# Bills Committee on Independent Police Complaints Council Bill

### Response to issues raised at the Bills Committee meeting held on 23 November 2007

#### **Purpose**

This paper provides information in response to the issues raised by the Bills Committee at its meeting held on 23 November 2007.

#### The IPCC and other statutory bodies

To provide a list of all statutory bodies in Hong Kong and a comparison between the proposed statutory Independent Police Complaints Council (IPCC) and six similar statutory bodies as well as the Securities and Futures Commission, the Equal Opportunities Commission, the Office of The Ombudsman and the Office of the Privacy Commissioner for Personal Data, setting out their functions, the honorarium for members, workload of members, financial provision, manpower establishment and caseload of the respective secretariats

- 2. Statutory bodies are established to perform specific functions prescribed in the relevant Ordinances, and no two statutory bodies resemble each other in terms of their duties, mode of operation and workload. According to information provided by Home Affairs Bureau, we have set out at Annex A a list of 242 statutory bodies.
- 3. As requested by Members, we have compiled a table at <u>Annex B</u> to provide information (where applicable and available) on the following four statutory bodies in respect of their functions, their staff complement and financial provision, the rate of honorarium for their members (if applicable), the workload of members, and the caseload of their secretariats/executive arms
  - (a) Securities and Futures Commission (SFC);
  - (b) Equal Opportunities Commission (EOC);
  - (c) Office of The Ombudsman; and

- (d) Office of the Privacy Commissioner for Personal Data.
- Notwithstanding the above, we should point out that these four statutory bodies discharge a very wide range of different functions and vary considerably in their operation. For example, SFC is the "regulator" that oversees the securities and futures markets. EOC promotes equal opportunities, handles discrimination-related complaints, encourages conciliation and provides assistance to aggrieved persons. The Office of The Ombudsman investigates complaints where maladministration is alleged or suspected, whereas the Office of the Privacy Commissioner for Personal Data monitors, supervises and promotes compliance with the Personal Data (Privacy) Ordinance. view of the wide differences in their statutory functions, mode of operation and administrative set-up, it would not be appropriate to directly compare these statutory bodies with the IPCC, which monitors and reviews investigations by the Complaints Against Police Office (CAPO) of public complaints against the Police.
- 5. At Annex C, we have compiled a table to set out the requested information on another six statutory bodies or administrative/statutory committees established under statutory bodies which comprise mainly non-government officials and are charged to, among other functions, advise on specific cases or review decisions on complaints/objections. These six statutory bodies/committees established under statutory bodies are
  - (a) Public Service Commission (PSC);
  - (b) Appeal Review Committee under the Hong Kong Examinations and Assessment Authority;
  - (c) Review Committee under the Urban Renewal Authority;
  - (d) Committee on Levy under the Construction Industry Training Council;
  - (e) Consumer Complaints Review Committee under the Consumer Council; and
  - (f) Broadcasting Authority Complaints Committee (BACC) under the Broadcasting Authority (BA).

Similar to the constraint inherent in Annex B, we should point 6. out that although the principal functions of the six bodies and committees in paragraph 5 are to consider complaints, objections or appeals to decisions, due to the wide differences in the specific nature, subject matters and complexity of cases handled by each body/committee, as well as differences in the authority which these bodies/committees may exercise over cases within their respective purview, it would not be appropriate any direct comparison between to make bodies/committees and the IPCC.

To provide information on the power and composition of the Appeal Board on Public Meetings and Processions and consider the suggestion of empowering the IPCC to determine the results of investigation into complaints

- 7. The Appeal Board on Public Meetings and Processions is established under section 16 of the Public Order Ordinance (Cap. 245) to consider appeals against the Commissioner of Police's decision to prohibit/object the holding of, or to impose conditions on, a public meeting or procession. Under section 44 of Cap. 245, the Appeal Board shall consist of
  - (a) a Chairman or (in his absence) a Deputy Chairman, who presides at the hearing; and
  - (b) three persons selected in rotation from a panel of 15 persons.

Under section 43 of Cap. 245, the Chairman should be a retired judge or an ex-magistrate who has served for more than 10 years. The provision also stipulates that persons appointed to the panel should not be public officers, two of whom are to be appointed to be the Deputy Chairmen.

