

Labour Department (Headquarters)

勞工處(總處)

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Our reference 本處檔案編號: (5) to LD OD/1-55/79

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Miss Betty MA
Clerk to the Panel on Manpower
Legislative Council
Legislative Council Complex
1 Legislative Council Road
Central, Hong Kong

9 May 2017

Dear Miss MA,

Occupational Safety Condition of Construction of Hong Kong-Zhuhai-Macao Bridge

On 12 April 2017, the Panel on Manpower held a special meeting to discuss the occupational safety performance of the Hong Kong-Zhuhai-Macao Bridge ("HZMB") works projects. With regard to the issues raised and the motion passed at the meeting as well as the written enquiries from the Hon KWOK Ka-ki, the Hon Jeremy TAM Man-ho, the Hon Nathan LAW Kwun-chung, Dr the Hon Fernando CHEUNG Chiu-hung and Dr Hon LAU Siu-lai, a consolidated reply of the Labour Department ("LD"), Highways Department ("HyD") and Development Bureau ("DevB") is set out as follows.

Design and Construction

2. The occupational safety and health ("OSH") legislation stipulates the legal obligations of duty-holders undertaking construction works, including contractors' duty to ensure the OSH of workers employed by them. Irrespective of whether the same contractor is responsible for design and construction of the works, the contractor's legal obligation to protect the

workers' OSH remains unchanged. HyD advised that Design and Build (D&B) contract is one of several types of engineering contracts for project implementation. At design stage, the contractor could adopt the most suitable and effective construction method by considering contractual requirements, geographical and construction constraints with their own available resources, technical skills and machinery. HyD considered that the use of this type of contract would not increase the project risk and emphasized that the consultants would supervise the contractor's works for all type of contract. The contractor would assess the potential risk and conduct risk management before commencement of the works. According to the contractual requirements, the contractor is required to submit the method statement, including risk assessment, to the consultants' resident site staff ("RSS") for approval prior to commencement of the works.

3. The OSH legislation and relevant safety guidelines issued by LD are applicable to all contruction works including land-based construction works over/ near water. HyD advised that the contractor should carry out the works safely, in accordance with the recommended method statement, complying with the contract requirements and relevant OSH legislation and guidelines. The contractor is required to provide employees with appropriate measures and provisions to prevent them from falling from height, while the RSS should monitor the contractor to ensure that the works are adequately supervised before and during construction.

Safety Management

- 4. Pursuant to the Factories and Industrial Undertakings (Safety Officers and Safety Supervisors) Regulations, the contractor responsible for a construction site employing 100 or more workers shall employ at least one full time safety officer and one safety supervisor. OSH legislation requires duty-holders including contractors to provide a safe working environment for their workers. Duty holders should therefore employ a suitable number of safety officers and safety supervisors which should commensurate with the safety management systems they establish.
- 5. HyD advised that the contractor should employ sufficient number of

full-time registered safety officers and safety supervisors according to the contractual requirements. Safety officers shall be registered under the Factories and Industrial Undertakings (Safety Officers and Safety Supervisors) Regulations to advise the contractor on the measures to be taken and implemented, with the endorsement of the RSS, for workers' safety and health. In addition, the consultants also employed specific RSS, including Resident Senior Engineer (Safety), Resident Chief Technical Officer (Safety) and others, to solely supervise and follow-up on safety matters. Apart from the specific RSS, all other RSS would also ensure site safety while supervising the works.

- 6. Pursuant to the Factories and Industrial Undertakings (Safety Management) Regulation, a contractor employing 100 or more workers shall establish a safety committee and ensure at least half of its members are site worker representatives. The functions of the safety committee are to review the OSH initiatives of the construction site and suggest improvements. The safety committee shall meet at least once every three months, and the meeting minutes shall be kept for inspection by LD.
- 7. HyD advised the contractor of public works contract should set up a site safety committee to review and recommend occupational health and safety measures according to the contractual requirements and Construction Site Safety Manual. The members of the committee include the representative from the contractor, the contractor's safety officer and safety supervisor, the representative from workers and the RSS. The committee meets once a month with pre-meeting safety inspection conducted. The contractor will follow-up immediately and carry out improvement after the meeting.
- 8. In addition, the RSS also set up a site safety and environmental management committee to develop and implement site safety management and monitor the contractor's safety performance. HyD would attend the monthly site safety and environmental management meeting and pre-meeting inspection.

