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By Fax (3529 2837) 4 October 2011

Clerk to the Bills Committee, LegCo Secretariat, Legislative Council Building 8 Jackson Road Central Hong Kong

(Attn: Ms Anita SIT)

Dear Ms SIT,

Bills Committee on Lifts and Escalators Bill Views of Deputations and Individuals and Response of the Administration

In response to the views of deputations and individuals given at the meeting of the Bills Committee on Lifts and Escalators Bills held on 17 July 2011, we provide herewith the following documents:

Appendix 1 — List of deputations and individuals with views given at the meeting of 17 July 2011; and

Appendix 2 — Summary of the views of deputations and individuals at the meeting of 17 July 2011 and the response of the Administration.

Yours sincerely,

(Jimmy PM CHAN) for Secretary for Development

Bills Committee on Lifts and Escalators Bill

List of Deputations and Individuals with views given at the meeting of 17 July 2011

Deputations/Individuals	Title in Appendix 2	Written Submission
		(LegCo Paper No.)
The Hong Kong Institution of Surveyors	HKIS	CB(1)2724/10-11(01)
The Building Services Operation and	BSOMES	CB(1)2724/10-11(02)
Maintenance Executive Society		
The Hong Kong Association of Property	HKAPMC	CB(1)2724/10-11(11)
Management Companies		
The Construction Industry Council	CIC	CB(1)2748/10-11(03)
The Hong Kong Institution of Engineers	HKIE	CB(1)2748/10-11(02)
The International Association of Elevator	IAEE	CB(1)2724/10-11(04)
Engineers		
The Hong Kong General Union of Lift and	HKGULEE	CB(1)2748/10-11(01)
Escalator Employees		
The Federation of Hong Kong Electrical &	FHKEMITU	CB(1)2774/10-11(01)
Mechanical Industries Trade Union		
The Lift and Escalator Contractors	LECA	CB(1)2724/10-11(06)
Association		
The Hong Kong Federation of Electrical &	HKFEMCL	CB(1)2724/10-11(08)
Mechanical Contractors Limited		
The Registered Elevator and Escalator	REECAL	-

Deputations/Individuals	Title in Appendix 2	Written Submission
		(LegCo Paper No.)
Contractors Association Limited		
Mr YEUNG Wai-sing, MH, Eastern District	Mr YEUNG Wai-sing, Eastern DC	CB(1)2724/10-11(12)
Council Member	Member	
Cheerwell Engineering Limited	Cheerwell (RC)	CB(1)2724/10-11(03)
Chevalier (HK) Limited	Chevalier (RC)	CB(1)2724/10-11(05)
Elevator Parts Engineering Company	Elevator Parts (RC)	CB(1)2724/10-11(07)
Limited		
Mitsubishi Elevator Hong Kong Company	Mitsubishi (RC)	CB(1)2724/10-11(09)
Limited.		
Schindler Lifts (HK) Limited	Schindler (RC)	CB(1)2724/10-11(10)
Otis Elevator Company (HK) Limited	Otis (RC)	CB(1)2748/10-11(04)
Eugene Engineering Co. Limited	Eugene (RC)	-
Chun Ming Elevator Company Limited	Chun Ming (RC)	-
Anlev Elex Elevator Limited.	Anlev Elex (RC)	-
Fujitec (HK) Company Limited.	Fujitec (RC)	-
Holake Hong Kong Lifts Limited	Holake (RC)	-
Ryoden Lift Services Ltd.	Ryoden (RC)	-
ThyssenKrupp Elevator (HK) Ltd.	ThyssenKrupp (RC)	-
Mr SZE Leung-man	Mr SZE Leung-man	CB(1)2774/10-11(02)

Bills Committee on Lifts and Escalators Bill

Summary of the Views of Deputations and Individuals given at the meeting of 17 July 2011 and the Response of the Administration

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1. Overall contents of the	he Bill	
Deputations/individuals	Summary of views	Administration's response
		 The Administration thanks HKIS for supporting the introduction of the Bill. The Administration has laid down the obligations of responsible persons in the Bill. Responsible persons may employ registered engineers or registered contractors to assist them to discharge their duties, but responsible persons cannot pass their statutory duties to other person, such as professional registered lift (or escalator) engineer or contractor. For instance, if the employed registered engineer or registered
		contractor stated that the suspension ropes of the lift were seriously worn out and replacement was required, the responsible persons for the lift would need to take appropriate measures, including the provision of funding for the concerned replacement works, to ensure that the lift is in safe working order. To safeguard responsible persons from committing an offence inadvertently, the Administration has incorporated appropriate statutory

1. Overall contents of the	1. Overall contents of the Bill		
Deputations/individuals	Summary of views	Administration's response	
		defence or requirement of proving that the offences are committed "without reasonable excuse" in the relevant provisions of the Bill. The Administration would take into account the evidence available with reference to the relevant legal provisions before taking prosecution actions against any person.	
		■ DEMS will issue guidelines (tentatively titled as "Responsible Persons' Guidebook") to give responsible persons a better understanding of their statutory duties.	
	■ In enable the owner to obtain an independent professional opinion, the government should consider separating the service provided by a registered lift (or escalator) engineer from that of a contractor who performs the maintenance works.	■ Although the Bill does not mandate independence of registered engineer from the contractor undertaking the maintenance of the lift or escalator, the Bill allows a responsible person to arrange a registered engineer, who is independent from or being employed by the maintenance contractor, to conduct the required examinations. This arrangement is in line with the existing Lifts and Escalators (Safety) Ordinance (Cap. 327) (LESO) and other similar local engineering safety legislations.	
	■ HKIS suggested establishing a list of accredited specialist inspectors/lift engineers so that owners can have a choice	■ According to the Bill, the registrar shall establish registers of lift engineers and	

1. Overall contents of the Bill		
Deputations/individuals	Summary of views	Administration's response
	to appoint and pay for self-accreditation/certification.	registers for public inspection free of charge. The Administration considers that the registered engineers could adequately to provide examination and certification service for lifts and escalators. Therefore, there is no need to establish a post of accredited specialist inspector under the Bill.
BSOMES	■ BSOMES, in principle, supported the objectives of the Bill, the new permit application system and the new registration system.	■ The Administration noted BSOMES's support to the objectives of the Bill, the new permit application system and the new registration system.
НКАРМС	 ■ Property management companies have encountered problems in getting fund approval for works in keeping the lifts/escalators in proper state of repair and in safe working order. We would like to see some additional provision in this clause or some specification in the codes of practice to address this problem so that management companies can discharge their duties fully. ■ HKAPMC would like to bring to your 	■ To safeguard responsible persons from committing an offence inadvertently, the Administration has incorporated appropriate statutory defence or requirement of proving that the offences are committed "without reasonable excuse" in the relevant provisions of the Bill. The Administration would take into account the evidence available with reference to the relevant legal provisions before taking prosecution actions against any person.
	attention the technical problem of supervising the work of registered lift and escalator contractors by the responsible persons, and wish that limited duties shall	■ DEMS will issue Responsible Persons' Guidebook to give the responsible persons and the public a better understanding of the

