## BILLS COMMITTEE ON LAND (MISCELLANEOUS PROVISIONS)(AMENDMENT) BILL 2002 MEETING ON 1 APRIL 2003

#### Follow-up to meeting on 18 March 2003

In the Bills Committee on Land (Miscellaneous Provisions) (Amendment) Bill 2002 on 18 March 2003, the Administration was requested to follow up on certain issues. The following are the information provided on these issues.

# Question (a) Whether a member of the Review Panel/Review Board who with the intent to conceal the fact that he had an interest in a particular subject under review would constitute an offence in law.

Answer (a) According to the relevant provisions in the Bill, no person having an interest in the subject of review can be nominated or appointed to the Review Board. However, no offence can be committed by a person who just conceals an interest to gain nomination to the Board.

But if remuneration or other forms of benefit would be gained by being a member of the Review Board (such as a refund by Director of Highways of economic cost), then if a person deliberately conceals the fact that he has an interest in the subject, is appointed to the Review Board and obtains the benefit, he may commit an offence of fraud under s. 16A of the Theft Ordinance (Cap. 210) or an offence of obtaining pecuniary advantage by deception under s. 18 of Cap. 210.

## Question (b) To provide a progress update on the excavation works behind Regal Hong Kong.

Answer (b) The works behind Regal Hong Kong is part of the Wan Chai East and North Point Sewerage Project. The 'Awaiting for underground cable/pipes diversion', indicated on the publicity board in late February 2003,

involved the diversion of a water main to make way for the construction of a shaft. The water main diversion was completed on 25 March 2003.

The area being occupied is for the construction a 6m deep shaft and some sewers and manholes. The shaft, which is 4m x 4m on plan, and located partly on the carriageway, will be a receiving pit for a 600mm diameter sewer installed by trenchless pipe jacking method. The location of the receiving pit is dictated by the alignment of the sewer, which in turn is dictated by the location of the existing underground services. The works at this location is targeted for completion by early 2004.

The adoption of trenchless pipe jacking method is already a great improvement over the traditional open cutting method in the construction of sewers, in terms of disturbance to the public. Drainage Services Department has also put in great effort to reduce the space occupied.

The temporary traffic management schemes to minimize impact on traffic have been vet and approved by a Traffic Management Liaison Group. The group comprises representatives from Drainage Services Department, Transport Department, HK Police, Highways Department, District Office and Bus Companies.

We will closely monitor the progress of the works.

<u>Annex</u>

Members' attention is drawn to the Committee Stage Amendment attached to this Information Paper as Annex.

ETWB **25 March 2003** 

#### Annex

 $\begin{array}{c} {\rm NFSIU/LANDCSA/\#69291v12.doc} \\ {\rm 1^{st}\ draft:\ 29.11.2002} \\ {\rm 1st\ draft\ (revised):\ 02.12.2002} \\ {\rm 2^{nd}\ draft:\ 13.01.2003} \\ {\rm 2^{nd}\ draft\ (revised):\ 14.01.2003} \\ {\rm 3^{rd}\ draft\ (revised):\ 06.02.2003} \\ {\rm 3^{rd}\ draft\ (revised):\ 06.02.2003} \\ {\rm 3^{rd}\ draft\ (2^{nd}\ revised):\ 08.02.2003} \\ {\rm 3^{rd}\ draft\ (3^{rd}\ revised):\ 10.02.2003} \\ {\rm 4^{th}\ draft:\ 21.03.2003} \\ {\rm 4^{th}\ draft\ (revised):\ 27.03.2003} \\ \end{array}$ 

LAND (MISCELLANEOUS PROVISIONS) (AMENDMENT) BILL 2002

#### COMMITTEE STAGE

### Amendments to be moved by the Secretary for the Environment, Transport and Works

#### Clause

#### Amendment Proposed

- 1(2) By adding "the Environment, Transport and" before "Works".
  - 2 (a) In <u>paragraph (a)</u>, by deleting the proposed definition of "excavation permit" and substituting -
    - ""excavation permit" (挖掘准許證) means an
      excavation permit issued under <u>section</u>
      10A;".
    - (b) In paragraph (b) -
      - (i) in the proposed definition of
         "contractor", by deleting " a principal
         excavation permit or principal" and
         substituting "an excavation permit or";
      - (ii) by deleting the proposed definition of

- "emergency excavation permit" and
  substituting -
- ""emergency excavation permit (緊急挖掘 准許證) means an emergency excavation permit issued under section 10B;";
- (iii) in the proposed definition of
   "permittee", by deleting "a principal
   excavation permit or principal" and
   substituting "an excavation permit or";
  - (iv) by deleting the proposed definitions of
     "principal emergency excavation
     permit", "principal excavation permit",
     "secondary emergency excavation
     permit" and "secondary excavation
     permit".";
    - (v) in the proposed definition of "Review
      Board", by deleting "established under
      section 10M" and substituting
      "constituted under section 10N".
- By deleting the proposed <u>section 2A(3) and (4)</u> and substituting -
  - "(3) If the Authority considers that a public officer, in carrying out his duties in the service of the Government, has done an act or made an

omission in contravention of Part III, the Authority shall -

- (a) report the matter to the Secretary
  for the Environment, Transport and
  Works; and
- (b) in the report, advise him, that the
   act or omission has, as the case may
   be -
  - (i) been terminated to the
    Authority's
    satisfaction; or
  - (ii) not been terminated to
     the Authority's
     satisfaction.
- (4) On receiving a report under subsection

  (3) where paragraph (b)(ii) of that subsection is applicable, the Secretary for the Environment,

  Transport and Works shall investigate the matter to which the report relates and ascertain if the public officer concerned is continuing to contravene Part III or has stopped the contravention.
- (5) If an investigation under <u>subsection (4)</u> shows that the public officer concerned is continuing to contravene Part III, the Secretary

for the Environment, Transport and Works shall ensure that the best practicable steps are taken to -

- (a) stop the contravention; and
- (b) avoid the recurrence of any like contravention if he considers that the public officer concerned or any other public officer, is likely to commit the like contravention.
- (6) If -
  - (a) either -
    - (i) a report under
       subsection (3) is
       received where
       paragraph (b)(i) of that
       subsection is
       applicable; or
    - (ii) an investigation under
       subsection (4) shows
       that the public officer
       concerned has stopped
       the contravention; but
  - (b) the Secretary for the Environment,
    Transport and Works considers that
    the public officer concerned or any

other public officer, is likely to commit a like contravention,

then the Secretary for the Environment, Transport and Works shall ensure that the best practicable steps are taken to avoid the recurrence of the like contravention.".

- 4 (a) In the proposed <u>section 8(1)</u>, by adding 
  "Secretary (局長) means the Secretary for the

  Environment, Transport and Works;".
  - (b) By deleting the proposed <u>section 9</u> and substituting -

#### "9. Application of certain provisions

- "(1) Section 10B, 10C, 10D(1A), 10E, 10K, 10L, 10M, 10N, 10NA, 10R, 18B and 18C only apply in the case of an excavation in a street.
- (2) <u>Sections 10AA and 100A</u> only apply in the case of an excavation in unleased land, other than streets.".
- (c) In the proposed section 10 -
  - (i) by deleting <u>subsections (1) and (2)</u> and substituting -
    - "(1) Except under and in
      accordance with a prospecting
      licence, mining licence or sand

removal permit, or a lease,
licence, deed, memorandum of
appropriation or engineering
conditions for land allocation
issued by the Director of Lands,
a person shall not make or maintain
any excavation in unleased land
unless -

#### (a) either -

- (i) he is the
   holder of an
   excavation
   permit or
   emergency
   excavation
   permit; or
- (ii) he is the
  contractor
  of the
  holder of an
  excavation
  permit or
  emergency
  excavation
  permit; and

- (b) he so makes or maintains the excavation under and in accordance with the permit.
- (2) Subject to <u>subsection</u>
  (6), a person who contravenes

