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Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2014-15

Director of Bureau : Commissioner, Independent Commission Against Corruption

Session No. : 10

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CONTROLLING OFFICER'S REPLY

ICAC001

(Question Serial No. 0335)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (000) Operational Expenses
Programme: (-) Not Specified
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 5)

The Independent Commission Against Corruption stated that the number of non-directorate posts will be increased by 45 to 1 459 as at 31 March 2015. Please inform this Committee of the nature of work, ranks and salaries of these new posts.

Asked by: Hon. SHEK Lai-him, Abraham

Reply:

The 45 posts include 4 Commission Against Corruption Officer (Upper) (CACO(U)), 6 Commission Against Corruption Officer (Middle/Lower) (CACO(M/L)), 2 Assistant Commission Against Corruption Officer (ACACO), 20 Assistant Clerical Officer (ACO) and 13 Workman II posts, the annual staff cost involved is about \$15.49 million. The nature of work of these new posts is set out below :-

- For setting up of the Internal Audit Unit under the Corruption Prevention Department (CPD), to create 1 CACO(U), 1 CACO(M/L) and 1 ACO posts to be deployed for conducting internal audit for the Commission (excluding the work of the Operations Department) and providing related clerical support, in order to strengthen the monitoring and internal control mechanism of the Commission.
- Under the CPD, to create 1 CACO(U), 1 CACO(M/L) and 1 ACO posts to be deployed for providing corruption prevention advice to Government bureaux/departments and other organisations involved in election-related matters, and conducting research and analysis on CPD's work experience, in order to strengthen corruption prevention capacity.
- Under the Operations Department, to create 1 CACO(U), 2 CACO(M/L) and 2 ACACO posts to enhance the capability of the Proceeds of Crime Section by restraining and confiscation of assets pursuant to the Organized and Serious Crimes Ordinance (Cap. 455) so as to deprive criminals of their corrupt and illicit crime proceeds.
- Under the Administration Branch, to create 1 CACO(U), 2 CACO(M/L) and 2 ACO posts to strengthen the overall human resources management of the Commission by adopting a more strategic and systematic approach in manpower planning, performance management, succession planning, staff retention and career development, etc.
- To create 16 ACO posts and 13 Workman II posts under all departments to rationalise 29 posts on temporary contract terms and to strengthen administrative and general support in the Commission.

Salaries for the aforesaid posts are as follows:-

- CACO(U): ICAC Pay Scale Points 36-42 (i.e. \$80,195 - \$100,150)
- CACO(M/L): ICAC Pay Scale Points 12-35 (i.e. \$29,990 - \$77,055)
- ACACO: ICAC Pay Scale Points 4-14 (i.e. \$17,735 - \$31,650)
- ACO: Master Pay Scale Points 3-15 (i.e. \$11,975 - \$24,450)
- Workman II: Model Scale 1 Pay Scale Points 0-8 (\$10,555 - \$12,445)

CONTROLLING OFFICER'S REPLY

ICAC002

(Question Serial No. 0462)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (2) Operations, (3) Preventive education, (4) Enlisting support
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 28):

Regarding the rationalization of temporary contract term posts to permanent posts, how many existing posts of the ICAC are on temporary contract term? Does the ICAC have any plans to rationalize the remaining temporary contract term posts? If affirmative, what are the details? If not, what are the reasons?

Asked by:Hon LEE Kok-long, Joseph

Reply:

The Independent Commission Against Corruption (ICAC) currently has 48 posts on temporary contract terms. To enhance the support rendered by the general and support grades, the Commission will convert 29 posts to permanent posts in 2014-15, including 16 Assistant Clerical Officer and 13 Workman II posts.

Regarding the remaining 19 posts, they were created to cope with short-term work projects. The ICAC will keep the number under constant review and 2 of the posts will be deleted upon completion of relevant work in 2014-15.

CONTROLLING OFFICER'S REPLY**ICAC003****(Question Serial No. 0580)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 7):

The provision for 2014–15 is \$0.8 million (1.2%) higher than the revised estimate for 2013–14. The slight increase has already covered the filling of vacancies, salary increments, creation of eight posts for strengthening corruption prevention work and setting up of an Internal Audit Unit. In regard to the strengthening of corruption prevention work, does the ICAC have sufficient provision to effectively carry out such work? What are the manpower and expenses? Please provide details of the work concerned?

Asked by:Hon LIAO Cheung-kong, Martin

Reply:

Personal Emoluments and Personnel Related Expenses

The estimated increase in personal emoluments and personnel related expenses in 2014-15 is about \$3M over the 2013-14 Revised Estimate, which includes the expenses for the creation of three posts to set up the Internal Audit Team, three posts to strengthen corruption prevention work and two posts to rationalise posts on temporary contract terms. Details of the work, manpower and expenses involved are as follows:-

Internal Audit Team–The team is set up for conducting internal audit for the Commission and providing related clerical support, in order to strengthen the monitoring and internal control mechanism of the Commission.

Rank	No. of Posts to be Created	Expenses in 2014-15¹(\$M)
Commission Against Corruption Officer (Upper)(CACO(U))	1	0.54
Commission Against Corruption Officer (Middle/lower)(CACO(M/L))	1	0.36
Assistant Clerical Officer (ACO)	1	0.11
Sub-total:	3	1.01

Strengthening of Corruption Prevention Work – The creation of posts is for providing corruption prevention advice to Government bureaux/departments and other organisations involved in election-related matters, and conducting research and analysis on the Corruption Prevention Department's work experience, in order to strengthen corruption prevention capacity.

¹Only include 6-month provision for the new posts.

Rank	No. of Posts to be Created	Expenses in 2014-15 (\$M)
CACO(U)	1	0.54
CACO(M/L)	1	0.36
ACO	1	0.11
Sub-total:	3	1.01

Rationalisation of Posts on Temporary Contract Terms

Rank	No. of Posts to be Created	Expenses in 2014-15 (\$M)
ACO	2	0.22
Sub-total:	2	0.22

Grand Total is about \$2.24M for creation of eight posts.

Departmental Expenses and Other Charges

Departmental expenses and other charges have decreased in 2014-15 to achieve efficiency savings within the Commission.

We will adopt a prudent approach in spending so as to ensure that the expenses are within allocation. In case the allocation is not sufficient to cover the necessary expenses during the financial year, we shall arrange to seek supplementary provision as appropriate.

CONTROLLING OFFICER'S REPLY

ICAC004

(Question Serial No. 0666)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (4) Enlisting support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 48):

The ICAC began to launch a two-year education and publicity programme, comprising the production of TV drama series and activities jointly organized with the District Councils, etc., from 2013 to tie-in with the ICAC's 40th anniversary in 2014. What was the progress of the work concerned in the past year? Are there any initiatives for 2014-15? Please inform this Committee of details of the plans, estimates of expenditure and manpower and the expected results?

Asked by:Hon CHUNG Kwok-pan

Reply:

To tie in with the ICAC40th Anniversary, the Independent Commission Against Corruption (ICAC) has launched a two-year education and publicity programme since 2013. Through a series of media publicity programmes and district activities, the public are reminded of the evils of corruption and encouraged to join hands with the ICAC to build a clean society. Progress in 2013-14and the plan for 2014-15are as follows:

Media Publicity Programme

- The ICAC started preparation work for the Drama Series 2014, including research and scriptwriting, in early 2013. Filming was commenced in mid-2013 and completed in February 2014. Broadcast of the half-hour "*Making-of*" and the five 90-minute episodes is scheduled on TVB Jade at 9:30pm on six consecutive Saturdays starting from 29 March 2014. The episodes will also be uploaded on the web for public viewing. Based on real cases, the drama series not only publicise the evils of corruption, but also illustrate the professionalism of the ICAC officers in fighting corruption without fear or favour. The ICAC will closely monitor the TV viewership and hit rate of the related websites.
- The ICAC is also co-organising a special project with the Hong Kong International Film Festival under the theme "*The Quiet Revolution - 40 Years of ICAC Drama Series*". Activities include Premiere of "*ICAC Investigators2014*", public screenings of 16 classic ICAC drama, five district exhibitions and a publication on the role of TV drama in corruption preventive education. The review of the ICAC TV drama aims to enhance public understanding on the anti-corruption work.

District Activities

- The district activities jointly organised with the 18 District Councils (DCs) in 2013-14 on the theme of "*Uphold the Core Value of Hong Kong, Move Towards ICAC's 40th Anniversary*" were completed. The programme included various school activities to promote integrity messages to kindergarten, primary students and their parents as well as secondary students. District organisations also participated in the

“*Community Involvement Projects*” and made use of the materials and technical support provided by the ICAC to organise different activities such as anti-corruption talks, video show, quiz games, exhibitions, carnival games, etc. to spread probity messages. Pledging/commendation ceremonies and carnivals were also held. The whole programme reached over 410,000 counts of people with the participation of over 1,150 schools and district organisations.

- The ICAC also arranged a mobile exhibition truck themed “*Uphold the Core Value of Hong Kong, Stand Firm against Corruption*” to tour around the territory from April 2013 to January 2014 for a total of 120 service days. The work of the three departments of the ICAC and channels for reporting corruption were publicised, reaching over 52,000 counts of people.
- The partnership with related parties continues in 2014. A series of activities under the theme of “*A Clean Future for Our Next Generation*” will be organised to remind the public of the importance of sustaining the clean culture built over the years and call for the community’s support for the anti-corruption cause.
- The programme will mainly include:
 - Organising district activities in collaboration with 18 DCs on the above theme. Apart from launching/closing events at different districts, other components include Gee-dor-dor musical performances, various school activities and “Story Dad and Story Mum Project” (i.e. recruiting parents to serve as story-tellers so that they can disseminate positive values to their children).
 - Launching a brand new mobile exhibition truck which ties in with the new Gee-dor-dor movie in February 2014 to tour around 18 districts. Portraying a scene of defending a corruption-free planet, visiting parents and their children will understand the anti-corruption mission and the importance of passing on integrity message to the next generation. More than 50,000 counts of people are expected to be reached.
 - Forging network with more district organisations. The ICAC will continue to invite district organisations to participate in the “*Community Involvement Projects*” to help spread probity messages. For example, the ICAC will enhance the link with some 1,200 mutual aid committees in public housing estates and make use of their networks to disseminate its messages to the public.

Estimated Expenditure and Manpower

- The production of the ICAC Drama Series 2014 and the related publicity activities co-organised with the Hong Kong International Film Festival cost around \$11.6M while the estimated expenditure for the district projects is around \$3.1 M (\$2.5 M for 2013-14). Another 0.8 M will be sponsored by the DCs (\$0.84 M for 2013-14). Over 420,000 counts of people are anticipated to be reached through the district activities. The above education and publicity programme is the highlight of work of the Community Relations Department in 2013 and 2014. The district activities are mainly planned and executed by the seven ICAC regional offices which will submit evaluation reports to their respective DCs upon conclusion of the events.

CONTROLLING OFFICER'S REPLY

ICAC005

(Question Serial No. 0669)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (2) Operations

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 52):

Under this Programme, the Matters Requiring Special Attention for the ICAC in 2014-15 include strengthening the enforcement capabilities in financial investigation into increasingly complex and sophisticated corruption cases and in dealing with the disclosure, restraint and confiscation of proceeds of crime. Please advise the Committee on the specific measures, the amount of expenses involved and whether any recruitment of additional manpower is required.