1. Overall contents of the Bill		
Deputations/individuals	Summary of views	Administration's response
	be specified in the codes of practice so	awareness on lift and escalator safety.
	that our members can discharge their	
	limited duties reasonably.	
CIC	■ CIC supports in principle the package of	■ The Administration noted the support of CIC
	measures proposed in the Bill to enhance	to the package of measures proposed in the
	the regulation of lift safety.	Bill.
Mr YEUNG Wai-sing,	■ The multi-pronged approach to enhance	■ The Administration noted Mr Yeung's
Eastern DC Member	regulatory control by the government to	support to the Bill.
	ensure the normal operation of lifts and	
	escalators and reduce negligence is the key	
	to safeguard public safety. The Bill has	
	heeded the views of the parties concerned	
	and taken them into full consideration.	
	These include the introduction of a	
	registration system for workers, raising	
	the threshold for the registration of lift	
	engineers, new registration renewal system	
	for contractors, widening the scope of the	
	Ordinance to cover persons responsible for	
	the management of lifts and escalators and	
	increasing the penalty level. These	
	measures will invariably help enhance lift	
	and escalator safety.	
HKIE	■ HKIE is of the view that in practice, the	■ The Bill has clearly laid down the functions
	roles of registered lift contractors and	and duties of registered lift contractors and
	registered lift engineers are confused and	registered lift engineers.
	not distinct. Many registered lift engineers	
	are employed under registered contractors	

1. Overall contents of the	he Bill	
Deputations/individuals	Summary of views	Administration's response
	 and hence it is concerned on whether they could act on independently. HKIE advocates the introduction of a quality assurance audit system (covering both technical audit on inspection method 	Our proposal of setting up a 3-tier quality checking system (checking by registered workers, examination by registered
	and system audit on lift companies) on top of the current registered lift contractors and registered lift engineers mechanism. This additional quality assurance check should be led and conducted by professional engineers to assure the overall lift safety performance.	engineers and risk-based sample check by the government) and increasing the penalty level should be able to deter malpractice and substandard works.
	■ HKIE suggests the Administration to explore whether legislation could be established in mandating compulsory third party liability insurance cover by lift maintenance contractors in terms of lift safety. This could form an incentive in compelling the contractors to strictly observe the laws and codes of practice in lift safety.	non-compliance and the Administration considers it more appropriate to handle civil claims under the existing law of contract. It is not a common practice to mandate a contractor to take out third party liability insurance in local engineering safety
REECAL	■ There should be appropriate regulatory control over the owners. As such, lift/escalator owners should also be registered.	

1. Overall contents of the Bill		
Deputations/individuals	Summary of views	Administration's response
		■ Apart from the anticipated huge demand of resources required for the establishment of the proposed register for lift and escalator owners, the Administration considers that the proposed register will cause nuisance to the public and its effectiveness in the enhancement of lift and escalator safety is very limited. Therefore, the Bill does not introduce a registration system for lift and escalator owners.
HKGULEE	■ The Bill has not set any criteria for lift suspension. Under the pressure of their companies, registered engineers may be forced to sign certificates, as after all registered engineers are one of their employees.	examination works, a registered engineer
		■ Because of the importance of lift and escalator safety, the engineer must conduct the examination according to the Bill's requirements and the engineer must be of the opinion that the lift or escalator and all its associated equipment or machinery are in safe working order before a registered engineer could issue a safety certificate.

1. Overall contents of the Bill		
Deputations/individuals	Summary of views	Administration's response
		Based on past experience, the Administration has not received any report on registered engineer being compelled to issue a safety certificate unwillingly and under the pressure of the engineer's company.
Chun Ming (RC)	■ The Bill will alleviate the pressure on increasing the insurance premium for running lift contracting business as it would further enhance lift and escalator safety.	■ The Administration noted the view of Chun Ming that the Bill can further enhance lift and escalator safety.
Schindler (RC)	■ Schindler (RC) is of the view that the imposition of fines or penalty against individual workers will not enhance the objective of ensuring the good quality and competence of lift and escalator workers.	■ The Bill introduces a registration system for workers in order to recognize their competence; promote continuous self-development; and replace the existing employment-tied competent worker arrangement. The proposal of imposing penalty against registered workers who committed offences under the Bill is in line with other safety related legislations.
Mr SZE Leung-man	■ The Bill has not taken into consideration the maintenance and examination of special lifts.	■ Clause 148 of the Bill empowers DEMS to grant, upon application, exemption to some of the provisions. Such exemption must be in consistent with the interest of safety. As far as special lifts are concerned, the arrangement is similar to that under section 44 of LESO.

2. Interpretation and meaning of the terms and expressions used in the Bill [clauses 2, 8, 19, 21, 24, 25 and 28 of the Bill1 Deputations/individuals Summary of views Administration's response Interpretation of "lift works" and "escalator works" [clause 2 of the Bill] ■ The kinds of lift and escalator works which Cheerwell (RC) ■ Please explain the reason for the deletion Chevalier (RC) of the wordings "not being building the Bill intends to control have been clearly Elevator Parts (RC) works" from the interpretation of lift laid down in the interpretation of "lift works and escalator works in the Bill. works" and "escalator works" under clause 2 of the Bill. The Administration considers that building works have been clearly defined under the Buildings Ordinance (Cap. 123), and there is no need to elaborate in the interpretation of "lift works" and "escalator works" in clause 2 of the Bill. ■ DEMS will issue codes of practice with practical guidelines on the coverage of "lift works" and "escalator works". LECA ■ Lift works or escalator works shall exclude ■ The kinds of lift and escalator works which HKFEMCL associated building work the Bills intends to control have been laid and anv down in the interpretation of the "lift works" decoration work. Cheerwell (RC) and "escalator works" under clause 2 of the Chevalier (RC) Bill. It is similar to the concerned Elevator Parts (RC) Mitsubishi (RC) interpretation under LESO. Ryoden (RC) Fujitec (RC) ■ DEMS will issue codes of practice with ThyssenKrupp (RC) practical guidelines on the interpretation of

Otis (RC)

"lift works" and "escalator works".

Deputations/individuals	Summary of views	Administration's response
Eugene (RC)	■ In the demolition of a building over which	■ According to clause 2 of the Bill, demolition
	a lift or escalator is also to be demolished,	of a lift or an escalator, notwithstanding
	please advise whether the demolition of	whether it is part of a building demolition
	the lift or escalator be regarded as building	project, is respectively regarded as "lift
	works.	works" or "escalator works" under the Bill.

B. Interpretation of	"responsible person" [clause 2 of the Bill]
HKIS	■ HKIS considers "responsible person" should be the owner rather than the property manager as only the owner has the rights and privilege to decide whether to pay the cost of repair and maintenance of the lift and escalator. ■ The Bill extends the statutory duties of owners to all other persons who have the management or control of lifts or escalators, which may include the relevant property managers. Since these persons are playing an important role in the normal operation of lifts, the Administration considers that such extension can better assure the safe operation of lifts and escalators; help preventing lift and escalator incidents; and meet the principle of shared responsibility. ■ To safeguard responsible persons from committing an offence inadvertently, the Administration has incorporated appropriate statutory defence or requirement of proving that the offences are committed "without reasonable excuse" in the relevant provisions of the Bill.
HKGULEE	■ Without specifying which person is the ■ "Responsible person" is clearly defined in

the Bill]		
Deputations/individuals	Summary of views	Administration's response
	"responsible person", when an incident occurs, people will only pass the buck.	clause 2 of the Bill. The definition is sufficient to identify which persons will be regarded as the responsible persons for a lift or escalator under the Bill.
		■ Subject to the passage of the Bill in the Legislative Council (LegCo), the Administration plans to launch a series of publicity and promotional activities to give the public and the concerned responsible persons a better understanding of the requirements under the proposed legislation and to raise their awareness on lift and escalator safety.
C. Interpretation and m	eaning of "major alteration", "safety compo	nent" and "safety equipment" [clause 2 of the
HKGULEE	■ The Bill points out that in the event of failure of "safety equipment", DEMS has to be notified. But as the definition of "safety equipment" is "any thing of the lift", confusion may arise in implementation.	
FHKEMITU	■ The interpretation of "safety component" and "safety equipment" may cause misinterpretation that the concerned two terms mean "any other thing".	■ "Safety component" and "safety equipment" do not mean "any other thing". The two terms have been clearly defined in clause 2 of the Bill and listed in schedule 2 and