  <u>subsection (1)</u> by making or

  maintaining an excavation in

  unleased land without being -
  - (a) the holder of an
     excavation permit
     or emergency
     excavation permit;
     or
  - (b) the contractor of
     the holder of an
     excavation permit
     or emergency
     excavation

shall be guilty of an offence
and shall be liable on
conviction to a fine at level
5 and to imprisonment for 6
months.";

permit,

- (ii) in <u>subsection (3)</u>, by deleting
  "issued to him";
- (iii) in <u>subsection (4)(a)</u>, by deleting

  "a principal excavation permit or

  principal" and substituting "an

  excavation permit or";
  - (iv) in <u>subsection (5)(a)</u>, by deleting

    "a principal excavation permit or

    principal" and substituting "an

    excavation permit or";
    - (v) in <u>subsection (7)</u>, by deleting "a
       principal excavation permit or
       principal" and substituting "an
       excavation permit or".
- (d) In the proposed section 10A -
  - (i) in the heading, by deleting
    "principal";
  - (ii) in <u>subsection (1)</u>, by deleting "a
     permit, to be known as the
     principal" and substituting "an";
  - (iii) in <u>subsection (2)</u>, by deleting "A
    principal" and substituting "An";
    - (iv) in <u>subsection (3)</u>, by deleting "a
      principal" and substituting "an";
      - (v) in <u>subsection (4)</u> -

- (A) in paragraph (a), by deleting
   "a principal" and
   substituting "an";
- (B) by deleting <u>paragraph (b)</u> and substituting -
  - "(b) the permittee of
    the permit is
    unable to have
    access to -
    - (i) a reasonably
      substantial
      portion of the
      street
      concerned for
      the purpose of
      making or
      maintaining
      the
      excavation,
      after the
      commencement
      of the period
      for which the
      permit is

valid but

Page 10 before the commencement of the excavation, for reason other than the fault of the permittee, the contractor for the excavation or their employees; or (ii) a reasonably substantial portion of the land concerned, other than a street, for the purpose of making or maintaining

the

Page 11 excavation, after the commencement of the period for which the permit is valid, for reason other than the fault of the permittee, the contractor for the excavation or their employees.".

- (vi) in <u>subsection (5)</u>, by deleting "any" and substituting "Subject to <u>section 10K</u>, any" -
- (e) By adding -

#### "10AA. Exemption

(1) Any person who intends to make and maintain an excavation in unleased land may apply to the Authority in writing to exempt the

excavation from complying with all or any provision of this Part and shall set out the reasons for the application.

- (2) Upon receipt of an application made under <u>subsection (1)</u>, the Authority may by notice in writing exempt the excavation referred to in the application from all or any provision of this Part if the Authority is of the opinion that the excavation -
  - (a) is minor;
  - (b) will not involve or is
     unlikely to involve
     lateral support or
     substantial work in terms
     of the extent, duration
     and size of the excavation
     and the area that will be
     or is likely to be affected
     by the excavation;
  - (c) will not cause or is
     unlikely that the
     excavation concerned will
     cause any inconvenience
     or danger to the public;
  - (d) will not cause or is

- unlikely that the
  excavation concerned will
  cause any delay to traffic;
  and
- (e) will not pose or is
   unlikely that the
   excavation concerned will
   pose a danger to any
   underground apparatus or
   properties.".
- (f) In the proposed section 10B -
  - (i) in the heading by deleting "principal";
  - (ii) in <u>subsection (1)</u>, by deleting "a permit,
     to be known as the principal" and
     substituting "an";
  - (iii) in <u>subsection (2)</u>, by deleting "A
     principal" and substituting "An";
    - (iv) in <u>subsection (3)</u>, by deleting "a
      principal" and substituting "an";
      - (v) in <u>subsection (6)</u>, by deleting "any" and substituting "Subject to <u>section 10K</u>, any";
    - (vi) in <u>subsection (7)</u> -
      - (A) in paragraph (a), by deleting "a
        principal" and substituting "an";

- (B) by deleting <u>paragraph (b)</u> and substituting -
  - "(b) the permittee of the permit is unable to have access to a reasonably substantial portion of the land concerned for the purpose of making or maintaining the excavation, after the date of the report of the emergency incident concerned to the Authority under subsection (3) but before the commencement of the excavation, for reason other than the fault of the permittee, the contractor for the excavation or their employees,".
- (g) In the proposed section 10C -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal" where it twice appears and

substituting "an";

- (ii) in subsection (2) -
  - (A) by deleting "a principal" and
    substituting "an";
  - (B) by deleting "the principal"
     wherever it appears and
     substituting "an";
- (iii) in subsection (3), by deleting "a
  principal" and substituting "an";
  - (iv) in <u>subsection (4)</u>, by deleting "a
    principal" and substituting "an";
    - (v) in <u>subsection (5)</u>, by deleting "a
      principal" and substituting "an".
- (h) In the proposed section 10D -
  - (i) by deleting <u>subsection (1)</u> and substituting -
    - "(1) The Authority may
      refuse to issue an excavation
      permit or emergency excavation
      permit if -
      - (a) he reasonably
         believes that the
         person making the
         application for
         the issue of the

permit -

(i) is not a

fit and

proper

person to

make or

maintain

any

excavation

in

unleased

land;

(ii) cannot

comply

with the

conditions

imposed

under the

permit;

(iii) does not

have

sufficient

financial

resources

to make or

Page 17
maintain
an
excavation
to which
the permit
relates;

- (b) in the opinion of
   the Authority,
   the application
   to which the
   permit relates is
   unreasonable;
- the circumstances
  of the case, the
  issue of the
  permit is, in the
  opinion of the
  Authority,
  inappropriate in
  such

circumstances.

(1A) In addition to the
grounds specified in <u>subsection</u>
(1), the Authority may -

- (a) refuse to issue an
   excavation permit
   or emergency
   excavation permit
   if -
  - (i) the person who makes the application for the issue of the permit fails to submit the application within the time limit specified by the Authority under
  - (ii) the street to
     which the

or

section 18C;

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permit

relates is a

newly

constructed

street

specified by

the Authority

under section

18C;

the period for
which an
excavation permit
is valid if the
person who makes
the application
for the extension
fails to submit
the application
within the time
limit specified
by the Authority
under section

18C.";

(ii) in <u>subsection (2)</u>, by adding "or extend

the period for which a permit is valid" after "permit".

(i) By deleting the proposed <u>section 10E</u> and substituting -

## "10E. Late application for extension of excavation permit

- (1) Without prejudice to <u>section 10D(1A)</u>,
  where -
  - (a) a permittee applies for an extension of the validity period of an excavation permit beyond the time limit specified for such excavation under section 18C but before the expiry date of the permit;
  - (b) the application is accompanied by the appropriate prescribed fee based on the duration of the period applied for by the permittee; and
  - (c) the Authority has not made his decision on or before the expiry date of the permit,

the permit shall, unless sooner terminated under this Ordinance and subject to <u>subsection (2)</u>, be deemed to be extended up to the expiry of the period applied for by the permittee.

- (2) The Authority shall determine the period for which an excavation permit deemed to be extended under <u>subsection (1)</u> shall be valid and may revise the expiry date of the permit to a date which is earlier than the expiry date in <u>subsection (1)</u>.
- (3) The Authority shall serve a notice of his determination under <u>subsection (2)</u> on the permittee concerned.
- (4) If the period determined by the Authority under <u>subsection (2)</u> is shorter than the period applied for by the permittee concerned, he shall refund any extra prescribed fee to the permittee without interest.".
- (j) In the proposed <u>section 10F</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (k) In the proposed section 10G -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "a

principal excavation permit or principal" and substituting "an excavation permit or".

