

#### ESTATE AGENTS (EXEMPTION FROM LICENSING) ORDER

(Made by the Estate Agents Authority, with the approval of the Secretary for Housing, under section 3 of the Estate Agents Ordinance (Cap. 511))

#### 1. Commencement

This Order shall come into operation on 19 November 1998.

# 2. Exemption for estate agents and salespersons dealing exclusively with properties outside Hong Kong

A person who does anything referred to in section 15 or 16 of the Ordinance shall be exempted from the requirement for obtaining an estate agent's licence or a salesperson's licence if he-

- (a) does so exclusively in relation to properties outside Hong Kong;and
- (b) states in all his letters, accounts, receipts, pamphlets, brochures and other documents and in any advertisement that he is not licensed to deal with any property situated in Hong Kong.

# 3. Dormant partner not required to hold estate agent's licence

- (1) Notwithstanding section 15 of the Ordinance, any person who is a member of a partnership carrying on an estate agency business shall be exempted from the requirement for obtaining an estate agent's licence if-
  - (a) he is not engaged in the partnership business as an estate agent;
  - (b) he is not an unfit person; and
  - (c) at least one other member of that partnership is a licensed estate agent.
  - (2) In this section, "unfit person" (不適當的人) means-
    - (a) a person who-
      - (i) in the case of an individual, is an undischarged bankrupt, or has, within the 5 years immediately prior to the day on which the Authority considers, or, where appropriate, begins to consider whether he is an unfit person, made a composition or scheme of arrangement with his creditors;

- (ii) (如該人是一間公司)正在淸盤中或是一項淸盤令的標的之公司,或有接管人就之而獲委任的公司,或在第(i) 節所指明的5年內,已與其債權人訂立債務重整協議或債務償還安排的公司;
- (b) 當其時根據本條例喪失持有牌照的資格的公司的董事或高級人員,或在該公司如此喪失資格當日是該公司的董事或高級人員的人:
- (c) 《精神健康條例》(第136章)第2條所指的精神紊亂的人或該 條所指的病人;
- (d) 因任何罪行(本條例所訂的罪行除外)在香港或其他地方被定罪的人,而該項定罪屬有需要裁斷該人曾有欺詐性、舞弊或不誠實的作爲者;或
- (e) 因本條例所訂的罪行被定罪,並已就該項定罪被判處監禁(不論 是否緩刑)的人。

地產代理監管局主席 張建東

1998年10月13日

註 釋

本命令豁免以下的人使其不受領取牌照的規定規限 ——

- (a) 純粹處理香港以外地方的物業的地產代理及營業員,條件是他須 在業務文件及廣告內沭明他並無領牌處理位於香港的物業;
- (b) 經營地產代理業務的合夥的成員,而該成員既非以地產代理身分從事該業務,亦非不適當的人,並該合夥有至少另一名成員是持牌地產代理。

- (ii) in the case of a company, is in liquidation or is the subject of a winding-up order, or in relation to whom there is a receiver appointed, or who has, within the 5 years specified in subparagraph (i), entered into a composition or scheme of arrangement with its creditors;
- (b) a person who is a director or officer of a company which is for the time being disqualified under the Ordinance for holding a licence, or was a director or other officer of that company at the date when the company was so disqualified;
- (c) a person who is a mentally disordered person, or a patient, within the meaning of section 2 of the Mental Health Ordinance (Cap. 136):
- (d) a person who has been convicted, whether in Hong Kong or elsewhere, of any offence (other than an offence under the Ordinance), as regards which conviction it was necessary to find that the person acted fraudulently, corruptly or dishonestly; or
- (e) a person who has been convicted of an offence under the Ordinance in respect of which he has been sentenced to imprisonment, whether the sentence is suspended or not.

Marvin CHEUNG Kin-tung Chairman, Estate Agents Authority

13 October 1998

#### Explanatory Note

This Order exempts the following persons from the requirement for obtaining a licence-

- (a) estate agents and salespersons dealing exclusively with properties outside Hong Kong subject to the condition that he states in his trade documents and advertisements that he is not licensed to deal with properties situated in Hong Kong;
- (b) any member of a partnership carrying on an estate agency business where the member is neither engaged in that business as an estate agent nor an unfit person and at least one other member of the partnership is a licensed estate agent.

B3180 **1998** 年第 328 號法律公告

1998 年第 42 期憲報第二號法律副刊

L.S. NO. 2 TO GAZETTE NO. 42/1998

L.N. 328 OF 1998

B3181

1998年第 330 號法律公告

L.N. 330 of 1998

## 《〈地產代理條例〉(第511章)1998年(生效日期)公告》

本人現根據《地產代理條例》第 1(2)條,指定 1998 年 10 月 21 日爲該條例以下條 文開始實施的日期 ——

- (a) 第 III 部 (第 15 及 16 條除外);
- (b) 第 31、32、33、35、53、54(2)及 55(1)(c)、(d)、(h)(iv)、(i)、(j) 及(k)、(3)(b)及(d)及(6)條。

# ESTATE AGENTS ORDINANCE (CAP. 511) (COMMENCEMENT) NOTICE 1998

Under section 1(2) of the Estate Agents Ordinance, I appoint 21 October 1998 as the day on which the following provisions of the Ordinance shall come into operation-

- (a) Part III except sections 15 and 16;
- (b) sections 31, 32, 33, 35, 53, 54(2) and 55(1)(c), (d), (h)(iv), (i), (j) and (k), (3)(b) and (d) and (6).

房屋局局長 黄星華

Dominic S.W. WONG
Secretary for Housing

1998年 10月 13日

13 October 1998