the Bill		
Deputations/individuals	Summary of views	Administration's response
		schedule 3 of the Bill respectively. Safety
		component and safety equipment may
		include "any thing" other than a component
		or device on the condition that such thing
		must be specified in schedules 2 and 3 of
		the Bill respectively.
Cheerwell (RC)	■ Please clarify that replacement of printed	■ According to clause 2, schedule 1 and
Chevalier (RC)	circuit board (PCB) is not included in the	schedule 2 of the Bill, the replacement of
Elevator Parts (RC)	scope of "major alteration".	PCB is not regarded as "major alteration"
		unless the PCB is part of the safety circuit
		for the lift.
LECA	■ It is not practicable to consider the works	■ The Administration noted the views of
HKFEMCL	of printed circuit boards or step/pallet	
Fujitec (RC)	replacement as "major alteration",	
ThyssenKrupp (RC)	otherwise, longer time for suspension is	
Mitsubishi (RC)	required before the operation of the lift or	<u> </u>
Ryoden (RC)	escalator resumes.	its failure may directly affect the safe
Anlev Elex (RC)		operation of the lift. It is therefore necessary
Cheerwell (RC)		to ensure the quality of PCB. Operation shall
Chevalier (RC)		only be resumed after registered engineer's
Elevator Parts (RC)		examination and EMSD's spot-check.
Otis (RC)		Similarly, if the steps or pallets of an
		escalator are replaced by substandard
		products, this will be a potential hazard to
		passenger safety. As such, it is necessary
		to ensure the quality of the steps and pallets
		of an escalator. Operation cannot be resumed

the Bill]		
Deputations/individuals	Summary of views	Administration's response
		until it has been confirmed that the working
		condition of the escalator has returned to
		normal after an examination.
		■ EMSD will review the process for granting
		resumption permits such that the operation
		of lift and escalator can be resumed as soon
		as possible.
LECA	■ Schedule 2 part 1 item 3 of the Bill "a	· · · · · · · · · · · · · · · · · · ·
HKFEMCL	door locking device for a lift" should read	
Mitsubishi (RC)	as "a landing door locking device for a	to both lift car door locking device and
Ryoden (RC)	lift".	landing door locking device. DEMS will
Fujitec (RC)		issue codes of practice with practical
ThyssenKrupp (RC)		guidelines on the interpretation of "a door
1.504		locking device for a lift".
LECA	■ More new items of "safety equipment"	■ The proposed regulatory control of "safety
HKFEMCL	added (e.g. driving machine	equipment" under the Bill is to retain similar
Fujitec (RC)	brake/overload device (for lift), driving	=
ThyssenKrupp (RC)	machine brake (for escalator)) and the	of the drive machine brake of a lift is
Mitsubishi (RC)	uncertainty on administrative time on	regarded as major alteration under section
Ryoden (RC)	obtaining the resumption permit (clauses	4(j) of LESO. The drive machine brake of an
Anley Elex (RC)	28 and 58 of the Bill) will certainly	escalator, on the other hand, is of crucial
Cheerwell (RC) Chevalier (RC)	increase lift/escalator service suspension time.	characteristic and its replacement should be followed with an examination in the manner
Elevator Parts (RC)	time.	for major alteration according to section
Otis (RC)		3.7.2 of the codes of practice issued under
Schindler (RC)		LESO.
Benning (RC)		LLbU.

the Bill]		
Deputations/individuals	Summary of views	Administration's response
		■ EMSD will review the process for granting resumption permits such that the operation of lift and escalator can be resumed as soon as possible.
Schindler (RC)	■ Schindler (RC) support the intention to exclude "like for like" replacement from the coverage of Schedule 1 (Major Alterations).	complexity and risk level are the major
D. Interpretation and m	eaning of other terms and expressions [claus	ses 2, 8, 19, 21, 24, 25 and 28 of the Bill]
Cheerwell (RC) Chevalier (RC) Elevator Parts (RC)	■ Regarding the interpretation of "qualified person" under clause 2 of the Bill, please clarify or elaborate the definition in details about "a partner in the partnership that has undertaken the works in the capacity of a registered lift/escalator contractor."	person" includes a registered engineer or registered worker who is the registered contractor undertaking the concerned works. If a registered contractor is formed by
FHKEMITU	■ In the interpretation of "qualified person" under clause 2 of the Bill, the wordings	■ "Qualified person" is clearly defined in

the Bill]		
Deputations/individuals	Summary of views	Administration's response
	"to be able to carry out those works competently and without supervision by any other person, and" in sub-clause (c)(ii)(B) may give rise to misinterpretation that the same	the concerned wordings.
Eugene (RC)	requirement applies to sub-clause (d). The definitions of "building works", "safety components", and "safety equipment" should be placed in the Bill instead of the codes of practice.	■ "Safety component" and "safety equipment" have been clearly defined in clause 2 of the Bill and listed in schedules 2 and 3 of the Bill respectively.
		■ As the Bill does not make any reference to "building works", there is no interpretation for such term in the Bill.
Schindler (RC)	■ Regarding clause 8 of the Bill, it is necessary to have the meaning of "at the place at which the works are carried out" clarified.	According to the requirements under clause 8 of the Bill, any person may personally carry out any lift works provided that the person is under the direct supervision of a qualified person at the place at which the works are carried out. These requirements are in line with that under section 29A of LESO. Since the specific requirements of "at the place at which the works are carried out" will vary according to different situations, it is considered inappropriate to lay them down in the proposed legislation.

the Bill]		
Deputations/individuals	Summary of views	Administration's response
		■ DEMS will issue codes of practice with practical guidelines for satisfying "at the place at which the works are carried out".
	■ For clauses 21 and 25(1) of the Bill, the definition and scope of "thoroughly examine" are not clear.	The use of "thoroughly examine" in clause 21 and "thoroughly examined" in 25(1) of the Bill is to regulate lift examination works and there are similar requirements under sections 13 and 21 of LESO. Since the specific requirements of "thoroughly examine" will vary according to different situations, it is considered inappropriate to lay them down in the proposed legislation.
		■ DEMS will issue codes of practice with practical guidelines for satisfying "thorough examination".
	■ For clause 24(6) of the Bill, the phrase "not of good design and construction or is not in safe working condition" is not clearly defined or explained.	■ The use of the concerned phrase in clause 24(6) of the Bill is to stipulate the considerations that registered engineer must take into account before issuing a safety certificate. There are similar requirements under sections 12, 13 and 26 of LESO. Since the specific requirements of "good design and construction" and "safe working condition" will vary according to different situations, it is considered inappropriate to lay them down in the proposed legislation.

the Bill]		
Deputations/individuals	Summary of views	Administration's response
Halaka (BC)	- "Direct and managementicies" in along	■ DEMS will issue codes of practice with practical guidelines for satisfying "good design and construction" and "safe working condition".
Holake (RC)	■ "Direct and proper supervision" in clause 19 of the Bill, "thorough examination" in clauses 21 and 25 of the Bill, and "as soon as reasonably practicable" in clause 28 of the Bill are not clear to us as practitioners.	requirements for a person to personally carry out examination works. Since the specific

Deputations/individuals	Summary of views	Administration's response
		■ The use of the concerned phrase in clause 28 of the Bill is to require DEMS under the specified condition to notify the decision to the applicants the soonest. DEMS will process applications on the basis of the general criteria for public administration and will never cause a delay to the processing of and reply to applications without good reasons. Performance pledges will also be developed for reference.