Asked by: Hon. CHUNG Kwok-pan

Reply:

To cope with the increasingly complex and sophisticated corruption cases, the Operations Department of the Independent Commission Against Corruption (ICAC) set up a dedicated Forensic Accounting Group for financial investigation in 2011. It is headed by a Chief Forensic Accountant and supported by staff members consisting of 2 Senior Forensic Accountants and 8 Forensic Accountants. Working closely with frontline investigators, the Forensic Accountants are involved in various stages of investigative work and provide to them professional advice/assistance concerning financial investigation through analysis of financial data and examination of books/accounts to establish their evidential value, preparation of financial summaries, participation in field operations for on-site examination/analysis of seized accounting and financial records and documents, acting as expert witnesses in court, and assisting the prosecution in cross-examination of expert witnesses engaged by defendants, etc.

On the other hand, the Group regularly provides to frontline investigators of different ranks training courses on financial investigation to enhance their knowledge and capacity of financial investigation.

To deal with the disclosure, restraint and confiscation of crime proceeds, the Operations Department set up the Proceeds of Crime Unit in 2010. 1 Commission Against Corruption Officer (Upper) [CACO(U)] and 1 Commission Against Corruption Officer (Middle/Lower) [CACO(M/L)] were deployed on secondment for proceeds of crime work. Due to the infeasibility for the seconded staff to cope with the workload on a long term basis, the ICAC will create 1 CACO(U), 2 CACO(M/L) and 2 Assistant Commission Against Corruption Officer (ACACO) posts in 2014-15 to strengthen the capability of the Operations Department's Proceeds of Crime Unit in the restraint and confiscation of assets under the Organized and Serious Crimes Ordinance (Cap. 455) to deprive offenders of proceeds from corruption and related offences. The new posts created to replace the secondment arrangement will involve an annual expenditure of \$3.13 million.

The Unit is responsible for applying to the court for restraint orders on freezing of proceeds of suspects/defendants from corruption and related offences by avoiding the sale/transfer of assets so as to facilitate forfeiture of such proceeds after conviction of the defendants. Apart from application for restraint orders, such a duty also involves the investigative work of checking information disclosed by respondents,

tracing and verifying undisclosed assets.

CONTROLLING OFFICER'S REPLY

ICAC006

(Question Serial No. 0692)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (2) Operations

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 47):

The ICAC stated that it would strengthen its manpower to cope with the increasing number of complaints and complexity of investigations in 2013-14. Please advise the Committee on: how much is the expenses involved? What is the effectiveness of such work? How many officers does the ICAC currently have for handling complaints and investigation work? What is the percentage of manpower increase? As shown by the statistics, the ICAC received a total of 1,688 pursuable reports (excluding election-related reports) in 2013, representing a 41% decrease as compared to 2,832 received in 2012. Are the number of reports on the decline? And is the strengthening of manpower by the authority concerned not in line with the actual needs?

Asked by: Hon. CHUNG Kwok-pan

Reply:

Under Programme (2) in 2013-14, the Independent Commission Against Corruption (ICAC) has created 23 new posts, and the rank and job nature are as follows:

- 5 Commission Against Corruption Officer (Middle/Lower) [CACO (M/L)] and 4 Assistant Commission Against Corruption Officer (ACACO) posts to be deployed for strengthening the investigative capabilities of the frontline in view of the increasing number of complaints and complexity of investigations.
- 9 time-limited posts of two years (up to 11.6.2015), namely 1 Senior Commission Against Corruption Officer, 1 Commission Against Corruption Officer (Upper), 4 CACO(M/L) and 2 ACACO posts to be deployed for the highly sensitive and exceptionally complex investigations, and 1 Personal Secretary I post to be deployed to provide secretarial and administrative support for the supernumerary post of Assistant Director / Special Duty created with the approval of the Finance Committee of the Legislative Council in late 2012.
- 1 CACO(M/L) and 4 ACACO posts to be deployed for reinforcing information technology support.

Of the above newly created posts, except 1 CACO(M/L) and 4 ACACO posts responsible for reinforcing information technology support and 1 Personal Secretary I time-limited post, the 17 posts responsible for investigative work will incur an estimated expenditure of \$10.52M in 2013-14. As at 1 March 2014, the establishment of CACO grade responsible for investigating corruption complaints related to the public sector, private sector and elections was 472 in the Operations Department of the ICAC. The aforesaid 17 new posts represent 3.6% of the existing establishment responsible for handling complaints and investigative work.

The corruption reports received by the ICAC went up and down at times in the past. It can be attributed to many factors. The decrease of corruption reports might be related to the growing awareness of corruption

prevention among members of the public. In fact, the number of pursuable reports (excluding election-related reports) received in the first 6 months of 2013 decreased by 50% as compared to the same period in 2012. The drop however narrowed down from the latter half of 2013 as compared to the same period in 2012, resulting in an annual decrease of 41%. In the first 2 months of 2014, pursuable reports increased by 4% (to 234 reports) as compared to the same period of 2013. As shown from the latest figures, there is no sustained decline in corruption reports.

Despite the decrease in corruption reports in 2013, the ICAC has continued to enforce the law effectively. In 2013, a total of 215 persons were prosecuted by the Commission (excluding election-related prosecutions), an increase by 9.7% as compared to 196 persons in 2012, with a conviction rate of nearly 80 percent. In recent years, corruption has become increasingly sophisticated and hard to detect, thus aggravating the difficulty in collecting evidence and extending the time it took for investigation. The prolonged trial process of some individual cases also increased the workload of ICAC officers. Therefore, the ICAC must maintain a strong investigation force to continue with its effective fight against corruption.

CONTROLLING OFFICER'S REPLY

(Question Serial No. 1008)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 39):

During 2014–15, the Corruption Prevention Department (CPD) will maintain the integrity of voter registration for the Legislative Council Functional Constituencies and assist the organisations under various Functional Constituencies to enhance their internal controls and the transparency of their membership administration systems. What are the plans for such work and their details? What are the estimates of manpower and expenditure?

Asked by: Hon WONG Ting-kwong

Reply:

- To ensure integrity and fairness of the 2016 Legislative Council Functional Constituencies election and to prevent corruption and malpractices in the voter registration procedures, CPD, with the assistance of the Registration and Electoral Office, provides corruption prevention services for organisations as prescribed in the Legislative Council Ordinance (Cap. 542). Members of these organisations are eligible for registration as voters under the respective Legislative Council Functional Constituencies.
- Based on the information provided by the Registration and Electoral Office, CPD will meet with the committee members or managerial staff responsible for membership administration of these organisations. Upon review of their operations in membership administration, such as membership application procedures, approval authority, renewal of membership, security and management of membership records, and criteria and mechanism for de-registration of membership, CPD will provide tailor-made corruption prevention advice to assist the organisations in formulating a proper and transparent membership administration system.
- CPD has already contacted 17 organisations since late 2013 and will continue to promote preventive services to these organisations(about 200 organisations) in 2014 and 2015. Further efforts will be made, if necessary, before the Legislative Council Functional Constituencies election in 2016 to remind them of the importance of maintaining a proper membership administration system.
- CPD has a group dedicated to providing corruption prevention services for public elections and related matters. The above work plans are mainly handled by a Commission Against Corruption Officer (Upper) (CACO(U)) of the group concerned and fall within the scope of his routine duties. CPD will also make timely adjustments to resource allocation within the group when necessary. The pay scale of the CACO(U) is from ICAC Pay Scale Point 36 to 44, i.e. from \$80,195 to \$100,150.

CONTROLLING OFFICER'S REPLY

ICAC008

(Question Serial No. 1505)

Head: (72) Independent Commission Against Corruption
Subhead: (103) Rewards and Special Services
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 45):

Under Programme (2) (Operations), what is the expenditure provided from Subhead 103 (Rewards and Special Services) for procurement and maintenance of equipment in 2013-14?

Asked by: Hon. TO Kun-sun, James

Reply:

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides the main source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

CONTROLLING OFFICER'S REPLY

ICAC009

(Question Serial No. 1506)

Head: (72) Independent Commission Against Corruption
Subhead: (103) Rewards and Special Services
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 46):

- (a) What are the number of cases and recipients involved in the payment of expenses under Rewards and Special Services, as well as the number of and actual expenditure for such payments in 2013-14?
- (b) What are the number of cases involving a reward notice and the amount offered, the actual expenditure and the number of payments made, as well as the number of reward recipients in 2013-14?

Asked by: Hon. TO Kun-sun, James

Reply:

As at 6 March 2014, the total expenditure under Subhead 103 (Rewards and Special Services) for 2013-14 was \$12.72M. There were 32 occasions on which reward / special services fee was provided but there was no case involving reward on wanted persons.

Subhead 103 caters for expenditure on rewards and procurement of special services and equipment of a confidential nature. Since this subhead provides the main source of funding for covert operational activities and intelligence gathering, disclosure of such expenditure details is not appropriate.

CONTROLLING OFFICER'S REPLY

ICAC010

(Question Serial No. 1507)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (3) Preventive education

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 47):

Over the past year, did the ICAC carry out any internal anti-corruption publicity work? How many such activities were held? How many people joined the activities? What is the estimated expenditure for the internal anti-corruption publicity work to be conducted in the financial year of 2014-15?

Asked by:Hon TO Kun-sun, James

Reply:

Highly demanding standards of integrity and honesty are upheld by officers of the Independent Commission Against Corruption (ICAC) as a statutory anti-corruption agency. While discharging their statutory duties, they are conscientiously self-disciplined and law-abiding in fulfilling their anti-corruption mission through the three-pronged approach of enforcement, education and prevention. The induction or further training courses for the officers also seek to enhance their sense of anti-corruption mission, vigilance in corruption prevention and personal conduct as ICAC officers to meet public expectations.

The culture and values of integrity built up among officers in the ICAC through four decades of work has been well accepted by the society. ICAC officers are dedicated to upkeeping the good reputation of the Commission and strictly adhering to professional codes at all times. This is mainly due to their day-to-day role in the combat against corruption. Apart from investigation, any corruption studies and community education work, for example, are designed, partaken and promoted by ICAC officers and other stakeholders. They are therefore highly vigilant in the prevention against corruption.

The ICAC attaches great importance to the integrity of its officers. An internal investigation and monitoring group (L Group) set up in the Operations Department is responsible for investigating corruption allegations or breaches of discipline involving ICAC officers. L Group is under the direct command of Director of Investigation (Private Sector). All corruption allegations and the outcome of investigation should be reported to the Department of Justice and the Operations Review Committee. The outcome of investigation into non-criminal complaints involving ICAC officers should be reported to the ICAC Complaints Committee.

Meanwhile, new recruits are required to attend induction training upon joining ICAC that representatives of the Community Relations Department will conduct ethical workshops for the induction training using scenarios for analysis and discussions, aiming at equipping participants with the knowledge and skills to handle ethical dilemmas. ICAC officers will also receive refresher training on anti-corruption legislation and integrity management requirements upon advancement to ensure that they have adequate knowledge on the area.

The Training and Development Group and Human Resource Development Section will continue to conduct ethical and corruption prevention workshops for ICAC officers in suitable training courses.

The above arrangements are absorbed by internal resources without designated funding allocation.

CONTROLLING OFFICER'S REPLY

ICAC011

(Question Serial No. 1931)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (000) Operational Expenses
Programme: Not Specified
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 44):

The estimate for the ICAC's general departmental expenses for 2014-15 is 84.67 million, which is 30.79 million less than that of the previous year. Please advise this Committee on the reasons for the enormous decrease in the general departmental expenses and whether the Commissioner's entertainment expenses are included in the general departmental expenses. What was the total amount of the Commissioner's entertainment expenses over the past five years? What is the estimate for the Commissioner's entertainment expenses for 2014-15?

Asked by: Hon LAM Tai-fai

Reply:

The decrease in general departmental expenses for 2014-15 is to achieve efficiency savings within the Commission.

