

**List of the Eleven Individuals Interviewed by the  
Panel of Inquiry on Penny Stocks Incident  
(in alphabetical order)**

1. Miss AU King-chi (區瓊智)  
Deputy Secretary for Financial Services and the Treasury (Financial Services)1,  
Financial Services and the Treasury Bureau  
(財經事務及庫務局副秘書長(財經事務)1)
2. Mr Thaddeus BECZAK (白泰德)  
Chairman of Listing Committee of the Main Board, the Hong Kong Exchanges and  
Clearing Limited  
(香港交易所主板上市委員會主席)
3. The Honourable Stephen IP, JP (葉澍堃)  
Secretary for Economic Development and Labour (經濟發展及勞工局局長) and  
former Secretary for Financial Services (before 1.7.2002) (前任財經事務局局長)
4. Mr K C KWONG, GBS, JP (鄭其志)  
Chief Executive of the Hong Kong Exchanges and Clearing Limited  
(香港交易所集團行政總裁)
5. Mr Charles LEE Yeh-kwong, GBS, JP (李業廣)  
Chairman of the Hong Kong Exchanges and Clearing Limited (香港交易所主席)
6. Ms Karen LEE (李潔英)  
Executive Vice President of Listing, Regulation and Risk Management,  
the Hong Kong Exchanges and Clearing Limited  
(香港交易所上市、監察及風險管理主管)
7. The Honourable Antony LEUNG, GBS, JP (梁錦松)  
Financial Secretary (財政司司長)
8. Mr Laurence LI (李律仁)  
Director, Corporate Finance Division of The Hong Kong Securities and Futures  
Commission (證監會企業融資部總監)
9. The Honourable Frederick MA (馬野亨)  
Secretary for Financial Services and the Treasury (財經事務及庫務局局長)
10. Mr Andrew SHENG, SBS, JP (沈聯濤)  
Chairman of the Hong Kong Securities and Futures Commission (證監會主席)
11. Miss Salina YAN (甄美薇)  
Principal Assistant Secretary for Financial Services and the Treasury (Financial  
Services) (Securities)  
Financial Services and the Treasury Bureau  
(財經事務及庫務局首席助理秘書長(財經事務)(證券))