3. Prohibition matter[Clause 8 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
Schindler (RC)	■ For clause 8 of the Bill, non-competent workers can perform certain tasks satisfactorily in the industry provided that they receive proper process and safety training, e.g. light, indicator checking floor landing, etc. which do not require	■ Clause 8 of the Bill prohibits a person who is not a qualified person nor under direct and proper supervision by a qualified person, to personally carry out lift works. There are similar prohibition requirements under section 29A of LESO. For the safety of
	supervision of a qualified person at sight.	workers and lifts, the Administration considers that it is inappropriate to relax such requirements.

4. Duties of responsible persons and registered persons[clauses 12, 14, 17, 18, 48 and 49 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
A. Duties of responsible	persons [clauses 12 and 14 of the Bill]	
BSOMES	■ Under clause 12 of the Bill, responsible persons must ensure that the lift and all its associated equipments or machineries are kept in a proper state of repair and in safe working order. In reality, what the responsible person may do to ensure the lift safety is to employ a registered and independent lift contractor.	responsible persons are required to perform appropriate management (e.g. checking whether the contractor timely arranges workers to carry out periodic maintenance etc.) and assistance (e.g. arranging
	■ Similarly, in the clause 14 of the Bill, the responsible persons must ensure that goods lift is not used for carrying any load that exceeds the rated load of the lift unless the	ensure that the lift and all its associated equipments or machineries are kept in a proper state of repair and in safe working

4. Duties of responsible persons and registered persons[clauses 12, 14, 17, 18, 48 and 49 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
	persons had taken all reasonable steps to prevent the use. It may be unfair to the responsible person liable for the misuse of lift by operator or transportation workers. It is necessary to clearly and precisely explain what actions the responsible persons should do or should not do, and what reasonable steps should be conducted by the responsible persons so as to comply	■ The Administration considers that the
	with the statutory requirement.	■ DEMS will issue Responsible Persons' Guidebook to give the responsible persons and the public a better understanding of the statutory requirements and to raise their awareness on lift and escalator safety. Idequate safety precautions [clauses 17, 18, 48]
and 49 of the Bill] LECA	■ Registered engineers and registered	■ To ensure lift and escalator safety, the Bill
HKFEMCL Cheerwell (RC) IAEE Chevalier (RC) Elevator Parts (RC) Mitsubishi (RC) Ryoden (RC) Fujitec (RC) ThyssenKrupp (RC)	workers have the general duty of care for their own safety at work as well as for other persons at the workplace which governed by the Section 6B of the Factories and Industrial Undertakings Ordinance (Cap. 59) (FIUO). Regulatory duplication may only cause complicated administration procedures, and not beneficial to any party.	provides for regulatory control on the methods and measures prescribed for trade practitioners engaged in lift and escalator works. As such, one of the requirements for contractors, engineers and workers under clauses 16 to 18 and clauses 47 to 49 of the Bill is to ensure they take adequate safety precautions to prevent injury to any person or damage to any property in the course of work.

4. Duties of responsible persons and registered persons[clauses 12, 14, 17, 18, 48 and 49 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
		■FIUO on the other hand, has general provisions on liability, and has no requirement on the protection of property against damages. Hence, they are not of the same purpose as those under the Bill.
		■ DEMS will issue codes of practice with practical guidelines for satisfying adequate safety precautions when carrying out different types of lift and escalator works. Reference will also be made to the codes of practice and guidelines issued by other organisations and departments (such as the Labour Department) in the formulation of guidelines. Incompatibility or duplication with other legislation will be avoided
HKGULEE	■ It is unreasonable for registered lift engineers and registered lift workers to be held responsible for the prevention of damage to any property. Since the lifts are owned by the owners, if there are any valuable and easily damaged items in the lifts, the responsible person should have them removed before the lift examination. Any damage to property during lift inspection should not be the responsibility of registered lift engineers or registered	The with other legislation will be avoided. ■ Clauses 17, 18, 48 and 49 of the Bill requires trade practitioners to take adequate safety precautions in carrying out lift and escalator works to prevent damage to any property. Since registered engineers and registered workers are the parties carrying out the works, the Administration considers that it is appropriate to require them to take adequate measures to ensure safety. The safety precautions that can be taken include requiring the responsible persons to remove,

4. Duties of responsible	4. Duties of responsible persons and registered persons[clauses 12, 14, 17, 18, 48 and 49 of the Bill]		
Deputations/individuals	Summary of views	Administration's response	
	lift workers. Implementation of this	before the lift examination, valuable and	
	legislation may give rise to a lot of legal	easily damaged items that may be affected.	
	actions.		
		■ DEMS will issue codes of practice with	
		practical guidelines for satisfying adequate	
		safety precautions when carrying out	
		different types of lift works.	
	■ Having taken reasonable and practicable	■ To protect practitioners from contravening	
	measures, registered lift engineers and	the above requirement for unavoidable	
	registered lift workers should not be	reasons or for non-human factors,	
	blamed if there is injury to any person.	appropriate provisions have been	
	It is because during lift works, many	* ' '	
	unexpected things could happen, such as	the Bill. The Administration would take into	
	the removal of railing by passengers.	account the evidence available with	
		reference to the relevant legal provisions	
		before taking prosecution actions against	
		any person.	

5. Examination of lift and escalator [clauses 24, 25, 54 and 55 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
A. The scope of examina	ation upon major alteration [clause 25 and 5:	5 of the Bill]
LECA	■ Referring to clauses 25(1) and 55(1) of	■ Clauses 25(1) and 55(1) of the Bill specify
HKFEMCL	the Bill, please confirm that only the	that upon major alteration, the registered
Mitsubishi (RC)	affected part of the lift should be examined	
Ryoden (RC)	after major alteration.	associated equipment or machinery are
Fujitec (RC)		thoroughly examined, <u>in so far as is</u>
ThyssenKrupp (RC)		necessary to determine whether the affected
Cheerwell (RC)		part is in safe working order. The
IAEE		requirement is in line with the one under
Chevalier (RC)		section 13(1) of LESO.
Elevator Parts (RC)		
B. Requiring registered	engineers to notify responsible persons an	d to report to DEMS within 24 hours after
examination under ce	rtain circumstances [clauses 24, 25, 54 and 5	55 of the Bill]
LECA	■ For clauses 24 and 54 of the Bill, within	■ According to clauses 24 and 54 of the Bill,
HKFEMCL	24 hours to complete all administration	
Mitsubishi (RC)	procedures for not issuing the certificate is	the opinion that the lift or escalator (as the
Ryoden (RC)	not sufficient.	case may be) is not in safe working order,
Fujitec (RC)		the engineer must within 24 hours after the
ThyssenKrupp (RC)		examination is completed notify the
Schindler (RC)		responsible person and make a report to
Otis (RC)		DEMS.
		The notification and reporting requirements
		are necessary for lift and escalator safety.
		The responsible person, upon receipt of the
		concerned notification, must take necessary