The Commissioner's entertainment expenses are included in the general departmental expenses. For the Commissioner's official entertainment expenses in the past five years, the Commission cannot provide the information in respect of Mr. Timothy TONG, the ex-Commissioner, as such information fall within the scope of an on-going investigation. The expenses on official entertainment of the incumbent Commissioner from 1 July 2012 until 28 February 2014 is \$157,560.

The detailed allocation of funding within the Commission is still under preparation and we cannot provide the information on the estimate for the Commissioner's entertainment expenses for 2014-15

CONTROLLING OFFICER'S REPLY

ICAC012

(Question Serial No. 2234)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 77):

Please provide in table form the number, duration, destination and purpose of duty visits made by the incumbent Commissioner since 1 July 2012 and the number of accompanying ICAC officers of each visit. Please provide in another table the expenditure details.

Please also set out the entertainment expenses of the incumbent Commissioner incurred between 2012 and 2014, and the number of occasions on which gifts paid out of public funds were presented by him to government officials of different places and the actual amounts on each occasion.

Asked by: Hon KWOK, Dennis

Reply:

- The incumbent Commissioner, Independent Commission Against Corruption (ICAC) has made seven duty visits after assuming office. The destination, duration, purpose of duty visits, the number of accompanying ICAC officers and the expenditure details are as below:-

Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (Commissioner's individual expenditure in brackets) (\$)	Expenses
Guangzhou, China	3	Officiated at the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited the Guangdong Provincial People's Procuratorate (GDPP)	6	38,600	Passage: \$3,220 Subsistence Allowance / Others: \$35,380

Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (Commissioner's individual expenditure in brackets) (\$)	Expenses
Beijing, China	4	Visited the Supreme People's Procuratorate (SPP) and Ministry of Supervision (MoS), the Hong Kong and Macao Affairs Office of the State Council and Mainland academics of the Chinese Academy of Social Sciences	7	115,518	Air passage: \$48,453 Subsistence Allowance / Others: \$67,065
Macao, China	2	Attended a Macao Commission Against Corruption (CCAC) seminar which marks the 20th anniversary of Macao's anti-graft work and visit CCAC and the Macao Public Prosecutions Office	6	28,539	Passage: \$4,270 Subsistence Allowance / Others: \$24,269
Kuala Lumpur, Malaysia	5	Attended the Sixth Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA)	5	99,637	Air passage: \$33,345 Subsistence Allowance / Others: \$66,292
Shenzhen, China	1	Visited the Shenzhen Municipal People's Procuratorate	6	Nil	Nil
New Delhi India	4	To attend the Executive Committee Meeting of the International Association of Anti-Corruption Authorities	4	73,224	Air passage: \$37,443 Subsistence Allowance / Others: \$35,781
United States (New York, Washington) and Panama	9	Visited New York and Washington of the United States to pay official visits to counterpart government agencies and international corruption rating organisations, and to attend the Executive Committee Meeting, the 7 th Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities in Panama	2	298,547	Air passage: \$223,129 Subsistence Allowance / Others: \$75,418

Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (Commissioner's individual expenditure in brackets) (\$)	Expenses
				Total : 7 visits and total expenditure \$654,065	

- The entertainment expenses of the incumbent Commissioner from 1 July 2012 to 28 February 2014 was \$157,560.
- The total expenses on gifts presented by the incumbent Commissioner to government officials between 2012 and 2014 was about \$24,000. The unit price of the gifts range from \$35 to \$670, except that the unit price of the book "Bribery & Corruption Law in Hong Kong" is \$1,494.

CONTROLLING OFFICER'S REPLY**ICAC013****(Question Serial No. 2237)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: Not Specified

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 79):

Under which programme are the expenses of the Administration Branch of the ICAC? What were the expenses from 2007 to 2014? Please provide in table form details of the establishment and all the expenditure items of the Administration Branch, including details on official entertainment and procurement of gifts.

Please also set out the expenses on official entertainment and procurement of gifts and alcoholic beverages under the Corruption Prevention Department from 2007 to 2014.

Asked by: Hon KWOK, Dennis

Reply:

- The Administration Branch provides administrative support to the three functional departments of the ICAC and does not have a specified programme; its expenses are therefore met by the four programmes of the three departments according to the allocation of resources on a pro rata basis.
- The expenses of the Administration Branch mainly include personal emoluments and general departmental expenses (namely the expenses of sections and offices under the Administration Branch in providing administration support to Departments in the Commission, such as recruiting expenses, stores and equipment as well as training expenses, etc).
- The expenses of the Administration Branch from 2007 to 2014 financial years are as below:-

Year	Personal Emoluments and Personnel Related Expenses (\$M)	General Departmental Expenses (\$)	Official Entertainment Expenses (\$)
2007-08	43.03	618,830	0
2008-09	47.73	964,113 ¹	0
2009-10	48.62	646,409	0
2010-11	51.19	674,892	1,423 ²
2011-12	48.14	614,250	0

¹ Including the setting-up costs of the Centre of Anti-corruption Studies (CACS).

² The expenses were incurred during an official entertainment function organised for 4 academics by the CACS on 6 January 2011.

Year	Personal Emoluments and Personnel Related Expenses (\$M)	General Departmental Expenses (\$)	Official Entertainment Expenses (\$)
2012-13	52.75	2,483,671 ³	0
2013-14 ⁴	48.46	1,301,234 ³	0

- The establishment of the Administration Branch from 2007 to 2014 is as below:

Rank	Year						
	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14
Directorate Grades	1	1	1	1	1	1	1
Departmental Grades	13	13	12	9	9	12	13
General Grades	117	113	114	110	110	111	114
Total:	131	127	127	120	120	124	128

- From 2007 to 2014, the Administration Branch has procured only 3 items of souvenirs as below:

Year	Details of souvenirs	Unit Cost (\$)	Unit	Total Cost (\$)	Purpose
2009-10	Woven Lanyard with Card Holder	16	400	\$6,400	As ICAC souvenirs presented to guests and participants at talks/seminars organised by the Centre of Anti-Corruption Studies
2009-10	Ballpoint Pen with box	75	300	\$22,500	
2010-11	Executive Portfolio	95	400	\$38,000	
Total:				\$66,900	

- The expenses on official entertainment and procurement of souvenirs of the Corruption Prevention Department (CPD) from 2007 to 2014 are as below:

Year	Expenses on Official Entertainment	Expenses on Procurement of Souvenirs
2007-08	9,413	97,200 ⁵
2008-09	2,650	25,500 ⁵
2009-10	2,150	0
2010-11	5,697	1,896
2011-12	11,180	5,400
2012-13	0	1,200
2013-14 ⁴	12,605	0

- The CPD did not purchase any alcoholic beverages from 2007 to 2014 (During 2010-11, the CPD drew a bottle of red wine at the cost of \$180 from the wine stock in the Commission).

³ Inclusive of the expenses on research studies conducted in the 2 years and for organising a seminar at the end of February 2013 by the CACS.

⁴ Up to 28 February 2014.

⁵ The expenses in 2007-08 and 2008-09 are mainly for purchase of souvenirs for large scale seminars and presentation to consultants of private organisations that we have contacts with, such as the Conference on Corporate Governance in the Financial Sector and the Corruption Prevention Seminars for Practitioners of the Insurance Industry held in 2008, and the corruption prevention talks and seminars for the launching of the "Best Practice Checklist on Governance and Internal Control for reference by the SMEs" and "Best Practice Checklist on Management of Charities and Fund-Raising Activities" held in 2009.

CONTROLLING OFFICER'S REPLY**ICAC014****(Question Serial No. 2241)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 80):

Under which programme are the expenses of the Commissioner's Office? What were the expenses from 2007 to 2014? Please provide in table form details of the establishment and all the expenditure items of the Commissioner's Office, excluding details on entertainment and presentation of gifts. Was the Office of Strategic Research, which was set up during the tenure of Timothy TONG, under the command of the Commissioner's Office? What was the establishment of the Office of Strategic Research? What were the postings of the officers after the disbandment of the Office of Strategic Research (including departments and promotions)?

Asked by: Hon KWOK, Dennis

Reply:

- Since the Commissioner's Office does not have a programme, the expenses of the Office are apportioned among the four programmes under the three Departments in the Commission according to their shares of the allocated resources.
- The Commissioner's Office has only one Personal Assistant and one Chauffeur apart from the Commissioner himself. The expenses of the Office mainly include personal emoluments of the 3 officers as given below:-

Year	Expenses (\$)
2007-08	2 989 775.5
2008-09	3 266 807.9
2009-10	3 356 147.2
2010-11	3 361 553.1
2011-12	3 511 957.0
2012-13 ¹	4 520 196.6
2013-14 ²	3 617 584.1
Total:	24 624 021.4

- The Office of Strategic Research (OSR) was not under the Commissioner's Office. Its establishment was as below:-

¹ There was a significant increase in the expenditure in the year since the ICAC had to pay for the salary of both the incumbent Commissioner and the outgoing one who was on final leave.

² Up to 28 February 2014.

	as at 31.3.2008	as at 31.3.2009	as at 31.3.2010	as at 31.3.2011	as at 31.3.2012
Commission Against Corruption Officer (Upper)	1	2	2	2	2
Commission Against Corruption Officer (Middle/Lower)	2	0	0	0	0

- After the disbandment of the OSR in September 2012, the two officers were posted back in the same rank to their original departments.

CONTROLLING OFFICER'S REPLY

ICAC015

(Question Serial No. 2588)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 43):

Regarding information on the ICAC's records management work in the past 3 years:

1. The number and ranks of staff dedicated to the records management work in each of the departments; if there are no dedicated records management staff, please provide the number of staff involved in records management, the number of hours relating to records management work and the scope of concurrent duties that need to be taken up;

2. Please provide in the following table the information on programme and administrative records which have been closed pending transfer to the Government Records Service (GRS) for appraisal;

Type of records	Years covered by the records	Number and linear metres of records	Retention period approved by GRS	Confidential document or not?

3. Please provide in the following table the information on programme and administrative records which have been transferred to GRS for retention;

Type of records	Years covered by the records	Number and linear metres of records	Years of transfer to GRS	Retention period approved by GRS	Confidential document or not?

4. Please provide in the following table the information on records which have been approved for destruction by GRS;

Type of records	Years covered by the records	Number and linear metres of records	Years of transfer to GRS	Retention period approved by GRS	Confidential document or not?

Asked by: Hon. HO Sau-lan, Cyd

Reply:

- (1) The Commission has designated two Senior Commission Against Corruption Officer rank as Departmental Records Managers; and officers of the Commission Against Corruption Officer or Executive Officer grades as Assistant Departmental Records Managers in different departments. Besides, practical support is also provided by staff of the Clerical Officer grades of the General Registries/Confidential Registries of respective departments.
- (2) The Commission has since 2011 progressively implemented the mandatory records management system in accordance with the requirements made by GRS. The Commission has 8 450 administrative records and 91 programme records awaiting transfer to the GRS for appraisal, information is as follows –

Type of record	Years covered by the record	Number of records and their linear metres	Approved retention period by GRS*	Confidential document or not?
Administrative records	1974 – 2014	8 450 236.71 linear metres	Between 2 to 7 years.	Confidential documents included
Programme records*	1946 – 1977	91 4.94 linear metres	Under negotiation between the Commission and GRS	Confidential documents included

- (3) The information on transfer of records to GRS for retention is as follows:-

Type of records	Years covered by the records	Number and linear metres of records	Years of transfer to GRS	Retention period approved by GRS*	Confidential document or not?
Administrative records	1973-1979	2 0.04 linear metres	2013-14	5 years	Yes

- (4) In the past 3 years, the information on ICAC's records approved by GRS for destruction is as follows –

Type of record	Years covered by the record	Number of records and their linear metres	Year of record transferred to GRS	Approved retention period by GRS*	Confidential document or not?
Administrative Records	1969 -2012	57 2.11 linear metres	Nil	Between 2 to 7 years	Yes

*The Commission follows the retention period for different administrative records as stipulated in GRS' General Administrative Records Disposal Schedules (GARDS) and will draw up the retention period for programme files after negotiation with GRS.

