### THE LAW REFORM COMMISSION OF HONG KONG

## **R**EPORT

# THE COMMON LAW PRESUMPTION THAT A BOY UNDER 14 IS INCAPABLE OF SEXUAL INTERCOURSE

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December 2010

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# THE LAW REFORM COMMISSION OF HONG KONG

### **REPORT**

# THE COMMON LAW PRESUMPTION THAT A BOY UNDER 14 IS INCAPABLE OF SEXUAL INTERCOURSE

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# The Common Law Presumption that a Boy under 14 is Incapable of Sexual Intercourse

### Introduction

1. This report considers the existing common law presumption in Hong Kong that a boy under 14 years of age is incapable of sexual intercourse. The report is part of the Law Reform Commission's overall review of the law governing sexual offences.

### Terms of reference

2. In April 2006, the Secretary for Justice and the Chief Justice asked the Law Reform Commission to review the existing law on sexual and related offences in Hong Kong. In October 2006 the terms of reference were expanded to read as follows:

"To review the common and statute law governing sexual and related offences under Part XII of the Crimes Ordinance (Cap 200) and the common and statute law governing incest under Part VI of the Ordinance, including the sentences applicable to those offences, to consider whether a scheme for the registration of offenders convicted of such offences should be established, and to recommend such changes in the law as may be appropriate."

#### The sub-committee and its work to date

3. The Review of Sexual Offences Sub-committee was appointed in July 2006 to consider and advise on the present state of the law and to make proposals for reform. The sub-committee members are:

Mr Peter Duncan, SC Senior Counsel (Chairman)

Hon Mrs Justice Barnes Judge of the Court of First Instance

of the High Court

Mr Eric T M Cheung Assistant Professor

Department of Professional Legal

Education

University of Hong Kong

Dr Chu Yiu Kong Assistant Professor

[Until December 2007] Department of Sociology

University of Hong Kong

Mr Paul Harris, SC Senior Counsel

Professor Karen A Joe Laidler Head of Department of Sociology [From September 2008] University of Hong Kong

Mr Stephen K H Lee Senior Superintendent of Police

[From January 2008 until (Crime Support)

August 2010] Hong Kong Police Force

Mrs Apollonia Liu Principal Assistant Secretary

[Until June 2009] Security Bureau

Mr Ma Siu Yip Senior Superintendent of Police

[Until January 2008] (Crime Support)

Hong Kong Police Force

Mrs Anna Mak Chow Suk Har Assistant Director (Family & Child Welfare)

Social Welfare Department

Mr Alan Man Chi-hung Senior Superintendent of Police

[From September 2010] (Crime Support)

Hong Kong Police Force

Mrs Millie Ng Principal Assistant Secretary

[From June 2009] Security Bureau

Mr Andrew Powner Partner

Haldanes, Solicitors

Ms Lisa D'Almada Remedios Barrister

Dr Alain Sham Senior Assistant Director of Public

**Prosecutions** 

Department of Justice

Mr Thomas Leung Senior Government Counsel (Secretary) Law Reform Commission

4. The terms of reference cover a diverse range of sexual offences, many of which involve controversial issues requiring careful and judicious balancing of the interests at stake. It was apparent from the outset that completion of the entire review would take considerable time and it was therefore decided that the terms of reference should be dealt with in stages. Because of widespread public concern, the Commission considered first the question of establishing a system of sexual conviction records checks for

those engaged in child-related work. The Commission's recommendations in respect of that aspect of the review were published in February 2010 in a report entitled Sexual Offences Records Checks for Child-Related Work: Interim Proposals. The present report is the second in the series which will be produced to cover different aspects of the project's terms of reference.

### The existing common law presumption and its consequences

5. The presumption that a boy under 14 is incapable of sexual intercourse is longstanding and has its origins in Roman law, which applied 14 as the age of puberty where this was relevant in judicial proceedings. In the nineteenth century case of R v Waite, Lord Coleridge CJ said that the rule at common law clearly laid down that "a boy under fourteen is under a physical incapacity to commit the offence [of rape]."<sup>2</sup> He went on:

"That is a presumption juris et de jure, and judges have time after time refused to receive evidence to shew that a particular prisoner was in fact capable of committing the offence"3

- The presumption cannot be rebutted even where there is clear evidence that the boy was physically capable of sexual intercourse at the time of the alleged offence, and had in fact had unlawful sexual intercourse with a non-consenting victim. The result is that, regardless of the circumstances, a boy under 14 years of age cannot be convicted of rape, though he can be convicted of aiding and abetting another to commit rape, or of indecent assault.4
- 7. In Hong Kong, section 118(3) of the Crimes Ordinance (Cap 200) provides that a man commits rape if:
  - "*(*a) he has unlawful sexual intercourse with a woman who at the time of the intercourse does not consent to it: and
  - (b) at that time he knows that she does not consent to the intercourse or he is reckless as to whether she consents to it."