5. Examination of lift a	5. Examination of lift and escalator [clauses 24, 25, 54 and 55 of the Bill]		
Deputations/individuals	Summary of views	Administration's response	
		action to ensure that the lift or escalator is kept in safe working order in accordance with clause 12 or 44 of the Bill respectively. On the other hand, DEMS, upon receipt of the concerned report, shall consider taking appropriate actions, including the issuance of prohibition order in accordance with clause 30 or 60 of the Bill.	
		■ In view of the importance of lift and escalator safety, we consider that the proposed time frame for the registered engineer to submit the required report and notification is reasonably practicable, especially when comparing with the similar requirements under section 26(2)(a) of LESO.	
		■ DEMS will issue codes of practice and Responsible Persons' Guidebook with a concise notification form, and with recommendation for establishing emergency contact between registered engineers and responsible persons.	
HKGULEE Schindler (RC)	■ If anything unsatisfactory is found by the registered lift engineer during annual examination, the responsible person and EMSD must be notified within 24 hours	■ Under clause 24 of the Bill, if on annual examination, the registered lift engineer is	

5. Examination of lift a	5. Examination of lift and escalator [clauses 24, 25, 54 and 55 of the Bill]		
Deputations/individuals	Summary of views	Administration's response	
	after the examination. It is known within the trade that usually there will be follow-up actions after the annual examination. With the implementation of this arrangement, the registered lift engineer will stop the lift immediately on finding anything unsatisfactory so as to avoid taking greater responsibility and this will cause inconvenience to the public. LESO is to allow a grace period of 14 days for "finishing the job" if there is no immediate danger.		
HKGULEE	■ If anything unsatisfactory is found by the registered lift engineer during examination after major alteration, the responsible person and EMSD must be notified within 24 hours after the examination. There is no such requirement in LESO. After the examination by a registered lift engineer following alteration, the lift service will be suspended, no matter whether the result is satisfactory or not, and if there is anything left to be done, it will be followed up by the technicians. HKGULEE cannot understand why the responsible person and EMSD have to be notified.	examination after major alteration, the registered lift engineer is of the opinion that the affect part is <u>not in safe working order</u> , only then will the engineer be required to notify the responsible person and make a report to DEMS within 24 hours after the examination.	

5. Examination of lift a	5. Examination of lift and escalator [clauses 24, 25, 54 and 55 of the Bill]		
Deputations/individuals	Summary of views	Administration's response	
		On the other hand, DEMS, upon receipt of the concerned report, shall consider taking appropriate actions, including the investigation of whether the incident involves the use of substandard components and whether the problem will affect other lifts.	
LECA HKFEMCL Fujitec (RC) ThyssenKrupp (RC)	■ For clauses 25 and 55 of the Bill, the lift has already been suspended for major alteration works. Please clarify what registered engineers should do if a notification concerning the affected part not in safe working has been served to EMSD.	registered lift engineer on examination of a lift upon major alteration is of the opinion that the affected parts are not in safe working order, the engineer shall according	

6. Powers of DEMS [clauses 26, 28, 35, 36, 56, 58, 65 and 66 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
НКАРМС	■ In specifying time limit in the order for repair/improvement, DEMS should consider the requirements under relevant legislations and codes of practices, such as the Codes of Practice under the Building Management Ordinance Cap 344 whereby work with value >\$200,000 shall be put up for tender, and work with value >20% of annual budget shall be resolved in owners meeting, while 14 days advance notice is also required for holding an owners' meeting.	the HKAPMC on the issue of removal order (under clauses 35 and 65 of the Bill) and improvement orders (under clauses 36 and 66 of the Bill).
Cheerwell (RC) IAEE Chevalier (RC) Elevator Parts (RC)	 According to the requirement stipulated in the new bill, no time frame restriction for the Director to refuse an application or refuse to issue a permit. It may lead to the circumstance of lacking the performance indication. We are also concerned that in certain cases there are no definite timelines given to DEMS to act on an application which might cause considerable downtime or equipment. 	empower DEMS to issue or refuse to issue use permit and resumption permit.

6. Powers of DEMS [clauses 26, 28, 35, 36, 56, 58, 65 and 66 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
	balances.	(including issuing improvement order) may appeal to an appeal board.
		■ To ensure the views of stakeholders are properly addressed in the Bill, the Administration will maintain close communication with stakeholders through a "Task Force for Legislative Amendments to the Lifts and Escalators (Safety) Ordinance" (Task Force) and other channels to discuss the various issues relating to the Bill.
	■ The Improvement Orders issued pursuant to clause 36(1) of the Bill should be addressed to responsible people (as defined in the Bill) only. To order a registered contractor to work on the Owner's property is unreasonable and it may cause much disputes between the registered contractor and the Owner.	36(1) of the Bill has happened, and the situation is in relation to the work of a registered contractor (e.g. the works are being carried out in a manner that will cause

7. Miscellaneous matters on lift and escalator safety [clauses 38, 40, 68 and 70 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
A. Subcontracting restri	icted [clauses 38 and 68 of the Bill]	
LECA	■ For the works of lift/escalator decoration,	■ Clauses 15 and 46 of the Bill require certain
HKFEMCL	we are not competent in this area and will	lift or escalator works be undertaken by
Mitsubishi (RC)	employ a third party to undertake this	
Ryoden (RC)	work. In addition, most of the registered	1
Fujitec (RC)	contractors subcontract the works of lift	to ensure, among other things, that the works
ThyssenKrupp (RC)	total replacement to other parties. It is	are carried out properly and safely; adequate
Schindler (RC)	not practicable to have a limitation for	safety precautions are taken; sufficient
	RCs on subcontracting of lift/escalator	workforce are deployed; and adequate
	total replacement works.	equipment and tools are provided for
		carrying out the works. If the concerned
	On the other hand, even though clause 38	decoration works do not involve "lift works"
	and 68 of the Bill allow the	or "escalator works", there will have no need
	subcontracting of installation/ demolition	to have them undertaken by registered
	works of lift/escalator to not a registered	contractors.
	lift contractor, but clause 2 of the Bill	■ In view of the importance of lift and
	implied any registered/competent lift/escalator worker not employed by a	*
	registered lift/escalator contractor is not	escalator safety, the Bill proposes to impose restriction on subcontracting. Clauses 38
	classified as a qualified person. And	and 68 of the Bill have specified the kinds
	further according to clauses 8 and 42 of	of works subject to the restriction and other
	the Bill that subcontractor's	relevant requirements. In addition, according
	registered/competent lift/escalator worker	to clauses 8 and 42 of the Bill, registered
	could not personally carry out any	contractors shall arrange registered workers
	lift/escalator works unless under direct	who are having the capacity of the registered
	supervision of a qualified person. That	contractors or their employees to personally
	means redundancy and wastage of	carry out or direct and properly supervise

7. Miscellaneous matters on lift and escalator safety [clauses 38, 40, 68 and 70 of the Bill]		
Deputations/individuals		Administration's response
	workforce for registered/competent lift/escalator workers.	 are similar requirements under sections 29A and 29B of LESO. DEMS will issue codes of practice with practical guidelines on the application of
Otis (RC) R. Incidents to be repor	■ Otis (RC) suggest one more item to be added into clauses 38 and 68 of the Bill as below: "This section does not apply to any associated building works, decoration works and transportation works." ted to DEMS [Clauses 40 and 70 of the Bill]	concerned provisions have adequately reflected the scope of the proposed
HKIS	■ HKIS and HKAPMC consider that the	■ According to clauses 40 and 70 of the Bill, a
HKAPMC	requirement of reporting by the responsible person within 24 hours after an incident should be relaxed. HKAPMC suggests that the incidents may be reported either by the responsible	responsible person is required to notify DEMS and the specified registered contractor within 24 hours after any of the incidents listed in schedule 7 of the Bill comes to the person's knowledge.
	person or lift or escalator contractors with 48 hours.	