- (1) In the proposed section 10H -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or".
- (m) In the proposed <u>section 10I(2)</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (n) In the proposed section 10J -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "A
     principal excavation permit or
     principal" and substituting "An
     excavation permit or";
  - (iii) by deleting <u>subsection (3)</u>;

- (iv) in subsection (4), by deleting "a
   principal excavation permit or
   principal" and substituting "an
   excavation permit or";
  - (v) in <u>subsection (5)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or".
- (o) In the proposed section 10K -
  - (i) in the heading, by deleting "principal";
  - (ii) in <u>subsection (1)</u> -
    - (A) by deleting "a principal" and substituting "an";
    - (B) in paragraph (a)(i), by deleting
      "principal";
  - (iii) in <u>subsection (2)</u> -
    - (A) by deleting <u>paragraph (a)</u> and substituting -
      - "(a) the permittee of an
         excavation permit completes
         an excavation to which the
         permit relates before the
         expiry date of the permit or
         the extended period of the
         permit;";

- (B) by adding "(if any)" after

  "economic costs";
- (C) by deleting "extended period" and substituting "permit or the extended period of the permit, as the case may be".
- (p) In the proposed section 10L -
  - (i) in subsection (1) -
    - (A) by adding "or a public officer of
       equivalent rank with engineering
       qualifications relevant to the
       excavation concerned" after
       "Senior Engineer";
    - (B) in paragraph (a), by deleting "a
      principal" and substituting "an";
    - (C) by deleting <u>paragraphs (c) and (d)</u>
      and substituting -
      - "(c) the duration of an extended period of an excavation permit extended under section 10A(3) if he exercises the Director of Highways' power under that section;
      - (d) the duration of an extended period of an excavation

permit extended under <u>section</u>

10A(4) if he exercises the

Director of Highways' power

under that section;

- (e) the duration of an extended
   period of an excavation
   permit extended under section
   10C(4) if he exercises the
   Director of Highways' power
   under that section;
- (f) the duration of an extended
   period of an excavation
   permit extended under section
   10E(2) if he exercises the
   Director of Highways' power
   under that section;
- (g) whether an extension is
   caused by reasons mentioned
   in section 10K(1)(b) if he
   exercises the Director of
   Highways's power under that
   section 10K(1);
- (h) whether the reasons mentioned in section 10K(1)(b) hindered the progress of an excavation

to which the excavation

permit relates if he

exercises the Director of

Highway's power under section

10K(1);

- (i) whether a permittee has
   satisfied the matters in
   section 10K(2)(a), (b) and (c)
   if he exercises the Director
   of Highways' power under
   section 10K(2).";
- (ii) by deleting <u>subsection (2)</u> and substituting -
  - "(1A) The Engineer who made an assessment under <u>subsection (1)</u> shall serve a notice of the result of his assessment on the permittee concerned.
  - (2) A permittee who is aggrieved by an assessment made in respect of him under <u>subsection (1)</u> may -
    - (a) within 28 days from the date of service of the notice under <u>subsection</u>

(1A), apply in writing
to a public officer of
the rank of Chief
Engineer or Government
Engineer or a public
officer of equivalent
rank with engineering
qualifications relevant
to the excavation
concerned (collectively
referred to as "the
Chief Engineer") in the
Highways Department for
a review of the
Engineer's assessment;

- (b) set out the result of his
   own assessment in an
   application made under
   paragraph (a).";
- (iii) in <u>subsection (3)</u>, by deleting "notify
   the permittee concerned of the result of
   his review" and substituting "serve a
   notice of the result of his review on the
   permittee concerned";
  - (iv) by deleting subsections (5) and (6) and

#### substituting -

- "(5) After receipt of an application under <u>subsection (2)</u>, if the Chief Engineer fails to serve a notice of the result of his review on the permittee concerned within the time specified in <u>subsection(3)</u>, then -
  - (a) where subsection (2)(b)
    is applicable, the
    result of the
    permittee's assessment
    shall be taken to be the
    result of the Chief
    Engineer's review; or
  - (b) in any other case, the assessment made by the Engineer under

    subsection (1) on the subject matter of the application shall be taken to be the result of the Chief Engineer's review,

and the Chief Engineer may, in

accordance with the result of the review, exercise any of the powers conferred on him under <u>subsection</u>
(4).

- (5A) A permittee who is aggrieved by a decision made in respect of him under <u>subsection (4)</u> may -
  - (a) within 28 days from the
     date of service of the
     notice under subsection
     (3), apply in writing to
     the Director of Highways
     for a review of the Chief
     Engineer's decision;
  - (b) set out the result of his own assessment in an application made under paragraph (a).
- (6) On receipt of an application under <u>subsection (5A)</u>, the Director of Highways shall notify the Secretary who will set up a Review Board in accordance with <u>section 10N."</u>;

- (v) in <u>subsection (7)</u>, by deleting "(5)" and substituting "(5A)";
- (vi) by deleting subsection (9);
- (vii) by deleting <u>subsection (10)</u> and substituting -
  - "(10) The Director of Highways shall serve a notice of the Review Board's decision on the permittee concerned within 14 days from the date of the decision of the Review Board.";

#### (viii) in <u>subsection (12)</u> -

- (A) by deleting "Director of Highways"
  and substituting "Review Board";
- (B) in paragraph (b), by deleting "(5)"
  and substituting "(5A)";
- (ix) by adding -
  - "(12A) After receipt of an application under <u>subsection (5A)</u>, if the Director of Highways fails to serve a notice of the Review Board's decision on the applicant within the time specified in <u>subsection</u> (10), then -
    - (a) where <u>subsection (5A)(b)</u>

is applicable, the
result of the
permittee's assessment
shall be taken to be the
decision of the Review
Board; or

(b) in any other case, the decision made by the Chief Engineer under subsection (4) on the subject matter of the application shall be taken to be the decision of the Review Board,

and the Director of Highways may, in accordance with the decision, exercise any of the powers conferred on it under <u>subsection</u>
(12).";

- (x) by deleting  $\underline{\text{subsection (13)}}$  and  $\underline{\text{substituting }}$ 
  - "(13) Except a decision relating to an assessment made under subsection (1)(d), (g), (h) or (i), the following decision

#### shall be final -

- (a) any decision made
  under subsection
  (12), or
- (b) any decision taken to be the decision of the Review Board under subsection (12A).".
- (q) By deleting the proposed  $\underline{\text{sections 10M and 10N}}$  and  $\underline{\text{substituting -}}$

#### "10M. Review Panel

- (1) The Secretary may appoint a panel of not more than 20 persons ("the Review Panel") whom he considers suitable to sit as members of a Review Board to review the Chief Engineer's decision under section 10L(4).
- (2) The Secretary shall not appoint any public officer to the Review Panel.
- (3) A person appointed under <u>subsection (1)</u> shall hold office for not more than 3 years and may -
  - (a) be reappointed;
  - (b) resign by notice in writing servedon the Secretary.

#### 10N. Review Board

- (1) Upon receipt of a notification under section 10L(6), the Secretary shall-
  - (a) compile a list of the names of members whom he intends to appoint to constitute the Review Board to review the Chief Engineer's decision under <u>section 10L(4)</u>;
  - (b) serve a notice on the members
     mentioned in paragraph (a)
     requiring them to make a
     declaration as to whether they
     have or do not have any direct or
     indirect interest in the review
     concerned within 7 days from the
     date of service of the notice; and
  - (c) serve a notice on the permittee concerned informing him the names of the members mentioned in paragraph (a) and require the permittee to raise any objection on the appointment of any member on the ground that the member has direct or indirect interest in the review within 7 days from the date

of service of the notice.

