

**Replies to initial written questions raised by Finance Committee Members
in examining the Estimates of Expenditure 2013-14**

**Controlling Officer : Commissioner, Independent Commission Against Corruption
Session No. : 5**

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**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC001

Question Serial No.

0591

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 000 Operational expenses

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Independent Commission Against Corruption (ICAC) has mentioned in Programme (2) an increase of provision by \$36.1M (5.4%) for 2013-14 over the revised estimate of 2012-13 mainly for creating 23 posts. In this regard, please advise on the following:

- (a) Were the posts created in 2012-13 all filled through open recruitment?
- (b) Will the newly-created posts in the next financial year (2013-14) all filled through open recruitment?
- (c) Please specify the newly created posts by job nature, rank and salary.

Asked by: Hon. TO Kun-sun, James

Reply:

- (a) The posts created under Programme (2) of the ICAC in 2012-13 were filled by open recruitment, in-service recruitment and promotion respectively.
- (b) The ICAC will create 23 posts under Programme (2) of the ICAC in 2013-14. Of these, 14 will be filled by the aforesaid means. The remaining 9 posts are time-limited posts of two years. The ICAC will arrange these posts to be filled by experienced officers and vacancies resulted from such deployment will be filled by open recruitment and promotion.
- (c) The rank and job nature of the 23 posts to be created under Programme(2) of the ICAC in 2013-14 are as follows:
 - 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
 - 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer (SCACO), 1 Commission Against Corruption Officer (Upper) [CACO(U)], 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary (PS) I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.

- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

The salary for the aforesaid posts is as follows:

- SCACO: ICAC Pay Scale Points 43-44a (i.e. \$101,755 - \$109,365)
- CACO(U): ICAC Pay Scale Points 36-42 (i.e. \$78,200 - \$97,660)
- CACO(M/L): ICAC Pay Scale Points 12-35 (i.e. \$28,860 - \$75,140)
- ACACO: ICAC Pay Scale Points 4-14 (i.e. \$17,065 - \$30,455)
- PS I: Master Pay Scale Points 16-21 (i.e. \$24,715 - \$31,525)

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC002

Question Serial No.

0592

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 103 Rewards and special
services

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under Programme (2) (Operations), what is the expenditure of Subhead 103 (Rewards and Special Services) for procurement and maintenance of equipment in 2012-13?

Asked by: Hon. TO Kun-sun, James

Reply:

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC003

Question Serial No.

0593

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 103 Rewards and special
services

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

- (a) What are the number of cases and number of recipients of rewards/special services fee, as well as the number of payments of reward/special services fee made and the actual amount of such expenditure in 2012-13?
- (b) What are the number of cases offering wanted persons rewards, the amounts of rewards offered, the actual expenditure and the number of payments made as well as the number of rewards recipients in 2012-13?

Asked by: Hon. TO Kun-sun, James

Reply:

As at 1 March 2013, the total expenditure under Subhead 103 (Rewards and Special Services) for 2012-13 was \$13.70M. There were 59 occasions on which reward / special services fee was provided but there was no case involving reward on wanted persons.

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC004

Question Serial No.

0594

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 103 Rewards and special
services

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

- (a) Did the Independent Commission Against Corruption allocate any provisions from Subhead 103 Rewards and Special Services in 2012-13 under Programme (2) for expenditure in relation to the Technical Services Division? If yes, please state the number of occasions and the amount involved.
- (b) What is the estimated expenditure of the Technical Services Division under Subhead of Rewards and Special Services in 2013-14? Is the Technical Services Division subject to any expenditure approval limit in the use of the allocated provision? If positive, what is the limit and how is it compared with that in 2012-13?

Asked by: Hon. TO Kun-sun, James

Reply:

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature, including expenditure incurred by the Technical Services Division for supporting the work of the Independent Commission Against Corruption. The expenditure is based on actual requirement. As this Subhead provides an essential source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

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| ICAC005 |
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Question Serial No.

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| 0867 |
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Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Regarding the total number of 2 830 pursuable corruption reports (excluding election-related reports) received in 2012:

- (a) How many of them involved the private sector?
- (b) Please tabulate the ratios of reports involving different trades in respect of the 2 830 pursuable corruption reports.

Asked by: Hon. TO Kun-sun, James

Reply:

- (a) Of the 2 830 pursuable corruption reports (excluding election-related reports) received in 2012, 1 917 involved the private sector.
- (b) In respect of the 2 830 pursuable corruption reports, the ratios of reports concerning government departments, public bodies and private sector (by trades) are tabulated below:

| | Pursuable Corruption Reports | Percentage |
|-------------------------------|-------------------------------------|-------------------|
| Government Departments | 748 | 26.4 |
| Public Bodies | 165 | 5.8 |
| Private Sector | 1 917 | 67.8 |
| (By Trades) | | |
| - Building Management | 847 | 29.9 |
| - Construction | 109 | 3.9 |
| - Finance and Insurance | 124 | 4.4 |
| - Medical and Social Services | 98 | 3.5 |
| - Catering and Entertainment | 85 | 3.0 |
| - Others | 654 | 23.1 |
| Total | 2 830 | 100 |

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC006

Question Serial No.

0868

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Of the corruption reports received in 2012, how many of them involved listed companies in Hong Kong? How many were related to blue chip stock companies? How many were related to red chip stock companies? How many were related to H share companies? How many were related to Hong Kong listed companies which were registered overseas?

Asked by: Hon. TO Kun-sun, James

Reply:

In receiving corruption reports involving the private sector, the Independent Commission Against Corruption (ICAC) categorises them by nature of trades. Information in respect of corruption reports involving listed companies is therefore unavailable. After the ICAC's investigation, the senior management of three Hong Kong listed companies was prosecuted for corruption or other related offences in 2012. Two of the listed companies were registered overseas and one was a listed company registered in Hong Kong.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC007

Question Serial No.

1216

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Operations Department coped with the increasingly sophisticated crimes through recruiting additional staff, training, and liaising with local and overseas law enforcement experts in 2012-13. In this regard, please advise on:

- (a) How much additional manpower was required and what were the posts involved? What were the resources involved?
- (b) What were the details of relevant training? What were the resources involved?
- (c) What were the details on liaison with local and overseas law enforcement experts and the resources involved?

Asked by: Hon. LEE Kok-long, Joseph

Reply:

- (a) In 2012-13, the Operations Department strengthened the capabilities of computer forensics through enhanced manpower, training and liaison with experts from local and overseas law enforcement agencies to rise to the challenge of fast-paced technological advancement. 1 Commission Against Corruption Officer (Middle/Lower) (CACO(M/L)) and 1 Assistant Commission Against Corruption Officer (ACACO) posts were created. The annual expenditure is estimated to be \$1.01M.
- (b) In 2012-13, the Operations Department arranged officers of the Computer Forensics Section to attend seven training courses organised by overseas and local law enforcement and professional agencies to equip them with the latest information technology and forensic techniques. The cost involved was about \$240,000. Separately, the officers of the Computer Forensics Section briefed new recruits and serving officers on the principles of computer forensics during the induction training for ACACOs and CACO(M/L)s and command courses to strengthen their capabilities in conducting relevant investigation work.
- (c) In 2012-13, officers of the Computer Forensics Section of the Operations Department attended experts' meetings with computer forensics professionals and management of the Police Force, the Customs & Excise Department and the Immigration Department covering the latest criminal practices involving computer crimes and investigative techniques. Separately, officers of the Computer Forensics Section made exchanges with computer forensics experts from Australia, India, Malaysia, Singapore, Turkey, the United Kingdom, and the United States during the year.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC008

Question Serial No.

1311

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention; (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

- (a) As regards the corruption prevention work, in view of increasing construction activities, the Independent Commission Against Corruption (ICAC) will assist the Housing Authority in strengthening its site supervision arrangements for the construction of public housing estates in 2013-14 to ensure that they are corruption resistant. Please advise this Committee on the details of the plan and also the estimated expenditure and manpower to be required for this purpose.
- (b) Apart from that, how many corruption complaints received and prosecutions made by the ICAC in relation to construction projects in the past 3 years (namely, from 2010-2011 to 2012-2013)? Is there a rising trend? If affirmative, what measures will the ICAC take to tackle the situation?

Asked by: Hon. CHUNG Kwok-pan

Reply:

- (a) As regards the corruption prevention work in 2013-14, the ICAC has formulated the following work plan to address the corruption risks in site supervision arising from the increasing construction of public housing:
 - (i) Conduct a series of assignment studies on the procedures adopted by the Housing Department (HD) for site supervision with a view to identifying any weaknesses and making recommendations to ensure that the procedures are corruption resistant. The assignment studies will cover the site supervision arrangement for the construction of piling foundations and superstructures of buildings. To assist the HD in strengthening the corruption prevention measures before the increase in construction activities, the ICAC issued the assignment study report on site supervision of superstructures to the HD in early 2013.
 - (ii) Conduct integrity management workshops for the site supervisory staff of the HD, consultants and contractors involved in public housing construction with a view to enhancing their integrity standard and awareness of corruption prevention.

The ICAC will complete the above corruption prevention work through the re-deployment of internal resources.

- (b) In 2010, 2011 and 2012, the ICAC received 142, 177 and 190 corruption complaints related to the construction industry. Of which, 110, 140 and 142 were pursuable corruption complaints. In the past 3 years, 25, 7 and 11 persons alleged to be involved in corruption complaints related to the construction industry were respectively prosecuted.

Owing to the recent boom in the construction industry, a rise in the number of corruption complaints concerning the construction industry is expected. Corruption complaints in this respect will be closely monitored, and resources will be suitably deployed on a need basis to ensure that effective and thorough investigations are carried out. To reduce the corruption risks in construction, the ICAC has also adopted a thematic strategy in preventing corruption and been providing the following corruption prevention input to the construction sector:

- (i) Conduct assignment studies and offer corruption prevention advice to government works departments and public bodies on the procedures for the implementation of construction projects.
- (ii) Deploy officers to act as observers in tender assessment panels of major government capital works projects with a view to offering instant corruption prevention advice to help ensure the tendering assessment process is fair and proper.
- (iii) Organise integrity management training workshops for site supervisory staff of works consultants and contractors to raise their integrity standard and awareness in corruption prevention.
- (iv) Organise corruption prevention training for the undergraduates of the construction-related courses of the universities to help the future construction professionals better understand the corruption risks inherent in construction and to instil into them the principles of corruption prevention.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC009

Question Serial No.

1312

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Independent Commission Against Corruption (ICAC) will enhance its manpower to cope with the increasing number of complaints and complexity of investigations in 2013-14. Please advise the Committee on the details of additional manpower and estimated expenditure required, the current number of officers in the ICAC responsible for handling complaints and investigation work as well as the ratio of additional manpower.

Asked by: Hon. CHUNG Kwok-pan

Reply:

Under Programme (2) in 2013-14, ICAC will create 23 new posts, and the rank and job nature are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer, 1 Commission Against Corruption Officer (Upper), 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

17 of these newly created posts responsible for investigative work will incur an estimated expenditure of \$10.52M in 2013-14. As at 1 March 2013, the establishment of CACO grade responsible for investigating corruption complaints related to the public sector, private sector and elections was 455 in the Operations Department of the ICAC. The aforesaid 17 new posts represent 3.7% of the existing establishment responsible for handling complaints and investigative work.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC010

Question Serial No.