7. Miscellaneous matter	7. Miscellaneous matters on lift and escalator safety [clauses 38, 40, 68 and 70 of the Bill]	
Deputations/individuals	Summary of views	Administration's response
		■ There are similar requirements under section 27A of LESO which require the owner to immediately notify DEMS and the concerned contractor.
		■ DEMS will issue Responsible Persons' Guidebook with concise incident notification form for reference of responsible persons.

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
A. Renewal of registrati	on of contractors [clauses 75 and 87 of the B	Bill]
Mr YEUNG Wai-sing,	■ Mr Yeung thinks that it should be linked to	■ Clause 75 and clause 87 and schedule 8 of
Eastern DC Member	the performance rating of existing maintenance contractors. Contractors will increase fees as cost will increase following the rise in administrative cost. But the customers will not mind paying more if they feel more secure. The renewal has to be linked to maintenance performance rating and only this can press the contractors to maintain their quality service. Otherwise, if contractors with poor performance are allowed to renew their registration, how can public safety be protected?	the Bill specify a five-year registration renewal system for lift and escalator contractors as a mechanism to check the registered contractors' continual compliance with the facilities, resources and manpower etc required. The "Registered Lift Contractors' Performance Rating" system and "Registered Escalator Contractors' Performance Rating" system are classified as administrative measures taken by EMSD. Their designs are to make use of a simple and easily understood rating to reflect the overall performance of the contractors in the past year in maintenance and safety aspects. Owners or their property management agents may make reference to the performance ratings regularly published by EMSD in choosing the appropriate contractors to maintain and repair the lifts and escalators installed in their premises.
HKIE	■ If registered professional engineers were to take up the role of registered lift	■ According to clauses 16 and 47 of the Bill,

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
	engineers, there would be a need to	ensure the provision of adequate workforce
	strengthen the personnel requirements of	to carry out the works that they are
	the registered contractors to ensure that	undertaken in a proper and safe manner.
	lift maintenance and inspections of the	
	Registered Contractors be supported by	
	qualified staff.	
B. Registration and reno	ewal of registration of engineers [clauses 78	to 81 and 90 to 93 of the Bill]
Mr YEUNG Wai-sing,	■ It is a pragmatic requirement that only	■ The Administration noted the support of Mr
Eastern DC Member	those applicants who are registered	YEUNG to the Bill on uplifting qualification
	professional engineers of relevant	requirements for registration as lift and
	disciplines and have at least two years'	escalator engineer.
	experience will be considered for	
	registration as lift engineers and escalator	
	engineers.	
Holake (RC)	■ Upgrading of the qualification	■ To bring the qualification of engineering
	requirements to registered professional	professionals responsible for lift and
	engineer for registration as engineers is	escalator works up to a level compatible
	unrealistic, the requirements of relevant	with other legislation for building safety
	engineering degree, five years' experience,	control 1, the Bill requires them to be

For example, under the Builders' Lifts and Tower Working Platforms (Safety) Ordinance (Cap. 470), the registered examiner for carrying out periodic examination of builders' lifts or tower platforms needs to be a registered professional engineer of relevant discipline registered under the Engineers Registration Ordinance (Cap. 409).

8. Registration of certa	in persons involved in lift and escalators wo	rks [clauses 74 to 97 of the Bill]
Deputations/individuals	Summary of views	Administration's response
	and stringent assessment should be sufficient.	registered professional engineers of stipulated disciplines ² and with at least two years' relevant working experience before they may be considered for registration as lift or escalator engineers.
		■ Please refer to Appendix E of the Administration's paper LegCo Paper CB(1)2528/10-11(01) for the transitional arrangements set up under the Bill for practicing lift and escalator engineers.
CIC	education for training institutions, and lift practitioners to follow, where appropriate, so as to ensure protostate with the ensure practitioners keep abreast	registered workers applying for renewal should have completed relevant training of not less than 90 hours within the 5 years prior to their submission of application for renewal. The Administration is deliberating the content and form of training etc. with the Task Force. The Administration is to formulate guidelines on the content and form

Under the Engineers' Registration Ordinance (Cap. 409), a person may be registered as a registered professional engineer if, among other requirements, he is a member of the HKIE or equivalent, has had at least one year of relevant professional experience in Hong Kong before the date of his application for registration, and is ordinarily resident in Hong Kong.

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals		Administration's response
	of the latest technological development and safety measures.	having taken into consideration the views of the Task Force.
HKIE	■ It is proposed that in addition to the existing registered professional engineer disciplines (Mechanical, Electrical, Electronics and Building, Services), Building, Marine & Naval Architecture and Materials engineering disciplines should also be included as the relevant disciplines for registered lift engineers.	disciplines for applying registration as registered lift and escalator engineer.
HKGULEE	The registration of lift or escalator engineers under LESO is a life-long registration. Although the new legislation will ensure smooth transition for registered engineers, they will under the new legislation, shoulder huge responsibilities and aged registered engineers may wish to quit out of great pressure. Therefore, the Administration should offer them other alternatives, such as compensation for returning their licence.	 ■ The main duty of registered engineers under the proposed legislation is similar to that under LESO, viz. to examine and certify whether a lift or escalator is in safe working order. The Administration is of the view that the proposed legislation will not seriously affect the current works carried out by registered engineers. To ensure that there are sufficient registered engineers in the trade, the Administration considers that it is inappropriate to establish a practice of providing compensation for returning one's registration. ■ Any registered person may under Clause 101 of the Bill submit written request to cancel the person's registration, but it will not involve any monetary compensation.

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
C. Registration and ren	ewal of registration of workers [clauses 82 to	o 85 and 94 to 97 of the Bill]
Mr YEUNG Wai-sing,	■ The new legislation will introduce a	■ The Administration noted the support of Mr
Eastern DC Member	registration system for lift and escalator	YEUNG to the introduction of a registration
	workers, so as to allow competent workers	system for lift and escalator workers. The
	to apply for registration as registered lift	concerned requirements are laid down in
	and escalator workers on the basis of their	clauses 82 to 85 and clause 94 to 97 and
	qualification, training and experience.	schedule 10 of the Bill.
	This is a reasonable arrangement.	
CIC	■ CIC agrees with the importance of keeping	
	lift workers up to date with safety	
	requirements and technology. In	should have completed relevant training of
	promoting continuing education, the	not less than 30 hours within the 5 years
	Government may consider taking up with	prior to their submission of application for
	the trade the formulation of more specific	renewal. The Administration is deliberating
	requirements and proposals for continuing	the content and form of training etc. with the
	education for training institutions, and lift	Task Force. The Administration will
	practitioners to follow, where appropriate,	formulate guidelines on the content and form
	so as to ensure practitioners keep abreast	of training acceptable to the industry after
	of the latest technological development	having taken into consideration the views of
	and safety measures.	the Task Force.
Schindler (RC)	■ The rigid qualification requirements for	
	competent workers may serve as a barrier	paramount importance in ensuring lift and
	for good and qualified lift and escalator	escalator safety. The Administration thus
	workers to work for registered contractors.	states the registration requirements for
	The specific details and mechanics of	workers in clause 82, clause 94 and
	achieving those objectives should be	schedule 10 of the Bill.
	embodied in the relevant Codes of Practice	- A d
	(COP) or guidelines.	■ According to clause 82, clause 94 and

