## Bills Committee on Securities and Futures and Companies Legislation (Structured Products Amendment) Bill 2010

## Information requested by members at meeting on 25 November 2010

- 1. Definition of "professional investors", and an update on the progress on reviewing/amending the definition.
- 2. The existing regulatory arrangements for currency-linked, interest rate-linked and currency and interest rate-linked instruments issued by Authorized Institutions, including the relevant codes/guidelines issued by the Hong Kong Monetary Authority, and the common types and respective quantities of these products in the Hong Kong market.
- 3. The meaning of "advertisement, invitation or document" in the relevant provisions, and the scope of application of the regulatory regime in real life situations.
- 4. The meaning of "persons outside Hong Kong" in the relevant provisions, and the regulatory arrangements for the disposal of unlisted structured products to intermediaries or persons outside Hong Kong, where the transaction or part of the transaction process takes place in Hong Kong.

Council Business Division 1
<u>Legislative Council Secretariat</u>
14 December 2010