8. Regarding the suggestion of empowering the IPCC to determine the results of investigation into complaints against the Police, the IPCC Bill provides the IPCC with extensive powers to effectively monitor and review the investigation of complaints against the Police. Clause 18 empowers the IPCC to, in relation to an investigation report submitted by the Police, advise the Commissioner of Police (CP) on its recommendation on the report, the classification of the complaint concerned and the Police's handling or investigation of the complaint. If the IPCC's view is different from the Police's conclusion of the investigation or classification of the complaint, it may under clause 20 require the Police to provide additional information or materials relating

to the complaint or to clarify any fact or discrepancy relating to the complaint, or under clause 21 require the Police to re-investigate the complaint. CP is required under clause 27 to comply with the requirements of the IPCC save in specified circumstances. Under the existing practice, the IPCC and CAPO will seek to reach a consensus on the classification of a reportable complaint through discussions. We envisage that this good practice will continue upon the enactment of the IPCC Bill. In any event, the IPCC may under clause 28 of the Bill make a report to the Chief Executive (CE). CE may direct the Police as he sees fit.

#### The IPCC Interviewing Witnesses Scheme

To provide information on the number of complainants, complainees and witnesses interviewed under the IPCC Interviewing Witnesses Scheme

9. According to the IPCC Secretariat, since the introduction of the IPCC Interviewing Witnesses Scheme<sup>Note</sup> in 1994, 48 witnesses involved in 43 complaints were interviewed under the Scheme. However, as the relevant case files have already been disposed of, no breakdown of the number of complainants, complainees and other witnesses interviewed is available.

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<sup>&</sup>lt;sup>Note</sup> Under the Scheme, the IPCC may interview witnesses, including complainants, complainees, pathologists, government chemists and independent witnesses, to clarify matters.

### List of Statutory Bodies in Hong Kong<sup>Note</sup>

#### I. Advisory Boards and Committees (50)

- 1. Advisory Committee on Travel Agents
- 2. Antiquities Advisory Board
- 3. Aviation Security Committee
- 4. Banking Advisory Committee
- 5. Betting and Lotteries Commission
- 6. Board of Inland Revenue
- 7. Country and Marine Parks Board
- 8. Deposit-taking Companies Advisory Committee
- 9. Central & Western District Council
- 10. Eastern District Council
- 11. Islands District Council
- 12. Kowloon City District Council
- 13. Kwai Tsing District Council
- 14. Kwun Tong District Council
- 15. North District Council
- 16. Sai Kung District Council
- 17. Sha Tin District Council
- 18. Sham Shui Po District Council
- 19. Southern District Council
- 20. Tai Po District Council
- 21. Tsuen Wan District Council
- 22. Tuen Mun District Council
- 23. Wan Chai District Council
- 24. Wong Tai Sin District Council
- 25. Yau Tsim Mong District Council
- 26. Yuen Long District Council
- 27. Endangered Species Advisory Committee
- 28. Fish Marketing Advisory Board
- 29. Gas Safety Advisory Committee

Note The list as compiled by Home Affairs Bureau does not include statutory bodies without a "committee" structure, such as The Ombudsman and the Privacy Commissioner for Personal Data.

- 30. Hong Kong Council on Smoking and Health
- 31. Hong Kong Export Credit Insurance Corporation Advisory Board
- 32. Insurance Advisory Committee
- 33. Judicial Officers Recommendation Commission
- 34. Legal Aid Services Council
- 35. Local Vessel Advisory Committee
- 36. Mandatory Provident Fund Industry Schemes Committee
- 37. Mandatory Provident Fund Schemes Advisory Committee
- 38. Marketing Advisory Board
- 39. Panel of Film Censorship Advisers
- 40. Pensions Assessment Board
- 41. Personal Data (Privacy) Advisory Committee
- 42. Pilotage Advisory Committee
- 43. Po Leung Kuk Advisory Board
- 44. Public Service Commission
- 45. Risk Management Committee of the Hong Kong Exchanges and Clearing Limited
- 46. Safety Officer Advisory Committee
- 47. Seafarers' Advisory Board
- 48. Standing Advisory Committee (Oil Storage Installations)
- 49. Standing Committee on Legal Education and Training
- 50. Tung Wah Group of Hospitals Advisory Board

#### II. Non-departmental Public Bodies (16)

- 1. Board of Governors of the Prince Philip Dental Hospital
- 2. Board of the Urban Renewal Authority
- 3. Consumer Council
- 4. Council of the Hong Kong Academy for Performing Arts
- 5. Employees Retraining Board
- 6. Equal Opportunities Commission
- 7. Hong Kong Arts Development Council
- 8. Hong Kong Deposit Protection Board
- 9. Hong Kong Examinations and Assessment Authority
- 10. Hong Kong Housing Authority
- 11. Hong Kong Productivity Council
- 12. Hong Kong Tourism Board
- 13. Hong Kong Trade Development Council
- 14. Hospital Authority