1313

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under this Programme, the Independent Commission Against Corruption (ICAC) will launch a two-year prevention and publicity programme starting from 2013 to mark the 40th Anniversary of the ICAC in 2014. Activities include the production of a television drama series and joint projects with the District Councils (DCs), etc. Please advise this Committee on details of the programme and the estimated expenditure and manpower.

Asked by: Hon. CHUNG Kwok-pan

Reply:

To mark the ICAC's 40th Anniversary in 2014, the Community Relations Department (CRD) will organise a series of anti-corruption activities in all districts and produce a television drama series starting from mid-2013 to remind the public of the evils of corruption and enlist support from the community.

(i) Joint Projects with DCs

During the year, the CRD will continue to organise anti-corruption publicity projects in collaboration with the 18 DCs. The projects will include multi-faceted educational programmes to promote integrity among students. Also, district organisations, under the ICAC's community involvement programme, will be invited to organise activities to promote integrity messages to their members. To enhance the effectiveness of the projects, the CRD will hold a launching ceremony to kick off a series of district activities towards the end of 2013. Representatives of the district organisations from the 18 districts will be invited to attend the ceremony to demonstrate their determination to safeguard probity as the core value of Hong Kong. Moreover, the CRD will arrange a mobile exhibition truck to visit locations with high visitor flow as well as schools across the territory to publicise the evils of corruption and the work of the ICAC.

The district projects will be organised by the respective ICAC Regional Offices. The expenditure for the projects is estimated to be \$2.2 m, out of which \$0.7 m will be sponsored by the DCs. The CRD will also earmark \$1.3 m for the mobile exhibition truck.

(ii) "ICAC Investigators" Drama Series

Scheduled to broadcast in April 2014, the new series of "ICAC Investigators" features five 1.5-hour episodes based on real ICAC corruption cases which involved listed companies, the construction industry and government officers. It aims at hammering home the evils of corruption and displaying the professionalism of ICAC officers who carry out their duties without fear or favour. The drama series, which is a joint production with the Television Broadcasts Limited (TVB), will have the scripts, producer and director provided by the ICAC and the cast, equipment, other backstage support services and broadcast time slots arranged by the TVB. A number of well-known directors have been invited to direct the episodes. The total amount of expenditure for the drama series is about \$10 m.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC011

Question Serial No.

1531

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

It was stated in Report No. 59 issued by the Director of Audit in October 2012 that the management of public enquiries and complaints by the Food and Environmental Hygiene Department (FEHD) was in need of improvement. Did the Corruption Prevention Department (CPD) ever provide advice to the FEHD on how to plug loopholes in corruption-prone areas and improve work procedures? If affirmative, please list out the areas of studies conducted by the CPD. If the management of public enquiries and complaints by the FEHD has not been examined, will the CPD proactively study their management of public enquiries and complaints in 2013?

Asked by: Hon. KWOK, Dennis

Reply:

CPD keeps close contact and meets annually with the management of FEHD to identify priority areas for conducting corruption prevention studies. In the last three years, CPD conducted 8 assignment studies (the titles of these studies are tabulated below) and 22 consultation activities (including provision of corruption prevention services such as prompt advice on the existing or newly revised system, review of the departmental code of conduct, and conducting of integrity management training, etc.) for FEHD with a view to providing recommendations to improve the practices and procedures which are conducive to corruption.

| Assignment No. | Assignment Title |
|----------------|--|
| 69/2012 | Allocation of Niches |
| 54/2012 | Enforcement of Food Safety Ordinance |
| 43/2012 | Management of Exhumation Services |
| 43/2011 | Liquor Licensing |
| 25/2011 | Composite Food Shop Licensing |
| 3/2011 | Maintenance of Environmental Hygiene Facilities |
| 19/2010 | Enforcement of Food Labelling Requirements |
| 12/2010 | Processing of Small Volume Exemption Applications under the Nutrition Labelling Scheme |

CPD completed an assignment study on the complaints handling procedures for FEHD in 2000. In addition, when CPD conducts individual assignment studies (e.g. the licensing and enforcement work as shown in the above table), CPD will also review the complaints handling procedures and make timely recommendations to the FEHD for improvement.

CPD will continue reviewing the procedures of different government departments and conduct corruption prevention studies as necessary.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 20.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC012

Question Serial No.

1532

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please tabulate in detail ranks of the 23 newly created posts in 2013-14, and specify the number of directorate posts involved. What are the existing vacancies at various ranks in the Operations Department? Please provide detailed information in table format.

Asked by: Hon. KWOK, Dennis

Reply:

The rank and job nature of the 23 posts to be created under the Operations Department of the Independent Commission Against Corruption (ICAC) in 2013-14 are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer, 1 Commission Against Corruption Officer (Upper), 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

The aforesaid posts do not involve directorate posts.

As at 1 March 2013, there were 78 vacancies in the Operations Department. Details are as follows:

| | Rank | No. of Vacancies |
|----------------------------|--|-------------------------|
| Directorate | Deputy Director of Operations Δ | 1 |
| | Chief Commission Against Corruption Officer Δ | 2 |
| Departmental Grades | Senior Commission Against Corruption Officer Δ | 1 |
| | Commission Against Corruption Officer (Upper) Δ | 9 |
| | Commission Against Corruption Officer (Middle / Lower) * | 5 |
| | Assistant Commission Against Corruption Officer * | 44 |
| | Forensic Accountant | 1 |
| | Commission Against Corruption Investigator (Main Stream) * | 12 |
| General Grades | Personal Secretary II * | 2 |
| | Special Photographer II * | 1 |
| Total | | 78 |

Δ Vacancies to be filled by officers of a lower rank through acting appointment

* Recruitment in progress

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC013

Question Serial No.

1533

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 000 Operational expenses

Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please provide in table form the number, duration, destination and purpose of duty visits outside Hong Kong made by the 5 Commissioners, Independent Commission Against Corruption (ICAC), between 2002 and 2012, as well as the number of accompanying ICAC officers of each visit. Please provide in another table the expenditure details.

Asked by: Hon. KWOK, Dennis

Reply:

Ambrose SK LEE (July 2002 – August 2003) 1 year & 2 months

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|----------------------------|-----------------------------------|---|--|
| 18/11/2002 – 22/11/2002 (Monday to Friday) | 5 | Beijing & Guangzhou, China | 7 | Visited the Hong Kong and Macao Affairs Office of the State Council, the Ministry of Supervision, the Ministry of Public Security, and the Supreme People's Procuratorate (SPP) in Beijing Visited the Guangdong Provincial People's Procuratorate (GDPP), the Guangdong Provincial People's Government, the Guangdong Public Security Bureau, and the Guangdong Supervision Bureau in Guangzhou | 89,007 (12,940) Please refer to Annex A(1) for details |
| 15/3/2003 (Saturday) | 1 | Guangzhou, China | 2 | Duty visit to Guangzhou | 824 (275) Please refer to Annex A(2) for details |

| | | | | | |
|--|---|--------------------|---|--|--|
| 24/5/2003 – 31/5/2003 (Saturday to Saturday) | 8 | Seoul, South Korea | 4 | Attended 11th International Anti- Corruption Conference (IACC) | 111,925 (25,886) Please refer to Annex A(3) for details |
| | | | | Total : 3 visits and total expenditure \$201,756 (Total expenditure for Commissioner \$39,101) | |

Raymond HC WONG (August 2003 – October 2006) 3 years & 3 months

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|---|-----------------------------------|--|--|
| 21/9/2003 – 27/9/2003 (Sunday to Saturday) | 7 | Shanghai, Guangzhou and Beijing, China | 7 | <p>Visited the Shanghai Municipal People's Procuratorate, the Supervisory Committee, and the Public Security Bureau in Shanghai</p> <p>Visited the Guangdong Provincial People's Procuratorate (GDPP), Guangdong Public Security Bureau and the Guangdong Supervision Bureau in Guangzhou</p> <p>Visited the Hong Kong and Macao Affairs Office of the State Council, the Supreme People's Procuratorate, the Ministry of Public Security, the Ministry of Supervision, and the Office of the Government of Hong Kong Special Administrative Region in Beijing</p> | 130,819 (16,174) Please refer to Annex B(1) for details |
| 2/11/2003 – 11/11/2003 (Sunday to Tuesday) | 10 | London, UK, Belfast, Northern Ireland and Paris, France | 2 | <p>Visited the New Scotland Yard, the London Metropolitan Police, the Independent Police Complaints Commission, the Committee for Standards in Public Life under the UK House of Commons, and the Financial Services Agency in London</p> <p>Attended the Conference entitled "Policing the Police: the Challenges", organised by the Police Ombudsman for Northern Ireland</p> <p>Visited the Central Agency for Prevention of Corruption</p> | 222,726 (61,299) Please refer to Annex B(2) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|--------------------------------|-----------------------------------|--|--|
| | | | | under the Ministry of Justice, France, Anti-corruption Division of the Directorate for Financial, Fiscal and Enterprise Affairs under the Organisation for Economic Co-operation and Development | |
| 30/11/2003 – 4/12/2003 (Sunday to Thursday) | 5 | Kuala Lumpur, Malaysia | 2 | Attended 4 th Regional Conference of the Anti-Corruption Initiative for Asia-Pacific | 16,685 (5,841) Please refer to Annex B(3) for details |
| 19/2/2004 – 20/2/2004 (Thursday to Friday) | 2 | Beijing, China | 1 | Duty visit to Hong Kong and Macao Affairs Office of the State Council and the Supreme People's Procuratorate | 10,297 (5,041) Please refer to Annex B(4) for details |
| 5/4/2004 (Monday) | 1 | Beijing, China | --- | Duty visit to the Mainland | 3,650 (3,650) Please refer to Annex B(5) for details |
| 14/4/2004 (Wednesday) | 1 | Macao, China | 5 | Visited the Commission Against Corruption (CCAC) and the Public Prosecutions Office of the Macao SAR | 3,108 (518) Please refer to Annex B(6) for details |
| 6/7/2004 – 10/7/2004 (Tuesday to Saturday) | 5 | Kunming, China | 1 | Attended the China & ASEAN Countries Attorneys-General Conference | 8,870 (4,309) Please refer to Annex B(7) for details |
| 9/11/2004 – 11/11/2004 (Tuesday to Thursday) | 3 | Guangzhou, China | 7 | Visited the Guangdong Provincial People's Procuratorate (GDPP) | 28,632 (3,518) Please refer to Annex B(8) for details |
| 21/11/2004 – 26/11/2004 (Sunday to Friday) | 6 | London, UK and Vienna, Austria | 1 | Visit London Metropolitan Police in London, UK Attended Conference on the Enhancement of Operational Co-operation in Fighting Corruption in the European Union in Vienna, Austria | 70,473 (14,517) Please refer to Annex B(9) for details |
| 29/11/2004 – 1/12/2004 (Monday to Wednesday) | 3 | Guangzhou, China | 2 | Attended the conference for the Pan-Pearl River Delta Region's procurators | 4,607 (1,536) Please refer to Annex B(10) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|-------------------------------|-----------------------------------|---|--|
| 5/12/2004 – 10/12/2004 (Sunday to Friday) | 6 | Beijing, China | 7 | Visited the Supreme People's Procuratorate (SSP), the Hong Kong and Macao Affairs Office of the State Council, the Ministry of Public Security, the Ministry of Supervision, the Ministry of Justice and the People's Procuratorate of Beijing | 84,100 (10,464) Please refer to Annex B(11) for details |
| 4/9/2005 – 10/9/2005 (Sunday to Saturday) | 7 | Beijing and Zhengzhou, China | 8 | Visited the Hong Kong and Macao Affairs Office of the State Council, the Supreme People's Procuratorate, the Ministry of Supervision, and the Ministry of Public Security in Beijing Visited procuratorates in Henan Province in Zhengzhou | 121,183 (13,249) Please refer to Annex B(12) for details |
| 14/9/2005 – 16/9/2005 (Wednesday to Friday) | 3 | Bangkok, Thailand | 1 | Attended 2 nd China ASEAN Prosecutors' General Conference | 5,913 (2,820) Please refer to Annex B(13) for details |
| 9/12/2005 – 10/12/2005 (Friday to Saturday) | 2 | Shenzhen, China | 1 | Attended 1 st Asia and Europe Meeting Prosecutors-General Conference | 1,583 (396) Please refer to Annex B(14) for details |
| 1/1/2006 (Sunday) | 1 | Macao, China | 6 | Visited the Commission Against Corruption (CCAC) and the Public Prosecutions Office of the Macao SAR | 3,605 (515) Please refer to Annex B(15) for details |
| 6/4/2006 – 7/4/2006 (Thursday to Friday) | 2 | Guangzhou and Dongguan, China | 8人 | Visited the Guangdong Provincial People's Procuratorate (GDPP), the Guangdong Supervision Bureau, the Guangdong Public Security Bureau in Guangzhou Visited the Dongguan City People's Procuratorate in Dongguan | 16,546 (1,838) Please refer to Annex B(16) for details |
| 30/7/2006 – 2/8/2006 (Sunday to Wednesday) | 4 | Jakarta, Indonesia | 1 | Attended the 3rd China-ASEAN Prosecutor's General Conference | 6,396 (3,198) Please refer to Annex B(17) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|----------------------------|-----------------------------------|---|--|
| 19/10/2006 – 20/10/2006 (Thursday to Friday) | 2 | Guangdong and Macao, China | 5 | Visited the Guangdong Supervision Bureau, the Guangdong Public Security Bureau in Guangdong Visited the Commission Against Corruption (CCAC) and the Public Prosecutions Office of the Macao SAR | 7,048 (834) Please refer to Annex B(18) for details |
| 21/10/2006 – 27/10/2006 (Saturday to Friday) | 7 | Hebei and Beijing, China | 21 | Attended the 1st Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities | 197,089 (11,747) Please refer to Annex B(19) for details |
| | | | | Total : 19 visits and total expenditure \$943,330 (Total expenditure for Commissioner \$182,154) | |