CONTROLLING OFFICER'S REPLY

ICAC016

(Question Serial No. 2785)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 25):

Regarding the work in identifying and eliminating opportunities for corruption and advising on corruption prevention, was the relevant work conducted in the Commission? If it was, what were the programmes, resources and manpower involved? If not, what were the reasons for that?

Asked by:Hon. IP Kwok-him

Reply:

Highly demanding standards of integrity and honesty are upheld by officers of the Independent Commission Against Corruption (ICAC) as a statutory anti-corruption agency. While discharging their statutory duties, ICAC officers are conscientiously self-disciplined and law-abiding in fulfilling their anti-corruption mission through the three-pronged approach of enforcement, education and prevention. The induction or further training courses for the officers also seek to enhance their sense of anti-corruption mission, vigilance in corruption prevention and personal conduct as ICAC officers to meet public expectations.

The culture and values of integrity built up among officers in the ICAC through four decades of work has been well accepted by the society. ICAC officers are dedicated to upkeeping the good reputation of the Commission and strictly adhering to professional codes at all times. This is mainly due to their day-to-day role in the combat against corruption. Apart from investigation, any corruption prevention studies and community education work are designed, partaken and promoted by ICAC officers together with other stakeholders. They are therefore highly vigilant in the prevention against corruption.

The Corruption Prevention Department (CPD) has offered corruption prevention advice to other departments of the Commission. The demand for such advice is low and hence met by internal resources. CPD would continue to offer such advice as and when necessary.

Moreover, the Internal Audit Unit (IAU) of CPD conducts internal audit for the Commission (excluding the work of the Operations Department), including identifying system weaknesses and recommending enhancement measures to improve internal controls. The establishment of the IAU comprises 1 Commission Against Corruption Officer (Upper), 1 Commission Against Corruption Officer (Middle/Lower) and 1 Assistant Clerical Officer, accounting for around 5% of the total establishment of CPD.

CONTROLLING OFFICER'S REPLY**ICAC017****(Question Serial No. 2786)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (2) Operations

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 26):

1. Between 2012 and 2014, inclusive of this financial year, what was the number of assignments through which the ICAC provided corruption prevention advice on request by the public sector?
2. Moreover, what was the number of assignments through which the ICAC provided corruption prevention advice to the public sector proactively? What were the reasons for providing such corruption prevention advice proactively? Did it have anything to do with the reports received by the Commission?

Asked by: Hon. IP Kwok-himReply:

- Over the years, the Corruption Prevention Department (CPD) has established corruption prevention groups (CPG) or liaison groups with government departments or other public organizations which meet on a regular basis. Work plans are agreed at these meetings, including the assignment studies, consultations, etc. to be conducted by CPD for them. From time to time, assignment studies and consultations may also be requested by individual public organizations or initiated by CPD. The number of assignment studies and consultations that arose from these three different sources from 2012 to 2014 is tabulated below:

Year 2012	Agreed at CPG/Liaison Group Meetings (raised by CPD or the public organisation)	Separately Requested by Public Organisations	Separately Initiated by CPD	Total
No. of Assignment Studies	57	0	3	60
No. of Consultations	82	480	38	600

Year 2013	Agreed at CPG/Liaison Group Meetings (raised by CPD or the public organisation)	Separately Requested by Public Organisations	Separately Initiated by CPD	Total
No. of Assignment Studies	56	1	7	64
No. of Consultations	58	466	51	575

Year 2014 (up to 28 Feb 2014)	Agreed at CPG/Liaison Group Meetings (raised by CPD or the public organisation)	Separately Requested by Public Organisations	Separately Initiated by CPD	Total
No. of Assignment Studies	11	0	0	11
No. of Consultations	11	72	9	92

- It can be seen from the above statistics that most assignment studies were agreed at CPG/liaison group meetings while most consultations requested by the public organisations concerned. For those assignment studies/consultations initiated by CPD, the main causes included the trend on corruption complaints, ICAC cases and CPD's initiatives to monitor issues of public safety, public concern or those involving substantial public funds.

CONTROLLING OFFICER'S REPLY

ICAC018

(Question Serial No. 2787)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (1) Corruption Prevention
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 27):

1. To maintain the standard of integrity of the entire voter registration system of the Legislative Council, has the Commission launched any corruption prevention programmes other than those focusing on the voter registration of the Functional Constituencies? What are the resources and manpower involved?
2. Has the above work also been geared to the voter registration of the geographical election? If yes, what are the details? If no, what are the reasons?

Asked by:Hon IP Kwok-him

Reply:

1. ICAC cooperates regularly with the Registration and Electoral Office (REO) and other relevant government bureaux/departments in reviewing the procedures of various public elections and offering corruption prevention advice to minimize the risk of corruption and malpractices, including the offer of corruption prevention advice on electoral guidelines and polling procedures. ICAC has reviewed the issue of ballot papers at polling stations and advised REO to ensure the process is undertaken by a team of two officers to enhance checks and balances and the duty roster is properly maintained. The staff should also be properly trained to avoid human errors in their work (e.g. wrongly crossing out the names of electors in the Electors' Register who have been issued with ballot papers).

Regarding the procedures for public elections, a Commission Against Corruption Officer (Upper) (CACO(U)) of an assignment group under the Corruption Prevention Department (CPD) is responsible for providing corruption prevention services as part of his normal duties. CPD would also timely redeploy resources within the group to meet demand for services when necessary. The pay scale of the CACO(U) is from ICAC Pay Scale Point 36 to 44, i.e. monthly salary from \$80,195 to \$100,150.

Moreover, for each public election held, ICAC would launch an education and publicity programme under the theme of "Abide by the Rules Support Clean Elections" to uphold fairness and integrity of the elections. The programme includes arranging briefings to explain the Elections (Corrupt and Illegal Conduct) Ordinance for candidates, election helpers and voters; producing "Information Booklet" and "Reminder Leaflet" for distribution to parties concerned through the REO; broadcasting of TV API, education filmlet and radio announcements through different channels such as TV and radio stations, on-line platform, etc.; and setting up of enquiry and report hotlines to answer enquiries and receive complaints from the public.

The education and publicity initiatives for upholding a clean Legislative Council Election (LCE) is carried out by the seven Regional Offices under the Community Relations Department (CRD) as part of their daily work.

2. In light of public concerns over suspected “vote-rigging” in public elections, ICAC tied in with the Administration’s voter registration campaign during the 2012 LCE to launch a series of publicity and education activities to alert members of the public to offences relating to “vote-rigging”. These activities included the production of a publicity pamphlet for distributing to newly registered voters and voters who reported changes of their particulars through the REO and Home Affairs Department (HAD); broadcasting of an educational filmlet on public transport and the lobby of public housing estates; engaging a mobile exhibition vehicle which visited popular sites across the territory to remind general public of the legal requirements; and the launching of a dedicated website to publicize relevant information. The Inter-departmental Working Group on the 2012 LCE Publicity Campaign spearheaded by the Constitutional and Mainland Affairs Bureau provided funding for CRD’s major publicity and education activities related to “anti vote-rigging” promotion during the voter registration for the 2012 LCE. The total expenditure was around \$435,000.

CONTROLLING OFFICER'S REPLY

ICAC019

(Question Serial No. 2788)

Head: (72) Independent Commission Against Corruption
Subhead: (-) Not Specified
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 28):

1. With regard to the complexity of corruption cases and their wide scopes of involvement, among the corruption reports received by the Operations Department from 2012 to 2014, including the current fiscal year, how many are cases where the investigation has been underway for one year or more?
2. According to the Commission, there were 1519 on-going investigation cases as at 31 December 2013. Please advise on: how many of these cases have been under investigation for over one year and how many are cases where the subject of investigation is a public officer?

Asked by: Hon. IP Kwok-him

Reply:

1. Among the corruption reports received by the Independent Commission Against Corruption in 2012-2014 (up to 28.2.2014), 156 cases have been investigated for more than 1 year.
2. As at 31.12.2013, amongst the 1519 on-going investigation cases, 181 cases have been investigated for more than 1 year. Of these, 28 cases involve subjects who are public servants.

CONTROLLING OFFICER'S REPLY

ICAC020

(Question Serial No. 2789)

Head: (72) Independent Commission Against Corruption
Subhead: (-) Not Specified
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 29):

2. What was the reason for the substantial drop in the number of pursuable corruption reports in 2013 as compared to 2012?
3. What was the reason for the similarly substantial decrease in the number of completed investigation cases in 2013 as compared to 2012?

Asked by: Hon. IP Kwok-him

Reply:

- (1) The corruption reports received by the Independent Commission Against Commission (ICAC) went up or down at times in the past, with a yearly average ranging from 3000 to 4000. In recent years, of the reports received those involving the private sector account for about 60%, whereas those involving government departments and public bodies account for about 30% and less than 10% respectively. 2013 saw no significant change in the percentages, indicating that corruption in various sectors remained stable.

The rise or drop in the number of pursuable corruption reports can be attributed to many factors. The decrease of corruption reports might be related to the growing awareness of corruption prevention among members of the public. Due to the public's "zero tolerance" attitude against corruption, they are ready to make reports on suspected corruption. Whether or not the corruption cases are pursuable depends on the content of the reports. In fact, the number of pursuable reports received in the first 6 months of 2013 (excluding election-related reports) decreased by 50% as compared to the same period of 2012. The drop of reports however narrowed down from the latter half of 2013 as compared to the same period of 2012, resulting in an annual decrease of 41%. In the first 2 months of 2014, pursuable reports increased by 4% (to 234 cases) as compared to the same period of 2013. As shown from the latest figures, there is no sustained decline in corruption reports.

- (2) The number of completed investigation cases in 2013 similarly decreased as compared to 2012. Apart from the drop in the number of corruption reports, it was also due to the increasing complexity of cases which required more time for investigation. In recent years, corruption has become increasingly sophisticated and hard to detect, thus aggravating the difficulty in collecting evidence and extending the time it took for investigation. However the ICAC has continued to enforce the law effectively. In 2013, a total of 215 persons were prosecuted by the Commission (excluding election-related prosecutions), an increase by 9.7% as compared to 196 persons in 2012, with a conviction rate of nearly 80 percent.

CONTROLLING OFFICER'S REPLY

ICAC021

(Question Serial No. 2790)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (2) Operations, (3) Preventive Education

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 30):

1. In 2013, visits and talks/seminars were organized by the Community Relations Department for 625 owners' corporations to provide preventive education services and promote messages on clean building management to flat owners. Among the "pursuable corruption reports" received in 2013, how many of them were the reports made by complainants who had gained a better understanding of the anti-corruption messages after attending the above-mentioned talks/seminars?

2. If the Commission has not collected statistics on the above condition, how does the Commission evaluate the efficacy of the talks/seminars conducted in enhancing the public awareness of the anti-corruption messages?