- 15. Occupational Safety and Health Council
- 16. Vocational Training Council

#### III. Regulatory Boards and Bodies (46)

- 1. Air Transport Licensing Authority
- 2. Architects Registration Board
- 3. Asbestos Administration Committee
- 4. Authorized Persons', Registered Structural Engineers' and Registered Geotechnical Engineers' Disciplinary Board Panel
- 5. Authorized Persons Registration Committee Panel
- 6. Broadcasting Authority
- 7. Chinese Medicine Council of Hong Kong
- 8. Chiropractors Council
- 9. Construction Workers Registration Authority
- 10. Contractors Registration Committee Panel
- 11. Council of the Hong Kong Academy of Medicine
- 12. Council of the Hong Kong Institute of Certified Public Accountants
- 13. Council on Human Reproductive Technology
- 14. Dental Council of Hong Kong
- Disciplinary Board Panel (Factories and Industrial Undertakings (Safety 15.
- Management) Regulation)
- 16. Disciplinary Board Panel (Land Survey)
- 17. Disciplinary Board Panel (Lifts and Escalators (Safety Ordinance))
- 18. Disciplinary Tribunal Panel (Builders' Lifts and Tower Working Platforms (Safety))
- 19. Disciplinary Tribunal Panel (Electricity)
- 20. Electoral Affairs Commission
- 21. Engineers Registration Board
- 22. Estate Agents Authority
- 23. Fire Service (Installation Contractors) Disciplinary Board
- 24. Geotechnical Engineers Registration Committee Panel
- 25. Housing Managers Registration Board
- 26. Human Organ Transplant Board
- 27. Land Surveyors Registration Committee
- 28. Landscape Architects Registration Board
- 29. Liquor Licensing Board
- 30. Mandatory Provident Fund Schemes Authority
- 31. Medical Council of Hong Kong

- 32. Midwives Council of Hong Kong
- 33. Nursing Council of Hong Kong
- 34. Pharmacy and Poisons Board
- 35. Planners Registration Board
- 36. Radiation Board
- 37. Registered Contractors' Disciplinary Board Panel
- 38. Review Panel (Land(Miscellaneous Provision) Ordinance)
- 39. Securities and Futures Commission
- 40. Security and Guarding Services Industry Authority
- 41. Social Workers Registration Board
- 42. Structural Engineers Registration Committee Panel
- 43. Supplementary Medical Professions Council
- 44. Surveyors Registration Board
- 45. Town Planning Board
- 46. Veterinary Surgeons Board

#### IV. Appeal Boards (61)

- 1. Administrative Appeals Board
- 2. Air Pollution Control Appeal Board Panel
- 3. Appeal Board (Amusement Game Centres)
- 4. Appeal Board (Bedspace Apartments)
- 5. Appeal Board (Betting Duty Ordinance)
- 6. Appeal Board (Clubs (Safety of Premises))
- 7. Appeal Board (Hotel and Guesthouse Accommodation)
- 8. Appeal Board on Closure Orders (Immediate Health Hazard)
- 9. Appeal Board on Public Meetings and Processions
- 10. Appeal Board Panel (Amusement Rides (Safety))
- 11. Appeal Board Panel (Builders' Lifts and Tower Working Platforms (Safety))
- 12. Appeal Board Panel (Consumer Goods Safety)
- 13. Appeal Board Panel (Electricity)
- 14. Appeal Board Panel (Entertainment Special Effects)
- 15. Appeal Board Panel (Gas Safety)
- 16. Appeal Board Panel (Lifts and Escalators Safety)
- 17. Appeal Board Panel (Town Planning)
- 18. Appeal Board Panel (Toys and Children's Products Safety)
- 19. Appeal Board Panel established under Part 2 of Schedule 6 to the Smoking (Public Health) Ordinance

- 20. Appeal Board Panel under the Construction Workers Registration Ordinance
- 21. Appeal Board Panel under the Rabies Ordinance
- 22. Appeal Board Panel under the Urban Renewal Authority Ordinance
- 23. Appeal Boards Panel (Education)
- 24. Appeal Panel (Estate Agents Ordinance)
- 25. Appeal Panel (Housing)
- 26. Appeal Tribunal Panel (Buildings)
- 27. Board of Review (Film Censorship)
- 28. Board of Review (Inland Revenue Ordinance)
- 29. Capital Adequacy Review Tribunal
- 30. Civil Celebrant of Marriages Appointment Appeal Board
- 31. Clearing and Settlement System Appeals Tribunal
- 32. Copyright Tribunal
- 33. Deposit Protection Appeals Tribunal
- 34. Drainage Appeal Board Panel
- 35. Dumping at Sea Appeal Board Panel
- 36. Environmental Impact Assessment Appeal Board Panel
- 37. HKSAR Passports Appeal Board
- 38. Hong Kong War Memorial Pensions Appeal Board
- 39. Immigration Tribunal
- 40. Insider Dealing Tribunal
- 41. Licensing Appeals Board
- 42. Long-term Prison Sentences Review Board
- 43. Mandatory Provident Fund Schemes Appeal Board
- 44. Market Misconduct Tribunal
- 45. Mental Health Review Tribunal
- 46. Municipal Services Appeals Board
- 47. Noise Control Appeal Board Panel
- 48. Non-local Higher and Professional Education Appeal Board
- 49. Occupational Retirement Schemes Appeal Board
- 50. Panel of Witness Protection Review Board
- 51. Pensions Appeal Panel
- 52. Pharmacy and Poisons Appeal Tribunal
- 53. Post-Release Supervision Board
- 54. Registration of Persons Tribunal
- 55. Release under Supervision Board
- 56. Residential Care Homes (Elderly Persons) Appeal Board
- 57. Securities and Futures Appeals Tribunal