Fanny LAW (October 2006 – June 2007) 9 months

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|--------------------------------------|-----------------------------------|---|--|
| 8/1/2007 – 12/1/2007 (Monday to Friday) | 5 | Beijing, China | 4 | Visited the Supreme People's Procuratorate, the Ministry of Supervision, the Ministry of Public Security, the Ministry of Justice and the Hong Kong and Macao Affairs Office of the State Council | 48,150 (9,507) Please refer to Annex C(1) for details |
| 21/1/2007 – 23/1/2007 (Sunday to Tuesday) | 3 | Guangzhou and Shenzhen, China | 6 | Visited the Guangdong Provincial People's Procuratorate (GDPP), the Guangdong Supervision Bureau and Guangdong Public Security Bureau in Guangzhou Visited the Shenzhen Municipal People's Procuratorate and the Shenzhen Municipal Supervision Bureau in Shenzhen | 24,908 (3,557) Please refer to Annex C(2) for details |
| 8/2/2007 (Thursday) | 1 | Macao, China | 6 | Visited the Commission Against Corruption (CCAC) of the Macao SAR | 2,198 (314) Please refer to Annex C(3) for details |
| 19/2/2007 – 24/2/2007 (Monday to Saturday) | 6 | London, UK and Amsterdam, Netherland | 3 | Visited the Metropolitan Police Service at the New Scotland Yard and the Police Crime Academy in London Attended "The World Cities Conference on Fighting Corruption and Safeguarding Integrity" in Amsterdam | 284,451 (42,088) Please refer to Annex C(4) for details |
| Total : 4 visits and total expenditure \$279,198 (Total expenditure for Commissioner \$55,466) | | | | | |

Timothy HM TONG (July 2007 – June 2012) 5 years

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|------------------------------------|-----------------------------------|---|--|
| 23/10/2007 – 27/10/2007 (Tuesday to Saturday) | 5 | Sydney, Australia | 2 | Attended the Australian Public Sector Anti-Corruption Conference 2007 | 51,616 (6,474) Please refer to Annex D(1) for details |
| 20/11/2007 – 23/11/2007 (Tuesday to Friday) | 4 | Bali, Indonesia | 6 | Attended the 2nd Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) | 90,336 (10,949) Please refer to Annex D(2) for details |
| 16/12/2007 – 22/12/2007 (Sunday to Saturday) | 7 | Beijing, Nanjing and Suzhou, China | 7 | Visited the Hong Kong and Macao Affairs Office of the State Council, the Supreme People's Procuratorate, the Ministry of Supervision, the Ministry of Public Security and the Ministry of Foreign Affairs in Beijing Visited the local procuratorate and supervision authorities in Nanjing and Suzhou | 126,912 (22,228) Please refer to Annex D(3) for details |
| 14/1/2008 – 17/1/2008 (Monday to Thursday) | 4 | Guangzhou, Zhuhai and Macao, China | 7 | Visited the Guangdong Provincial People's Procuratorate (GDPP) in Guangzhou Visited the Zhuhai Municipal People's Procuratorate and Department of Supervision in Zhuhai Visited the Macao Commission Against Corruption and the Macao Public Prosecutions Office in Macao | 50,734 (6,031) Please refer to Annex D(4) for details |
| 21/1/2008 – 22/1/2008 (Monday to Tuesday) | 2 | Shenzhen, China | 25 | Attended the two-day (January 22-23) seminar co-organised by the Shenzhen Municipal Supervision Bureau for anti-corruption bodies, transport and construction-related departments of various provinces and cities in the Mainland, Hong Kong and Macao | 110,718 (2,898) Please refer to Annex D(5) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|---|-----------------------------------|--|--|
| 25/5/2008 – 29/5/2008 (Sunday to Thursday) | 5 | Beijing and Guangxi, China | 6 | Visited the Ministry of Supervision (MoS), the Beijing Municipal Bureau of Supervision and the Hong Kong and Macao Affairs Office of the State Council in Beijing | 98,955 (23,532) Please refer to Annex D(6) for details |
| 2/10/2008 – 9/10/2008 (Thursday to Thursday) | 8 | Kiev, Ukraine, London and Cambridge, UK | 5 | Attended the 3rd Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) in Kiev Met business leaders, journalists as well as academics, and briefed them on Hong Kong's latest anti-corruption work in London and Cambridge | 399,937 (72,966) Please refer to Annex D(7) for details |
| 10/11/2008 – 12/11/2008 (Monday to Wednesday) | 3 | Manila, Philippine | 2 | Attended the 5 th China-ASEAN Prosecutors General Conference | 28,363 (11,790) Please refer to Annex D(8) for details |
| 14/11/2008 – 17/11/2008 (Friday to Monday) | 4 | Hangzhou, China | 3 | Attended a two-day corruption prevention seminar cum the launching ceremony of an anti-corruption research centre in Hangzhou | 41,680 (13,076) Please refer to Annex D(9) for details |
| 15/12/2008 – 16/12/2008 (Monday to Tuesday) | 2 | Shenzhen, China | 3 | Attended the Forum on China Regional Criminal Law and Advanced Programme on Anti-Corruption & Anti-Triad Legislation | 9,126 (2,174) Please refer to Annex D(10) for details |
| 11/1/2009 – 17/1/2009 (Sunday to Saturday) | 7 | Beijing, Kunming and Lijiang, China | 7 | Visited the Supreme People's Procuratorate (SPP), the Ministry of Supervision, the Hong Kong and Macao Affairs Office of the State Council and the National People's Congress Standing Committee's Legislative Affairs Commission in Beijing Visited the procuratorates of the Yunnan province in Lijiang | 138,248 (30,333) Please refer to Annex D(11) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|--|-----------------------------------|--|--|
| 8/6/2009 – 9/6/2009 (Monday to Tuesday) | 2 | Guangzhou and Shenzhen, China | 8 | Visited the Guangdong Provincial People's Procuratorate (GDPP) and Shenzhen Municipal People's Procuratorate | 20,771 (2,089) Please refer to Annex D(12) for details |
| 29/8/2009 – 5/9/2009 (Saturday to Saturday) | 8 | London and Cambridge, UK and Vienna, Austria | 3 | Attended 27th Cambridge International Symposium on Economic Crime in Cambridge Visited the Law Commission in London Visited the Interpol's International Anti-Corruption Academy in Vienna | 211,406 (63,169) Please refer to Annex D(13) for details |
| 30/9/2009 – 2/10/2009 (Wednesday to Friday) | 3 | Beijing, China | --- | Participated in the HKSAR delegation on 60 th Anniversary of founding of People's Republic of China | 4,591 (4,591) Please refer to Annex D(14) for details |
| 13/10/2009 – 15/10/2009 (Tuesday to Thursday) | 3 | Beijing, China | 4 | Attended APEC Ant-Corruption Workshop "Applying APEC Anti-Corruption Principles, Preventing Conflicts of Interest" | 66,024 (16,664) Please refer to Annex D(15) for details |
| 8/11/2009 – 10/11/2009 (Sunday to Tuesday) | 3 | Macao, China | 21 | Attended the two-day seminar jointly organised by the Ministry of Supervision (MoS), Hong Kong ICAC and the CCAC | 109,341 (9,580) Please refer to Annex D(16) for details |
| 23/11/2009 – 25/11/2009 (Monday to Wednesday) | 3 | Hanoi, Vietnam | 2 | Attended the 6th ASEAN-China Prosecutors General Conference | 35,384 (14,456) Please refer to Annex D(17) for details |
| 20/12/2009 – 23/12/2009 (Sunday to Wednesday) | 4 | Taipei, Taiwan | 8 | Visited Taiwan's justice and supervision authorities | 96,617 (14,777) Please refer to Annex D(18) for details |
| 8/4/2010 – 14/4/2010 (Thursday to Wednesday) | 7 | Salvador, Brazil and London, UK | 2 | Attended the 2010 Executive Committee Meeting of IAACA and duty visit to UK | 319,809 (108,213) Please refer to Annex D(19) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|----------------------------|-----------------------------------|--|--|
| 16/5/2010 – 23/5/2010 (Sunday to Sunday) | 8 | Beijing and Chengdu, China | 8 | Visited the Ministry of Supervision and the National Bureau of Corruption Prevention in Beijing Briefed on the mechanism of supervision and corruption prevention in relation to earthquake reconstruction projects funded by the Hong Kong Government in Sichuan | 162,193 (26,902) Please refer to Annex D(20) for details |
| 10/6/2010 – 13/6/2010 (Thursday to Sunday) | 4 | Shanghai | 6 | Attended the China Executive Leadership Academy Pudong (CELAP), a national training institute for senior officials | 98,629 (16,091) Please refer to Annex D(21) for details |
| 2/7/2010 – 4/7/2010 (Friday to Sunday) | 3 | Guangzhou, China | 6 | Visited the Guangdong Provincial People's Procuratorate (GDPP) and the Shaoguan Municipal People's Procuratorate | 37,208 (5,215) Please refer to Annex D(22) for details |
| 25/8/2010 – 28/8/2010 (Wednesday to Saturday) | 4 | Harbin, China | 13 | Attended the two-day seminar on anti-corruption and public finance jointly organised by the Ministry of Supervision (MOS), National Bureau of Corruption Prevention (NBCP), ICAC and Macao's Commission Against Corruption (CCAC) | 182,843 (20,233) Please refer to Annex D(23) for details |
| 19/9/2010 – 21/9/2010 (Sunday to Tuesday) | 3 | Beijing, China | 1 | Officiated at the opening ceremony of a training course on Mainland government policies for ICAC officers at management level and visited the Supreme People's Procuratorate (SPP) | 25,341 (15,131) Please refer to Annex D(24) for details |
| 2/11/2010 – 5/11/2010 (Tuesday to Friday) | 4 | Macao, China | 35 | Attended the 4 th Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) | 215,151 (13,687) Please refer to Annex D(25) for details |
| 12/12/2010 – 13/12/2010 (Sunday to Monday) | 2 | Macao, China | 2 | Attended the 3rd Forum on China Regional Criminal Law | 11,544 (3,946) Please refer to Annex D(26) for details |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|--|-----------------|-----------------------------|-----------------------------------|---|--|
| 17/1/2011 – 19/1/2011 (Monday to Wednesday) | 3 | Singapore | 3 | Attended the International Association of Anti-corruption Authorities (IAACA) Expert Meeting | 57,679 (26,044) Please refer to Annex D(27) for details |
| 8/5/2011 – 10/5/2011 (Sunday to Tuesday) | 3 | Doha, Qatar | 4 | Attended the Executive Committee Meeting of the International Association of Anti-corruption Authorities (IAACA) | 134,042 (34,739) Please refer to Annex D(28) for details |
| 31/5/2011 – 2/6/2011 (Tuesday to Thursday) | 3 | Bangkok, Thailand | 5 | Attended the conference on "Turning Point on Corruption and the Future of Thailand: A Hong Kong Case Study" | 50,792 (10,502) Please refer to Annex D(29) for details |
| 22/6/2011 – 26/6/2011 (Wednesday to Sunday) | 5 | Beijing and Changsha, China | 8 | Visited the Ministry of Supervision in Beijing Visited Changsha in Hunan Province to meet with officials of local supervision and judicial authorities | 126,592 (22,426) Please refer to Annex D(30) for details |
| 2/7/2011 – 4/7/2011 (Saturday to Monday) | 3 | Shanghai, China | 7 | Attended 3 rd Training Seminar of International Association of Anti-Corruption Authorities (IAACA) | 62,702 (9,680) Please refer to Annex D(31) for details |
| 14/9/2011 – 17/9/2011 (Wednesday to Saturday) | 4 | Beijing and Tianjin, China | 4 | Visited the Supreme People's Procuratorate (SPP) in Beijing Visited the People's Procuratorate of Tianjin | 67,750 (17,326) Please refer to Annex D(32) for details |
| 19/10/2011 – 27/10/2011 (Wednesday to Thursday) | 9 | Marrakesh, Morocco | 6 | Attended 5 th IAACA Annual Conference and General Meeting & The Fourth Session of the Conference of the States Parties to the United Nations Convention against Corruption | 593,463 (83,772) Please refer to Annex D(33) for details |
| 20/6/2012 – 22/6/2012 (Wednesday to Friday) | 3 | Beijing, China | 5 | Visited the Supreme People's Procuratorate (SPP), the Ministry of Supervision and the Chinese Academy of Social Sciences (CASS) | 71,115 (16,237) Please refer to Annex D(34) for details |
| | | | | Total : 34 visits and total expenditure \$3,907,612 (Total expenditure for Commissioner \$757,921) | |