See the Namibia High Court case of The State v Bernard Guibeb and six others. Case No: CC 41/97 1998/08/19, at 2, at http://www.saflii.org/na/cases/NAHC/1998/8.html. See also British Medical Journal, Medico-Legal, 27 April 1963 at 1170-1171: "It seems to have its origin in English law in the ancient writings of Hale, who probably took it from the writings of Justinian. Before the time of Justinian there were two schools of thought among the jurists on this matter. The Proculians held that all boys became pubes at the fixed age of 14; the Sabinians said that it depended on the physical maturity of the individual. Justinian adopted the former rule, giving as his reason the indecency of the inspection of the body."

<sup>2</sup> [1892] 2 Q B 600, at 601. The case involved a boy aged 13 having carnal knowledge of a girl aged eight. The boy's conviction of the felony of carnal knowledge of a girl under 13 was quashed, but he was sentenced to two months' imprisonment for common assault. sentence of whipping was also cancelled. Blackstone's Commentaries on the Laws of England also wrote that "A male infant, under the age of fourteen years, is presumed by law incapable to commit a rape, and therefore it seems cannot be found guilty of it." (Loc cit., IV 212).

<sup>3</sup> [1892] 2 Q B 600, at 601.

See R v Angus (1907) 26 NZLR 948, at 949 and Archbold Hong Kong 2009, at §21-17.

8. Section 65E of the Criminal Procedure Ordinance (Cap 221) provides that:

"Where in any criminal proceedings it is necessary to prove sexual intercourse, buggery or bestiality, it shall not be necessary to prove the completion of the intercourse by the emission of seed, but intercourse shall be deemed complete upon proof of penetration only."

Even the slightest penetration will be sufficient to prove intercourse,<sup>5</sup> but that does not affect the operation of the common law presumption: the law regards a boy under 14 as incapable of sexual intercourse, regardless of the actual circumstances.

- 9. The common law presumption is a long established one and many of the leading cases date back to the 19th century. In *R v Brimilow*, 6 the accused was charged with rape of an 11-year-old girl. Notwithstanding the fact that intercourse was proved, the judge directed the jury to acquit the accused of rape, on the basis that the accused was under 14 years of age. The accused was instead convicted of assault.
- 10. The common law presumption that a boy under 14 is incapable of sexual intercourse cannot be rebutted, "even though it be proved that he has arrived at the full state of puberty". Indeed, evidence is inadmissible to show that a boy below 14 years of age can in fact perform the act of sexual intercourse. In *R v Philips*, Patteson J said:

"I think that the prisoner could not, in point of law, be guilty of the offence of assault with intent to commit a rape, if he was, at the time of the offence, under the age of fourteen. And I think also, that if he was under that age, no evidence is admissible to shew that, in point of fact; he could commit the offence of rape."

### The law in other jurisdictions

11. It appears that the common law presumption has been abolished in a number of other jurisdictions (if, indeed, it existed at all). Section 1 of the Sexual Offences Act 1993 abolished the presumption in England and Wales. That section provides:

"The presumption of criminal law that a boy under the age of fourteen is incapable of sexual intercourse (whether natural or unnatural) is hereby abolished."

The presumption has never applied in Scotland.

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<sup>&</sup>lt;sup>5</sup> Archbold Hong Kong 2009, at §21-18.

<sup>6 (1840) 2</sup> Mood. 122-123.

<sup>&</sup>lt;sup>7</sup> R v Jordan & Cowmeadow (1839) 9 C & P 118.

<sup>&</sup>lt;sup>8</sup> (1839) 8 C & P 736.