- 58. Telecommunications (Competition Provisions) Appeal Board
- 59. Transport Tribunal's Panel
- 60. Waste Disposal Appeal Board Panel
- 61. Water Pollution Control Appeal Board Panel

#### V. Advisory and Management Boards of Trusts/Funds and Funding Schemes (44)

- 1. Agricultural Products Scholarship Fund Advisory Committee
- 2. Board of Control Grant Schools Provident Fund
- 3. Board of Control Subsidized Schools Provident Fund
- 4. Board of Directors of the Surviving Spouses' and Children's Pension Scheme
- 5. Board of Directors of the Widows and Orphans Pension Scheme
- 6. Board of Management of the Chinese Permanent Cemeteries
- 7. Board of Trustees of the Lord Wilson Heritage Trust
- 8. Board of Trustees of the Sir Edward Youde Memorial Fund
- 9. Brewin Trust Fund Committee
- 10. Chinese Temples Committee
- 11. Correctional Services Children's Education Trust Committee
- 12. Correctional Services Children's Education Trust Investment Advisory Board
- 13. Council of the Lord Wilson Heritage Trust
- 14. Council of the Queen Elizabeth Foundation for the Mentally Handicapped
- 15. Customs and Excise Service Children's Education Trust Fund Committee
  - Customs and Excise Service Children's Education Trust Fund Investment Advisory
- 16. Board
- 17. Education Scholarships Fund Committee
- 18. Emergency Relief Fund Committee
- 19. Employees Compensation Assistance Fund Board
- 20. Employees' Compensation Insurance Levies Management Board
- 21. Environment and Conservation Fund Committee
- 22. Exchange Fund Advisory Committee
- 23. Grantham Scholarships Fund Committee
- 24. Hong Kong War Memorial Pensions Advisory Committee
- 25. Kadoorie Agricultural Aid Loan Fund Committee
- 26. Li Po Chun Charitable Trust Fund Committee
- 27. Marine Fish Scholarship Fund Advisory Committee
- 28. Mercantile Marine Assistance Fund Committee
- 29. Occupational Deafness Compensation Board
- 30. Pneumoconiosis Compensation Fund Board

- 31. Police Children's Education Trust Investment Advisory Board
- 32. Police Children's Education Trust Management Committee
- 33. Police Education and Welfare Trust Investment Advisory Board
- 34. Police Education and Welfare Trust Management Committee
- 35. Prisoners' Education Trust Fund Committee
- 36. Prisoners' Education Trust Fund Investment Advisory Committee
- 37. Protection of Wages on Insolvency Fund Board
- 38. Sir David Trench Fund for Recreation Investment Advisory Committee
- 39. Sir Murray MacLehose Trust Fund Investment Advisory Committee
- 40. Sir Robert Black Trust Fund Committee
- 41. Social Work Training Fund Committee
- 42. The Sir Edward Youde Memorial Fund Council
- 43. The Trustees of the Morrison Scholarships Fund
- 44. Travel Industry Compensation Fund Management Board

#### VI. Public Corporations (4)

- 1. Airport Authority
- 2. Board of Directors of the Hong Kong Science and Technology Parks Corporation
- 3. Managing Board of the Kowloon-Canton Railway Corporation
- 4. Ocean Park Corporation Board

#### VII. Miscellaneous Boards and Committees (21)

- 1. Board of Governors of the Hong Kong Arts Centre
- 2. Clothing Industry Training Authority
- 3. Construction Industry Council
- 4. Construction Industry Training Authority
- 5. Costs Committee
- 6. Council of the Chinese University of Hong Kong
- 7. Council of City University of Hong Kong
- 8. Council of the Hong Kong Baptist University
- 9. Council of the Hong Kong Institute of Education
- 10. Council of the Hong Kong Polytechnic University
- 11. Council of the Hong Kong University of Science and Technology
- 12. Council of Lingnan University
- 13. Council of the Open University of Hong Kong
- 14. Council of the University of Hong Kong