Simon YL PEH (1 July 2012 – information as at 25 March 2013) 9 months

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Total expenditure (Commissioner's individual expenditure in brackets) (\$) |
|---|-----------------|------------------------|-----------------------------------|--|--|
| 27/7/2012 – 29/7/2012 (Friday to Sunday) | 3 | Guangzhou, China | 6 | Officiated at the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited the Guangdong Provincial People's Procuratorate (GDPP) | 38,600 (5,517) Please refer to Annex E(1) for details |
| 12/9/2012 – 15/9/2012 (Wednesday to Saturday) | 4 | Beijing, China | 7 | Visited the Supreme People's Procuratorate (SPP) and Ministry of Supervision (MoS), the Hong Kong and Macao Affairs Office of the State Council and Mainland academics of the Chinese Academy of Social Sciences | 115,518 (18,958) Please refer to Annex E(2) for details |
| 19/9/2012 – 20/9/2012 (Wednesday to Thursday) | 2 | Macao, China | 6 | Attended a Macao Commission Against Corruption (CCAC) seminar which marks the 20th anniversary of Macao's anti-graft work and visit CCAC and the Macao Public Prosecutions Office | 28,539 (4,061) Please refer to Annex E(3) for details |
| 3/10/2012 – 7/10/2012 (Wednesday to Sunday) | 5 | Kuala Lumpur, Malaysia | 5 | Attended the Sixth Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) | 99,637 (22,893) Please refer to Annex E(4) for details |
| 31/1/2013 (Thursday) | 1 | Shenzhen, China | 6 | Visited the Shenzhen Municipal People's Procuratorate | Nil |
| Total : 5 visits and total expenditure \$282,294 (Total expenditure for Commissioner \$51,429) | | | | | |

Name in block letters: Simon YL PEH
Post Title: Commissioner, ICAC
Date: 3.4.2013

Annex A

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|------|---|-----------------|----------------------------|--|----------------------------|-------------------|
| A(1) | 18/11/2002 – 22/11/2002 (Monday to Friday) | 5 | Beijing & Guangzhou, China | Air passage Subsistence Allowance / Others | 33,124 55,883 | 89,007 |
| A(2) | 15/3/2003 (Saturday) | 1 | Guangzhou, China | Subsistence Allowance / Others | 824 | 824 |
| A(3) | 24/5/2003 – 31/5/2003 (Saturday to Saturday) | 8 | Seoul, South Korea | Hotel expenses Air passage Subsistence Allowance / Others | 39,753 19,220 52,952 | 111,925 |

Annex B

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|-------|---|-----------------|---|--|-----------------------------|-------------------|
| B(1) | 21/9/2003 – 27/9/2003 (Sunday to Saturday) | 7 | Shanghai, Guangzhou and Beijing, China | Air passage Subsistence Allowance / Others | 51,072 79,747 | 130,819 |
| B(2) | 2/11/2003 – 11/11/2003 (Sunday to Tuesday) | 10 | London, UK, Belfast, Northern Ireland and Paris, France | Hotel expenses Air passage Subsistence Allowance / Others | 29,748 145,186 47,792 | 222,726 |
| B(3) | 30/11/2003 – 4/12/2003 (Sunday to Thursday) | 5 | Kuala Lumpur, Malaysia | Hotel expenses Air passage Subsistence Allowance / Others | 2,126 7,447 7,112 | 16,685 |
| B(4) | 19/2/2004 – 20/2/2004 (Thursday to Friday) | 2 | Beijing, China | Air passage Subsistence Allowance / Others | 7,106 3,191 | 10,297 |
| B(5) | 5/4/2004 (Monday) | 1 | Beijing, China | Air passage | 3,650 | 3,650 |
| B(6) | 14/4/2004 (Wednesday) | 1 | Macao, China | Subsistence Allowance / Others | 3,108 | 3,108 |
| B(7) | 6/7/2004 – 10/7/2004 (Tuesday to Saturday) | 5 | Kunming, China | Air passage Subsistence Allowance / Others | 5,388 3,482 | 8,870 |
| B(8) | 9/11/2004 – 11/11/2004 (Tuesday to Thursday) | 3 | Guangzhou, China | Subsistence Allowance / Others | 28,632 | 28,632 |
| B(9) | 21/11/2004 – 26/11/2004 (Sunday to Friday) | 6 | London, UK and Vienna, Austria | Hotel expenses Air passage Subsistence Allowance / Others | 10,184 52,500 7,789 | 70,473 |
| B(10) | 29/11/2004 – 1/12/2004 (Monday to Wednesday) | 3 | Guangzhou, China | Subsistence Allowance / Others | 4,607 | 4,607 |
| B(11) | 5/12/2004 – 10/12/2004 (Sunday to Friday) | 6 | Beijing, China | Air passage Subsistence Allowance / Others | 24,216 59,884 | 84,100 |

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|-------|---|-----------------|-------------------------------|--|-------------------|-------------------|
| B(12) | 4/9/2005 – 10/9/2005 (Sunday to Saturday) | 7 | Beijing and Zhengzhou, China | Air passage Subsistence Allowance / Others | 46,945 74,238 | 121,183 |
| B(13) | 14/9/2005 – 16/9/2005 (Wednesday to Friday) | 3 | Bangkok, Thailand | Air passage Subsistence Allowance / Others | 4,345 1,568 | 5,913 |
| B(14) | 9/12/2005 – 10/12/2005 (Friday to Saturday) | 2 | Shenzhen, China | Subsistence Allowance / Others | 1,583 | 1,583 |
| B(15) | 1/1/2006 (Sunday) | 1 | Macao, China | Subsistence Allowance / Others | 3,605 | 3,605 |
| B(16) | 6/4/2006 – 7/4/2006 (Thursday to Friday) | 2 | Guangzhou and Dongguan, China | Subsistence Allowance / Others | 16,546 | 16,546 |
| B(17) | 30/7/2006 – 2/8/2006 (Sunday to Wednesday) | 4 | Jakarta, Indonesia | Air passage Subsistence Allowance / Others | 5,460 936 | 6,396 |
| B(18) | 19/10/2006 – 20/10/2006 (Thursday to Friday) | 2 | Guangdong and Macao, China | Subsistence Allowance / Others | 7,048 | 7,048 |
| B(19) | 21/10/2006 – 27/10/2006 (Saturday to Friday) | 7 | Hebei and Beijing, China | Air passage Subsistence Allowance / Others | 84,966 112,123 | 197,089 |

Annex C

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|------|---|-----------------|--------------------------------------|--|-----------------------------|-------------------|
| C(1) | 8/1/2007 – 12/1/2007 (Monday to Friday) | 5 | Beijing, China | Air passage Subsistence Allowance / Others | 15,835 32,315 | 48,150 |
| C(2) | 21/1/2007 – 23/1/2007 (Sunday to Tuesday) | 3 | Guangzhou and Shenzhen, China | Subsistence Allowance / Others | 24,908 | 24,908 |
| C(3) | 8/2/2007 (Thursday) | 1 | Macao, China | Subsistence Allowance / Others | 2,198 | 2,198 |
| C(4) | 19/2/2007 – 24/2/2007 (Monday to Saturday) | 6 | London, UK and Amsterdam, Netherland | Hotel expenses Air passage Subsistence Allowance / Others | 46,194 184,714 53,543 | 284,451 |