- 12. The presumption has also been done away with in New Zealand and a number of the Australian jurisdictions. In the Australian Capital Territory, New South Wales, South Australia and Victoria, the presumption was abolished completely by, respectively, section 68(1) of the Crimes Act 1900, section 61S(1) of the Crimes Act 1900, section 73(2) of the Criminal Law Consolidation Act 1935 and section 62(1) of the Crimes Act 1958. The effect is that in these jurisdictions there is no longer any presumption of law that a person is incapable of sexual intercourse because of his age.
- 13. In Tasmania, the presumption continues to apply but only in respect of a boy under the age of seven. Paragraph 18(3) of section 13 of the Criminal Code Act 1924 provides that "[a] male person under 7 years of age is conclusively presumed to be incapable of having sexual intercourse."
- 14. In Malaysia, section 113 of the Evidence Act 1950 provides that "[i]t shall be an irrebuttable presumption of law that a boy under the age of thirteen years is incapable of committing rape." In circumstances where Islamic Law applies, the applicable age limit might be different.
- 15. In South Africa, the presumption that a boy under the age of 14 years is incapable of sexual intercourse was abolished by the Law of Evidence and the Criminal Procedure Act Amendment Act 1987, which now provides that evidence of sexual intercourse by a boy under the age of 14 years may be adduced.<sup>9</sup>
- 16. Laws governing sexual offences in Canada are found in its Criminal Code which applies to all Canadian provinces and territories. Since 1892, Canada has had a codified criminal law and the original version was derived heavily from English law. The criminal laws applicable to heterosexual intercourse remained largely unchanged until a major review in the early 1980's. Earlier versions of Canada's Criminal Code contained the provision that "No male person shall be deemed to commit an offence [of rape] ... while he is under the age of fourteen years. "11 In 1987, this provision was repealed, in line with an earlier recommendation by the Law Reform Commission of Canada. 12
- 17. The present Canadian provisions governing sexual offences by under-age persons are more nuanced. Section 150 of the Criminal Code now makes it an offence to have sexual contact with a boy or girl under the age of 14. Sub-section (2) provides a "close in age exception" for cases in which the complainant is 12 or 13 years old. The accused can raise consent as a

Para 4.4.3, at Chapter 4, the South African Law Commission Issue Paper 10 (Project 108), at http://www.saflii.org/za/other/zalc/ip/10/10-CHAPTER-4.html.

Janine Benedet, "The Age of Innocence: A Cautious Defence of Raising the Age of Consent in Canadian Sexual Assault Law", at pages 3-4. In 1983, for example, the offences of rape, indecent assault and gross indecency were abolished and replaced by the gender neutral offence of sexual assault.

This provision was found in section 139 of the Criminal Code 1953-54, section 147 of the Criminal Code 1970, and section 154 of the Criminal Code 1985.

Law Reform Commission of Canada, "Sexual Offences" 1978 Working Paper 22, at page 35.

defence if the accused was less than two years older than the complainant, under the age of 16, and was not in a position of trust or authority towards the complainant. The minimum age of criminal responsibility would also operate to protect a young offender under the age of 12.

18. In Singapore, section 82 of the Singapore Penal Code states that nothing is an offence which is done by a child under seven years of age. Section 83 of the Penal Code further stipulates that in the case of an offence committed by a child between seven and 12 years, there would be no criminal responsibility if the child has not attained sufficient maturity of understanding to judge the nature and consequence of his conduct on that occasion. For most of the 19th century, English criminal law applied in Singapore<sup>13</sup> insofar as local circumstances permitted. The Straits Settlements Penal Code, practically a re-enactment of the Indian Penal Code, was enacted in 1871. Hence, it is most likely that the presumption was at one time applied in Singapore, but we have not found any recent discussion of its application there.<sup>14</sup>

### The age of criminal responsibility in Hong Kong

- 19. In Hong Kong, section 3 of the Juvenile Offenders Ordinance (Cap 226) fixes the minimum age of criminal responsibility as 10 by providing that "it shall be conclusively presumed that no child under the age of 10 years can be guilty of an offence." The minimum age was raised from seven years to 10 years in 2003 as a result of proposals in the Law Reform Commission's 2000 report on the Age of Criminal Responsibility in Hong Kong. In respect of a child aged between 10 and 14 years a rebuttable presumption of doli incapax applies. That means that the child will be presumed to be incapable of committing a crime unless the prosecution can prove beyond reasonable doubt that, at the time of the offence, the child was well aware that his or her act was seriously wrong, and not merely naughty or mischievous. If the presumption is rebutted, full criminal responsibility will be imposed on the child, who may then be charged, prosecuted and convicted.
- 20. The rebuttable presumption that a child between 10 and 14 is doli incapax is distinct from the irrebuttable presumption that a boy under the age of 14 is incapable of sexual intercourse. If the latter presumption were to be abolished, the prosecution would still need to rebut the presumption of doli incapax before a boy between the ages of 10 and 14 years could be charged with rape. The prosecution would therefore need to prove that the boy knew that his conduct was seriously wrong.

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As part of the Straits Settlements, including also Penang and Malacca.