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
	■ Referring to Part 1 of Schedule 10 of the Bill, there list 6 different requirements for a person to be qualified as registered lift worker. But it will limit the possible option of allowing candidates with higher qualification, e.g. degree holder or equivalent, with proper & sufficient training as well as practical experience in the 2-3 years' service in the company, which enable them to carry out different	schedule 10 of the Bill, candidates with higher qualification are allowed to apply for registration as registered lift workers.
HKGULEE	To encourage low-wage lift and escalator workers and competent workers who have changed to other engineering disciplines earlier to apply for registration, HKGULEE hopes that a one-off subsidy can be granted so that more competent workers will apply. (Similar to the remission of registration fee for the "Registered Minor Works Contractors (Individual)", the provision of such subsidy mainly aims at attracting more people to register.).	fees should in general be set at levels sufficient to recover the full cost of providing the items or services. Besides, we will consider carefully the various factors, including impartiality and the needs and views of the practitioners. The Administration will introduce to the LegCo regulations on relevant fees

8. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
		Bills Committee on Lifts and Escalators Bill
		on 17 June 2011.
FHKEMITU	■ For ensuring the safe operation and maintenance of lifts and escalators, the Government shall bear the major portion of fees. Hence, the Government shall not take "user pays" as an excuse for setting the registration fee level to recover the administrative cost. Besides, the administrative cost for processing renewal shall be significantly lower than that for processing new registration application, and thus the registration renewal fee level shall be lower than that of new registration application.	 ■ It is the Government's established policy that fees should in general be set at levels sufficient to recover the full cost of providing the items or services. Besides, we will consider carefully the various factors, including impartiality and the needs and views of the practitioners. ■ The Administration will introduce to the LegCo regulations on relevant fees following the enactment of the Bill. For the preliminary estimate of fees for applications for registration and renewal of registration as lift and escalator workers and engineers, please see Appendix D of LegCo paper CB(1)2528/10-11(01) submitted to the Bills Committee on Lifts and Escalators Bill on 17 June 2011. Under the preliminary estimate, the fees for applications for registration are lower than that for renewal of registration.
	■ All existing competent workers shall be registered under the new legislation without any additional requirements on qualification or training.	■ To ensure the availability of adequate manpower readily available for services and to avoid affecting the livelihood of existing lift and escalator workers, the

8. Registration of certain	3. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]	
Deputations/individuals	Summary of views	Administration's response
	The existing "competent worker" arrangement shall be temporarily retained so as to allow adequate time for the practitioners to adapt the proposed worker registration system.	Administration has provided some transitional arrangements in the Bill. The transitional arrangements were set out at Appendix F to LegCo Paper CB(1)2528/10-11(01) submitted to Bills Committee on 17 June 2011.
	■ Regarding the trade test, which party will provide such test? How to ensure the fee level be retained at an affordable level to workers? Is 8 year relevant working experience be the requisite requirement for attending the test?	The Bill provides a route for those who, without the requisite academic qualification, but have not less than 8 years' relevant work experience and have passed the approved trade test to apply for registration as registered lift workers or registered escalator workers. The Vocational Training Council agreed to organise the trade tests for lift and escalator workers, and invited the major industry stakeholders, including EMSD, trade associations and labour union to launch the preparatory work.
	■ Some workers may possess "practical experience and relevant training" but fail to acquire verification from their employers. Thus, the Administration shall consider accepting such verification by other parties so as to facilitate the concerned workers applying for registration and renew their registration under the proposed legislation.	■ The Administration noted the view of HKEMITU.

8. Registration of certain	. Registration of certain persons involved in lift and escalators works [clauses 74 to 97 of the Bill]	
Deputations/individuals	Summary of views	Administration's response
	■ As for the training required for registration renewal, it shall not be limited to a single course or practical training.	■ The Administration is deliberating the content and form of training etc. with the Task Force. The proposed acceptable content of training under consideration includes relevant technology and technical knowhow; general occupational health, and knowledge
		on safety and environmental protection and work management etc. The proposed form of training includes training arranged by registered lift and escalator contractors, courses organised by the Vocational Training Council, worker unions, professional bodies and government departments (e.g. the Labour Department or EMSD etc.), career
		talks and seminars etc. The Administration will formulate guidelines on the acceptable content and form of training after having taken into consideration the views of the Task Force.

9. Composition of discip	9. Composition of disciplinary board and appeal board [clauses 110 and 118 of the Bill]		
Deputations/individuals	Summary of views	Administration's response	
HKIS	■ The decision of taking disciplinary actions should be carefully and thoroughly considered in parallel. In order to derive a more objective view in considering disciplinary and appeal cases, it is suggested that more layman members representing the view of the general public should be appointed to the disciplinary and appeal board or panel instead of an overwhelming representation from the engineering profession.	■ Schedule 12 of the Bill sets out the composition of disciplinary board panel and disciplinary board respectively. Comparing with LESO, there are three new categories of persons in the disciplinary board who are (i) representing the interests of registered workers, (ii) representing the interests of persons carrying out the business of property	
REECAL	■ One more lift and escalator engineer should be drawn among nominations from HKIE under LESO Section 8A as a member of the disciplinary board for engineers.	■ The Administration noted the view of REECAL on the composition of disciplinary board. ■ Schedule 12 of the Bill sets out the composition of disciplinary board panel and disciplinary board respectively. Comparing with LESO, there are three new categories of persons in the disciplinary board who are (i)	

9. Composition of discip	. Composition of disciplinary board and appeal board [clauses 110 and 118 of the Bill]	
Deputations/individuals	Summary of views	Administration's response
		representing the interests of registered workers, (ii) representing the interests of persons carrying out the business of property management and (iii) lift and escalator owners. The proposed composition with representatives from different categories is to provide balanced views for dealing with disciplinary cases.
		■ The proposed composition with representatives from different categories is to provide balanced views for dealing with disciplinary cases. The Administration considers that the disciplinary board panel has been made up of adequate numbers of engineer representatives.

10. Administration and enforcement [clauses 126, 129 to 139 and 145 to 147 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
A. Confidentiality [claus	se 126]	
Cheerwell (RC)	■ Clause 126(3)(a) of the Bill regarding	
IAEE	confidentiality is too broad that it might	
Chevalier (RC)	defeat subsection (2) which protects	information while performing any function
Elevator Parts (RC)	confidentiality of the information.	under the proposed legislation. The
		concerned provision is to ensure that
		enforcement officers can properly perform
		their functions. The provision is modeled on
		the relevant provision in section 41(2)(a) of
		the Food Safety Ordinance (Cap. 612).
-	ses [clauses 129 to 139 of the Bill]	
Cheerwell (RC)	■ Regarding clauses $129(2)(e)$, $131(2)(f)$,	
IAEE	132(2(e)) & $135(4)(g)$ of the Bill, warrant	
Chevalier (RC)	is usually required for enforcement	
Elevator Parts (RC)	officers to seize, remove and detain	
	anything from the premises. Legal	1 '
	advice confirming the authority of the	^
	EMSD to seize, remove or detain things	1
	without warrant should be obtained.	actions.
		■ Clauses 129 to 131 of the Bill provide for
		the requirements for entering non-residential
		premises for the various kinds of purposes
		including conducting investigation of lift or
		escalator incidents, executing the order of
		disciplinary board or appeal board, routine
		inspection, examination of documents,

10. Administration and enforcement [clauses 126, 129 to 139 and 145 to 147 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
		seizing, removing or detaining anything etc. Clauses 132 to 135 of the Bill set out the need for DEMS and the enforcement officers to obtain a warrant before entering certain premises (including residential buildings) to perform the relevant enforcement action. Comparing with section 37 of LESO, the concerned requirements are more stringent.
		■ On the other hand, the Bill also provides for additional protection. Clause 138 of the Bill provides for the requirements to return and forfeit the seized things, while clause 139 of the Bill provides for compensation of seizure etc. In the drafting process, the Department of Justice provided legal advice on the provisions of the Bill.
-	auses 145 to 147 of the Bill]	
HKIS	■ It is suggested that the relevant codes of practice of BD and EMSD on lifts and escalators be aligned so that they synchronize with each other. It is also suggested that flexibility should be allowed in A&A works in existing buildings or old buildings. Technology advancement may provide alternative solutions and performance-based requirements are more preferable than	■ The Administration noted and would seriously consider HKIS's view on codes of practice.