Rescue Measures

9. Land-based construction works over/near water are regulated by the Factories and Industrial Undertakings Ordinance ("FIUO") (Cap.59),

Occupational Safety and Health Ordinance ("OSHO") (Cap.509) and their subsidiary regulations. LD has also published the "Code of Practice for Safety and Health at Work (Land-based Construction over Water – Prevention of Fall)" and jointly published with the Marine Department the "Safety Guide for Construction Work over/ near Water". Employers shall carry out risk assessments for different work processes, including works over/ near water, and formulate safe systems of work with regard to the hazards identified through the risk assessments. Employers shall also provide necessary safety information, instruction, training and supervision to their employers. As regards works over/near water, contractors shall formulate and implement appropriate safety measures, including erecting guard-rails to prevent fall of workers into water and providing workers with and ensuring their use of lifejackets/buoyancy aides. Besides, contractors shall formulate effective rescue and emergency arrangements for works over/near water, including the provision of rescue vessels so as to ensure the safety of workers in case of emergency.

- 10. HyD would request the contractor to submit emergency plans according to the contractual requirements, to develop the emergency procedures and provide emergency equipment. When emergency incident happens, the contractor would handle the emergency situation before the relevant rescue departments arrive. Apart from the occupational and health requirements, to further enhance safety of workers working over/ near water, HyD requests the contractor to strictly require workers to wear life jackets. The contractor also implements a series of safety measures for working over/ near water, such as provision of guard-rails on board and edge of shore to prevent workers from falling into the sea. During the course of the works, the contractor would provide emergency vessels and rescue personnel to provide timely and The contractor also arranges marine rescue drill appropriate supports. operation regularly to enhance communication and coordination among various parties.
- 11. Furthermore, according to the Code of Practice for Safety and Health at Work (Land-based Construction over Water Prevention of Fall) published by LD, whenever workers are employed to work over or adjacent to turbulent or tidal water, rescue of them would have to be carried out by boat when they are drown. The contractor should provide at least one rescue boat with necessary equipment, which should be manned by competent boatmen who should have

been trained in rescue and emergency procedures and should have completed a course in first aid.

Contract, Tender and Related Matters

- 12. The Government will consider tenderers' technical quality and tender price to ensure that cost-effective and quality services can be procured for the public works projects. Generally, Formula Approach for tender evaluation is adopted for most public works contracts and each tenderer has to submit tender Tender evaluation takes into account tender price and price documents. tenderer's past performance rating and safety rating. On the other hand, Marking Scheme Approach will normally be adopted for works contracts of more complex nature. Each tenderer has to submit technical submission together with tender price documents for consideration by tender assessment Tender assessment panel will first evaluate tenderers' technical panel. submissions, taking into account their experience, past performance, technical resources, technical proposal in respect of design and construction. completing evaluation of all technical submissions, tender assessment panel will then open tenderers' tender price documents to work out an overall score for each tender. Regardless of whether Formula Approach or Marking Scheme Approach is adopted, tender with the highest overall score should normally be recommended for acceptance.
- 13. Following the established mechanism, the Government will take into account tenderers' past experience and performance, including their safety performance, as the essential tender evaluation criteria. This information is sufficient for the purpose of tender evaluation, and thus tender interview is not necessary. In case a contractor, who is on the List of Approved Contractors for Public Works, has performed poorly or has been involved in serious incidents in any public or private sector works contracts, the Development Bureau can take regulating actions against the concerned contractor according to the provisions as stipulated in the Contractor Management Handbook, which may include issuing warning letters to the concerned contractor, requiring an independent safety audit, suspending the contractor from tendering public works contracts or even removing the contractor from the List of Approved Contractors for Public Works depending on the level of severity. Moreover, works departments,

when recommending acceptance of a tender, should also consider the tenderer's recent performance, which includes whether he has been involved in serious misconduct or serious incidents, and ensure the recommended tenderer is fully capable of undertaking the contract.

- 14. DevB would from time to time conduct review to enhance the tender evaluation system for public works contracts, so as to address the market conditions and also the goal for provision of quality infrastructure facilities. The major revisions made in the three past reviews on the tender evaluation methods for public works contracts are given as follows-
 - (1) Standard evaluation criteria have been established for the Marking Scheme Approach, which would be adopted in works contracts of more complex nature. Moreover, the contractor's past performance ratings have been incorporated as tender evaluation criteria in the Formula Approach. The revisions were promulgated in 2002, and the details can be found in Technical Circular (Works) Nos. 22/2002 and 23/2002¹.
 - (2) Stage 1 Screening has been established for works departments to adopt. Any failure of meeting the minimum requirements stipulated in Stage 1 Screening will render a tender not being considered further, so that tender assessment panel can be more focused on evaluation of those tenders fulfilling the minimum tender requirements. The revisions were promulgated in 2004, and the details can be found in Technical Circular (Works) No. 8/2004².
 - (3) New evaluation criteria have been incorporated to assess the contractor's past safety performance, as well as innovation and creativity proposal and productivity enhancement proposal in the tenderer's technical proposal. The revisions were promulgated in 2014, and the details can be found in Technical Circular (Works) No. 4/2014³.
- 15. Currently, there are 14 on-going contracts under Hong Kong Zhuhai