Annex D

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|-------|--|-----------------|--|--|-----------------------------|-------------------|
| D(1) | 23/10/2007 – 27/10/2007 (Tuesday to Saturday) | 5 | Sydney, Australia | Hotel expenses Air passage Subsistence Allowance / Others | 20,205 16,310 15,101 | 51,616 |
| D(2) | 20/11/2007 – 23/11/2007 (Tuesday to Friday) | 4 | Bali, Indonesia | Hotel expenses Air passage Subsistence Allowance / Others | 35,825 32,256 22,255 | 90,336 |
| D(3) | 16/12/2007 – 22/12/2007 (Sunday to Saturday) | 7 | Beijing, Nanjing and Suzhou, China | Hotel expenses Air passage Subsistence Allowance / Others | 9,914 42,651 74,347 | 126,912 |
| D(4) | 14/1/2008 – 17/1/2008 (Monday to Thursday) | 4 | Guangzhou, Zhuhai and Macao, China | Subsistence Allowance / Others | 50,734 | 50,734 |
| D(5) | 21/1/2008 – 22/1/2008 (Monday to Tuesday) | 2 | Shenzhen, China | Subsistence Allowance / Others | 110,718 | 110,718 |
| D(6) | 25/5/2008 – 29/5/2008 (Sunday to Thursday) | 5 | Beijing and Guangxi, China | Hotel expenses Air passage Subsistence Allowance / Others | 8,995 45,891 44,069 | 98,955 |
| D(7) | 2/10/2008 – 9/10/2008 (Thursday to Thursday) | 8 | Kiev, Ukraine, London and Cambridge, UK | Hotel expenses Air passage Subsistence Allowance / Others | 28,796 290,814 80,327 | 399,937 |
| D(8) | 10/11/2008 – 12/11/2008 (Monday to Wednesday) | 3 | Manila, Philippine | Hotel expenses Air passage Subsistence Allowance / Others | 13,154 9,697 5,512 | 28,363 |
| D(9) | 14/11/2008 – 17/11/2008 (Friday to Monday) | 4 | Hangzhou, China | Air passage Subsistence Allowance / Others | 14,336 27,344 | 41,680 |
| D(10) | 15/12/2008 – 16/12/2008 (Monday to Tuesday) | 2 | Shenzhen, China | Subsistence Allowance / Others | 9,126 | 9,126 |
| D(11) | 11/1/2009 – 17/1/2009 (Sunday to Saturday) | 7 | Beijing, Kunming and Lijiang, China | Hotel expenses Air passage Subsistence Allowance / Others | 14,527 41,842 81,879 | 138,248 |
| D(12) | 8/6/2009 – 9/6/2009 (Monday to Tuesday) | 2 | Guangzhou and Shenzhen, China | Subsistence Allowance / Others | 20,771 | 20,771 |
| D(13) | 29/8/2009 – 5/9/2009 (Saturday to Saturday) | 8 | London and Cambridge, UK and Vienna, Austria | Hotel expenses Air passage Subsistence Allowance / Others | 16,391 119,450 75,565 | 211,406 |

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|-------|--|-----------------|---------------------------------|---|-----------------------------|-------------------|
| D(14) | 30/9/2009 – 2/10/2009 (Wednesday to Friday) | 3 | Beijing, China | Air passage | 4,591 | 4,591 |
| D(15) | 13/10/2009 – 15/10/2009 (Tuesday to Thursday) | 3 | Beijing, China | Hotel expenses Air passage Subsistence Allowance / Others | 5,677 23,779 36,568 | 66,024 |
| D(16) | 8/11/2009 – 10/11/2009 (Sunday to Tuesday) | 3 | Macao, China | Hotel expenses Subsistence Allowance / Others | 6,232 103,109 | 109,341 |
| D(17) | 23/11/2009 – 25/11/2009 (Monday to Wednesday) | 3 | Hanoi, Vietnam | Hotel expenses Air passage Subsistence Allowance / Others | 10,168 19,543 5,673 | 35,384 |
| D(18) | 20/12/2009 – 23/12/2009 (Sunday to Wednesday) | 4 | Taipei, Taiwan | Air passage Subsistence Allowance / Others | 31,278 65,339 | 96,617 |
| D(19) | 8/4/2010 – 14/4/2010 (Thursday to Wednesday) | 7 | Salvador, Brazil and London, UK | Hotel expenses Air passage Subsistence Allowance / Others | 15,199 278,589 26,021 | 319,809 |
| D(20) | 16/5/2010 – 23/5/2010 (Sunday to Sunday) | 8 | Beijing and Chengdu, China | Hotel expenses Air passage Subsistence Allowance / Others | 8,240 50,473 103,480 | 162,193 |
| D(21) | 10/6/2010 – 13/6/2010 (Thursday to Sunday) | 4 | Shanghai | Hotel expenses Air passage Subsistence Allowance / Others | 5,838 28,849 63,942 | 98,629 |
| D(22) | 2/7/2010 – 4/7/2010 (Friday to Sunday) | 3 | Guangzhou, China | Subsistence Allowance / Others | 37,208 | 37,208 |
| D(23) | 25/8/2010 – 28/8/2010 (Wednesday to Saturday) | 4 | Harbin, China | Hotel expenses Air passage Subsistence Allowance / Others | 51,530 107,980 23,333 | 182,843 |
| D(24) | 19/9/2010 – 21/9/2010 (Sunday to Tuesday) | 3 | Beijing, China | Air passage Subsistence Allowance / Others | 14,241 11,100 | 25,341 |
| D(25) | 2/11/2010 – 5/11/2010 (Tuesday to Friday) | 4 | Macao, China | Subsistence Allowance / Others | 215,151 | 215,151 |
| D(26) | 12/12/2010 – 13/12/2010 (Sunday to Monday) | 2 | Macao, China | Subsistence Allowance / Others | 11,544 | 11,544 |
| D(27) | 17/1/2011 – 19/1/2011 (Monday to Wednesday) | 3 | Singapore | Air passage Subsistence Allowance / Others | 28,494 29,185 | 57,679 |

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|-------|--|-----------------|-----------------------------|--|-----------------------------|-------------------|
| D(28) | 8/5/2011 – 10/5/2011 (Sunday to Tuesday) | 3 | Doha, Qatar | Air passage Subsistence Allowance / Others | 122,660 11,382 | 134,042 |
| D(29) | 31/5/2011 – 2/6/2011 (Tuesday to Thursday) | 3 | Bangkok, Thailand | Hotel expenses Air passage Subsistence Allowance / Others | 17,510 24,413 8,869 | 50,792 |
| D(30) | 22/6/2011 – 26/6/2011 (Wednesday to Sunday) | 5 | Beijing and Changsha, China | Hotel expenses Air passage Subsistence Allowance / Others | 7,209 51,877 67,506 | 126,592 |
| D(31) | 2/7/2011 – 4/7/2011 (Saturday to Monday) | 3 | Shanghai, China | Air passage Subsistence Allowance / Others | 37,624 25,078 | 62,702 |
| D(32) | 14/9/2011 – 17/9/2011 (Wednesday to Saturday) | 4 | Beijing and Tianjin, China | Air passage Subsistence Allowance / Others | 30,725 37,025 | 67,750 |
| D(33) | 19/10/2011 – 27/10/2011 (Wednesday to Thursday) | 9 | Marrakesh, Morocco | Hotel expenses Air passage Subsistence Allowance / Others | 76,667 455,272 61,524 | 593,463 |
| D(34) | 20/6/2012 – 22/6/2012 (Wednesday to Friday) | 3 | Beijing, China | Air passage Subsistence Allowance / Others | 38,058 33,057 | 71,115 |

Annex E

| Item | Date of duty visit | Duration (Days) | Destination | Expenses | Amount(\$) | Total amount (\$) |
|------|--|-----------------|------------------------|--|----------------------------|-------------------|
| E(1) | 27/7/2012 – 29/7/2012 (Friday to Sunday) | 3 | Guangzhou, China | Subsistence Allowance / Others | 38,600 | 38,600 |
| E(2) | 12/9/2012 – 15/9/2012 (Wednesday to Saturday) | 4 | Beijing, China | Air passage Subsistence Allowance / Others | 48,453 67,065 | 115,518 |
| E(3) | 19/9/2012 – 20/9/2012 (Wednesday to Thursday) | 2 | Macao, China | Subsistence Allowance / Others | 28,539 | 28,539 |
| E(4) | 3/10/2012 – 7/10/2012 (Wednesday to Sunday) | 5 | Kuala Lumpur, Malaysia | Hotel expenses Air passage Subsistence Allowance / Others | 42,996 33,345 23,296 | 99,637 |

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC014

Question Serial No.

1534

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 000 Operational expenses

Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please state the amount of non-accountable entertainment allowances received by the 5 ICAC Commissioners from 2002 to 2012. Please also state the number of occasions on which Timothy HM TONG presented government officials of different places with gifts paid out of public funds during his tenure and the actual amount on each occasion.

Asked by: Hon. KWOK, Dennis

Reply:

The Commissioner, Independent Commission Against Corruption (ICAC) is not entitled to non-accountable entertainment allowance. All entertainment expenditure in relation to the Commissioner, ICAC should be accountable.

The information in connection with gifts paid out of public funds to government organisations / officials of different places during the tenure of Timothy TONG, ex-Commissioner is listed below:

| <u>Financial year</u> | <u>Number of occasions*</u> | <u>Total amount (\$)</u> | <u>Range of actual amount for each occasion (\$)</u> |
|-----------------------|-----------------------------|--------------------------|--|
| 2007-08 | 19 | 40,000 | 360 – 11,430 |
| 2008-09 | 18 | 32,000 | 400 – 7,460 |
| 2009-10 | 29 | 49,000 | 180 – 9,880 |
| 2010-11 | 42 | 70,000 | 180 – 11,330 |
| 2011-12 | <u>28</u> | <u>29,000</u> | 90 – 6,550 |
| Grand Total: | 136 | 220,000 | |

* Each activity or duty visit as conducted by the Commissioner is counted as one occasion. In each activity or duty visit, the Commissioner may present souvenirs to more than one organisation and/or more than one person.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 3.4.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC015

Question Serial No.

1831

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title): 000 Operational expenses

Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Concerning the current manpower situation of the Independent Commission Against Corruption (ICAC), please provide information on:

- (a) The percentage of pay increase for ICAC staff at different levels in 2013-2014;
- (b) The number of staff who get corresponding pay increase;
- (c) The total expenditure under the above initiative;
- (d) The wastage rates and the actual wastage figures of staff of all ranks in the past 5 years (2008-09, 2009-10, 2010-11, 2011-12, 2012-13);
- (e) In view of the increasing complexity of investigations, the ICAC stated that professional capabilities of investigating officers will be enhanced through enrichment of the induction training programmes; please provide details of the initiative, the manpower and the expenditure involved.

Asked by: Hon. TIEN Puk-sun, Michael

Reply:

- (a) The pay increase for ICAC staff in 2013-14 is estimated based on the 2012-13 Civil Service Pay Adjustment. The pay increase for staff in the directorate and upper salary band is 5.26% and that for staff in the middle and lower salary bands is 5.80%.
- (b) The estimate for 2013-14 is based on the information available as at 30 September 2012. The number of ICAC staff as at that date with pay increase is 1 294.
- (c) Arising from the 2012-13 Civil Service Pay Adjustment, the requirement for pay increase in ICAC is estimated to be \$38.31M in 2013-14.