- 15. Dogs and Cats Classification Board
- 16. Federation of Hong Kong Industries General Committee
- 17. Financial Reporting Council
- 18. Financial Reporting Review Panel
- 19. Guardianship Board
- 20. Hong Kong Council for Academic Accreditation
- 21. Leveraged Foreign Exchange Trading Arbitration Panel

## Information on the Securities and Futures Commission, Equal Opportunities Commission, the Office of The Ombudsman and the Office of the Privacy Commissioner for Personal Data

| Name of statutory body                      | Enabling<br>ordinance               | Membership    | Statutory functions                             | Executive arm <sup>1</sup> | Staff number of executive arm   | Financial resource<br>available  | Remuneration /<br>Honorarium for<br>members                           | Workload of members  | Workload of the executive arm   |
|---|-------------------------------------|---------------|---|----------------------------|---|--|---|--|---|
| (a) Securities and Futures Commission (SFC) | Futures<br>Ordinance<br>(SFO) (Cap. | members (8 of | To regulate the securities and futures markets. | SFC itself.                | 425 (no civil servant)  The Chief Executive Officer is the head of the executive arm. | Revenue for 2006/07: \$1,077.83 million  Expenditure for 2006/07: \$599.31 million  Section 14 of the SFO provides that the Government shall provide funding to SFC as appropriated by Legislative Council. In practice, SFC has been adequately funded by levies, fees and charges, and has not requested appropriation from the Legislative Council since 1993/94. | The non-executive Chairman of SFC is remunerated at \$58,500 a month. | It should be noted that the Sinor an executive arm to any statutory body responsible for securities and futures marked other functions, such as licer authorizing financial product applications, conducting inversessing enquiries and control of SFC cannot the number of complaints it wide range of functions. Note information, in 2006/07, SFC applications, handled more to inquiries/investigations, answenquiries and handled 1 056 | organization. It is a per regulating the total a wide range of ensing of intermediaries, ts, processing listing estigations and emplaints, etc.  It be solely measured by handled, given its very conetheless, for C processed 95 listing than 1 000 entered 5 944 investor |

<sup>1</sup> The executive arm of these 4 statutory bodies are not government departments.

| Name of statutory body                        | Enabling ordinance                                     | Membership                                     | Statutory functions  | Executive arm <sup>1</sup> | Staff number of executive arm  | Financial resource available | Remuneration /<br>Honorarium for<br>members                      | Workload of members   | Workload of the executive arm   |
|---|--|--|--|----------------------------|--|------------------------------|--|---|---|
| Equal Di<br>Opportunities Or<br>Commission (S | ex<br>Discrimination<br>Ordinance<br>SDO) (Cap.<br>80) | members There is no government representative. | The EOC is tasked to implement SDO, the Disability Discrimination Ordinance (DDO) (Cap. 487) and the Family Status Discrimination Ordinance (FSDO) (Cap. 527). Its functions include handling complaints; conducting investigations; encouraging conciliation; providing assistance to aggrieved persons; undertaking public education, research and training programmes to promote equal opportunities; eliminating discrimination on the grounds of sex, marital status, pregnancy, disability and family status, as well as sexual harassment, harassment and vilification on the ground of disability; |                            | 77 (no civil servant)  The Chairperson, remunerated at D8 of the Directorate Pay Scale, is the head of the EOC Office. | 2007/08, funded              | is remunerated at D8 of the Directorate Pay Scale.  Members: nil | of statutory functions under<br>the relevant legislation. It<br>held 7 Board meetings in<br>2006. Members spent<br>about 21 hours on these<br>meetings.<br>The Legal and Complaints | discharge of its various functions on a full-time basis. In terms of caseload, in 2006, EOC received 14 235 enquiries and 717 complaints; and handled 930 complaints. |

| Name of statutory body  | Enabling<br>ordinance                       | Membership   | Statutory functions  | Executive arm <sup>1</sup> | Staff number of executive arm | Financial resource available | Remuneration /<br>Honorarium for<br>members                       | Workload of members   | Workload of the executive arm   |
|-------------------------|---|--|--|----------------------------|-------------------------------|------------------------------|---|---|---|
|                         |   |  | reviewing<br>legislation and<br>issuing guidelines.  |                            |                               |                              |   |   |   |
| (c)<br>The<br>Ombudsman | The<br>Ombudsman<br>Ordinance<br>(Cap. 397) | The Office of The Ombudsman is an independent office with a single-office holder.  There is no committee set-up under The Ombudsman. | To investigate complaints from the public and/or to conduct direct investigations into official actions where maladministration is alleged or suspected; and to review government departments' decisions on requests made under the Code on Access to Information. | Office of The<br>Ombudsman | servant)                      |                              | works full-time<br>and her basic<br>salary is<br>equivalent to D8 | In 2006-07, the Office concluded a total of 5 340 complaints.  The Ombudsman carries out her statutory duties on a full-time basis. | Relevant staff of the Office of The Ombudsman are directly involved in investigating complaints. In 2006-07, a total of 5 340 complaint cases were concluded. |