Asked by: Hon. IP Kwok-him

Reply:

- The Independent Commission Against Corruption (ICAC) has not collected the statistics related to Q.1. However, from years of work in the past, we note that preventive education is effective in encouraging report of corruption. It also helps to raise the public's awareness towards corruption, that they will adopt a zero-tolerance attitude towards the problem.
- The Community Relations Department (CRD) organises seminars/ talks for building management practitioners and the main objectives are to introduce the anti-corruption legislation, common corruption risks and preventive measures while participants would also be encouraged to report corruption. Generally, the CRD would distribute questionnaires to participants after the seminars for evaluating the effectiveness and collecting their feedback. In 2013-14, over 80% of the respondents rated the events effective / very effective.
- The ICAC has been providing services and collecting feedback from owners' corporations (OCs) and other building management organisations through visits to OCs and the enquiry hotline. To help owners equip themselves with the knowledge and skills in corruption prevention and effective building management, a series of publicity materials including three sets of corruption prevention guides and training videos covering renovation / maintenance, financial management and general property management with respect to relevant corruption-prone areas were produced and distributed to all OCs in Hong Kong. In view of the growing concern of building renovation issues by the public, the ICAC has updated the Building Maintenance Toolkit and sent marketing letters and publicity materials to more than 9,700 OCs in Hong Kong in late 2013 to promote the Toolkit. To further promulgate the message of clean building management, the ICAC plans to organise five regional seminars in Hong Kong from late 2013 to 2014. Correspondingly, about 400 corruption prevention service requests have been received from various building management organisations so far.

CONTROLLING OFFICER'S REPLY

ICAC022

(Question Serial No. 4583)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 80):

Regarding the research studies (if any) conducted by the ICAC on the formulation and assessment of policies, please provide information in the following format.

(a) Please provide in the following table information on the funded public policy and strategic public policy research studies in the past two financial years (2012-13 and 2013-14):

Name of consultant	Award method (open auction/ tender/ others (please specify))	Title, content and objectives of project	Consultancy fee (\$)	Date of commencement	Progress of study (under planning/ in progress/ completed)	Follow-up actions by the Administration on the study report and their progress (if any)	If completed, have they been made public? If they have, through what channels? If not, why?

(b) Are there any projects for which funds have been reserved for conducting consultancy studies in this financial year (2014-15)? If there are, please provide the following information:

Name of consultant	Award method (open auction/ tender/ others (please specify))	Title, content and objectives of project	Consultancy fee (\$)	Date of commencement	Progress of study (under planning/ in progress/ completed)	Follow-up actions by the Administration on the study report and their progress (if any)	If completed, have they been made public? If they have, through what channels? If not, why?

(c) What are the criteria for awarding the consultancy projects to the research institutions concerned?

Asked by: Hon. HO Sau-lan, Cyd

Reply:

The Commission has not funded any public policy research studies and strategic public policy research studies in 2012-13 and 2013-14, and does not have any plan to do so in 2014-15.

CONTROLLING OFFICER'S REPLY**ICAC023****(Question Serial No. 4595)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 95):

1. Please provide in the following table details of the expenditures on entertainment and bestowing of gifts by the ICAC in the past 3 years.

Bureau/ division/ department and year	Estimated expenditures on entertainment and bestowing of gifts for the year	Final actual expenditures respectively on entertainment and bestowing of gifts for the year	Ceiling of expenses, inclusive of beverages, per head for the year	Ceiling of expenses on gifts given to each guest for the year	Number of occasions on which guests were received and the total number of guests received during the year

2. Please provide in the following table details of the entertainment and bestowing of gifts by your bureau and its department in 2013-14.

Bureau/ division/ department	Event date (year/month/ day)	Departments/ organisations (please list out details by department or organisation and the number of people) and titles of guests received during the event	Expenditure on food for the event	Expenditure on beverages for the event	Expenditure on bestowing of gifts for the event	Venue of the event (offices of the department/ canteen of government facilities / private restaurant/ others (please specify))

(c) 2. Please provide in the following table the estimated expenditures on entertainment and bestowing of gifts in 2014-15.

Bureau / division / department	Estimated provision for entertainment and bestowing of gifts	Ceilings of expenses on entertainment per guest	Ceilings of expenses on gifts per guest

Asked by: Hon. HO Sau-lan, Cyd

Reply:

- The expenses of the Independent Commission Against Corruption (ICAC) on entertainment charged to the entertainment vote and souvenirs charged to the souvenirs vote are as follows:-

Year	Expenses (\$)
2011-12	682,983
2012-13	572,952
2013-14 ¹	252,125

- The detailed allocation of funding within the Commission is still under preparation and the estimate for entertainment and souvenirs in 2014-15 is not available.
- ICAC Staff Circular No. 8/2013 issued in May 2013 has laid down very stringent guidelines governing official entertainment and souvenirs. As a general principle, officers are reminded to exercise prudence when incurring official entertainment and souvenir expenses and should adhere to strict economy in expenses to avoid giving any notion of unjustified expenses. Unless with the exceptional approval by the Commissioner, the ceilings of entertainment expenses per head (current rates of \$150 for operational liaison lunch, \$350 for lunch or \$450 for dinner), which are inclusive of any food, beverages and tips, should be strictly adhered to. For official souvenirs, officers should not offer souvenirs to official guests and should avoid as far as possible exchanging souvenirs with official guests.

¹ Up to 28 February 2014

CONTROLLING OFFICER'S REPLY

ICAC024

(Question Serial No. 4649)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption (*)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 147):

In regard to the increasing co-operation between Hong Kong and the Mainland in recent years, please provide the relevant information on the Hong Kong/Mainland cross-boundary projects or programmes in which the ICAC and its departments have been involved.

(a) Please list out in the following table information on the Hong Kong/Mainland cross-boundary projects or programmes from 2011-12 to 2013-14:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme

(b) Please list out in the following table information on the Hong Kong/Mainland cross-boundary projects or programmes in 2014-15:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Will the details, objectives, amount involved or impact on the public, society, culture and ecology be released to the public? If they will, through what channels and what will be the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme

(c) Apart from the projects or programmes listed above, are there any other forms of cross boundary co-operation? If there are, in what forms are they conducted? What were the manpower and expenditure involved in the past 3 years and how much financial and manpower resources are earmarked in 2014-15?

Asked by: Hon. HO Sau-lan, Cyd

Reply:

(a) Information on the Hong Kong/Mainland cross-boundary projects or programmes from 2011-12 to 2013-14 is as follows:-

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
HongKong- Zhuhai-Macao Bridge (HZMB) -Corruption Prevention Forum (Zhuhai)	To share the strategies and measures adopted by Guangdong, Hong Kong and Macao for preventing corruption in major infrastructure projects such as HZMB. This item was not related to the Framework Agreement on Hong Kong / Guangdong Cooperation	\$818 (return ferry tickets for two officers from the Corruption Prevention Department (CPD) of the ICAC)	Officials of Guangdong Provincial Department of Supervision (GPDS) and Commission Against Corruption, Macao (CCAC)	Item completed. The two CPD officers arrived at Zhuhai on 23 Feb 2012 morning for the forum and returned to Hong Kong on the same day afternoon.	No announcement was made because the forum only involved experience sharing and was not a publicity event.	Not applicable.
Workshop on Corruption Prevention System and Procedures (Hong Kong)	To introduce the system corruption prevention model and work of ICAC This item was not related to the Framework Agreement on Hong Kong / Guangdong Cooperation	\$5,400 for the lunch provided to 29 Mainland officials. An ICAC Annual Report 2011, a set of DVDs on the ICAC Investigators Series 2011	Officials of Guangdong Provincial Bureau of Corruption Prevention and Municipal Bureaux of Corruption Prevention in the Guangdong Province	Item Completed CPD organised the workshop in the ICAC Building from 9:00am to 5:00pm on 14 May 2013.	No announcement was made because the workshop only involved experience sharing and was not a publicity event.	Not applicable.

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
		and two Best Practices Checklists on Construction were also presented to the GPDS officials during the workshop.				
Forum on Preventing Corruption in Major Infrastructure Projects in Guangdong, Hong Kong and Macao (Zhuhai)	To share the strategies and measures adopted by Guangdong, Hong Kong and Macao for preventing corruption in major infrastructure projects. This item was not related to the Framework Agreement on Hong Kong / Guangdong Cooperation	\$840 for the return ferry tickets for two CPD officers.	Officials of GPDS and CCAC	Item completed. The two CPD officers arrived at Zhuhai on 30 Jul 2013 afternoon for the forum and returned to Hong Kong in the same evening.	No announcement was made because the forum involved experience sharing and was not a publicity event.	Not applicable.
Guangdong Hong Kong Macao Mutual Case Assistance Workshop (Guangdong Province, Hong	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the	The workshops in 2011 and 2012 were hosted by the Guangdong People's	Officials of Supreme People's Procuratorate (SPP) and GDPP	This programme is a standing arrangement which is held once a year by GDPP, CCAC	Exchange of mutual case assistance in cross-boundary corruption amongst	Not applicable.

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
Kong and Macao)	Framework Agreement.	Procuratorate (GDPP) and the CCAC respectively. The expenditures incurred were unknown. The 2013 workshop was hosted by ICAC with an expenditure of around \$28,000.		and ICAC on rotation.	GDPP, CCAC and ICAC. Since the content of the workshop is confidential and therefore is inappropriate for the ICAC to disclose the details to the public.	
“Integrity and Professionalism – Key to Business Success” Conference for Small and Medium Enterprises (SMEs) in Guangdong, Hong Kong and Macao (Hong Kong)	The project, jointly planned by ICAC, GDPP and CCAC, was organised by the ICAC in Hong Kong for enhancing the corruption prevention capabilities of cross-boundary SMEs. Over 200 SME operators from the three places and foreign investors in Hong Kong attended. This Conference is an item under	\$150,000	Officials of the Ministry of Commerce, GDPP, Guangdong Provincial Public Safety Bureau, Guangdong Sub- Administration of Customs, Guangdong SME Bureau and Liaison Office of the Central People’s Government (LOCPG) in the HKSAR	Completed The Conference was held on 22.9.2011 in Hong Kong	The Conference was promoted to SMEs through a network of trade associations and business chambers. Related information was also publicised through ICAC website and the mass media. Press release was issued on the event day.	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
	the Framework Agreement on Hong Kong/Guangdong Co-operation.					
Regional Conference on Civil Service Integrity (Hong Kong)	ICAC co-organised the Conference with Ministry of Supervision, PRC (MoS) and CCAC to share experience on building up a clean civil service through systemic prevention and integrity management. About 200 government officers in Mainland, Macao and Hong Kong attended. This Conference is not an item under the Framework Agreement.	\$243,900	Officials of MoS, Provincial Supervision Departments, Hong Kong and Macao Affairs Office of the State Council and LOCPG in the Hong Kong SAR and Macao SAR	Completed The Conference was held on 10-11.11 2011 in Hong Kong This project was once a standing arrangement. In principle, each organisation took turn to hold the event once a year.	A press release was issued after the event.	Not applicable
Cross Boundary Anti-Corruption Computer Animation / Comics Competition	The project, jointly planned by ICAC, GDPP and CACC, was organised by the ICAC in Hong	\$470,000	Officials of GDPP	Completed The programme commenced at end of 2011 and ended in Aug	The Competition was promoted through online channels,	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
(Hong Kong)	<p>Kong to promote probity among youngsters. Around 3,700 secondary and tertiary students from Guangdong, Hong Kong and Macao participated in the Competition. A creativity workshop and an exchange activity were also organised for participants.</p> <p>This Competition is an item under the Framework Agreement.</p>			2012	magazines, posters and leaflets. ICAC invited the media to cover the Competition on the event day and issued press release.	
'Business Success: Integrity & Legal Compliance' – Corruption Prevention Guide for SMEs in Guangdong, Hong Kong and Macao	The Guide, jointly planned by ICAC, GDPP and CCAC, was produced by the ICAC in Hong Kong to enhance the corruption prevention capabilities of cross-boundary enterprises. The Guide covers the anti-corruption laws of the three	\$690,000 (A total of 23,200 copies, including the Chinese printed version and the Chinese & English CD-ROM version, were produced)	Officials of the GDPP	Completed The Chinese printed version was published in October 2012. A CD-ROM containing both the Chinese & English versions of the Guide was launched in June 2013	ICAC sent the Guide to local trade associations and foreign chambers of commerce. Copies were also distributed to individual companies upon request. Feature	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisation involved	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If they have, through what channels and what were the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
	<p>places, case analyses and corruption prevention measures.</p> <p>This publication is an item under the Framework Agreement.</p>				<p>articles on the Guide were published on newspapers and through a network of trade associations and business chambers. It was also promoted and distributed in sharing sessions co- organised with business chambers. Related information was disseminated through ICAC website and email alerts.</p>	