There are discussions, however, on whether a boy under 16 years could be prosecuted for incest

### The case for change

21. The notion that a boy under 14 years of age cannot be charged with and convicted of the offence of rape, founded on the irrebuttable presumption that such a boy is physically incapable of sexual intercourse, has been severely criticised by Professor Glanville Williams:

"The other person who cannot be convicted of rape is a boy under 14, the reason advanced being that he is irrebuttably presumed not to have reached the age of puberty. This fiction is doubly silly. First, puberty may be attained before 14, and secondly, puberty is not necessary for rape. Rape requires only penetration, not fertilisation, so that it is only an ability to have an erection, not an ability to emit semen, that is physically necessary for the crime."

22. Hannah J in the High Court of Namibia found himself obliged to apply a presumption he described as "absurd" and called for its review:

"There is a rule derived from Roman law that a boy under the age of 14 is irrefutably presumed incapable of sexual intercourse and therefore of rape and that rule has been accepted as part of the common law in England and the common law of this country prior to independence. And in terms of Article 140 of the Constitution such laws which were in force immediately before Independence shall remain in force until repealed or amended by Act of Parliament or until declared unconstitutional. The rule is patently an absurd one which should have no place in our common law in this day and age. But unlike the position in England and in South Africa it has not been repealed by statute. It is still part of our law.

I express the hope ... that the current review of criminal procedure and evidence will include a review of this anachronistic presumption." <sup>16</sup>

23. Whatever the historical rationale for the presumption may have been, it is difficult to see what purpose the rule now serves. It flies in the face of common sense that the law in Hong Kong should refuse to accept that a boy under 14 may be capable of sexual intercourse, regardless of the evidence to the contrary. In England, where the presumption no longer applies, two 11-year-old boys were recently convicted before a jury of attempting to rape an eight-year-old girl. <sup>17</sup> In Hong Kong, two 13-year-old boys were convicted in June 2009 of indecently assaulting a 12-year-old girl.

In the High Court of Namibia's decision in *The State versus Bernard Guibeb and six others*Case No CC 41/97 1998/08/19, at 2 to 3, at http://www.saflii.org/na/cases/NAHC/1998/8.html.

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Glanville Williams, *Textbook of Criminal Law*, 2nd edition, 1983, London Stevens & Sons, at 237.

The boys were 10 at the time of the offence and had been charged with rape. See report of 18 August 2010 at http://www.bbc.co.uk/news/uk-england-london-10693953.

It was clear that sexual intercourse had taken place between the first defendant and the victim but the defendant could only be charged with indecent assault, rather than rape, because of the application of the presumption. The magistrate was reported as having described the first defendant as "very lucky". <sup>18</sup> More recently still, in September 2010 a 13-year-old boy was arrested for allegedly having sexual intercourse with a five-year-old girl in the Pamela Youde Nethersole Eastern Hospital in Chai Wan. He was charged with indecent assault as the presumption prevented his being charged with rape. <sup>19</sup>

24. The case for abolition in England was put by Lord Lucas in his speech to the House of Lords on 22 June 1993 in support of the Sexual Offences Bill 1992 (subsequently enacted as the Sexual Offences Act 1993):

"The Bill implements a recommendation of the Criminal Law Revision Committee in its 1984 report on sexual offences. The committee could see no justification for retaining the presumption and all who commented on the issue supported its abolition .....

The ancient presumption that a boy under the age of 14 is incapable of sexual intercourse is not in accord with the facts and perhaps it never was. It clearly raised Lord Chief Justice Hale's eyebrows over 300 years ago. It belongs to the family of legal fictions that exist to protect the young, but developments in recent years in criminal law and in sentencing policy have removed any need for it and, in abolishing it, we would do some good and no harm.

The principal practical effect of the Bill would be to allow some of the 25 or so boys under 14 who are convicted each year of indecent assault to be convicted of rape if that, rather than indecent assault, is what they have done. That is surely desirable. It will allow justice to be done to the victim of the crime to whom the lesser charge is a grave insult. Rape causes terrible distress. That distress is compounded if victims find that their assailants cannot be charged or convicted of the offence which they have actually committed. Victims' confidence that the law properly recognises their ordeal will be improved and their recovery may be assisted."

"Attack on girl sparks mixed wards rethink", *The Standard*, 4 October 2010.

http://hansard.millbanksystems.com/lords/1993/jun/22/sexual-offences-bill.

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Ming Pao Daily News, Court News A08, 9 June 2009.