- (d) Wastage includes normal wastage (reaching retirement age) and premature wastage (not reaching retirement age). The actual wastage figures and wastage rates of staff of all ranks in the past 5 years (2008, 2009, 2010, 2011 and 2012) are tabulated below:

| | 2008 | | | 2009 | | | 2010 | | | 2011 | | | 2012 | | |
|--|-----------|-------------|------------------|-----------|-------------|------------------|-----------|-------------|------------------|-----------|-------------|------------------|-----------|-------------|------------------|
| | Wastage | Strength | Wastage Rate (%) | Wastage | Strength | Wastage Rate (%) | Wastage | Strength | Wastage Rate (%) | Wastage | Strength | Wastage Rate (%) | Wastage | Strength | Wastage Rate (%) |
| Directorate Officer | 1 | 13 | 7.7% | 1 | 13 | 7.7% | 2 | 12 | 16.7% | 4 | 13 | 30.8% | 2 | 12 | 16.7% |
| <i>Non-Directorate Departmental Grade Officers</i> | | | | | | | | | | | | | | | |
| SCACO | 5 | 37 | 13.5% | 3 | 36 | 8.3% | 3 | 37 | 8.1% | 3 | 36 | 8.3% | 3 | 39 | 7.7% |
| CACO(U) | 8 | 104 | 7.7% | 6 | 104 | 5.8% | 6 | 102 | 5.9% | 4 | 103 | 3.9% | 7 | 98 | 7.1% |
| CACO(M/L) | 21 | 339 | 6.2% | 8 | 354 | 2.3% | 17 | 373 | 4.6% | 17 | 369 | 4.6% | 16 | 356 | 4.5% |
| ACACO | 42 | 300 | 14.0% | 29 | 338 | 8.6% | 21 | 324 | 6.5% | 23 | 374 | 6.1% | 24 | 369 | 6.5% |
| SFA / FA | - | - | - | - | - | - | - | - | - | - | - | - | 0 | 4 | 0.0% |
| Surveillance Grade | 3 | 114 | 2.6% | 3 | 111 | 2.7% | 5 | 114 | 4.4% | 8 | 114 | 7.0% | 4 | 113 | 3.5% |
| <i>Sub-total</i> | <i>79</i> | <i>894</i> | <i>8.8%</i> | <i>49</i> | <i>943</i> | <i>5.2%</i> | <i>52</i> | <i>950</i> | <i>5.5%</i> | <i>55</i> | <i>996</i> | <i>5.5%</i> | <i>54</i> | <i>979</i> | <i>5.5%</i> |
| General Grades | 13 | 292 | 4.5% | 14 | 307 | 4.6% | 11 | 305 | 3.6% | 24 | 312 | 7.7% | 17 | 306 | 5.6% |
| Total | 93 | 1199 | 7.8% | 64 | 1263 | 5.1% | 65 | 1267 | 5.1% | 83 | 1321 | 6.3% | 73 | 1297 | 5.6% |

Legend

SCACO : Senior Commission Against Corruption Officer
CACO(U) : Commission Against Corruption Officer (Upper)
CACO(M/L) : Commission Against Corruption Officer (Middle / Lower)
ACACO : Assistant Commission Against Corruption Officer
SFA / FA : Senior Forensic Accountant / Forensic Accountant

- (e) The Independent Commission Against Corruption (ICAC) will regularly review the induction training for ACACOs and CACOs(M/L) to ensure that the training strengthens the officers' professionalism and caters for the investigative and operational requirements. At present, the induction for ACACOs consists of 3 stages covering 10, 3 and 4 weeks respectively. CACOs(M/L) receive a 14-week induction training course at the time of joining that includes leadership and management elements to prepare them for a junior leadership role. After 12 months' on-the-job training, they attend a 3-week Command Course to build on their experience. The above arrangements better merge the theory and practical experience to achieve the best results of training.

All newly recruited ACACOs and CACOs(M/L) undergo intensive training on a wide range of subjects, including law, rules of evidence, computer forensics, financial investigation, cognitive interview techniques, use of firearms, regulations and procedures, communication skills, and other subjects to enhance their professional portfolio. To further prepare the trainees for challenges at work, understanding of law enforcement, personal development and team building, and practical exercises are emphasized in induction training.

The Training and Development Group under the Operations Department of the ICAC provides induction training for new recruits as set out above and continuous professional training for serving officers to cope with the strategies of the Commission. Headed by 1 SCACO, the Group is staffed by 2 CACOs(U), 15 CACOs(M/L) and 2 ACACOs. The estimated salary expenditure for 2013-14 is \$14.70M. 1 CACO(U) and 8 CACOs(M/L) are responsible for preparation and teaching duties in respect of the inducting training. The ICAC will also engage external organisations for providing training on team building, stress management, personal effectiveness, etc. as and when required. The training expenditure in this respect for 2013-14 is estimated to be \$110,000.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC016

Question Serial No.

2090

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In relation to the matters requiring special attention in 2013-14, the Independent Commission Against Corruption (ICAC) will compile and promulgate a practical corruption prevention guide for Chinese medicine practitioners and seek collaboration with the trade through a representative professional association. Please advise this Committee on:

- (a) Does the compilation of this corruption prevention guide for Chinese medicine practitioners indicate the existence of corruption cases in the trade?
- (b) What are the numbers of reports and prosecutions involved in the past 3 years (namely, 2010-11, 2011-12 and 2012-13)?
- (c) When will the guide be published and what is the expenditure for the whole project?

Asked by: Hon. YICK Chi-ming, Frankie

Reply:

- (a) The compilation of a corruption prevention guide for Chinese medicine practitioners (CMPs) does not mean that there are serious corruption problems in the trade. In fact, Chinese medicine services have become increasingly popular in Hong Kong, with over 9,000 registered and listed CMPs. The ICAC compiles this Corruption Prevention Guide to point out the corruption risks and integrity issues that the CMPs may face during their provision of services to patients and management of clinic operations. Effective measures will also be recommended in the Guide to help the CMPs raise their awareness of corruption prevention and prevent the profession's reputation from being tarnished by corruption or other malpractice.
- (b) In 2010, 2011 and 2012, the ICAC respectively received 3, 4 and 5 corruption complaints related to the Chinese medicine practice. No prosecution was made in respect of corruption complaints related to the Chinese medicine practice in the aforesaid years.
- (c) The ICAC will promulgate the Guide in the fourth quarter of 2013. The Guide will also be published at the ICAC's website for the reference and downloading of all interested parties. The ICAC will deploy internal resources to complete this task.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC017

Question Serial No.

2270

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The provision for 2013-14 is \$36.1M (5.4%) higher than the revised estimate for 2012-13 mainly due to creation of 23 posts for strengthening investigation capabilities and operational support. What are the reasons for creation of such posts? Are they related to complaints against the Government? Also, what are the ranks and salaries of the posts concerned?

Asked by: Hon. LIAO Cheung-kong, Martin

Reply:

The rank and job nature of the 23 posts to be created under Programme(2) of the ICAC in 2013-14 are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer (SCACO), 1 Commission Against Corruption Officer (Upper) [CACO(U)], 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary (PS) I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

The salary for the aforesaid posts is as follows:

- SCACO: ICAC Pay Scale Points 43-44a (i.e. \$101,755 - \$109,365)
- CACO(U): ICAC Pay Scale Points 36-42 (i.e. \$78,200 - \$97,660)
- CACO(M/L): ICAC Pay Scale Points 12-35 (i.e. \$28,860 - \$75,140)
- ACACO: ICAC Pay Scale Points 4-14 (i.e. \$17,065 - \$30,455)
- PS I: Master Pay Scale Points 16-21 (i.e. \$24,715 - \$31,525)

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC018

Question Serial No.

2271

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (3) Preventive Education; (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Provision for 2013-14 is 8.0% higher than the revised estimate for 2012-13 and one of the reasons is the increased expenditure on publicity activities. What is the reason for stepping up the publicity efforts and what is the annual expenditure for the above publicity activities?

Asked by: Hon. LIAO Cheung-kong, Martin

Reply:

Year 2014 will be the 40th Anniversary of the ICAC. The Community Relations Department will take the opportunity to remind citizens of the evils of corruption and call on the public to cherish the anti-corruption achievements jointly accomplished with the ICAC. To this end, the CRD will step up its publicity programmes in 2013/14. On top of collaboration with the 18 District Councils (DCs) in organising anti-corruption publicity projects, district organisations will also be invited to organise activities to promote integrity messages to their members. A mobile exhibition truck will be arranged to visit locations with high visitor flow as well as schools across the territory to publicise the evils of corruption and the work of the ICAC. In addition, the CRD will produce a 5-episode "ICAC Investigators 2014" television drama (with each episode extended from 1 hour to 1.5 hour). Besides, it will collaborate with the Hong Kong International Film Festival to organise a series of activities on the past "ICAC Investigators" drama series and also a premiere for the new television drama series.

The estimate for CRD's publicity activities for 2013-14 is \$20 m, while the expenditure for the past three years is stated below:

2012-13: \$16.50 m (revised estimate)
2011-12: \$17.09 m (actual expenditure)
2010-11: \$13.89 m (actual expenditure)

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC019

Question Serial No.

2526

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under the Ethical Leadership Programme, a joint initiative with the Civil Service Bureau (CSB), the Independent Commission Against Corruption (ICAC) reviews codes of conduct to ensure that they are in line with public expectation. In this regard, would the authority concerned provide the following information:

- (a) Please provide the respective numbers of corruption complaints involving government departments and public bodies in the past 3 years (i.e. from 2010-2011 to 2012-2013);
- (b) In which years were the codes of conduct of the respective departments formulated? Have they ever been reviewed?
- (c) What specific tasks will be carried out by the authority concerned under the Ethical Leadership Programme in 2013-2014?

Asked by: Hon. POON Siu-ping

Reply:

- (a) In 2010, 2011 and 2012, the ICAC received 1 057, 1 117 and 1 192 corruption complaints involving government departments and 231, 229 and 257 corruption complaints involving public bodies respectively.
- (b) In 2000, the ICAC and the CSB launched a Sample Guide on Conduct and Discipline and assisted departments in drafting or updating their internal guidelines on conduct and discipline in line with their circumstances and operational needs.

All along, departments regularly review and update their internal guidelines taking into account those guidelines applicable to civil servants issued by the Administration. In reviewing their guidelines, the departments seek assistance from the ICAC and the CSB as and when required. When conducting corruption prevention studies for individual departments, the Corruption Prevention Department (CPD) also reviews the need to revise their internal guidelines.

To further enhance civil service integrity to meet public expectation, the ICAC will, in collaboration with the CSB, update the Sample Guide on Conduct and Discipline and proactively assist departments in reviewing their internal guidelines on conduct and discipline and help individual departments incorporate relevant content into their guidelines in light of their operational requirement.

(c) The ICAC has joined hands with the CSB to launch the Ethical Leadership Programme for civil servants since 2007, with a view to entrenching integrity among civil servants through the leadership of the senior management of government bureaux/departments. Under the programme, directorate officers appointed by government bureaux/departments would act as Ethics Officers (EOs) responsible for leading and co-ordinating integrity promotion projects within their organisations, with Assistant Ethical Officers (AEOs) assisting in carrying out the relevant work. We now have a network of about 160 EOs and AEOs. In 2013-14, the ICAC and the CSB will provide them with the following support:

- organise thematic workshops for exchanges of experience among bureaux and departments on topics such as integrity, conduct and discipline;
- apart from the joint initiative of the CPD and the CSB in actively assisting government departments in reviewing their internal guidelines on conduct and discipline stated in (b) above, the Community Relations Department (CRD) has been co-operating with government departments to enhance civil servants' awareness of corruption risks and related laws and regulations through publicity and training, in particular to enhance their alertness to conflict of interest and the offence of misconduct in public office. In 2012, CRD produced a "Reference Package on Conflict of Interest for Managers in the Civil Service" and promoted the package to departments through the Ethics Officers. During 2012-13, the CRD had visited 26 government departments and joined hands with their management to examine their work nature and areas conducive to conflict of interest and to devise suitable training programmes for their staff. In 2013-14, the ICAC will continue to contact Ethics Officers and management of remaining bureaux and departments by various means for provision of services. Moreover, newly-produced comics and messages on the theme of managing conflict of interest will be uploaded onto "Online Community for Ethics Officers" for publication in staff newsletters or intranets of the departments; and
- meet with the senior management of individual bureaux/departments to jointly examine the departments' work on corruption prevention and conduct and discipline, and assist them in promoting integrity management in their departments to entrench a probity culture.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 26.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC020

Question Serial No.