(b) Information on the Hong Kong/Mainland cross-boundary projects or programmes in 2014-15 is as follows:-

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Co-operation	Expenditure involved	Name of Mainland official, department or organisatio n involved	Progress (percentage completed, date of commencement , estimated date of completion)	Will the details, objectives, amount involved or impact on the public, society, culture and ecology be released to the public? If they will, through what channels and what will be the manpower and expenditure involved? If not, why?	Details of the legislation and policy amendment involved in the programme
Forum on supervision of major construction projects (venue to be decided)	To share the experience in promoting integrity in state- owned enterprises and supervision of major construction projects. This item was not related to the Framework Agreement on Guangdong/ Hong Kong Cooperation	About \$1,000 for two CPD officers’ traveling expenses between Hong Kong and the Mainland	Officials of GPDS The list of other Mainland departments/ organization s’ officials is to be advised by GPDS.	The forum is expected to be held in the Mainland in the second half of 2014. The time and venue are to be advised by GPDS.	No announcement will be made because the forum only involves experience sharing and is not a publicity event.	Not applicable.
Guangdong Hong Kong Macao Mutual Case Assistance Workshop (Guangdong Province)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement.	About \$40,000 – mainly to pay for the subsistence allowances and travelling expenses of 8 (tentative) ICAC representatives on a two-night official visit to Guangzhou City.	Officials of SPP and GDPP	This programme is a standing arrangement. GDPP will host the workshop in 2014-15.	Exchange of mutual case assistance in cross-boundary corruption amongst GDPP, CCAC and ICAC. Since the content of the workshop is confidential and therefore is inappropriate for the ICAC to disclose the details to the public.	Not Applicable

(c) The Commission does not have other forms of cross boundary co-operation in 2014-15.

CONTROLLING OFFICER'S REPLY

ICAC025

(Question Serial No. 4658)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 154):

What monitoring and auditing measures, as well as guidelines, does the Corruption Prevention Department have to ensure that all departments of the ICAC are resistant to corruption and able to plug the corruption loopholes? What are the expenses that these measures and guidelines involve? Is there specialized manpower responsible for carrying out anti-corruption work in the ICAC itself? If yes, what is the establishment of staff concerned, and what is the percentage of its manpower against the ICAC's manpower on the anti-corruption work for the entire government sector? If not, what is the ratio of the man-hour on the anti-corruption work for the ICAC to the ICAC's man-hour on the anti-corruption work for the entire government sector?

Asked by: Hon HO Sau-lan, Cyd

Reply:

Highly demanding standards of integrity and honesty are upheld by officers of the Independent Commission Against Corruption (ICAC) as a statutory anti-corruption agency. While discharging their statutory duties, ICAC officers are conscientiously self-disciplined and law-abiding in fulfilling their anti-corruption mission through the three-pronged approach of enforcement, education and prevention. The induction or further training courses for the officers also seek to enhance their sense of anti-corruption mission, vigilance in corruption prevention and personal conduct as ICAC officers to meet public expectations.

The culture and values of integrity built up among officers in the ICAC through four decades of work has been well accepted by the society. ICAC officers are dedicated to upkeeping the good reputation of the Commission and strictly adhering to professional codes at all times. This is mainly due to their day-to-day role in the combat against corruption. Apart from investigation, any corruption prevention studies and community education work are designed, partaken and promoted by ICAC officers together with other stakeholders. They are therefore highly vigilant in the prevention against corruption.

The Corruption Prevention Department (CPD) has offered corruption prevention advice to other departments of the Commission. The demand for such advice is low and hence met by internal resources. CPD would continue to offer such advice as and when necessary.

Moreover, the Internal Audit Unit (IAU) of CPD conducts internal audit for the Commission (excluding the work of the Operations Department), including identifying system weaknesses and recommending enhancement measures to improve internal controls. The establishment of the IAU comprises 1 Commission Against Corruption Officer (Upper), 1 Commission Against Corruption Officer (Middle/Lower) and 1 Assistant Clerical Officer, accounting for around 5% of the total establishment of CPD.

CONTROLLING OFFICER'S REPLY

ICAC026

(Question Serial No. 4927)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (1) Corruption Prevention
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 194):

Regarding the corruption prevention work of the ICAC, please give information on:

- a) in regard to the integrity of voter registration for the Legislative Council Functional Constituencies (FCs), on a yearly basis, the number of corruption cases involving voters of the Legislative Council FCs, the number of people involved, the numbers of arrests, prosecutions and convictions as well as the maximum and minimum sentences in the past 5 years;
- b) the work plans to maintain the integrity of voter registration for the Legislative Council FCs, their schedules, expenditures and manpower involved as well as the projected outcomes?

Asked by:Hon. CHAN Ka-lok, Kenneth

Reply:

- a) ● In the past 5 years, the Independent Commission Against Corruption (ICAC) has received two reports concerning the breach of section 16 of the Elections (Corrupt and Illegal Conduct) Ordinance (Cap. 554) by ineligible voter of the Legislative Council FCs. These two cases were both received in 2012. No person was arrested or prosecuted after investigation.
- b) ● To ensure integrity and fairness of the 2016 Legislative Council Functional Constituencies election and to prevent corruption and malpractices in the voter registration procedures, the ICAC, with the assistance of the Registration and Electoral Office (REO), provides corruption prevention services for organisations prescribed in the Legislative Council Ordinance (Cap. 554). Members of these organisations are eligible for registration as voters under the respective Legislative Council Functional Constituencies.
- Based on the information provided by the REO, the ICAC will meet with these organisations' committee members or managerial staff responsible for membership administration. Upon review of their operations in membership administration, such as membership application procedures, approval authority, renewal of membership, security and management of membership records, and criteria and mechanism for de-registration of membership, the ICAC will provide tailor-made corruption prevention advice to assist the organisations in formulating a proper and transparent membership administration system.

- The ICAC has already contacted 17 organisations since late 2013 and will continue to promote preventive services to these organisations (about 200 organisations) in 2014 and 2015. Further efforts will be made, if necessary, before the Legislative Council Functional Constituencies election in 2016 to remind the organisations of the importance of maintaining a proper membership administration system.
- The ICAC has a group responsible for providing corruption prevention services for public elections and related matters. The above work plans are mainly handled by a Commission Against Corruption Officer (Upper) (CACO(U)) of the group as part of his normal duties. The ICAC will also make timely deployment of resources within the group for the purpose when necessary. CACO(U) is on ICAC Pay Scale Point 36 to 42, i.e. monthly salary from \$80,195 to \$100,150.
- Through the above work plans, the ICAC aims at refining the membership administration systems of the organisations concerned, raising the corruption prevention awareness of their managerial staff and enhancing the integrity of the voter registration system.

CONTROLLING OFFICER'S REPLY

ICAC027

(Question Serial No. 4933)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (1) Corruption Prevention
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 195):

Regarding the corruption prevention work, please give information on:

- a) on a yearly basis, the number of corruption cases involving each of the government departments, the number of people involved, the numbers of arrests, prosecutions and convictions as well as the maximum and minimum sentences in the past 5 years; please list out the information by year and department;
- b) the work plans, expenditures and manpower involved in dealing with the corruption problem in each of the government departments; please list out the information by department.

Asked by:Hon. CHAN Ka-lok, Kenneth

Reply:

- a)
 - In 2009-2013, the yearly figures of corruption investigations conducted by Independent Commission Against Corruption (ICAC) involving government departments, the number of persons prosecuted and convicted, and the maximum and minimum penalty for each year are listed at Annex 1 to Annex 4.
 - ICAC does not maintain statistics on the number of persons involved or number of persons arrested in each investigation, and therefore is unable to provide the relevant information.
- b)
 - The Corruption Prevention Department (CPD) has a statutory duty to examine the work practices and procedures of government departments with a view to identifying corruption risks in their work systems and recommending measures to plug corruption loopholes. CPD partners with government departments to carry out corruption prevention work, formulating work plans with government departments at the respective Corruption Prevention Group meetings. The services provided by CPD to government departments include conducting assignment studies, providing corruption prevention advice through consultations and organizing training workshops and seminars in order to strengthen the knowledge on corruption prevention and integrity management of staff of government departments.
 - CPD will continue to adopt a proactive and synchronic approach to swiftly look into the trend on corruption complaints, ICAC cases, issues of public safety, public concern or those involving substantial public funds.
 - Currently CPD has 38 corruption prevention officers (not including 3 Directorate officers) undertaking corruption prevention work for all government departments. Their work is supported by 15 general grades staff including one temporary staff. As the normal duties of CPD, the provision of corruption prevention services for all government departments does not involve additional staffing and expenditure.

Number of corruption investigations conducted by ICAC involving government departments*
(2009-2013)

Government Department	2009	2010	2011	2012	2013
Hong Kong Police Force	225	249	248	245	153
Food & Environmental Hygiene Department	91	91	116	84	46
Leisure & Cultural Services Department	32	28	24	32	30
Lands Department	43	52	49	59	25
Correctional Services Department	34	45	31	23	20
Housing Department	44	29	42	43	19
Buildings Department	16	15	16	16	12
Fire Services Department	17	15	21	14	12
Transport Department	4	8	12	20	10
Customs & Excise Department	14	16	20	18	8
Hong Kong Post	9	9	11	22	8
Social Welfare Department	10	15	13	22	8
Home Affairs Department	15	18	12	15	7
Immigration Department	13	20	14	13	7
Judiciary	12	14	16	20	7

*Only the government departments with more than ten average corruption investigations in the past five years are listed in the table above.

Number of persons prosecuted for corruption and/ or related offences investigated by ICAC involving government departments (2009-2013)*

Government Departments	2009	2010	2011	2012	2013
Hong Kong Post		1	2	2	3
Chief Secretary for Administration's Office				1	
Civil Engineering and Development Department				1	
Correctional Services Department	6	2	6	1	1
Fire Services Department			2	1	2
Hong Kong Police Force	5	11	3	1	2
Independent Commission Against Corruption		1		1	
Immigration Department					1
Lands Department				1	
Leisure & Cultural Services Department	4	3	5	1	3
Transport Department				1	
Auxiliary Medical Service					
Customs & Excise Department		1			
Department of Health		4			
Education Bureau	1				2
Food & Environmental Hygiene Department	2	1			
Highways Department		1			
Labour Department	1				
Radio Television Hong Kong		1	1		
Rating & Valuation Department					
Social Welfare Department	1				1
Student Financial Assistance Agency	1				
Sub-total	21	26	19	11	15
Civilians (involved in government departments related cases)	24	45	19	16	17
Total	45	71	38	27	32

* The number of persons prosecuted of each year does not necessarily originate from complaints of the same year, as it needs some time to proceed from investigation to prosecution and then to trial.