- (2) The objection in <u>subsection (1)(c)</u> shall be in writing and shall be accompanied by all written statements and other documentary evidence relied upon by the permittee concerned in support of the objection.
- (3) After the expiry of the period allowed for the declaration of interest and the raising of objection under <u>subsection (1)(b) and (c)</u>, the Secretary shall, subject to <u>subsections (5) and (6)</u>, finalize the composition of the Review Board by appointing 3 or 5 persons (excluding the Chairman) from the list compiled under <u>subsection (1)</u> to constitute the Review Board after taking into consideration the declaration of interest of the members and the objection raised by the permittee concerned.
- (4) The Director of Highways shall be the Chairman of the Review Board.
  - (5) The Secretary shall nominate -
    - (a) at least one public officer of the
       rank of Government Engineer or
       above from the Highways
       Department;
    - (b) at least one member from the Review

Panel; and

- (c) 1 or 3 other persons as he thinks
  fit.
- (6) The majority of the persons constituting a Review Board, excluding the Chairman, shall be persons other than public officers.
- (7) If at any time during the review proceedings, it is discovered that any member of the Review Board has a direct or indirect interest in the review concerned, the Chairman may adjourn the proceedings and inform the Secretary.
- (8) The Secretary shall terminate the appointment of the member and appoint another member by applying the procedures in <u>subsections</u>
  (1), (2) and (3) with necessary modification as he thinks fit.
- (9) After the appointment of a new member under <u>subsection (8)</u>, the Review Board may rehear the application wholly or in part if it is satisfied that it is just to do so.

## 10NA. Proceeding of the Review Board

(1) The Review Board shall not proceed to hear an application for a review of the Chief

Engineer's decision under <u>section 10L(4)</u> at a hearing other than to adjourn unless all the members appointed under <u>section 10N(3)</u> are present.

- (2) All the matters for determination at a hearing of the Review Board shall be decided by a majority of vote of the members present.
- (3) The Chairman shall not vote at the hearing of the Review Board.
- (4) In case there is an equality of votes, the Chairman shall discharge the Review Board and notify the Secretary.
- (5) Upon the receipt of the notification under subsection (4), the Secretary shall apply the procedures in section 10N(1), (2) and (3) with necessary modification to appoint another Review Board to hear the review concerned.
- (6) In case there is an equality of votes at a hearing of the Review Board appointed under subsection (5), then -
  - (a) where section 10L(5A)(b) is applicable, the result of the permittee's assessment shall be taken to be the decision of the Review Board; or
  - (b) in any other case, the decision

made by the Chief Engineer under section 10L(4) on the subject matter of the application shall be taken to be the decision of the Review Board,

and the Review Board may, in accordance with the decision, exercise any of the powers conferred on it under section 10L(12).".

- (r) In the proposed section 100(1) -
  - (i) in the heading by adding "made under
    an excavation permit" after
    "excavation";
  - (ii) by deleting "a principal
     excavation permit or principal"
     and substituting "an excavation
     permit or".
- (s) In the proposed <u>section 10P</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (t) In the proposed section 100 -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) by deleting <u>subsection (2)</u> and

### substituting -

- "(2) Where there is a permittee and no nominated permittee and subsection (1) is contravened, the permittee shall be guilty of an offence and shall be liable on conviction to a fine of \$200,000.
- (2A) Where there is a permittee and a nominated permittee and subsection (1) is contravened, both the permittee and the nominated permittee shall each be guilty of an offence and shall each be liable on conviction to a fine of \$200,000.";
- (iii) in subsection (3), by adding "or (2A)"
  after "(2)";
  - (iv) by adding -
    - "(4) A court, in making a decision on the defence provided under <u>subsection (3)</u>, may take into consideration that a person charged with an offence under <u>subsection (2) or (2A)</u> has -

- (a) hired a competent
   person to supervise
   the excavation
   concerned;
- (b) a documented system
   for supervising the
   excavation
   concerned,
   including but not
   limited to a system
   which -
  - (i) is
     managed
     by a
     competent
     person;
     and
  - (ii) requires
     inspection
     of the
     excavation
     to ensure
     compliance
     with duties
     imposed

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under

subsection

<u>(1)</u> and

record of

such

inspection;

- (c) a documented system
   to ensure his
   contractor
   complies with the
   duties imposed under
   subsection (1).
- (5) For the purpose of subsection (4), "competent person" (合資格人士) means a person who is registered as -
  - (a) a registered
     architect under the
     Architects
     Registration
     Ordinance (Cap.
    408);
  - (b) a registered
     professional
     engineer under the

Engineers

Registration

Ordinance (Cap. 409)

and is within a

discipline which is

relevant to the

excavation

concerned;

- professional
  surveyor under the
  Surveyors
  Registration
  Ordinance (Cap. 417)
  and is within a
  discipline which is
  relevant to the
  excavation
  concerned; or
- (d) a safety officer
   under the Factories
   and Industrial
   Undertakings
   (Safety Officers and
   Safety Supervisors)

Regulation (Cap. 59

sub. leg.) and -

(i) where

subsection

(4)(a) is

applicable,

has at least 3

years

experience in

supervising

excavation

which is

similar to

the

excavation

concerned; or

(ii) where

subsection

<u>(4)(b)(i)</u> is

applicable,

has at least 3

years

experience in

managing

documented

system which
is similar to
the system
described in
that
subsection.".

(u) By adding -

# "100A. Reinstatement of unleased land after excavation made under a lease, licence etc.

- excavation under and in accordance with a lease, licence, deed, memorandum of appropriation or an engineering conditions for land allocation issued by the Director of Lands or an exemption granted under section 10AA shall reinstate and make good the land as required by any condition of the lease, licence, deed, memorandum of appropriation, engineering conditions for land allocation or exemption, as the case may be.
- (2) If any unleased land is not reinstated and made good in accordance with <u>subsection (1)</u>, the Director of Lands may carry out such work as he considers necessary to reinstate and make good the land, and any other land the reinstatement and making good of which is, in his opinion, necessary in

consequence of the excavation, notwithstanding that the works for the carrying out of which the excavation was made have not been completed.

- (3) The Director of Lands may recover from the person mentioned in <u>subsection (1)</u> the cost of any work carried out by the Director of Lands under <u>subsection (2)</u>.
- (4) For the avoidance of doubt, it is declared that any work carried out under <u>subsection</u>
  (2) shall not be regarded as excavation for the purpose of this Ordinance.".
- (a) By deleting paragraph (a) and substituting -
  - "(a) in <u>subsection (1)</u>, by deleting "8 or 12" and substituting "10, 10A, 10AA, 10B, 10C, 10D, 10E, 10I, 10J, 10K, 10L, 10N, 10NA, 10O, 10OA, 10P, 10R, 12 or 18C";
  - (b) In the proposed section 18(1A) -
    - (i) by adding "the Environment, Transport
       and" before "Works";
- (a) In the proposed section 18B -

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- (i) in <u>subsection (1)</u> -
  - (A) by deleting "Director of Highways"
     and substituting "Review Board";

- (B) by deleting "the refund of economic
   costs" and substituting "an
   assessment made under section
   10L(1)(d), (g), (h) or (i)";
- (ii) in <u>subsection (2)</u>, by deleting
   everything after "days" and
   substituting "from the date of service
   of a notice of the decision on the
   aggrieved person";
- (b) By adding -
  - "18C. Authority's power to specify time limit and newly constructed street
  - (1) The Authority may, by notice in the Gazette, specify -
    - (a) the time limit for the submission of
       an application for -
      - (i) the issue of an excavation permit or emergency excavation permit in relation to an excavation or any class of excavation;

- (ii) the extension of the period
   for which an excavation
   permit is valid in relation
   to an excavation or any
   class of excavation;
- (b) a street or any class of streets as a newly constructed street or a class of newly constructed streets and the duration for which and the extent to which such street or such class of streets, as the case may be, shall not be used for any excavation.
- (2) For the avoidance of doubt, it is declared that a notice published under <u>subsection</u>(1) is not subsidiary legislation.".
- 9(b) In the first column -
  - (a) by adding "10AA(1), 10AA(2)" after "10A(4)";
  - (b) by deleting "10D(2)" and substituting "10D(1A), 10D(2), 10E(1), 10E(2) and 10E(3)";
  - (c) by adding "18C(1)" after "16C(2)".
  - In the proposed section 3A -
    - (a) in the heading, by deleting "principal" where it twice appears;
    - (b) in <u>subsection (1)</u>, by deleting "a principal" and substituting "an".