2908

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

As regards the compilation of a practical corruption prevention guide for Chinese medicine practitioners, please provide information on:

- (a) whether serious corruption problems were identified in the area of Chinese medicine?
- (b) the specific details of the compilation work?
- (c) the required expenditure and the breakdown details?

Asked by: Hon. IP Kwok-him

Reply:

- (a) The compilation of a corruption prevention guide for Chinese medicine practitioners (CMPs) does not mean that there are serious corruption problems in the trade. In fact, Chinese medicine services have become increasingly popular in Hong Kong, with over 9,000 registered and listed CMPs. The ICAC compiles this Corruption Prevention Guide to point out the corruption risks and integrity issues that the CMPs may face during their provision of services to patients and management of clinic operations. Effective measures will also be recommended in the Guide to help the CMPs raise their awareness of corruption prevention and prevent the profession's reputation from being tarnished by corruption or other malpractice.
- (b) In drafting the Guide, the ICAC will consult a representative Chinese medicine association, the Hospital Authority and the three local universities providing Chinese medicine degree courses so as to enhance the practicability of the Guide. The Guide will be promulgated in the fourth quarter of 2013 and published at the ICAC's website for the reference and downloading of all interested parties.
- (c) The ICAC will deploy internal resources to complete the task.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 20.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC021

Question Serial No.

2910

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

To mark the 40th Anniversary of the Independent Commission Against Corruption (ICAC), the Commission will launch a two-year integrity promotion programme in collaboration with the District Councils (DCs). Please provide information on:

- (a) the details of the joint programme to be conducted with the District Councils;
- (b) the expenditure to be incurred in the programme and a breakdown of the details.

Asked by: Hon. IP Kwok-him

Reply:

- (a) Starting from mid 2013, the Community Relations Department (CRD) will launch a two-year publicity programme to mark the 40th Anniversary of the ICAC in 2014. The programme will include district activities to be jointly organised with the 18 DCs in 2013-14 with a view to demonstrating the concerted efforts made by the ICAC and the community throughout the 40 years in the fight against corruption. Components will include multi-faceted educational activities to promote integrity among students and community involvement projects under which district organisations will be invited to organise activities to promote integrity messages to their members. To enhance effectiveness of the projects, the CRD will hold a launching ceremony to kick off a series of district activities in late 2013. Representatives of district organisations from the 18 districts will be invited to attend the ceremony to demonstrate their determination to safeguard probity as the core value of Hong Kong. A mobile exhibition truck will also be arranged to visit locations with high visitor flow as well as schools across the territory to publicise the evils of corruption and the work of the ICAC.
- (b) The expenditure for the anti-corruption activities to be held in the 18 districts is estimated to be \$2.2 m, out of which \$0.7 m will be sponsored by the DCs. The CRD will also earmark \$1.3 m for the mobile exhibition truck.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC022

Question Serial No.

2911

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Commission has proposed to strengthen its manpower to cope with the increasing number of complaints and complexity of investigations. Please advise on:

- (a) What is the estimated increase in number of complaints and what is the reason for such an increase?
- (b) How many additional officers are required?
- (c) What are the required expenditure and itemised breakdown corresponding to the increase in manpower?

Asked by: Hon. IP Kwok-him

Reply:

- (a) There has been an increase in the number of complaints in recent years. As illustration, 2 535, 2 733, 3 074 and 2 950 pursuable corruption complaints (excluding election-related complaints) were respectively received from 2009 to 2012. The number of election-related pursuable complaints was 210, 35, 554 and 2 377 respectively. The quantity of corruption complaints is affected by various reasons and fluctuates with the circumstances each year. It is therefore not possible to estimate the number of corruption complaints. Rather than the quantity, the increasing complexity of the matters involved in corruption complaints is a more important reason for the increasing workload of investigating officers. There is hence a practical need to strengthen the manpower to cope with the investigative work.
- (b) and (c) The Independent Commission Against Corruption (ICAC) will newly create 23 posts under Programme (2) in 2013-14, and the rank and job nature of the posts are as follows:
 - 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations
 - 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer, 1 Commission Against Corruption Officer (Upper), 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant

Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.

- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

The estimated expenditure for these 23 newly created posts is \$12.75M in 2013-14.

The salary for the aforesaid posts is as follows:

- SCACO: ICAC Pay Scale Points 43-44a (i.e. \$101,755 - \$109,365)
- CACO(U): ICAC Pay Scale Points 36-42 (i.e. \$78,200 - \$97,660)
- CACO(M/L): ICAC Pay Scale Points 12-35 (i.e. \$28,860 - \$75,140)
- ACACO: ICAC Pay Scale Points 4-14 (i.e. \$17,065 - \$30,455)
- PS I: Master Pay Scale Points 16-21 (i.e. \$24,715 - \$31,525)

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

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| ICAC023 |
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Question Serial No.

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| 3650 |
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Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Regarding information on the departmental records management work in the past 3 years (as at 2012):

1. The number and ranks of staff who are specially responsible for records management in your department; if there are no dedicated records management staff, please provide the number of staff involved in records management, the number of hours relating to records management work and the scope of concurrent duties that need to be taken up;
2. Please provide in the following table the information on programme records and administrative records which have been closed and are pending transfer to the Government Records Service (GRS) for appraisal;

| Type of record | Years covered by the record | Number of records and their linear metres | Approved retention period by GRS | Confidential document or not? |
|----------------|-----------------------------|---|----------------------------------|-------------------------------|
| | | | | |

3. Please provide in the following table the information on programme records and administrative records transferred to GRS for retention;

| Type of record | Years covered by the record | Number of records and their linear metres | Year of record transferred to GRS | Approved retention period by GRS | Confidential document or not? |
|----------------|-----------------------------|---|-----------------------------------|----------------------------------|-------------------------------|
| | | | | | |

4. Please provide in the following table the information on records approved by GRS for destruction;

| Type of record | Years covered by the record | Number of records and their linear metres | Year of record transferred to GRS | Approved retention period by GRS | Confidential document or not? |
|----------------|-----------------------------|---|-----------------------------------|----------------------------------|-------------------------------|
| | | | | | |

Asked by: Hon. HO Sau-lan, Cyd

Reply:

- (1) In view of the large amount of classified and sensitive information which needs to be handled by the Independent Commission Against Corruption (ICAC), two managerial staff members of the Senior Commission Against Corruption Officer rank are designated as Departmental Records Managers and officers of the Commission Against Corruption Officer or Executive Officer grades are designated as Assistant Departmental Records Managers in different departments. Besides, practical support is also provided by staff of the Clerical Officer grades of the General Registries/Confidential Registries of respective departments. Most of the officers responsible for records management in the Commission have received training provided by the GRS on areas of creation, classification, storage, protection and disposal of records, etc. In addition, the ICAC also arranges for its clerical staff to receive similar training to promote experience sharing and exchanges.
- (2) In the past 3 years, the information on ICAC's programme records and administrative records which have been closed and are pending transfer to the GRS for appraisal is as follows –

| Type of record | Years covered by the record | Number of records and their linear metres | Approved retention period by GRS | Confidential document or not? |
|------------------------|-----------------------------|---|---|---------------------------------|
| Administrative records | 1974 – 2012 | 7,696 (212.24 linear metres) | In accordance with the retention period for different administrative records as stipulated in GRS' General Administrative Records Disposal Schedules (GARDS). | Confidential documents included |
| Programme records | Not applicable* | | | |

*Note: The ICAC has proposed 27 disposal schedules for the programme records which are awaiting approval from the GRS. Therefore, no programme records are pending transfer to the GRS for appraisal.

- (3) In the past 3 years, the ICAC did not transfer any programme records and administrative records to GRS for retention.

*Note: The ICAC started following the mandatory records management requirements set out by the GRS in late 2011. The ICAC used to take into consideration the need for confidentiality, practical operational situations and relevant statutory requirements (e.g. the Personal Data (Privacy) Ordinance), etc. in formulating its records management policies. The ICAC is implementing the regulations and guidelines of the GRS.

- (4) In the past 3 years, the information on ICAC's records approved by GRS for destruction is as follows –

| Type of record | Years covered by the record | Number of records and their linear metres | Year of record transferred to GRS | Approved retention period by GRS | Confidential document or not? |
|--|-----------------------------|--|-----------------------------------|--|-------------------------------|
| Used books of stores vouchers/ control-numbered stores forms | 3.4.2000 – 18.3.2005 | 52 Books of Completed Stores Vouchers (1.95 linear metres) | Nil | According to GARDS, 7 years after the end of the financial year containing the last entry, or earlier subject to approval from the Director of Accounting Services | No |

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC024

Question Serial No.

3778

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In view of increasing construction activities, the Corruption Prevention Department (CPD) will assist the Housing Authority in strengthening its site supervision arrangements for the construction of public housing estates in 2013-14 to ensure that they are corruption resistant. Would the CPD provide details on this area of work as well as the manpower and expenditure involved in the past and in the coming year?

Asked by: Hon. LAM Tai-fai

Reply:

To reduce the corruption risk, CPD has been reviewing the procedures of the Housing Authority through the Housing Department over the years. These procedures include the letting and administration of works contracts. In the past five years, CPD completed nine assignment studies on works related procedures for the Housing Department.

As regards the corruption prevention work in 2013-14, CPD has formulated the following work plan to address the corruption risks in site supervision arising from the increasing construction of public housing:

- (a) Conduct a series of assignment studies on the procedures adopted by the Housing Department for site supervision with a view to identifying any weaknesses and making recommendations to help ensure that the procedures are corruption resistant. The assignment studies will cover the site supervision arrangement for the construction of piling foundations and superstructures of buildings. To assist the Housing Department in strengthening the corruption prevention measures before the increase in construction activities, CPD has issued the assignment study report on site supervision of superstructures to the Housing Department in early 2013.
- (b) Conduct integrity management workshops for the site supervisory staff of the Housing Department, consultants and contractors involved in public housing construction with a view to enhancing their integrity standard and awareness of corruption prevention.

CPD will complete the above corruption prevention work through the re-deployment of internal resources.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 20.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC025

Question Serial No.

3779

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

To cope with the increasing number of complaints and complexity of investigations, how much will be the additional manpower and its estimated expenditure for the Operations Department in 2013-14? How many Investigators in the current establishment are tasked with financial investigation as well as investigation into money laundering, asset recovery and election?

Asked by: Hon. LAM Tai-fai

Reply:

Under Programme(2) in 2013-14, the Independent Commission Against Corruption (ICAC) will create 23 new posts, and the rank and job nature are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer (SCACO), 1 Commission Against Corruption Officer (Upper) [CACO(U)], 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

The estimated expenditure for these 23 newly created posts is \$12.75M in 2013-14.

At present, the Forensic Accounting (FA) Group under the Operations Department of the ICAC is responsible for financial investigations. If money laundering is revealed during the investigations, FA Group will follow up the investigations as well. The establishment of FA Group includes 1 Chief Forensic Accountant, 2 Senior Forensic Accountants and 8 Forensic Accountants. Separately, the Proceeds of Crime Section under the Operations Department is responsible for dealing with restraint, disclosure and confiscation of assets under the Organized and Serious Crimes Ordinance (Cap. 455). The establishment includes 1 CACO(U) and 1 CACO(M/L). Investigations into election-related complaints are conducted by X Group of the Operations Department. The establishment includes 1 SCACO, 4 CACOs(U), 16 CACOs(M/L) and 24 ACACOs.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC026

Question Serial No.