| Name of statutory body  | Enabling<br>ordinance   | Membership  | Statutory functions                       | Executive arm <sup>1</sup>                       | Staff number of executive arm   | Financial resource<br>available                 | Remuneration /<br>Honorarium for<br>members   | Workload of members  | Workload of the executive arm   |
|---|---|---|---|--|---|---|---|--|---|
| (d) Privacy Commissioner for Personal Data (Privacy Commissioner) | Personal Data<br>(Privacy)<br>Ordinance<br>(PDPO) (Cap.<br>486) | Privacy<br>Commissioner<br>is a<br>corporation<br>sole<br>established<br>under the<br>PDPO. | supervise and promote compliance with the | Privacy<br>Commissioner<br>for Personal<br>Data. | 50 (no civil servant)  The Privacy Commissioner, remunerated at D5 of the Directorate Pay Scale, is the full-time head of the Office of the PCPD. | \$36.3million for 2007/08, funded by Government | Privacy Commissioner's remuneration is equivalent to D5 of the Directorate Pay Scale. | The Privacy Commissioner of functions under the PDPO of terms of caseload, his office enquiries, 1 025 complaints, and 9 matching procedure conditions are cased as a function of the Privacy Commissioner cases handled by his staff. The files whenever his statute by virtue of section 32 (giving procedure requests); section discretion to discontinue involved (commencement of formal involved (conclusion of formal invest (publication of reports). His also required whenever correspect of proposed legislating privacy of individuals.  The Office of the Privacy Conclusions of the Privacy Conclusions functions. Enquiried compliance checks and applications of the Privacy compliance checks and application of the Privacy conclusions attention of the Privacy conclusions of the Privacy conclusions of the Privacy conclusions of the Privacy compliance checks and applications of the Privacy conclusions of the Privacy | n a full-time basis. In handled 14 614 79 compliance checks, onsent applications in its responsible for all the He personally inspects ory function is invoked in a consent to matching 39 (exercise of estigation); section 41 investigation); section 47 igations) and section 48 is personal involvement or matching are called for in on that may affect the in the discharge of his es/ complaints/ ications are processed by and are brought to the |

## Information on 6 statutory bodies or committees established under statutory bodies with a function to advise on specific cases or to review decisions on complaints/objections

| Name of statutory body              | Enabling<br>ordinance   | Membership | Statutory<br>functions | Executive arm <sup>1</sup> | Staff number of executive arm   | Financial<br>resource                                     | Remuneration /<br>Honorarium<br>for members                           | Workload of members   | Workload of the executive arm   |
|-------------------------------------|---|------------|------------------------|----------------------------|---|---|---|---|---|
| (a) Public Service Commission (PSC) | Public<br>Service<br>Commission<br>Ordinance<br>(PSCO) (Cap.<br>93) | members    | Chief Executive        |                            | 27 civil servants.  The Secretary, a Senior Principal Executive Officer at D2 rank, is the head of the PSC Secretariat. | \$15.2 million<br>for 2007/08,<br>funded by<br>Government | remuneration is equivalent to D8 of the Directorate Pay Scale.  Other | held to discuss major policy issues or cases which are complex or involve important points of principle.  The Commission also acts as a "think tank" to the Secretary for the Civil Service on policy and procedural issues pertaining to appointments, promotions and discipline as well as on a wide range of subjects relating | The PSC Secretariat assists the Commission in the discharge of its functions. In relation to submissions from the Administration, Secretariat staff examine the submissions, raise queries if warranted and prepare submissions for the Commission's advice.  892 submissions were advised by the Commission in 2006. |

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<sup>&</sup>lt;sup>1</sup> The executive arm is not a government department unless otherwise specified.