<sup>20</sup> Hansard, *HL Deb 22 June 1993 vol 547 cc295-8*, at

### **Conclusion and recommendation**

- 25. We have difficulty discerning any useful purpose that is served by the continued application of the presumption. It has been abolished (or never applied) in a number of other jurisdictions. The application of the presumption is at odds with reality and means that on occasion the true criminality of the defendant's conduct cannot be reflected in the charge. As explained above, if the presumption were to be abolished, the separate rebuttable presumption of *doli incapax* would continue to apply to a boy between the ages of 10 and 14, requiring the prosecution to prove beyond reasonable doubt that the boy knew his actions were seriously wrong. The effect of abolition would be that the offence of rape would no longer be singled out for special treatment: the rebuttable presumption of *doli incapax* would apply in respect of any criminal offence.
- 26. Taking account of these various considerations, we accordingly recommend that the presumption that a boy under the age of 14 years is incapable of sexual intercourse should be abolished.

Law Reform Commission December 2010

### 香港法律改革委員會

### 報告書

# 14歲以下男童無性交能力的普通法推定

### 摘要

### 引言

1. 本報告書特別探討香港現行的一項普通法推定。根據這項推定,年齡在 14 歲以下的男童並無性交能力。本報告書亦是香港的性罪行以及相關罪行的現行法律檢討的其中一環。有關檢討是律政司司長及終審法院首席法官在 2006 年委託法律改革委員會("法改會")進行,而檢討的研究範圍如下所述:

"就《刑事罪行條例》(第 200 章)第 XII 部之下的性罪行及相關的罪行以及該條例第 VI 部之下的亂倫罪,檢討規管該等罪行的普通法及成文法,包括適用於該等罪行的刑罰,同時考慮應否就被裁定干犯該等罪行的罪犯設立登記制度,並對有關法律提出其認爲適當的改革建議。"

2. 法改會的性罪行檢討小組委員會於 2006 年 7 月委出,負責就有關法律的現況進行研究和提出意見,並作出改革建議。小組委員會的成員如下:

鄧樂勤先生, SC (主席)	資深大律師
文志洪先生 〔任期由 2010 年 9 月起〕	香港警務處高級警司(刑事支援)
伍江美妮女士 〔任期由 2009 年 6 月起〕	保安局首席助理秘書長

朱耀光博士 〔任期至 2007 年 12 月止〕	香港大學社會學系助理教授
沈仲平博士	律政司高級助理刑事檢控專員
李均興先生 〔任期由 2008 年 1 月起至 2010 年 8 月止〕	香港警務處高級警司(刑事支援)
吳維敏女士	大律師
馬兆業先生 〔任期至 2008 年 1 月止〕	香港警務處高級警司(刑事支援)
夏博義先生,SC	資深大律師
麥周淑霞女士	社會福利署助理署長(家庭及兒童福利)
張達明先生	香港大學法律專業學系助理教授
張慧玲法官	高等法院原訟法庭法官
廖李可期女士 〔任期至 2009 年 6 月止〕	保安局首席助理秘書長
黎樂琪教授 〔任期由 2008 年 9 月起〕	香港大學社會學系系主任
鮑安迪先生	何敦、麥至理、鮑富律師行合夥人
梁滿強先生 <i>(秘書)</i>	法律改革委員會高級政府律師

3. 由於研究範圍十分廣泛,涵蓋多個議題,我們一開始便察覺到完成全部的檢討工作會耗時頗長,因此決定應分階段處理整個項目,就研究範圍中的不同事項發表獨立的報告書。檢討系列中的第一份報告書(《與兒童有關工作的性罪行紀錄查核:臨時建議》,發表於 2010 年 2 月),針對從事與兒童有關工作的人士,研究設立性罪行定罪紀錄查核機制的問題。本報告書則是檢討系列中的第二份報告書。

### 現行的普通法推定及其後果

- 4. 14 歲以下男童無性交能力的推定,存在久遠,並源自羅馬法。根據羅馬法,如相關司法程序涉及青春期問題,法庭會視 14 歲爲青春期的開始年齡。在十九世紀的 *R v Waite* 一案中,首席法官柯律治勳爵(Lord Coleridge CJ)說道,普通法的規則清楚訂明"十四歲以下的男童在生理上不具備干犯[強姦]罪的能力",而推翻該項推定的證據不會獲得接納。<sup>1</sup>
- 5. 即使有明確的證據顯示有關的男童在干犯指控罪行時,在生理上具有性交的能力,而他事實上的確曾與不同意的受害人進行非法性交,這項推定也不能被推翻。結果不論情節如何,一名年齡在 14 歲以下的男童雖然可被控協助及教唆他人強姦或被控猥褻侵犯,並因此而被定罪,但卻不能被裁定干犯強姦。<sup>2</sup>
- 6. 在香港,《刑事罪行條例》(第 200 章)第 118(3)條訂明, 任何男子與一名女子非法性交,而性交時該女子對此並不同意,該男 子即屬犯罪。《刑事訴訟程序條例》(第 221 章)第 65E 條則有以下 規定:

"凡在任何刑事法律程序中,有需要證明性交、肛交或 獸交,無須以射出精子證明交合完成,而單憑證明插 入,即當作完成交合。"

即使是最輕微程度的插入已足以證明交合的發生,<sup>3</sup>但這並不影響這項普通法推定的實施:法律視一名 14 歲以下的男童沒有性交的能力,不 論實際情況如何。

# 其他司法管轄區的法律

7. 多個司法管轄區已廢除這項普通法推定,包括英格蘭與威爾斯(藉《1993 年性罪行法令》(Sexual Offences Act 1993) 第 1 條)、加拿大、新西蘭、南非,以及澳大利亞司法管轄區內的澳大利亞首都地區、新南威爾斯、南澳大利亞及維多利亞。蘇格蘭從未應用過這項推定,而在塔斯曼尼亞,推定只適用於 7 歲以下的男童。

# 在香港須負刑事責任的年齡

8. 在香港,《少年犯條例》(第226章)第3條將須負刑事責

<sup>&</sup>lt;sup>1</sup> [1982]2 QB 600,第 601 頁。

<sup>&</sup>lt;sup>2</sup> 見 R v Angus (1907) 26 NZLR 948,第 949 頁及 Archbold Hong Kong 2009,第 21-17 段。

<sup>&</sup>lt;sup>3</sup> Archbold Hong Kong 2009,第 21-18 段。

任的最低年齡定爲 10 歲。該條寫明 "現訂立一項不可推翻的推定,10 歲以下兒童不能犯罪。"至於年齡介乎 10 至 14 歲之間的兒童,則適用另一項可推翻的"無犯罪能力"推定( doli incapax),意謂涉案兒童先被推定沒有犯罪能力,但如果控方能夠在無合理疑點下證明,該兒童在犯罪時清楚知悉自己的行爲是嚴重錯誤的,而非純屬頑皮或是惡作劇,則作別論。假如這項推定被推翻,涉案兒童便要承擔完全的刑事責任,可因此而被落案檢控及定罪。

9. 年齡介乎 10 至 14 歲之間的兒童"無犯罪能力"的可推翻推定,與年齡在 14 歲以下的男童無性交能力的不可推翻推定,兩者是截然不同的。假如後者在將來被廢除,則控方依然需要先推翻"無犯罪能力"的推定,才能對一名年齡介乎 10 至 14 歲之間的男童作出強姦罪之檢控。因此,控方將會需要證明涉案男童在犯罪時知道自己的行爲是嚴重錯誤的。

### 結論及建議

- 10. 現時,一名年齡在 14 歲以下的男童不能被控強姦也不能被裁定干犯強姦罪,這是基於他在生理上無性交能力這項不可推翻的推定。法律學者及法院對於這個概念一直予以嚴厲批評。
- 11. 不論這項推定的過往理據爲何,今天實難明白有關規則還有什麼存在用途。事實擺在面前,14歲以下男童可能具有性交能力,但香港的法律卻拒絕承認這一事實,這樣的處理顯然違反常理。在不再適用這項推定的英格蘭,兩名 11歲男童最近被陪審團裁定企圖強姦一名八歲女童罪名成立。4在香港,兩名 13歲男童在 2009 年 6 月被裁定猥褻侵犯一名 12歲的女童罪名成立。案情明顯透露,第一被告人確曾與受害人性交,但由於這項推定的適用,被告人只能被控以猥褻侵犯而非強姦罪。據報道,裁判官形容第一被告人"十分幸運"。5更近期的一宗案件發生於 2010 年 9 月,一名 13歲被捕男童被指控在柴灣的東區尤德夫人那打素醫院內與一名五歲女童性交。由於有這項推定的存在,他不能被控以強姦,而只能被控以猥褻侵犯。6
- 12. 多個司法管轄區已廢除(或從未應用過)這項推定。這項推定的應用與現實脫離,並意味控罪有時未能反映被告人行爲所應有的刑事罪責。倘若廢除這項推定,另一項"無犯罪能力"的可推翻推定將

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<sup>5 《</sup>*明報*》法庭新聞 A08 版,2009 年 6 月 9 日。

<sup>&</sup>quot;Attack on girl sparks mixed wards rethink"(侵犯女童案引發男女同病房現況的檢討),《*英文虎報*》,2010年 10月 4日。