² http://www.devb.gov.hk/filemanager/technicalcirculars/en/upload/77/1/C-2004-08-0-1_new.pdf (Superseded by Technical Circular (Works) No. 4/2014)

Superseded by Technical Circular (Works) No. 8/2004

http://www.devb.gov.hk/filemanager/technicalcirculars/en/upload/332/1/C-2014-04-01.pdf

- Macao Bridge Hong Kong Project Management Office, in which pre-qualification of tenders was conducted under three contracts according to WB TC No. 31/99. In respect of the tendering, seven and three contracts were tendered according to ETWB TC No. 8/2004 and DEVB TC No. 4/2014 respectively. The four D&B contracts were tendered according to WB TC No. 31/99. Marking scheme approach was adopted for tender evaluation of all the 14 contracts.
- 16. In the past five years (from 2012 to 2016), HyD awarded 39 Engineering & Associated Consultants Selection Board (EACSB) related consultancy agreements (total lump sum fees of about \$415 million). Seven of these consultancy agreements involve Ove Arup & Partners Hong Kong Limited and AECOM Asia Company Limited (total lump sum fees of about \$70 million). Please refer to the table below for details.

Consultants	Number of	Lump Sum Fees	Percentage of
	Consultancy	of Consultancy	Consultancy
	Agreements	Agreements	Agreements Based on
		(\$ million)	Total Lump Sum Fees
Ove Arup &	4	35.7	8.6%
Partners Hong Kong			
Limited			
AECOM Asia	3	34.0	8.2%
Company Limited			

Inspection, Enforcement and Related Matters

17. Safety of work above ground has always been a major focus of LD's inspections of construction sites (including HZMB works projects). During routine inspections, LD examines the safety condition of different types of working platforms. LD also conducts special enforcement operations targeting at work above ground from time to time. In connection with HZMB works projects, LD conducted a total of 1 384 inspections, and issued 853 warnings, 230 improvement notices and 51 suspension notices up to the first quarter of 2017 since commencement of the works in 2011 (details are tabulated at Annex

- I). LD does not keep the enforcement figures regarding the use of working platforms.
- 18. The contractor is required to take adequate steps to prevent any worker from falling from height according to relevant legislation, guidelines and contractual requirements. For example, when working height is over 2m, the contractor is required to provide secured working platform, with guard-rails and toe-board to prevent workers and tools falling from height. The working platform should be checked before use and regularly inspected by a competent person. If provision of a working platform is not practicable, the contractor shall provide safety belts and workers shall keep it attached to an independent lifeline or secure anchorage provided by the contractor. In addition, the RSS would also check whether the working platform has been inspected by a competent person with the signed inspection report displayed on the spot. If the contractor fails to provide the relevant documents, the RSS would stop the contractor using the subject working platform.
- 19. LD will follow up on the irregularities or breaches of OSH legislation detected during inspections in subsequent inspections, and for cases with sufficient evidence, take legal action against the duty-holders. For improvement notices, LD will follow-up on the breaches concerned until the unsafe condition is improved. In respect of suspension notices, LD will demand the contractors to formulate a safety improvement plan, and will revoke the suspension notices only when LD is satisfied that the safety improvements are adequate to eliminate the associated hazards.
- 20. HyD advised that the contractor would take immediate follow-up action with the observed deficiency after receipt of the Improvement Notice issued by LD. The contractor would then report to LD after the improvement measures are implemented. In parallel, the RSS would request the contractor to review the overall condition of the site and take follow-up action if similar deficiency is observed. After receipt of Suspension Notice issued by LD, the contractor would need to stop the concerned construction activity immediately, and would review the concerned construction activity and prepare a safety improvement plan. The contractor would then submit the site improvement plan to LD, with the RSS's endorsement, for consideration to revoke the Suspension Notice.

- 21. Concerning the HZMB works projects, apart from the accident on 29 March 2017, there was another serious accident involving a working platform in 2012. Shortly after the accident in 2012, LD issued suspension notices to the contractors concerned to suspend the relevant work processes, and requested the contractors to formulate a safety improvement plan before allowing the concerned works to resume. LD also uploaded Work Safety Alerts on the website and issued the Work Safety Alerts to contractors, workers' unions and professional organizations of safety practitioners through emails. The Work Safety Alerts seek to provide brief information about the accident to the industry, and to remind the industry to take safety precautionary measures to prevent recurrence of similar accidents. LD also stepped up liaison with HyD (i.e. the proponent of the project), and urged the contractors concerned to strengthen their site safety management systems, supervision of work safety and safety auditing etc. in relation to high risk tasks with a view to eliminating the work hazards. Besides, LD organised safety talks/ seminars for RSS, safety practitioners and frontline workers to raise their safety awareness on work over water.
- 22. In response to the accident on 29 March, HyD advised that the contractor not only suspended the concerned demolition works immediately, but also suspended major construction activities, including installation of precast parapet fascia panel, the use of under-deck working platforms, construction of turnaround facility near San Shek Wan and the removal of temporary support below the completed deck at Pier P67 (near Sha Lo Wan), until the site safety is ascertained after the completion of the safety assessment. As at end April, the contractor completed the safety assessment for installation of precast parapet fascia panel and construction of turnaround facility near San Shek Wan. These works resumed with RSS's satisfaction of the safety assessment and performance.
- 23. The HZMB Authority is directly overseeing the construction and management of the Main Bridge. In the event of industrial accidents and injuries of workers in the course of construction of the Main Bridge, the concerned contractor should report to the HZMB Authority and the relevant local government departments in a timly manner. We do not have readily available information on the industrial accidents of the Main Bridge.