10. Administration and enforcement [clauses 126, 129 to 139 and 145 to 147 of the Bill]		
Deputations/individuals	Summary of views	Administration's response
	prescriptive-based requirements. More technical guidelines and circular letters in response to the latest technology advancement in lifts and escalators can also help the stakeholders to understand the most up-to-date requirements.	
Cheerwell (RC) IAEE Chevalier (RC) Elevator Parts (RC) Holake (RC)	■ In LESO, codes of practice is the baseline that adopted by the trade. However, in the new bill, codes of practice is served as a practical guidance and being not compulsory. Please explain or clarify if there is any positive impact and/or negative impact for such significant change.	in using codes of practice to give guidance. Any contractor can adopt work practices differing from the guidance given in the codes of practice so long as it can demonstrate that the work practices are of
		approve codes or part of a code written or to be issued by another body to give guidance. Therefore, new codes can be introduced more readily.

sessions should be conducted to disseminate the good practices of lift management to the building owners as well as the responsible persons and their operation and maintenance teams. Subject to the passage of the Bill in LegCo, the Administration plan to launce series of publicity and promotional activito give the public and the response persons a better understanding of requirements under the proposed legislar and to raise their awareness on lift escalator safety. Schindler (RC) The public at large (including the building owners, lifts owners, property managers, etc. with whom the registered lift contractors have contracts with) have to be made aware of their duties and responsibilities under the new law. B Communication with stakeholders HKIS As the new legislation generates a significant impact on the building owners and property managers, it is suggested that	11. General matters		
BSOMES ■ In addition to the lift owner manual, regular training courses or briefing sessions should be conducted to disseminate the good practices of lift management to the building owners as well as the responsible persons and their operation and maintenance teams. Schindler (RC) ■ The public at large (including the building owners, lifts owners, property managers, etc. with whom the registered lift contractors have contracts with) have to be made aware of their duties and responsibilities under the new law. B Communication with stakeholders HKIS ■ As the new legislation generates a significant impact on the building owners and property managers, it is suggested that	Deputations/individuals	Summary of views	Administration's response
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HKIS As the new legislation generates a significant impact on the building owners and property managers, it is suggested that		owners, lifts owners, property managers, etc. with whom the registered lift contractors have contracts with) have to be made aware of their duties and responsibilities under the new law.	Schindler (RC) on publicity and public
significant impact on the building owners and property managers, it is suggested that			
the opinions from these personnel should also be fully considered during the drafting of the codes of practice and Administration will maintain classical description.	HKIS	significant impact on the building owners and property managers, it is suggested that the opinions from these personnel should	on communication with stakeholders. To ensure the views of stakeholders are properly addressed in the Bill, the

11. General matters		
Deputations/individuals	Summary of views	Administration's response
	Guidelines in the future.	communication with stakeholders through the Task Force and other channels to discuss the various issues relating to the Bill.
НКАРМС	■ Apart from other parties if any, HKAPMC suggest that EMSD should consult HKAPMC in producing the codes of practice.	HKAPMC on communication with
BSOMES	Communication channel should be established to heed views from the related industries, including the representatives from the building operation and maintenance practitioners, so as to minimize the unnecessary suspension of lift operation.	■ The Administration noted the view of BSOMES on communication with stakeholders.
Cheerwell (RC)	■ In respect of the legislative amendment, the Administration is requested to listen to the views of the trade for the better development of the trade and to attract more young people to join the trade.	■ The Administration noted the view of Cheerwell (RC) on communication with stakeholders.
Schindler (RC)	■ Schindler (RC) would strongly recommend that the codes of practice or any subsequent amendment / revision thereof also undergo a proper consultation process involving all the relevant parties.	■ The Administration noted the view of Schindler (RC) on communication with stakeholders.
C. Administrative proce		
HKIS	■ It is suggested to introduce performance pledges for various approvals, inspections and certifications responsible by EMSD.	■ The Administration noted the view of the HKIS. Appropriate performance pledges will be introduced.

11. General matters		
Deputations/individuals	Summary of views	Administration's response
	■ If EMSD has difficulty in completing the certification processes within performance pledges, it is recommended that EMSD can consider outsourcing the certification services independent consultants or checking bodies in order to shorten the process time.	■ The Administration noted the view of the HKIS and is confident in meeting the pledged performance.
BSOMES	■ It is proposed that under no compromise of lift safety, the administrative procedures on the implementation of new Ordinance should be kept efficient and made continuous improvement.	■ The Administration noted the view of BSOMES on administrative procedures. ■ EMSD will regularly review its administrative procedures to process applications with a view to improving efficiency so as to avoid unnecessary suspension of lift and escalator services.
Eugene (RC)	■ The Administration is requested to streamline certification processes in order to shorten the duration of suspension as far as possible.	■ The Administration noted the view of Eugene (RC) on administrative procedures and would make an effort to shorten the suspension time.
D. Manpower resources		
CIC	■ In preparing for the implementation of the proposed regulatory system, the Government should adequately consult the trade and the training institutions concerned to ensure there is enough supply of competent engineers and workers in the market within a certain timeframe so as to	 The Administration is of the view that there should have adequate number of lift and escalator engineers and competent workers for the industry in the short-term. There are trade practitioners relaying to the Administration the potential manpower

11. General matters		
Deputations/individuals	Summary of views	Administration's response
	tie in with the measures proposed by the Bill and to avoid any shortage of manpower.	resource problems in the trade which include lack of newcomers joining the industry and the ageing of the existing workforce. For ensuring sufficient manpower could be deployed in the trade to provide services and to avoid jeopardizing the livelihood of lift and escalator practitioners, transitional arrangements have been set up under the Bill. Furthermore, the Administration will continue to monitor the manpower situation of the industry with other major stakeholders and will enhance manpower training and publicity etc. where necessary to address the manpower need.
FHKEMITU	■ For healthy development of the industry, the Administration should open up trade-specific training to be organized by public institution or other appropriate organizations.	 The Administration noted the view of FHKEMITU on manpower resources. For ensuring sufficient manpower could be deployed in the trade to provide services, the Administration will continue to monitor the manpower situation of the industry with other major stakeholders and will enhance manpower training and publicity etc. where necessary to address the manpower need.

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³ Transitional arrangements were set out at Appendices E and F to LegCo Paper CB(1)2528/10-11(01) submitted to Bills Committee on Lifts and Escalators Bill on 17 June 2011.

11. General matters		
Deputations/individuals	Summary of views	Administration's response
Chun Ming (RC)	■ Concerning workforce in the trade, the Administration is recommended to consider establishing specific training centre to train up mechanics to work in the trade.	