3848

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Would the authority concerned advise this Committee on: details of duty visits outside Hong Kong made by the Commissioners in the past 2 years (2011-12 to 2012-13) and planned to be made in 2013-14 by specifying the purpose, destination, duration, expenditure, and number of accompanying officers, etc. for such duty visits?

Asked by: Hon. FUNG Kin-kee, Frederick

Reply:

Details of duty visits outside Hong Kong made by the Commissioners in the past 2 years (2011-12 to 2012-13) and planned to be made in 2013-14 are tabulated below:

FY 2011-2012

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Expenditure for Commissioner (\$) |
|---|-----------------|-----------------------------|-----------------------------------|---|-----------------------------------|
| Mr Timothy HM TONG as Commissioner | | | | | |
| 8/5/2011 – 10/5/2011 (Sunday to Tuesday) | 3 | Doha, Qatar | 4 | Attended the Executive Committee Meeting of the International Association of Anti-corruption Authorities (IAACA) | 34,739 |
| 31/5/2011 – 2/6/2011 (Tuesday to Thursday) | 3 | Bangkok, Thailand | 5 | Attended the conference on "Turning Point on Corruption and the Future of Thailand: A Hong Kong Case Study" | 10,502 |
| 22/6/2011 – 26/6/2011 (Wednesday to Sunday) | 5 | Beijing and Changsha, China | 8 | Visited the Ministry of Supervision in Beijing Visited Changsha in Hunan Province to meet with officials of local supervision and judicial authorities | 22,426 |
| 2/7/2011 – 4/7/2011 (Saturday to Monday) | 3 | Shanghai, China | 7 | Attended 3 rd Training Seminar of International Association of Anti-Corruption Authorities (IAACA) | 9,680 |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Expenditure for Commissioner (\$) |
|--|-----------------|----------------------------|-----------------------------------|---|-----------------------------------|
| 14/9/2011 – 17/9/2011 (Wednesday to Saturday) | 4 | Beijing and Tianjin, China | 4 | Visited the Supreme People's Procuratorate (SPP) in Beijing Visited the People's Procuratorate of Tianjin | 17,326 |
| 19/10/2011 – 27/10/2011 (Wednesday to Thursday) | 9 | Marrakesh, Morocco | 6 | Attended 5 th IAACA Annual Conference and General Meeting & The Fourth Session of the Conference of the States Parties to the United Nations Convention against Corruption | 83,772 |
| Total : 6 visits and total expenditure \$178,445 | | | | | |

FY 2012-2013

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Expenditure for Commissioner (\$) |
|--|-----------------|------------------------|-----------------------------------|--|-----------------------------------|
| Mr Timothy HM TONG as Commissioner | | | | | |
| 20/6/2012 – 22/6/2012 (Wednesday to Friday) | 3 | Beijing, China | 5 | Visited the Supreme People's Procuratorate (SPP), the Ministry of Supervision and the Chinese Academy of Social Sciences (CASS) | 16,237 |
| Mr Simon YL PEH as Commissioner | | | | | |
| 27/7/2012 – 29/7/2012 (Friday to Sunday) | 3 | Guangzhou, China | 6 | Officiated at the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited the Guangdong Provincial People's Procuratorate (GDPP) | 5,517 |
| 12/9/2012 – 15/9/2012 (Wednesday to Saturday) | 4 | Beijing, China | 7 | Visited the Supreme People's Procuratorate (SPP) and Ministry of Supervision (MoS), the Hong Kong and Macao Affairs Office of the State Council and Mainland academics of the Chinese Academy of Social Sciences | 18,958 |
| 19/9/2012 – 20/9/2012 (Wednesday to Thursday) | 2 | Macao, China | 6 | Attended a Macao Commission Against Corruption (CCAC) seminar which marks the 20th anniversary of Macao's anti-graft work and visit CCAC and the Macao Public Prosecutions Office | 4,061 |
| 3/10/2012 – 7/10/2012 (Wednesday to Sunday) | 5 | Kuala Lumpur, Malaysia | 5 | Attended the 6 th Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA) | 22,893 |

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Expenditure for Commissioner (\$) |
|---|-----------------|-----------------|-----------------------------------|---|-----------------------------------|
| 31/1/2013 (Thursday) | 1 | Shenzhen, China | 6 | Visited the Shenzhen Municipal People's Procuratorate | Nil |
| Total : 6 visits and total expenditure \$67,666 | | | | | |

FY 2013-2014

| Date of duty visit | Duration (Days) | Destination | No. of accompanying ICAC officers | Purpose of duty visit | Estimated expenditure for Commissioner (\$) |
|--|-----------------|------------------|-----------------------------------|---|---|
| Mr Simon YL PEH as Commissioner | | | | | |
| 7/4/2013 – 10/4/2013 (Sunday to Wednesday) | 4 | New Delhi, India | 4 | Will attend the Executive Committee meeting of the Executive Committee of the International Association of Anti-Corruption Authorities (IAACA) | 19,800 |
| 22/11/2013 – 24/11/2013 (Friday to Sunday) | 3 | Panama | 5 | Will attend the 7 th Executive Committee of the International Association of Anti-Corruption Authorities (IAACA) Annual Conference and General Meeting | 116,000 |
| Total : 2 visits and total expenditure \$135,800 | | | | | |

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 3.4.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC027

Question Serial No.

5009

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

What are the details on manpower allocation in the Independent Commission Against Corruption (ICAC) for investigation of pursuable reports relating to elections at all levels? What are the details on provision allocation, staff establishment and estimated effectiveness for the work concerned? Will any additional resources and manpower be provided for the department concerned to cope with the increased workload brought about by elections at all levels in future? If yes, what are the details?

Asked by: Hon. MOK, Charles Peter

Reply:

X Group under the Operations Department of the Independent Commission Against Corruption (ICAC) is responsible for investigating election-related corruption complaints. The establishment includes 1 Senior Commission Against Corruption Officer (SCACO), 4 Commission Against Corruption Officers (Upper) [CACOs(U)], 16 Commission Against Corruption Officers (Middle/Lower) [CACOs(M/L)] and 24 Assistant Commission Against Corruption Officers (ACACOs). The salary expenditure for 2013-14 is estimated to be \$23.93M. When processing relevant complaints, the Operations Department will suitably deploy resources based on practical needs in the first place to ensure that effective and thorough investigations are carried out. An illustration is that resources had been deployed to establish the Election Taskforce to centralise efforts in handling the large number of vote-rigging complaints related to the 2011 District Council Election between December 2011 and May 2012. Headed by 1 SCACO and 2 CACOs(U), the Taskforce comprised about 18 investigating officers at the rank of CACO(M/L) and ACACO. If the investigative work cannot be coped with through deployment of resources, the ICAC will seek additional resources through the established mechanism.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

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| ICAC028 |
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Question Serial No.

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| 5010 |
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Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please list the ranks and supervising departments for vacancies to be filled and the 23 additional posts. What percentage of estimated expenditure do the salaries involved account for? What are the salary increment range for each of the posts and the percentage of estimated expenditure that it accounts for?

Asked by: Hon. MOK, Charles Peter

Reply:

As at 1 March 2013, there were 78 vacancies in the Operations Department of the Independent Commission Against Corruption (ICAC) under Programme (2). Details are as follows:

| | Rank | No. of Vacancies |
|----------------------------|--|-------------------------|
| Directorate | Deputy Director of Operations Δ | 1 |
| | Chief Commission Against Corruption Officer Δ | 2 |
| Departmental Grades | Senior Commission Against Corruption Officer Δ | 1 |
| | Commission Against Corruption Officer (Upper) Δ | 9 |
| | Commission Against Corruption Officer (Middle / Lower) * | 5 |
| | Assistant Commission Against Corruption Officer * | 44 |
| | Forensic Accountant | 1 |
| | Commission Against Corruption Investigator (Main Stream) * | 12 |
| General Grades | Personal Secretary II * | 2 |
| | Special Photographer II * | 1 |
| Total | | 78 |

Δ Vacancies to be filled by officers of a lower rank through acting appointment

* Recruitment in progress

Separately, the 23 posts to be newly created in the ICAC in 2013-14 are under the Operations Department, and the rank and job nature are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years, namely 1 Senior Commission Against Corruption Officer, 1 Commission Against Corruption Officer (Upper), 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

In 2013-14, the salary expenditure for the aforesaid newly created posts is estimated to be \$12.75M, representing 1.8% of the total estimated expenditure of Programme (2). Increment will be granted annually according to the pay scales of respective posts. As the relevant posts will be newly created in 2013-14, no increment will be granted in the year.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC029

Question Serial No.

5011

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Government states in its Budget that the Independent Commission Against Corruption (ICAC) will develop and implement the New Generation Operations Department Information System to enhance information technology capabilities in support of investigation management. What are the estimated resources and total expenditure in relation to the setting up of this system? Apart from those for the development, have any resources been set aside for an assessment of the effects on privacy and information security? What are the relevant details? If not, why?

Asked by: Hon. MOK, Charles Peter

Reply:

The estimated expenditure for the development of the New Generation Operations Department Information System is \$57.46M. The funding proposal was approved by the Finance Committee of the Legislative Council in May 2011. The estimated expenditure covers the cost of hardware, software, and implementation service. The cost of the following assessments by the contractor is included:

- Security risk assessment and initial privacy impact assessment in the system analysis and design phase; and
- Security audit and final privacy impact assessment in the user acceptance testing phase.

The assessments and recommendations will be duly addressed before system rollout. It is anticipated that the system would come into operation in May 2015.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 27.3.2013

**CONTROLLING OFFICER'S REPLY TO
INITIAL WRITTEN QUESTION**

ICAC030

Question Serial No.

5342

Head: 72 – Independent Commission
Against Corruption

Subhead (No. & title):

Programme: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

- (a) It is indicated in the Controlling Officer's Report that the Independent Commission Against Corruption (ICAC) will sustain its partnership with local leaders in organising community activities. How many activities were conducted between 2010 and 2012?
- (b) Apart from organising activities with local leaders, did the Commission organise activities in collaboration with political parties? If not, please explain why activities were organised jointly with local leaders only.

Asked by: Hon. CHAN Ka-lok, Kenneth

Reply:

- (a) Between 2010 and 2012, the Community Relations Department (CRD) of the ICAC collaborated with the District Councils and district organisations in 18 districts to organise some 430 multi-faceted activities to promote probity messages. These activities included carnivals, seminars, exhibitions, various types of school projects and activities for young people.
- (b) The CRD normally does not co-organise district activities with political parties as our district partners are mainly the 18 District Councils. Nevertheless, when the branch offices of political parties at district level or individual ward offices of District Councillors considered it appropriate to disseminate probity messages through their activities, the Regional Offices of the ICAC would upon request provide them with relevant support such as loan of game sets/exhibits or provision of quiz games and souvenirs carrying anti-corruption messages. Besides, representatives of the ICAC had, upon the invitation of individual political parties' branches/ward offices, served as speakers in seminars organised by them to promote clean building management messages to owners' corporations and owners/residents.

Name in block letters: Simon YL PEH

Post Title: Commissioner, ICAC

Date: 21.3.2013