- In the proposed <u>Schedule 3</u> -
  - (a) in Part I -
    - (i) in the heading, by deleting "PRINCIPAL";
    - (ii) in item 1 -
      - (A) by deleting "a principal" and
        substituting "an";
      - (B) by deleting "the principal" and substituting "the";
    - (iii) in item 2, by deleting "a principal" and
      substituting "an";
  - (b) in Part II -
    - (i) in the heading, by deleting "PRINCIPAL";
    - (ii) in item 1, by deleting "a principal" and substituting "an";
    - (iii) in item 2, by deleting "a principal" and substituting "an".
- By deleting "the refund of economic costs" and substituting "an assessment made under section 10L(1)(d), (q), (h) or (i)".
- By deleting "a principal" where it twice appears and substituting "an".

#### Annex

 $\begin{array}{c} {\rm NFSIU/LANDCSA/\#69291v12.doc} \\ 1^{\rm st} \ draft: \ 29.11.2002 \\ 1 {\rm st} \ draft \ (revised): \ 02.12.2002 \\ 2^{\rm nd} \ draft: \ 13.01.2003 \\ 2^{\rm nd} \ draft: \ (revised): \ 14.01.2003 \\ 3^{\rm rd} \ draft: \ (revised): \ 06.02.2003 \\ 3^{\rm rd} \ draft \ (revised): \ 06.02.2003 \\ 3^{\rm rd} \ draft \ (2^{\rm nd} \ revised): \ 08.02.2003 \\ 3^{\rm rd} \ draft \ (3^{\rm rd} \ revised): \ 10.02.2003 \\ 4^{\rm th} \ draft: \ 21.03.2003 \\ 4^{\rm th} \ draft \ (revised): \ 27.03.2003 \\ 4^{\rm th} \ draft \ (2^{\rm nd} \ revised): \ 27.3.2003 \\ \end{array}$ 

LAND (MISCELLANEOUS PROVISIONS)(AMENDMENT) BILL 2002

#### COMMITTEE STAGE

Amendments to be moved by the Secretary for the Environment, Transport and Works

#### Clause

#### Amendment Proposed

- 1(2) By adding "the Environment, Transport and" before "Works".
  - 2 (a) In <u>paragraph (a)</u>, by deleting the proposed definition of "excavation permit" and substituting -
    - ""excavation permit" (挖掘准許證) means an
      excavation permit issued under <u>section</u>
      10A;".
    - (b) In paragraph (b) -
      - (i) in the proposed definition of
         "contractor", by deleting " a principal
         excavation permit or principal" and
         substituting "an excavation permit or";
      - (ii) by deleting the proposed definition of

- "emergency excavation permit" and
  substituting -
- ""emergency excavation permit (緊急挖掘 准許證) means an emergency excavation permit issued under section 10B;";
- (iii) in the proposed definition of
   "permittee", by deleting "a principal
   excavation permit or principal" and
   substituting "an excavation permit or";
  - (iv) by deleting the proposed definitions of
     "principal emergency excavation
     permit", "principal excavation permit",
     "secondary emergency excavation
     permit" and "secondary excavation
     permit".";
    - (v) in the proposed definition of "Review
      Board", by deleting "established under
      section 10M" and substituting
      "constituted under section 10N".
- By deleting the proposed <u>section 2A(3) and (4)</u> and substituting -
  - "(3) If the Authority considers that a public officer, in carrying out his duties in the service of the Government, has done an act or made an

omission in contravention of Part III, the Authority shall -

- (a) report the matter to the Secretary
  for the Environment, Transport and
  Works; and
- (b) in the report, advise him, that the
   act or omission has, as the case may
   be -
  - (i) been terminated to the
    Authority's
    satisfaction; or
  - (ii) not been terminated to
     the Authority's
     satisfaction.
- (4) On receiving a report under subsection (3) where paragraph (b)(ii) of that subsection is applicable, the Secretary for the Environment, Transport and Works shall investigate the matter to which the report relates and ascertain if the public officer concerned is continuing to contravene Part III or has stopped the contravention.
- (5) If an investigation under <u>subsection (4)</u> shows that the public officer concerned is continuing to contravene Part III, the Secretary

for the Environment, Transport and Works shall ensure that the best practicable steps are taken to -

- (a) stop the contravention; and
- (b) avoid the recurrence of any like contravention if he considers that the public officer concerned or any other public officer, is likely to commit the like contravention.
- (6) If -
  - (a) either -
    - (i) a report under
       subsection (3) is
       received where
       paragraph (b)(i) of that
       subsection is
       applicable; or
    - (ii) an investigation under
       subsection (4) shows
       that the public officer
       concerned has stopped
       the contravention; but
  - (b) the Secretary for the Environment,
    Transport and Works considers that
    the public officer concerned or any

other public officer, is likely to commit a like contravention,

then the Secretary for the Environment, Transport and Works shall ensure that the best practicable steps are taken to avoid the recurrence of the like contravention.".

- 4 (a) In the proposed <u>section 8(1)</u>, by adding 
  "Secretary (局長) means the Secretary for the

  Environment, Transport and Works;".
  - (b) By deleting the proposed <u>section 9</u> and substituting -

# "9. Application of certain provisions

- "(1) Section 10B, 10C, 10D(1A), 10E, 10K, 10L, 10M, 10N, 10NA, 10R, 18B and 18C only apply in the case of an excavation in a street.
- (2) <u>Sections 10AA and 100A</u> only apply in the case of an excavation in unleased land, other than streets.".
- (c) In the proposed section 10 -
  - (i) by deleting <u>subsections (1) and (2)</u> and substituting -
    - "(1) Except under and in
      accordance with a prospecting
      licence, mining licence or sand

removal permit, or a lease,
licence, deed, memorandum of
appropriation or engineering
conditions for land allocation
issued by the Director of Lands,
a person shall not make or maintain
any excavation in unleased land
unless -

#### (a) either -

- (i) he is the
   holder of an
   excavation
   permit or
   emergency
   excavation
   permit; or
- (ii) he is the
  contractor
  of the
  holder of an
  excavation
  permit or
  emergency
  excavation
  permit; and

- (b) he so makes or maintains the excavation under and in accordance with the permit.
- (2) Subject to <u>subsection</u>
  (6), a person who contravenes

  <u>subsection (1)</u> by making or

  maintaining an excavation in

  unleased land without being -
  - (a) the holder of an
     excavation permit
     or emergency
     excavation permit;
     or
  - (b) the contractor of
     the holder of an
     excavation permit
     or emergency
     excavation
     permit,

shall be guilty of an offence
and shall be liable on
conviction to a fine at level
5 and to imprisonment for 6
months.";

- (ii) in <u>subsection (3)</u>, by deleting
  "issued to him";
- (iii) in <u>subsection (4)(a)</u>, by deleting

  "a principal excavation permit or

  principal" and substituting "an

  excavation permit or";
  - (iv) in <u>subsection (5)(a)</u>, by deleting

    "a principal excavation permit or

    principal" and substituting "an

    excavation permit or";
    - (v) in <u>subsection (7)</u>, by deleting "a
       principal excavation permit or
       principal" and substituting "an
       excavation permit or".
- (d) In the proposed section 10A -
  - (i) in the heading, by deleting
    "principal";
  - (ii) in <u>subsection (1)</u>, by deleting "a
     permit, to be known as the
     principal" and substituting "an";
  - (iii) in <u>subsection (2)</u>, by deleting "A
    principal" and substituting "An";
    - (iv) in <u>subsection (3)</u>, by deleting "a
      principal" and substituting "an";
      - (v) in <u>subsection (4)</u> -

- (A) in paragraph (a), by deleting
   "a principal" and
   substituting "an";
- (B) by deleting <u>paragraph (b)</u> and substituting -
  - "(b) the permittee of
    the permit is
    unable to have
    access to -
    - (i) a reasonably
      substantial
      portion of the
      street
      concerned for
      the purpose of
      making or
      maintaining
      the
      excavation,
      after the
      commencement
      of the period
      for which the
      permit is

valid but

Page 10 before the commencement of the excavation, for reason other than the fault of the permittee, the contractor for the excavation or their employees; or (ii) a reasonably substantial portion of the land concerned, other than a street, for the purpose of making or maintaining

the

Page 11 excavation, after the commencement of the period for which the permit is valid, for reason other than the fault of the (Revised) permittee, the contractor for the excavation or their employees.";

- (vi) in <u>subsection (5)</u>, by deleting "any" and substituting "Subject to <u>section 10K</u>, any".
- (e) By adding -

# "10AA. Exemption

(1) Any person who intends to make and maintain an excavation in unleased land may apply to the Authority in writing to exempt the

excavation from complying with all or any provision of this Part and shall set out the reasons for the application.