| Name of        | Enghling              |                 | Statutowy              |                            | Staff number of  | Financial         | Remuneration /<br>Honorarium | Appeal Review Commi               | ttee under the HKEAA              |
|----------------|-----------------------|-----------------|------------------------|----------------------------|------------------|-------------------|------------------------------|-----------------------------------|-----------------------------------|
| statutory body | Enabling<br>ordinance | Membership      | Statutory<br>functions | Executive arm <sup>1</sup> | executive arm    | resource          | for members                  | Workload of Members               | Workload of the executive arm     |
| (b)            | Hong Kong             | 11 non-official | To conduct             | HKEAA                      | 358 (no civil    | It is             | Travelling                   | The Appeal Review Committee       | About 11 staff members of the     |
| Hong Kong      | Examinations          | members         | public                 | Secretariat                | servant)         | self-financing    | allowance of                 | handled 11 appeals against the    | HKEAA Secretariat assist the      |
| Examinations   | and Assessment        | (including the  | examinations in        |                            |                  | and does not      | \$90 per meeting             | decisions of the Public           | Appeal Review Committee in        |
| and Assessment | Authority             | Chairman) and 1 | Hong Kong and          |                            | The Secretariat  | receive any       |                              | Examination Board on public       | handling appeals. In 2006, the    |
| Authority      | Ordinance (Cap.       | government      | elsewhere, and         |                            | is headed by the | recurrent         |                              | examinations irregularities and   | Secretariat staff processed 87    |
| (HKEAA)        | 261)                  | representative  | administer other       |                            | Secretary        | funding from the  |                              | 76 appeals against the outcome    | Appeal cases. As these staff      |
|                |                       |                 | local and              |                            | General, who     | Government.       |                              | of remarking and rechecking       | also handle other                 |
|                |                       |                 | professional           |                            | works full time  |                   |                              | public examination results in     | responsibilities, it would not be |
|                |                       |                 | examinations           |                            | and is at the    | It has previously |                              | 2006.                             | possible to ascertain the time    |
|                |                       |                 |                        |                            | equivalent civil | received one-off  |                              |                                   | they devote to duties related     |
|                |                       |                 |                        |                            | service rank of  | grants from the   |                              |                                   | specifically to the Appeal        |
|                |                       |                 |                        |                            | D3 of the        | Government to     |                              | meetings and each member spent    | Review Committee.                 |
|                |                       |                 |                        |                            | Directorate Pay  | meet justified    |                              | about 12 hours a year on          |                                   |
|                |                       |                 |                        |                            | Scale.           | developmental     |                              | meetings and another 12 hours     |                                   |
|                |                       |                 |                        |                            |                  | needs.            |                              | on preparation (e.g. studying the |                                   |
|                |                       |                 |                        |                            |                  |                   |                              | appeal cases).                    |                                   |
|                |                       |                 |                        |                            |                  |                   |                              |                                   |                                   |

| Name of        | Enghling                             |                | Statutour   | Executive        | Staff number of              | Financial   | Remuneration /<br>Honorarium  | Review Committe  | ee under the URA  |
|----------------|--------------------------------------|----------------|---|------------------|------------------------------|---|---|--|---|
| statutory body | Enabling<br>ordinance                | Membership     | Statutory<br>functions                            | arm <sup>1</sup> | executive arm                | resource  | for members   | Workload of Members  | Workload of the executive arm   |
| Authority      | Authority<br>Ordinance (Cap.<br>563) | (including the | To carry out urban renewal and connected matters. | URA itself       | The Managing Director is the | injection of \$10<br>billion to the<br>URA over the | \$100,000 a year<br>Members of the<br>URA Board<br>(non-official<br>non-executive):<br>\$65,000 a year<br>Members of the<br>URA Board | redevelopment projects.  The Committee is chaired by a URA Board member and comprises five other URA Board | Committee on cases which requiring the Committee's attention. These staff also carry out other functions and we cannot ascertain the time they devote to duties related |

| Name  | Faller   |                | State 4   | E                             | C4 - C5 1 C   | E'   | Remuneration   | Levy Committ  | ee under CITA  |
|---|--|----------------|---|-------------------------------|---|--|--|---|--|
| Name of statutory body                              | Enabling ordinance   | Membership     | Statutory<br>functions  | Executive<br>arm <sup>1</sup> | Staff number of executive arm   | Financial<br>resource                            | / Honorarium for members                               | Workload of Members   | Workload of the executive arm  |
| (d) Construction Industry Training Authority (CITA) | Industrial Training (Construction Industry) Ordinance (Cap. 317) | (including the | (a) To provide training courses for the construction industry;  (b) To establish and maintain industrial training centres therefor;  (c) To assist in the placement of persons completing training courses;  (d) To make recommendations with respect to the rate of levy;  (e) To assess the standards of skills achieved by anyone in any kind of work involving or in connection with the construction industry, conduct examinations or tests, issue or award certificate of competence and establish the standards to be achieved in respect of such work. | CITA itself                   | secretariat duties<br>and about 380<br>training staff to<br>provide training<br>and trade testing<br>service (no civil<br>servant).<br>The executive<br>arm is headed<br>by an Executive<br>Director. | levy<br>imposed on<br>construction<br>operations | Nil for the<br>Chairman or<br>non-official<br>members. | The Levy Committee under CITA comprises 1 Chairman, 5 Authority members, 3 co-opted members and 1 ex-officio member. It monitors and reviews the levy operation of CITA in the following manner —  • to recommend to the Authority policies and guidelines in connection with the enforcement of the Ordinance relating to levy;  • to make recommendations to the Authority on objections concerning levy;  • to direct the Authority's Accounts Department to deal with cases of objection which are not likely to involve litigation;  • to exercise the powers delegated by the Authority to deal with objections in minor cases (i.e. involving an amount of levy in dispute of not more than \$50,000) which may involve litigation;  • to seek the Authority's endorsement prior to the initiation of any litigation;  • to carry out periodical review of the operations of the Authority's Accounts Department relating to levy collection and assessment;  • to carry out periodical review of the Ordinance concerning levy and to make recommendations to the Authority on amendments if necessary. | The CITA Secretariat assists CITA in the discharge of its functions. In relation to objections concerning levy, the Accounting Manager with the assistance of the surveying officer, first reviews the cases and resolve them with the contractors. The Accounting Manager will present appeals from the contractors to the Levy Committee for consideration.  In 2006, there were 17 objection cases, 3 of which were considered by the Levy Committee. In 2007, there were 11 objection cases, 3 of which were considered by the Levy Committee. |