會繼續適用於年齡介乎 10 至 14 歲之間的男童,規定控方須在無合理 疑點下證明有關男童在犯罪時知道自己的行為是嚴重錯誤的。廢除這 項推定所產生的後果是:"無犯罪能力"的可推翻推定將會適用於所有 刑事罪行,而對強姦罪不會再有特殊的處理。

13. 我們因此建議,年齡在 14 歲以下的男童無性交能力的推定,應予廢除。

法律改革委員會 2010年12月

# The Law Reform Commission of Hong Kong

### Report

# The common law presumption that a boy under 14 is incapable of sexual intercourse

### **Executive Summary**

### Introduction

1. This report considers the existing common law presumption in Hong Kong that a boy under 14 years of age is incapable of sexual intercourse. The report is part of the Law Reform Commission's overall review of the law governing sexual offences under the following terms of reference given to the Commission by the Secretary for Justice and the Chief Justice in 2006:

"To review the common and statute law governing sexual and related offences under Part XII of the Crimes Ordinance (Cap 200) and the common and statute law governing incest under Part VI of the Ordinance, including the sentences applicable to those offences, to consider whether a scheme for the registration of offenders convicted of such offences should be established, and to recommend such changes in the law as may be appropriate."

2. The Commission's Review of Sexual Offences Sub-committee was appointed in July 2006 to consider and advise on the present state of the law and to make proposals for reform. The sub-committee members are:

Mr Peter Duncan, SC (Chairman)	Senior Counsel
Hon Mrs Justice Barnes	Judge of the Court of First Instance of the High Court
Mr Eric T M Cheung	Assistant Professor Department of Professional Legal Education University of Hong Kong
Dr Chu Yiu Kong [Until December 2007]	Assistant Professor Department of Sociology University of Hong Kong
Mr Paul Harris, SC	Senior Counsel

Professor Karen A Joe Laidler [From September 2008]	Head of Department of Sociology University of Hong Kong
Mr Stephen K H Lee [From January 2008 until August 2010]	Senior Superintendent of Police (Crime Support) Hong Kong Police Force
Mrs Apollonia Liu [Until June 2009]	Principal Assistant Secretary Security Bureau
Mr Ma Siu Yip [Until January 2008]	Senior Superintendent of Police (Crime Support) Hong Kong Police Force
Mrs Anna Mak Chow Suk Har	Assistant Director (Family & Child Welfare) Social Welfare Department
Mr Alan Man Chi-hung [From September 2010]	Senior Superintendent of Police (Crime Support) Hong Kong Police Force
Mrs Millie Ng [From June 2009]	Principal Assistant Secretary Security Bureau
Mr Andrew Powner	Partner Haldanes, Solicitors
Ms Lisa D'Almada Remedios	Barrister
Dr Alain Sham	Senior Assistant Director of Public Prosecutions Department of Justice
Mr Thomas Leung (Secretary)	Senior Government Counsel Law Reform Commission

3. The terms of reference cover a wide range of issues and it was apparent from the outset that completion of the entire review would take considerable time. It was therefore decided that the project should be dealt with in stages, with separate reports issued on discrete aspects of the project's terms of reference. The first report in the series (Sexual Offences Records Checks for Child-Related Work: Interim Proposals, published in February 2010) considered the question of establishing a system of sexual conviction records checks for those engaged in child-related work. The present report is the second in the series.

### The existing common law presumption and its consequences

- 4. The presumption that a boy under 14 is incapable of sexual intercourse is longstanding and has its origins in Roman law, which applied 14 as the age of puberty where this was relevant in judicial proceedings. In the nineteenth century case of *R v Waite*, Lord Coleridge CJ said that the rule at common law clearly laid down that "a boy under fourteen is under a physical incapacity to commit the offence [of rape]" and that evidence to rebut that presumption was inadmissible.<sup>1</sup>
- 5. The presumption cannot be rebutted even where there is clear evidence that the boy was physically capable of sexual intercourse at the time of the alleged offence, and had in fact had unlawful sexual intercourse with a non-consenting victim. The result is that, regardless of the circumstances, a boy under 14 years of age cannot be convicted of rape, though he can be convicted of aiding and abetting another to commit rape, or of indecent assault.<sup>2</sup>
- 6. In Hong Kong, section 118(3) of the Crimes Ordinance (Cap 200) provides that a man commits rape if he has unlawful sexual intercourse with a woman without her consent. Section 65E of the Criminal Procedure Ordinance (Cap 221) provides that:

"Where in any criminal proceedings it is necessary to prove sexual intercourse, buggery or bestiality, it shall not be necessary to prove the completion of the intercourse by the emission of seed, but intercourse shall be deemed complete upon proof of penetration only."

