

Bills Committee on Companies (Amendment) Bill 2003**List of outstanding matters on Schedule 1 of the Bill**Proposed sections 39A, 39B, 342CA and 342CB

Date of meeting	Issue	Outcome
8 January 2004	<p>To consider and provide information on the following matters concerning offences relating to amendment of prospectuses -</p> <p>(i) the number of summonses issued and cases convicted, and the amount of fine imposed on prospectus-related offences which were liable to a fine at level 6 under 12th Schedule of the Bill in the past three years;</p> <p>(ii) whether the Hong Kong Police Force had kept the records of the convicted cases mentioned in paragraph (a) above;</p> <p>(iii) whether the drafting of proposed sections 39A(2), 39B(3), 342CA(2) and 342CB(3) should be revised to make it clear that a failure to comply with the guidelines published by SFC under these sections would not by itself render a person liable to any judicial or other proceedings; and</p> <p>(iv) whether the power of SFC to publish codes or guidelines under CO should be read in conjunction with the provisions under section 399 in SFO, and if so, how far section 399 in SFO applied to the provisions quoted in (c) above.</p>	LC Paper No. CB(1)1906/03-04 (03) <i>(issued on 24 May 2004)</i>

Proposed new Seventeenth Schedule

Date of meeting	Issue	Outcome
8 January 2004	To consult Ms Alice CHAN, Lecturer, Department of Professional Legal Education, The University of Hong Kong, on the proposed CSAs to section 7 of Part I of the proposed 17 th Schedule, and report to the Bills Committee if Ms CHAN has any comments on the proposed CSAs.	LC Paper No. CB(1)1906/03-04 (03) <i>(issued on 24 May 2004)</i>