| N                      | E. J.P.            |            | St. A. A.              | F 4.                          | C4. CC                        | F'                    | Remuneration    Honorarium | Levy Committ  | ee under CITA                 |
|------------------------|--------------------|------------|------------------------|-------------------------------|-------------------------------|-----------------------|----------------------------|---|-------------------------------|
| Name of statutory body | Enabling ordinance | Membership | Statutory<br>functions | Executive<br>arm <sup>1</sup> | Staff number of executive arm | Financial<br>resource | for members                | Workload of Members   | Workload of the executive arm |
|                        |                    |            |                        |                               |                               |                       |                            | The Levy Committee held two meetings in 2006 and considered 3 objection cases. In 2007, the Committee has held two meetings and considered 3 objection cases to date.  Members of the Levy Committee spent about four hours a year (for both 2006 and 2007) on meetings. The time spent on studying cases depends on the complexity of the cases and varies among individual members. |                               |

| Name of        | Fraklina                                       |            | Statutania  |                            | Staff number of   | Financial   | Remuneration /<br>Honorarium | Consumer Complaints Review (<br>Consumer C                                      |   |
|----------------|--|------------|---|----------------------------|---|---|------------------------------|---|---|
| statutory body | Enabling<br>ordinance                          | Membership | Statutory<br>functions  | Executive arm <sup>1</sup> | executive arm   | resource  | for members                  | Workload of Members   | Workload of the executive arm   |
|                | Consumer<br>Council<br>Ordinance<br>(Cap. 216) | Chairman)  | To protect and promote the interests of consumers of goods and services and purchasers, mortgagors and lessees of immovable property. | Consumer<br>Council Office | 124 staff (no civil servant)  The Chief Executive of Consumer Council, at the equivalent civil servant rank of D3 of the Directorate Pay Scale, is the full-time head of the Consumer Council Office. | \$71.3million for 2007/08, funded by the Government |                              | resolution of complicated individual complaint cases from individual consumers; | The Consumer Council Office assists the Consumer Council in the discharge of its various functions. CCRC does not have a dedicated support team nor is there any record on the amount of manpower resources that the Consumer Council Office devotes to the work of CCRC. |

| N                                     | E call' co                                 |  | Statute  |  | Staff number        | E'                                      | Remuneration /            |   | y Complaints Committee (BACC)<br>nder BA  |
|---------------------------------------|--|--|--|--|---------------------|---|---------------------------|---|---|
| Name of statutory body                | Enabling<br>ordinance                      | Membership   | Statutory<br>functions   | Executive arm <sup>1</sup>   | of executive<br>arm | Financial<br>resource                   | Honorarium for members    | Workload of Members   | Workload of the executive arm   |
| (f)<br>Broadcasting<br>Authority (BA) | Authority<br>Ordinance (Cap.<br>391) (BAO) | 9 non-official<br>(including the<br>Chairman) and<br>3 government<br>representatives | To regulate licensed television and sound broadcasters in Hong Kong. | The BA Secretariat is currently part of a government department (i.e. Broadcasting Division of the Television and Entertainment Licensing Authority) | The Principal       | 2007/08, funded<br>by the<br>Government | member: \$296 per meeting | broadcasts. It comprises 13 non-official members  In 2006, BACC members deliberated 413 complaints and appeals on complaints lodged with the BA. 12 | The BA Secretariat assists the BA in the discharge of its various functions.  In relation to complaints and appeals referred to the BACC, about one-third of the staff of the BA Secretariat, among their other duties, assist BACC members in the investigation and deliberation of these cases. The BA Secretariat also investigated and handled 4 503 complaints direct under delegated authority from the BA. |