Even the slightest penetration will be sufficient to prove intercourse,<sup>3</sup> but that does not affect the operation of the common law presumption: the law regards a boy under 14 as incapable of sexual intercourse, regardless of the actual circumstances.

## The law in other jurisdictions

7. The common law presumption has been abolished in a number of other jurisdictions, including England and Wales (by section 1 of the Sexual Offences Act 1993), Canada, New Zealand, South Africa and the Australian jurisdictions of the Australian Capital Territory, New South Wales, South Australia and Victoria. The presumption has never applied in Scotland and applies in Tasmania only in respect of a boy under 7.

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<sup>&</sup>lt;sup>1</sup> [1892] 2 Q B 600, at 601.

<sup>&</sup>lt;sup>2</sup> See *R v Angus* (1907) 26 NZLR 948, at 949 and *Archbold Hong Kong* 2009, at §21-17.

### The age of criminal responsibility in Hong Kong

- 8. In Hong Kong, section 3 of the Juvenile Offenders Ordinance (Cap 226) fixes the minimum age of criminal responsibility as 10 by providing that "it shall be conclusively presumed that no child under the age of 10 years can be guilty of an offence." In respect of a child aged between 10 and 14 years a rebuttable presumption of doli incapax applies. That means that the child will be presumed to be incapable of committing a crime unless the prosecution can prove beyond reasonable doubt that, at the time of the offence, the child was well aware that his or her act was seriously wrong, and not merely naughty or mischievous. If the presumption is rebutted, full criminal responsibility will be imposed on the child, who may then be charged, prosecuted and convicted.
- 9. The rebuttable presumption that a child between 10 and 14 is doli incapax is distinct from the irrebuttable presumption that a boy under the age of 14 is incapable of sexual intercourse. If the latter presumption were to be abolished, the prosecution would still need to rebut the presumption of doli incapax before a boy between the ages of 10 and 14 years could be charged with rape. The prosecution would therefore need to prove that the boy knew that his conduct was seriously wrong.

### **Conclusion and recommendation**

- 10. The notion that a boy under 14 years of age cannot be charged with and convicted of the offence of rape, founded on the irrebuttable presumption that such a boy is physically incapable of sexual intercourse, has been severely criticised by both legal academics and the courts.
- Whatever the historical rationale for the presumption may have 11. been, it is difficult to see what purpose the rule now serves. It flies in the face of common sense that the law in Hong Kong should refuse to accept that a boy under 14 may be capable of sexual intercourse, regardless of the evidence to the contrary. In England, where the presumption no longer applies, two 11-year-old boys were recently convicted before a jury of attempting to rape an eight-year-old girl.4 In Hong Kong, two 13-year-old boys were convicted in June 2009 of indecently assaulting a 12-year-old girl. It was clear that sexual intercourse had taken place between the first defendant and the victim but the defendant could only be charged with indecent assault, rather than rape, because of the application of the The magistrate was reported as having described the first presumption. defendant as "very lucky". 5 More recently still, in September 2010 a 13-year-old boy was arrested for allegedly having sexual intercourse with a five-year-old girl in the Pamela Youde Nethersole Eastern Hospital in Chai

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The boys were 10 at the time of the offence and had been charged with rape. See report of 18 August 2010 at http://www.bbc.co.uk/news/uk-england-london-10693953.

<sup>&</sup>lt;sup>5</sup> Ming Pao Daily News, Court News A08, 9 June 2009.

Wan. He was charged with indecent assault as the presumption prevented his being charged with rape.<sup>6</sup>

- 12. The presumption has been abolished (or never applied) in a number of other jurisdictions. The application of the presumption is at odds with reality and means that on occasion the true criminality of the defendant's conduct cannot be reflected in the charge. If the presumption were to be abolished, the separate rebuttable presumption of *doli incapax* would continue to apply to a boy between the ages of 10 and 14, requiring the prosecution to prove beyond reasonable doubt that the boy knew his actions were seriously wrong. The effect of abolition would be that the offence of rape would no longer be singled out for special treatment: the rebuttable presumption of *doli incapax* would apply in respect of any criminal offence.
- 13. We accordingly recommend that the presumption that a boy under the age of 14 years is incapable of sexual intercourse should be abolished.

Law Reform Commission December 2010

<sup>&</sup>lt;sup>6</sup> "Attack on girl sparks mixed wards rethink", *The Standard*, 4 October 2010.