Number of persons convicted for corruption and/ or related offences investigated by ICAC
involving government departments (2009-2013)*

Government Departments	2009	2010	2011	2012	2013
Hong Kong Police Force	6	3	4	1	1
Department of Health	1	2	1		
Correctional Services Department	3	3	1	5	1
Leisure & Cultural Services Department	5	2	4	1	3
Labour Department	1				
Student Financial Assistance Agency	1				
Social Welfare Department	1				1
Food & Environmental Hygiene Department	1	1			
Home Affairs Department	1				
Independent Commission Against Corruption		1		1	
Inland Revenue Department		1			
Hong Kong Post		1	2	1	2
Education Bureau		1			1
Radio Television Hong Kong		1		1	
Highways Department			1		
Customs & Excise Department			1		
Fire Services Department				1	1
Lands Department				1	
Immigration Department					1
Civil Engineering and Development Department					1
Transport Department					1
Sub-total	20	16	14	12	13
Civilians (involved in government departments related cases)	27	17	32	14	11
Total	47	33	46	26	24

* The number of persons convicted of each year does not necessarily originate from complaints or prosecutions of the same year, as it needs some time to proceed from investigation to prosecution and then to trial.

Maximum and minimum penalty for conviction of corruption and/or related offences investigated by ICAC involving government department (2009-2013)

	Imprisonment		Community Service Orders		fine	
	Maximum	Minimum	Maximum	Minimum	Maximum	Minimum
2009	4 years	4weeks	240hrs	100hrs	\$66,000	\$2,000
2010	38 months	3 months	180hrs	80hrs	\$16,000	\$1,500
2011	4 years 4 months	8 months	160hrs	100hrs	\$13,200	\$10,000
2012	26 months	3 months	120hrs	70hrs	\$1,000*	
2013	12 months	3 months	200hrs	120hrs	\$5,000*	

*There was only one conviction involving fine for the year

CONTROLLING OFFICER'S REPLY

ICAC028

(Question Serial No. 5101)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 170):

In respect of corruption reports, please advise the Committee on: what was the number of persons making non-anonymous reports in each of 2012-13 and 2013-14, and how many of these were pursuable corruption reports?

Asked by: Hon. Wu Chi-wai

Reply:

In the year 2012-13, there were 2435 non-anonymous corruption reports (excluding election-related reports). Of these, 2390 were pursuable corruption reports.

In the year 2013-14 (up to 28.2.2014), there were 1684 non-anonymous corruption reports (excluding election-related reports). Of these, 1506 were pursuable corruption reports.

CONTROLLING OFFICER'S REPLY

ICAC029

(Question Serial No. 5273)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 49):

What is the estimated expenditure on duty visits or exchange activities to be conducted by the ICAC on Mainland China in 2014-15? Please give information on the themes of the Mainland duty visits or exchange activities scheduled for 2014-15. How does the Administration prevent non-duty related activities from being included in the duty visits? And how does the Administration prevent applications for change of the place to be visited from becoming a mere formality?

Asked by:Hon. WONG Yuk-man

Reply:

The Independent Commission Against Corruption (ICAC) will arrange duty visits to the Mainland China according to operational needs, but no relevant estimate has been made for 2014-15. Details of a duty visit that has been planned are as follows:

Corruption Prevention Department (CPD)

Name of programme/plan	Details and purpose	Expenses involved	Mainland officials involved and name of department or organisation
Forum on supervision of major construction projects	To share the experience in promoting integrity in state-owned enterprises and supervision of major construction projects.	About \$1,000 for two CPD officers' traveling expenses between Hong Kong and the Mainland	Guangdong Provincial Department of Supervision

Operations Department

Date	Theme	Estimated Expenditure
November 2014 (tentative)	The Mutual Case Assistance Workshop of Hong Kong, Macao and Guangdong Province– The annual workshop hosted in turn by the Guangdong Provincial People's Procuratorate, the Commission Against Corruption of Macao and the ICAC of Hong Kong will be held mainly for exchanges on mutual assistance in criminal cases under cross-border duties of the three parties.	About \$40,000 – mainly to pay for the subsistence allowances and travelling expenses of 8 (tentative) ICAC representatives on a two-night official visit to Guangzhou City.

Date	Theme	Estimated Expenditure
November 2014 (tentative)	The 34 th Chief Investigators Command Course – The course is tailored for newly-promoted ICAC Chief Investigators and representatives from local, mainland and overseas anti-corruption and law-enforcement agencies. As part of the course a mainland visit for comparative studies will be arranged to let members have a better understanding on the mainland’s anti-corruption work, judicial system and challenges encountered.	About \$198,000 – mainly to pay for the subsistence allowances and travelling expenses of 15 (tentative) ICAC course members and working staff on their mainland visit

Duty visits, if the expenses are paid by public funds, are governed by relevant regulations and guidelines to ensure effective supervision and good value for money. The relevant controls are such that duty visits must be supported by sufficient grounds out of operational needs; prior official approval must be obtained for all duty visits and non-official programmes must be avoided; in submitting an application, responsible officers must provide all necessary information on the planned duty visits; approving officers must be informed by the responsible officers of any modification of the duty visit arrangements and approving officers have to evaluate the necessity of re-considering the application, etc.

CONTROLLING OFFICER'S REPLY

ICAC030

(Question Serial No. 5524)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (3) Preventive education
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 83):

The Community Relations Department (CRD) has set a performance target of 1,000 for “non-profit-making organizations reached” and an indicator of 10,000 of “employees and members of non-profit-making organizations who have received training in corruption prevention” in 2014-15. In this connection, will the Administration inform this Committee:

- a) the estimated expenditure for 2014 on meeting the 2 performance targets; and
- b) whether additional manpower will be recruited with the introduction of the 2 new performance targets; if it will; of the details; if it won't of the reasons; and
- c) given the CRD says some organisations may be reached in more than one way, e.g. talks/visits/meetings and conferences/seminars/workshops, what are the criteria to be applied for deciding which organizations should be reached in more than one way?

Asked by: Hon SHEK Lai-him, Abraham

Reply:

- a)
 - Non-profit making organisations (NPOs) refer to a wide range of organisations, viz. building management bodies, district organisations, voluntary agencies, youth and religious bodies, women's and residents' associations, education organisations, and parent teacher associations, etc. Although there was no quantitative indicator in the previous Controlling Officer's Reports (CORs), CRD has all along been reaching out to different NPOs to organise anti-corruption training for them. We have also partnered with NPOs to disseminate probity messages through their networks and publicity platforms.
 - Addressing the recommendation of the Director of Audit's report released last year that the Independent Commission Against Commission (ICAC) should regularly review the strategy and priority for providing preventive education for NPOs, the CRD has specified clear targets and indicators in this aspect starting from this year's COR.
 - Having regard to the effectiveness of past CRD services for NPOs and the societal aspiration, CRD would set priority targets every year. In 2014, the CRD aims to reach 1,000 NPOs and their 10,000 employees and members through the following major initiatives:
 - To assist owners' corporations in preventing corruption in building management and renovation;
 - To strengthen the network with mutual aid committees of public housing estates and to help them enhance the corruption prevention control in their day-to-day management; and

- To provide integrity training to other NPOs upon their requests.
 - The above initiatives, which have been subsumed in the work plan of the seven ICAC Regional Offices, are not separately budgeted.
- b)
- As mentioned in “a” above, the provision of corruption prevention services for NPOs is not a new target for the CRD. CRD’s seven Regional Offices will continue to share out the related organising and implementation work through the existing manpower.
- c)
- CRD is committed to reaching out to different NPOs by various means and assisting them to enhance integrity management. Apart from providing corruption prevention services to individual NPOs (such as organising training for newly-formed owners’ corporations), we would co-organise anti-corruption seminars on specific topics (such as workshops on clean building maintenance) with our work partners (such as government departments) for different organisations. In this connection, some NPOs might have participated in a variety of integrity related activities through different channels to meet their actual needs. In terms of deployment of resources, the CRD will give priority to those organisations which are newly formed or more vulnerable to corruption.

CONTROLLING OFFICER'S REPLY**ICAC031****(Question Serial No. 5589)**

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 48):

Please provide in table form the number, duration, destination and purpose of duty visits made by the incumbent Commissioner (ICAC) after assuming office and the number of accompanying ICAC officers of each visit; and in another table the expenditure details.

Asked by: Hon. TO Kun-sun, James

Reply:

The incumbent Commissioner, Independent Commission Against Corruption (ICAC) has made seven duty visits after assuming office. The destination, duration, purpose of duty visits, the number of accompanying ICAC officers and the expenditure details are as below:-

Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (Commissioner's individual expenditure in brackets) (\$)	Expenses
Guangzhou, China	3	Officiated at the awards presentation ceremony of the Cross-boundary Anti-corruption Computer Animation / Comics Competition and visited the Guangdong Provincial People's Procuratorate (GDPP)	6	38,600	Passage: \$3,220 Subsistence Allowance / Others: \$35,380
Beijing, China	4	Visited the Supreme People's Procuratorate (SPP) and Ministry of Supervision (MoS), the Hong Kong and Macao Affairs Office of the State Council and Mainland academics of the Chinese Academy of Social Sciences	7	115,518	Air passage: \$48,453 Subsistence Allowance / Others: \$67,065

Destination	Duration (Days)	Purpose of duty visit	No. of accompanying ICAC officers	Total expenditure (Commissioner's individual expenditure in brackets) (\$)	Expenses
Macao, China	2	Attended a Macao Commission Against Corruption (CCAC) seminar which marks the 20th anniversary of Macao's anti-graft work and visit CCAC and the Macao Public Prosecutions Office	6	28,539	Passage: \$4,270 Subsistence Allowance / Others: \$24,269
Kuala Lumpur, Malaysia	5	Attended the Sixth Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities (IAACA)	5	99,637	Air passage: \$33,345 Subsistence Allowance / Others: \$66,292
Shenzhen, China	1	Visited the Shenzhen Municipal People's Procuratorate	6	Nil	Nil
New Delhi India	4	To attend the Executive Committee Meeting of the International Association of Anti-Corruption Authorities	4	73,224	Air passage: \$37,443 Subsistence Allowance / Others: \$35,781
United States (New York, Washington) and Panama	9	Visited New York and Washington of the United States to pay official visits to counterpart government agencies and international corruption rating organisations, and to attend the Executive Committee Meeting, the 7 th Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities in Panama	2	298,547	Air passage: \$223,129 Subsistence Allowance / Others: \$75,418
				Total : 7 visits and total expenditure	\$654,065

CONTROLLING OFFICER'S REPLY

ICAC032

(Question Serial No. 5614)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 92):

In respect of corruption reports and operation work concerning building maintenance/management matters, please advise the Committee on:

4. How many corruption reports concerning building maintenance/management were received in each of 2012-13 and 2013-14, and how many of these reports ended up with successful prosecution and conviction?
5. What was the manpower establishment and expenditure for the investigation of building maintenance/management matters in each of 2012-13 and 2013-14?
6. In response to suspected corruption involving building maintenance/management matters, is there any plan for increasing resources and manpower to cope with it? If affirmative, what are the details?

Asked by: Hon. TO Kun-sun, James

Reply:

- (1) In 2012-13, the Independent Commission Against Corruption (ICAC) received 383 corruption complaints on building maintenance and 530 corruption complaints on building management. 25 persons involved in corruption complaints on building maintenance/building management were prosecuted. In the same period, 27 persons were convicted¹.

In 2013-14 (up to 28.2.2014), ICAC received 246 corruption complaints on building maintenance and 341 corruption complaints on building management. 19 persons involved in corruption complaints on building maintenance/building management were prosecuted. In the same period, 12 persons were convicted¹.

- (2) At present, an establishment of 43 officers in the Operations Department of the ICAC is tasked to investigate corruption complaints received in this area. The salary expenditure for 2012-13 and 2013-14 are about \$21millions and \$22millions respectively.