- (2) Upon receipt of an application made under <u>subsection (1)</u>, the Authority may by notice in writing exempt the excavation referred to in the application from all or any provision of this Part if the Authority is of the opinion that the excavation -
  - (a) is minor;
  - (b) will not involve or is
     unlikely to involve
     lateral support or
     substantial work in terms
     of the extent, duration
     and size of the excavation
     and the area that will be
     or is likely to be affected
     by the excavation;
  - (c) will not cause or is
     unlikely that the
     excavation concerned will
     cause any inconvenience
     or danger to the public;
  - (d) will not cause or is

- unlikely that the
  excavation concerned will
  cause any delay to traffic;
  and
- (e) will not pose or is
   unlikely that the
   excavation concerned will
   pose a danger to any
   underground apparatus or
   properties.".
- (f) In the proposed section 10B -
  - (i) in the heading by deleting "principal";
  - (ii) in <u>subsection (1)</u>, by deleting "a permit,
     to be known as the principal" and
     substituting "an";
  - (iii) in <u>subsection (2)</u>, by deleting "A
     principal" and substituting "An";
    - (iv) in <u>subsection (3)</u>, by deleting "a
      principal" and substituting "an";
      - (v) in <u>subsection (6)</u>, by deleting "any" and substituting "Subject to <u>section 10K</u>, any";
    - (vi) in <u>subsection (7)</u> -
      - (A) in paragraph (a), by deleting "a
        principal" and substituting "an";

- (B) by deleting <u>paragraph (b)</u> and substituting -
  - "(b) the permittee of the permit is unable to have access to a reasonably substantial portion of the land concerned for the purpose of making or maintaining the excavation, after the date of the report of the emergency incident concerned to the Authority under subsection (3) but before the commencement of the excavation, for reason other than the fault of the permittee, the contractor for the excavation or their employees,".
- (g) In the proposed section 10C -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal" where it twice appears and

substituting "an";

- (ii) in <u>subsection (2)</u> -
  - (A) by deleting "a principal" and
    substituting "an";
  - (B) by deleting "the principal"
     wherever it appears and
     substituting "an";
- (iii) in subsection (3), by deleting "a
  principal" and substituting "an";
  - (iv) in <u>subsection (4)</u>, by deleting "a
    principal" and substituting "an";
    - (v) in <u>subsection (5)</u>, by deleting "a
      principal" and substituting "an".
- (h) In the proposed section 10D -
  - (i) by deleting <u>subsection (1)</u> and substituting -
    - "(1) The Authority may
      refuse to issue an excavation
      permit or emergency excavation
      permit if -
      - (a) he reasonably
         believes that the
         person making the
         application for
         the issue of the

permit -

(i) is not a

fit and

proper

person to

make or

maintain

any

excavation

in

unleased

land;

(ii) cannot

comply

with the

conditions

imposed

under the

permit;

(iii) does not

have

sufficient

financial

resources

to make or

Page 17
maintain
an
excavation
to which
the permit
relates;

- (b) in the opinion of
   the Authority,
   the application
   to which the
   permit relates is
   unreasonable;
- the circumstances
  of the case, the
  issue of the
  permit is, in the
  opinion of the
  Authority,
  inappropriate in
  such

circumstances.

(1A) In addition to the
grounds specified in <u>subsection</u>
(1), the Authority may -

- (a) refuse to issue an
   excavation permit
   or emergency
   excavation permit
   if -
  - (i) the person who makes the application for the issue of the permit fails to submit the application within the time limit specified by the Authority under
  - (ii) the street to
     which the

or

section 18C;

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permit

relates is a

newly

constructed

street

specified by

the Authority

under section

18C;

the period for
which an
excavation permit
is valid if the
person who makes
the application
for the extension
fails to submit
the application
within the time
limit specified
by the Authority
under section

18C.";

(ii) in <u>subsection (2)</u>, by adding "or extend

the period for which a permit is valid" after "permit".

(i) By deleting the proposed <u>section 10E</u> and substituting -

# "10E. Late application for extension of excavation permit

- (1) Without prejudice to <u>section 10D(1A)</u>,
  where -
  - (a) a permittee applies for an extension of the validity period of an excavation permit beyond the time limit specified for such excavation under section 18C but before the expiry date of the permit;
  - (b) the application is accompanied by the appropriate prescribed fee based on the duration of the period applied for by the permittee; and
  - (c) the Authority has not made his decision on or before the expiry date of the permit,

the permit shall, unless sooner terminated under this Ordinance and subject to <u>subsection (2)</u>, be deemed to be extended up to the expiry of the period applied for by the permittee.

- (2) The Authority shall determine the period for which an excavation permit deemed to be extended under <u>subsection (1)</u> shall be valid and may revise the expiry date of the permit to a date which is earlier than the expiry date in <u>subsection (1)</u>.
- (3) The Authority shall serve a notice of his determination under <u>subsection (2)</u> on the permittee concerned.
- (4) If the period determined by the Authority under <u>subsection (2)</u> is shorter than the period applied for by the permittee concerned, he shall refund any extra prescribed fee to the permittee without interest.".
- (j) In the proposed <u>section 10F</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (k) In the proposed section 10G -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "a

principal excavation permit or principal" and substituting "an excavation permit or".

- (1) In the proposed section 10H -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or".
- (m) In the proposed <u>section 10I(2)</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (n) In the proposed section 10J -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) in <u>subsection (2)</u>, by deleting "A
     principal excavation permit or
     principal" and substituting "An
     excavation permit or";
  - (iii) by deleting <u>subsection (3)</u>;

- (iv) in subsection (4), by deleting "a
   principal excavation permit or
   principal" and substituting "an
   excavation permit or";
  - (v) in <u>subsection (5)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or".
- (o) In the proposed section 10K -
  - (i) in the heading, by deleting "principal";
  - (ii) in <u>subsection (1)</u> -
    - (A) by deleting "a principal" and substituting "an";
    - (B) in paragraph (a)(i), by deleting
      "principal";
  - (iii) in <u>subsection (2)</u> -
    - (A) by deleting <u>paragraph (a)</u> and substituting -
      - "(a) the permittee of an
         excavation permit completes
         an excavation to which the
         permit relates before the
         expiry date of the permit or
         the extended period of the
         permit;";

- (B) by adding "(if any)" after

  "economic costs";
- (C) by deleting "extended period" and substituting "permit or the extended period of the permit, as the case may be".
- (p) In the proposed section 10L -
  - (i) in subsection (1) -
    - (A) by adding "or a public officer of
       equivalent rank with engineering
       qualifications relevant to the
       excavation concerned" after
       "Senior Engineer";
    - (B) in paragraph (a), by deleting "a
      principal" and substituting "an";
    - (C) by deleting <u>paragraphs (c) and (d)</u>
      and substituting -
      - "(c) the duration of an extended period of an excavation permit extended under section 10A(3) if he exercises the Director of Highways' power under that section;
      - (d) the duration of an extended period of an excavation

permit extended under <u>section</u>

10A(4) if he exercises the

Director of Highways' power

under that section;

