

Dated 18 June 2010

To the Subcommittee to Study Issues Arising from  
Lehman Brothers-related Minibonds and  
Structured Financial Products of the Legislative Council

**RESPONSE TO THE SUBCOMMITTEE'S LETTER DATED 4 JUNE 2010 FROM  
MR HUNG PI-CHENG, BENJAMIN, EXECUTIVE DIRECTOR AND CHIEF EXECUTIVE  
OFFICER,  
STANDARD CHARTERED BANK (HONG KONG) LIMITED (SCBHK)**

**FOLLOW-UP TO THE HEARING ON 4 JUNE 2010**

**Information (including relevant documents and records, if any) on the following issues**

**1. In respect of 1231 complaints received in relation to the Lehman Brothers-related equity-linked notes transactions, please provide the following information:**

**(a) the number of cases in which mediation by an independent third party has been arranged or is underway; and**

1.1 As of 30 May 2010, 38 cases of these 1231 complaints have been arranged for or are under arrangement for mediation by an independent third party.

**(b) the outcome of the cases in (a) (i.e. settled, rejected or others).**

1.2 None of the cases referred to in the preceding paragraph has completed the mediation process.