The ICAC is highly concerned with the corruption problem arising from building maintenance/management

¹ The prosecution and conviction cases of each year do not necessarily originate from complaints of the same year, as it needs some time to proceed from investigation to prosecution and then to trial.

matters. All along the Operations Department has regularly reviewed the increase/decrease as well as gravity and complexity of related complaints for flexible deployment of resources and manpower to cope with investigation needs.

CONTROLLING OFFICER'S REPLY

ICAC033

(Question Serial No. 5795)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (4) Enlisting Support
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 196):

In respect of the ICAC's work targets and annual survey, please advise on:

- (a) the reasons why the percentage of the respondents in 2013 (being 95.3%) who considered that the ICAC deserved their support was the lowest in the past three years;
- (b) the reasons why the percentage of the respondents in 2013 (being 28.7%) who considered that corruption was common or quite common was the highest in the past three years;
- c) details of the expenditures, manpower and work involved in the annual survey for the past three years?

Asked by:Hon CHAN Ka-lok, Kenneth

Reply:

a)

According to the 2013 ICAC Annual Survey, a majority of the respondents (95.3%) considered that the ICAC deserved their support. Although the percentage has seen a decrease when compared with 2012 (98.7%) and 2011 (98.0%), it is still maintained at a high level.

The decrease may be attributed to the media publicity about the ICAC in 2013, which might have affected public perception. The ICAC will endeavour to maintain the public's confidence and support through implementing measures to improve governance, continuing to fight corruption impartially in accordance with the law, and carrying out preventive and education work vigorously.

b)

28.7% of the respondents in the 2013 ICAC Annual Survey considered that corruption was common or quite common. The percentage was slightly higher when compared with the previous two years. This might be attributed to the media coverage on some cases concerning government officials and enterprises that might affect public perception. In fact, the corruption situation in Hong Kong has remained stable. The ICAC will continue to monitor closely the actual corruption scene with a view to formulating suitable strategies to combat and prevent corruption.

c)

The ICAC invites quotations from survey firms every year to conduct the annual survey to gauge the public's attitude towards corruption and their views on ICAC's work. The Community Research Sub-Committee under the Citizens Advisory Committee on Community Relations takes part in quotation assessment. Monitoring the work of the selected survey firm is part of the daily work of the CRD. The costs of the ICAC annual survey for the past three years are listed below:

Year	HK Dollars (\$)
2011	358,000
2012	632,000
2013	698,000

CONTROLLING OFFICER'S REPLY

ICAC034

(Question Serial No. 5796)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (1) Corruption Prevention, (2) Operations, (3) Preventive Education, (4) Enlisting Support

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 197):

Regarding the staff establishment of the ICAC, there will be 8, 29, 4 and 4 posts respectively created for 4 programmes. Please list by each programme the rank and functions of the newly created posts in each department; and list by each programme the rank, functions and number of officers in each department and each year for the 4 programmes in the past 3 years.

Asked by:Hon CHAN Ka-lok, Kenneth

Reply:

Distribution of the new posts to be created in 2014-15 under the departments by programme is:-

Programme (1):

- For setting up of the Internal Audit Unit under the Corruption Prevention Department (CPD), to create 1 CACO(U), 1 CACO(M/L) and 1 ACO posts to be deployed for conducting internal audit for the Commission (excluding the work of the Operations Department) and providing related clerical support, in order to strengthen the monitoring and internal control mechanism of the Commission.
- Under the CPD, to create 1 CACO(U), 1 CACO(M/L) and 1 ACO posts to be deployed for providing corruption prevention advice to Government bureaux/departments and other organisations involved in election-related matters, and conducting research and analysis on CPD's work experience, in order to strengthen corruption prevention capacity.

Programme (2):

- Under the Operations Department (Ops), to create 1 CACO(U), 2 CACO(M/L) and 2 ACACO posts to enhance the capability of the Proceeds of Crime Section by restraining and confiscation of assets pursuant to the Organized and Serious Crimes Ordinance (Cap. 455) so as to deprive criminals of their corrupt and illicit crime proceeds.

Programme (1), (2), (3) and (4):

- Under the Administration Branch¹(Admin), to create 1 CACO(U), 2 CACO(M/L) and 2 ACO posts to strengthen the overall human resources management of the Commission by adopting a more strategic and

¹ The establishment of the Administration Branch distributes among four programmes based on funding allocation under each programme.

systematic approach in manpower planning, performance management, succession planning, staff retention and career development, etc.

- To create 16 ACO posts and 13 Workman II posts under all departments to rationalise 29 posts on temporary contract term and to strengthen administrative and general support in the Commission. 16 of these posts are under Ops, 1 under CPD and 6 each under CRD and Admin.
- Since the Admin does not have a programme, its established posts are distributed in proportion to the shares of resources allocated to the 4 programmes. Thus among the 11 new posts of Admin, 8 is put under Programme 2, and 1 each under Programme 1, 3 and 4.

The work of Departments under the four programmes are as below:-

- Programme (1) consists of staff from the CPD and the Administration Branch. The work of the CPD is to examine practices and procedures of government departments and public bodies to reduce corruption opportunities and offer free and confidential corruption prevention advice to private organisations upon request.
- Programme (2) consists of staff from the Operations Department and the Administration Branch. The Operations Department receives, considers and investigates alleged corruption offences.
- Programme (3) and (4) consist of staff from the Community Relations Department (CRD) and the Administration Branch. The CRD educates the public against the evils of corruption and enlists public support in combating corruption.
- The Administration Branch provides administrative supports to CPD, the Operations Department and CRD centrally.

The actual establishment under the four programmes in the past three years could be found at the Annex:-

Annex

Rank	Corruption Prevention Department (Including Staff under Programme (1))			Operations Department (Including Staff under Programme (2))			Community Relations Department (Including Staff under Programme (3) & (4))			Administration Branch (Staff distribute among four programmes based on funding allocation under each programme)		
	2011/12	2012/13	2013/14	2011/12	2012/13	2013/14	2011/12	2012/13	2013/14	2011/12	2012/13	2013/14
Directorate Grades	3	3	3	10	10+1 [±]	10+1 [±]	3	3	3	1	1	1
Departmental Grades	51	48	48	857	876	894	141	142	139	9	12	13
General Grades	14	12	13	126	127	125	52	52	52	110	111	114
Total	68	63	64	993	1,013 +1 [±]	1,029 +1 [±]	196	197	194	120	124	128

Note: Supernumerary Post

CONTROLLING OFFICER'S REPLY

ICAC035

(Question Serial No. 6078)

Head: (72) Independent Commission Against Corruption
Subhead(No. & title): (-) Not Specified
Programme: (2) Operations
Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption
Director of Bureau: Commissioner, Independent Commission Against Corruption

Question (Member Question No. 610):

A total of 1668 pursuable corruption reports (excluding election-related reports) were received in 2013. Please advise the Committee on: what were the numbers of reports involving government departments, the public sector and the private sector respectively?

Asked by: Hon. CHEUNG Kwok-che

Reply:

Excluding election-related reports, the Independent Commission Against Corruption received a total of 1668 pursuable corruption reports in 2013. Of these, 430 reports concerned government departments, 109 reports concerned public bodies and 1129 reports concerned private sector.

CONTROLLING OFFICER'S REPLY

ICAC036

(Question Serial No. 6088)

Head: (72) Independent Commission Against Corruption

Subhead(No. & title): (-) Not Specified

Programme: (3) Preventive Education

Controlling Officer: Simon YL PEH
Commissioner, Independent Commission Against Corruption

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question(Member Question No. 611):

The Independent Commission Against Corruption will organise a Youth Summit and an Exchange Programme as the culmination of the “i-Relay” Youth Integrity Project in 2014-15. In this connection, please inform this Council of the details of these activities, the estimated expenditures and manpower.

Asked by:Hon. CHEUNG Kwok-che

Reply:

The “i-Relay” Youth Integrity Project is spearheaded by the Independent Commission Against Commission (ICAC), co-organised by the Commission on Youth and Committee on Promotion of Civic Education, and supported by all the 17 local tertiary institutions¹ and four renowned youth associations² in Hong Kong. An Organising Committee comprising representatives from these organisations has been formed to steer the planning and implementation of the Project. Launched in 2013-14 academic year, the Project comprises *Talks on Personal Ethics* (conducted by ICAC officers), *ICAC Ambassador Programme cum Training Activities* (About 130 ICAC Ambassadors have been recruited from the local tertiary institutions to promote probity messages on campus and assist in promotion and organisation of the Project). To create synergy and encourage international exchange, other component activities under the Project i.e. *Team Competition*, *Exchange Programme* and *Youth Summit* are opened to tertiary students from the Mainland China and overseas. Support was enlisted from the co-organisers, assisting/supporting organisations, local, Mainland China and overseas tertiary institutions, youth organisations and Consuls-General in Hong Kong to publicise the Project. The Team Competition has attracted a total of 269 entries³.

A four-day *Exchange Programme* for the finalist teams of Team Competition will be held in Hong Kong on 24–27.4.2014. As endorsed by the Organising Committee, 68 members from 21 finalist teams from local,

¹ The University of Hong Kong, The Chinese University of Hong Kong, City University of Hong Kong, The Hong Kong University of Science and Technology, Hong Kong Baptist University, The Hong Kong Institute of Education, The Hong Kong Polytechnic University, Lingnan University, The Hong Kong Academy for Performing Arts, Caritas Institute of Higher Education, Centennial College, Tung Wah College, The Open University of Hong Kong, Hang Seng Management College, Hong Kong Shue Yan University, Chu Hai College of Higher Education, Vocational Training Council

² The Hong Kong Federation of Youth Groups, Hong Kong United Youth Association, AIESEC Hong Kong, Junior Achievement Hong Kong

³ A total of 269 entries were received from Hong Kong (103), and the remaining from Mainland China, Macau and overseas (Korea, Indonesia, Thailand, Turkey, Moldova, Australia, Mexico and United Kingdom). About 880 students from 55 tertiary institutions were reached and 21 finalist teams were shortlisted for the final adjudication. The four non-Hong Kong finalist teams (11 members in total) would be granted a free trip to Hong Kong to join the Exchange Programme and Youth Summit by the ICAC.

Mainland China and overseas tertiary institutions and five representatives of invitational teams⁴ would participate in the Exchange Programme. Around 70 ICAC Ambassadors will also join the Exchange Programme and assist in its organisation. Component activities include a welcoming session, final adjudication, visits to the ICAC and other organisations (LegCo, Court of Final Appeal/High Court and the University of Hong Kong), an exchange session on youth probity promotion, a Youth Summit, a city tour led by ICAC Ambassadors and a wrap-up and farewell session.

A *Youth Summit* has been scheduled for 26.4.2014 at the Youth Square (Chai Wan). The programme includes a Keynote Speech, Panel Discussion (sharing by prominent leaders and youth representatives on integrity related issues such as promotion of youth integrity, money management and a fruitful life), Award Presentation and Sharing by “Gold Award” Teams. The guest speakers include Mr Justice Patrick Chan, Dr Kelvin Wong Tin-yau, Mr Bernard Chan, Prof Stephen Cheung, Mr Dick Lee and representatives of ICAC Ambassadors and i-League members. Invitation has been sent to all the post-secondary / tertiary institutions and it is expected about 450 participants would join the event.

Estimated expenditures and manpower

The estimated budget of the Exchange Programme and Youth Summit is about HK\$0.5M. The Youth & Moral Education Office of Community Relations Department (CRD) is responsible for the overall planning and implementation of the Project.

⁴ With a view to enhancing international exchange amongst the participating teams, the Organising Committee advised to extend the sponsorship of the free trip and Exchange Programme to the best non-HK participating teams from each region.