- (e) the duration of an extended
   period of an excavation
   permit extended under section
   10C(4) if he exercises the
   Director of Highways' power
   under that section;
- (f) the duration of an extended
   period of an excavation
   permit extended under section
   10E(2) if he exercises the
   Director of Highways' power
   under that section;
- (g) whether an extension is
   caused by reasons mentioned
   in section 10K(1)(b) if he
   exercises the Director of
   Highways's power under that
   section 10K(1);
- (h) whether the reasons mentioned in section 10K(1)(b) hindered the progress of an excavation

to which the excavation

permit relates if he

exercises the Director of

Highway's power under section

10K(1);

- (i) whether a permittee has
   satisfied the matters in
   section 10K(2)(a), (b) and (c)
   if he exercises the Director
   of Highways' power under
   section 10K(2).";
- (ii) by deleting <u>subsection (2)</u> and substituting -
  - "(1A) The Engineer who made an assessment under <u>subsection (1)</u> shall serve a notice of the result of his assessment on the permittee concerned.
  - (2) A permittee who is aggrieved by an assessment made in respect of him under <u>subsection (1)</u> may -
    - (a) within 28 days from the date of service of the notice under <u>subsection</u>

(1A), apply in writing
to a public officer of
the rank of Chief
Engineer or Government
Engineer or a public
officer of equivalent
rank with engineering
qualifications relevant
to the excavation
concerned (collectively
referred to as "the
Chief Engineer") in the
Highways Department for
a review of the
Engineer's assessment;

- (b) set out the result of his
   own assessment in an
   application made under
   paragraph (a).";
- (iii) in <u>subsection (3)</u>, by deleting "notify
   the permittee concerned of the result of
   his review" and substituting "serve a
   notice of the result of his review on the
   permittee concerned";
  - (iv) by deleting subsections (5) and (6) and

## substituting -

- "(5) After receipt of an application under <u>subsection (2)</u>, if the Chief Engineer fails to serve a notice of the result of his review on the permittee concerned within the time specified in <u>subsection(3)</u>, then -
  - (a) where subsection (2)(b)
    is applicable, the
    result of the
    permittee's assessment
    shall be taken to be the
    result of the Chief
    Engineer's review; or
  - (b) in any other case, the assessment made by the Engineer under

    subsection (1) on the subject matter of the application shall be taken to be the result of the Chief Engineer's review,

and the Chief Engineer may, in

accordance with the result of the review, exercise any of the powers conferred on him under <u>subsection</u>
(4).

- (5A) A permittee who is aggrieved by a decision made in respect of him under <u>subsection (4)</u> may -
  - (a) within 28 days from the
     date of service of the
     notice under subsection
     (3), apply in writing to
     the Director of Highways
     for a review of the Chief
     Engineer's decision;
  - (b) set out the result of his own assessment in an application made under paragraph (a).
- (6) On receipt of an application under <u>subsection (5A)</u>, the Director of Highways shall notify the Secretary who will set up a Review Board in accordance with <u>section 10N."</u>;

- (v) in <u>subsection (7)</u>, by deleting "(5)" and substituting "(5A)";
- (vi) by deleting subsection (9);
- (vii) by deleting <u>subsection (10)</u> and substituting -
  - "(10) The Director of Highways shall serve a notice of the Review Board's decision on the permittee concerned within 14 days from the date of the decision of the Review Board.";

## (viii) in <u>subsection (12)</u> -

- (A) by deleting "Director of Highways"
  and substituting "Review Board";
- (B) in paragraph (b), by deleting "(5)"
  and substituting "(5A)";
- (ix) by adding (Revised)
  - "(12A) After receipt of an application under <u>subsection (5A)</u>, if the Director of Highways fails to serve a notice of the Review Board's decision on the applicant within the time specified in <u>subsection</u> (10), then -
    - (a) where <u>subsection (5A)(b)</u>

is applicable, the
result of the
permittee's assessment
shall be taken to be the
decision of the Review
Board; or

(b) in any other case, the decision made by the Chief Engineer under subsection (4) on the subject matter of the application shall be taken to be the decision of the Review Board,

and the Review Board may, in accordance with the decision, exercise any of the powers conferred on it under subsection (12).";

- (x) by deleting  $\underline{\text{subsection (13)}}$  and  $\underline{\text{substituting }}$ 
  - "(13) Except a decision relating to an assessment made under subsection (1)(d), (g), (h) or (i), the following decision

#### shall be final -

- (a) any decision made
  under subsection
  (12), or
- (b) any decision taken to be the decision of the Review Board under subsection (12A).".
- (q) By deleting the proposed  $\underline{\text{sections 10M and 10N}}$  and  $\underline{\text{substituting -}}$

#### "10M. Review Panel

- (1) The Secretary may appoint a panel of not more than 20 persons ("the Review Panel") whom he considers suitable to sit as members of a Review Board to review the Chief Engineer's decision under section 10L(4).
- (2) The Secretary shall (Revised) int any public officer to the Review Panel.
- (3) A person appointed under <u>subsection (1)</u> shall hold office for not more than 3 years and may -
  - (a) be reappointed;
  - (b) resign by notice in writing served on the Secretary.

#### 10N. Review Board

- (1) Upon receipt of a notification under section 10L(6), the Secretary shall-
  - (a) compile a list of the names of members whom he intends to appoint to constitute the Review Board to review the Chief Engineer's decision under <u>section 10L(4)</u>;
  - (b) serve a notice on the members
     mentioned in paragraph (a)
     requiring them to make a
     declaration as to whether they
     have or do not have any direct or
     indirect interest in the review
     concerned within 7 days from the
     date of service of the notice; and
  - (c) serve a notice on the permittee concerned notifying him the names of the members mentioned in paragraph (a) and require the permittee to raise any objection on the appointment of any member on the ground that the member has direct or indirect interest in the review within 7 days from the date

of service of the notice.

- (2) The objection in <u>subsection (1)(c)</u> shall be in writing and shall be accompanied by all written statements and other documentary evidence relied upon by the permittee concerned in support of the objection.
- (3) After the expiry of the period allowed for the declaration of interest and the raising of objection under <u>subsection (1)(b) and (c)</u>, the Secretary shall, subject to <u>subsections (5) and (6)</u>, finalize the composition of the Review Board by appointing 3 or 5 persons (excluding the Chairman) from the list compiled under <u>subsection (1)</u> to constitute the Review Board after taking into consideration the declaration of interest of the members and the objection raised by the permittee concerned.
- (4) The Director of Highways shall be the Chairman of the Review Board.
  - (5) The Secretary shall nominate -
    - (a) at least one public officer of the
       rank of Government Engineer or
       above from the Highways
       Department;
    - (b) at least one member from the Review

Panel; and

- (c) 1 or 3 other persons as he thinks
  fit.
- (6) The majority of the persons constituting a Review Board, excluding the Chairman, shall be persons other than public officers.
- (7) If at any time during the review proceedings, it is discovered that any member of the Review Board has a direct or indirect interest in the review concerned, the Chairman may adjourn the proceedings and inform the Secretary.
- (8) The Secretary shall terminate the appointment of the member and appoint another member by applying the procedures in <u>subsections</u>
  (1), (2) and (3) with necessary modification as he thinks fit.
- (9) After the appointment of a new member under <u>subsection (8)</u>, the Review Board may rehear the application wholly or in part if it is satisfied that it is just to do so.

### 10NA. Proceeding of the Review Board

(1) The Review Board shall not proceed to hear an application for a review of the Chief

Engineer's decision under <u>section 10L(4)</u> at a hearing other than to adjourn unless all the members appointed under <u>section 10N(3)</u> are present.

- (2) All the matters for determination at a hearing of the Review Board shall be decided by a majority of vote of the members present.
- (3) The Chairman shall not vote at the hearing of the Review Board.
- (4) In case there is an equality of votes, the Chairman shall discharge the Review Board and notify the Secretary.
- (5) Upon the receipt of the notification under subsection (4), the Secretary shall apply the procedures in section 10N(1), (2) and (3) with necessary modification to appoint another Review Board to hear the review concerned.
- (6) In case there is an equality of votes at a hearing of the Review Board appointed under subsection (5), then -
  - (a) where section 10L(5A)(b) is applicable, the result of the permittee's assessment shall be taken to be the decision of the Review Board; or
  - (b) in any other case, the decision

made by the Chief Engineer under section 10L(4) on the subject matter of the application shall be taken to be the decision of the Review Board,

and the Review Board may, in accordance with the decision, exercise any of the powers conferred on it under section 10L(12).".

- (r) In the proposed section 100(1) -
  - (i) in the heading by adding "made under
    an excavation permit" after
    "excavation";
  - (ii) by deleting "a principal
     excavation permit or principal"
     and substituting "an excavation
     permit or".
- (s) In the proposed <u>section 10P</u>, by deleting "a principal excavation permit or principal" and substituting "an excavation permit or".
- (t) In the proposed section 100 -
  - (i) in <u>subsection (1)</u>, by deleting "a
     principal excavation permit or
     principal" and substituting "an
     excavation permit or";
  - (ii) by deleting <u>subsection (2)</u> and

### substituting -

- "(2) Where there is a permittee and no nominated permittee and subsection (1) is contravened, the permittee shall be guilty of an offence and shall be liable on conviction to a fine of \$200,000.
- (2A) Where there is a permittee and a nominated permittee and subsection (1) is contravened, both the permittee and the nominated permittee shall each be guilty of an offence and shall each be liable on conviction to a fine of \$200,000.";
- (iii) in subsection (3), by adding "or (2A)"
  after "(2)";
  - (iv) by adding -
    - "(4) A court, in making a decision on the defence provided under <u>subsection (3)</u>, may take into consideration that a person charged with an offence under <u>subsection (2) or (2A)</u> has -

- (a) hired a competent
   person to supervise
   the excavation
   concerned;
- (b) a documented system
   for supervising the
   excavation
   concerned,
   including but not
   limited to a system
   which -
  - (i) is
     managed
     by a
     competent
     person;
     and
  - (ii) requires
     inspection
     of the
     excavation
     to ensure
     compliance
     with duties
     imposed

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under

subsection

<u>(1)</u> and

record of

such

inspection;

- (c) a documented system
   to ensure his
   contractor
   complies with the
   duties imposed under
   subsection (1).
- (5) For the purpose of subsection (4), "competent person" (合資格人士) means a person who is registered as -
  - (a) a registered
     architect under the
     Architects
     Registration
     Ordinance (Cap.
    408);
  - (b) a registered
     professional
     engineer under the

Engineers

Registration

Ordinance (Cap. 409)

and is within a

discipline which is

relevant to the

excavation

concerned;

- professional
  surveyor under the
  Surveyors
  Registration
  Ordinance (Cap. 417)
  and is within a
  discipline which is
  relevant to the
  excavation
  concerned; or
- (d) a safety officer
   under the Factories
   and Industrial
   Undertakings
   (Safety Officers and
   Safety Supervisors)

Regulation (Cap. 59

sub. leg.) and -

(i) where

subsection

<u>(4)(a)</u> is

applicable,

has at least 3

years

experience in

supervising

excavation

which is

similar to

the

excavation

concerned; or

(ii) where

subsection

<u>(4)(b)(i)</u> is

applicable,

has at least 3

years

experience in

managing

documented

system which
is similar to
the system
described in
that
subsection.".

#### (u) By adding -

# "100A. Reinstatement of unleased land after excavation made under a lease, licence etc.

- excavation under and in accordance with a lease, licence, deed, memorandum of appropriation or an engineering conditions for land allocation issued by the Director of Lands shall reinstate and make good the land as required by any condition of the lease, licence, deed, memorandum of appropriation, engineering conditions for land allocation, as the case may be.
- and made good in accordance with <u>subsection (1)</u>, the Director of Lands may carry out such work as he considers necessary to reinstate and make good the land, and any other land the reinstatement and making good of which is, in his opinion, necessary in consequence of the excavation, notwithstanding that

the works for the carrying out of which the excavation was made have not been completed.

- (3) The Director of Lands may recover from the person mentioned in <u>subsection (1)</u> the cost of any work carried out by the Director of Lands under subsection (2).
- (4) For the avoidance of doubt, it is declared that any work carried out under <u>subsection</u>
  (2) shall not be regarded as excavation for the purpose of this Ordinance.".
- (a) By deleting paragraph (a) and substituting -
  - "(a) in <u>subsection (1)</u>, by deleting "8 or 12" and substituting "10, 10A, 10AA, 10B, 10C, 10D, 10E, 10I, 10J, 10K, 10L, 10N, 10NA, 100, 10OA, 10P, 10R, 12 or 18C";
  - (b) In the proposed section 18(1A) -
    - (i) by adding "the Environment, Transport
      and" before "Works";
    - (ii) by deleting "or 10N" and substituting ",
      10N or 10NA".
- 7 (a) In the proposed section 18B -

6

- (i) in subsection (1) (Revised)
  - (A) by deleting "Director of Highways"
     and substituting "Review Board";
  - (B) by deleting "the refund of economic

costs" and substituting "an assessment made under section 10L(1)(d), (g), (h) or (i)";

- (ii) in subsection (2), by deleting
   everything after "days" and
   substituting "from the date of service
   of a notice of the decision on the
   aggrieved person";
- (b) By adding -

# "18C. Authority's power to specify time limit and newly constructed street

- (1) The Authority may, by notice in the Gazette, specify -
  - (a) the time limit for the submission of an application for -
    - (i) the issue of an excavation
       permit or emergency
       excavation permit in
       relation to an excavation
       or any class of excavation;
       or (Revised)
    - (ii) the extension of the period

for which an excavation

permit is valid in relation

to an excavation or any

class of excavation;

- (b) a street or any class of streets as a newly constructed street or a class of newly constructed streets and the duration for which and the extent to which such street or such class of streets, as the case may be, shall not be used for any excavation.
- (2) For the avoidance of doubt, it is declared that a notice published under <u>subsection</u>
  (1) is not subsidiary legislation.".
- 9(b) In the first column -
  - (a) by adding "10AA(1), 10AA(2)" after "10A(4)";
  - (b) by deleting "10D(2)" and substituting "10D(1A), 10D(2), 10E(1), 10E(2) and 10E(3)";
  - (c) by adding "10L(14), 10L(15)" after "10K(3)";
  - (d) by adding "18C(1)" after "16C(2)".
  - 11 In the proposed section 3A -
    - (a) in the heading, by deleting "principal" where it twice appears;
    - (b) in <u>subsection (1)</u>, by deleting "a principal" and substituting "an".

- In the proposed <u>Schedule 3</u> -
  - (a) in Part I -
    - (i) in the heading, by deleting "PRINCIPAL";
    - (ii) in item 1 -
      - (A) by deleting "a principal" and
        substituting "an";
      - (B) by deleting "the principal" and substituting "the";
    - (iii) in item 2, by deleting "a principal" and
      substituting "an";
  - (b) in Part II -
    - (i) in the heading, by deleting "PRINCIPAL";
    - (ii) in item 1, by deleting "a principal" and substituting "an";
    - (iii) in item 2, by deleting "a principal" and substituting "an".
- By deleting "the refund of economic costs" and substituting "an assessment made under section 10L(1)(d), (q), (h) or (i)".
- By deleting "a principal" where it twice appears and substituting "an".