Court Penalty and Related Matters

24. Up to the first quarter of 2017 since the commencement of the HZMB works projects in 2011, LD initiated/ took out 329 prosecutions (relevant information is tabulated at Annex II). Furthermore, LD made 27 applications for appeal/review against the court's sentences on construction industrial accidents, involving 161 summonses.

Motion passed by the Panel

- 25. Regarding the motion passed at the Manpower Panel meeting on 12 April, HyD responded that the contractor's and consultants' performance, including safety performance, would be reflected in their quarterly report. the contractor violates relevant legislation during the reporting period, HyD would also record in the quarterly report which would then be a consideration factor in future tender evaluation and may affect the contractor's eligibility for Similarly, the consultants' safety performance would also be reflected in their quarterly report as a consideration factor for future tender evaluation by other works departments.
- 26. DevB has always attached great importance to construction site safety and will take into account the past accident rates of tenderers during tender evaluation. In addition, the Government will consider if the tenderers have been involved in any recent serious incidents, including safety related incidents, with a view to assessing the capability of the tenderers in undertaking the contract. Besides reflecting the safety performance of the contractors in the performance reports, the Government can take regulating actions against contractors for occurrence of serious incidents or convictions for site safety offences according to Works Technical Circular (Works) No. 3/2009⁴, as described in the 2nd paragraph of part (c) under the heading "Contract, tendering and associated issues" above.

http://www.devb.gov.hk/filemanager/technicalcirculars/en/upload/299/1/C-2009-03-0-1.pdf

- 27. If the incident is associated with the poor performance of the consultant, the Government can take regulating actions in accordance with Technical Circular (Works) No. 3/2016⁵, including suspension of the consultant from tendering. However, before imposing the regulating actions, the Government would need to delineate the responsibilities of different parties in the incident in order to determine the suspension period on a fair basis. Furthermore, if the consultant and the contractor are proved to be negligent, the Government can pursue regulating actions in accordance with the contract provisions.
- 28. The current regulating mechanism implemented by DevB is considered effective which could ensure the contractors and consultants to carry out construction works, including fulfilling the site safety requirements, in accordance with the contract provisions.
- 29. In order to raise the deterrent effect of court penalties, LD has been adopting different approaches to seek heavier penalties for duty holders. efforts include submitting comprehensive information to the court for reference in sentencing. The information covers the serious consequences arising from the accidents in question, the number of accidents concerned, and the highest penalty imposed on similar cases in the past, etc. Depending on the circumstances of individual cases, LD will request the Department of Justice (DoJ) to consider filing a review or an appeal to the court in respect of the conviction and the penalty when necessary. With the implementation of the above-mentioned measures, the fines imposed by the court on convicted cases related to fatal industrial accidents in the construction industry have increased in recent years when compared with the past. LD will, in consultation with DoJ, review provisions in relation to penalty under OSH legislation, and amend the law if necessary to further enhance the deterrent effect of the penalty.

Other information

30. HyD has set up an Independent Investigation Task Force and some preliminary findings were reported to relevant enforcement departments for

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⁵ <u>http://www.devb.gov.hk/filemanager/technicalcirculars/en/upload/343/1/C-2016-03-01.pdf</u>

follow-up. As the case is still under investigation, HyD considered that specific information related to the accident requested by the Members is not suitable to be disclosed at this stage. LD is also investigating the accident in full gear. To avoid compromising any possible prosecutions or other litigation, LD also cannot release the investigation details requested by some Members.

31. Some Members have requested LD to release the investigation report of HZMB industrial accidents. Under Section 29 of OSHO, LD requires to have lawful authority to disclose to another person information relating to working processes that was obtained through the exercise or performance of a function under OSHO or the FIUO. OSHO also stipulates the circumstances under which LD has lawful authority to disclose such information, including disclosure for the purposes of administration of OSHO or FIUO, under a court order or in compliance with a requirement of another Ordinance. LD has, in accordance with the above provisions, provided information to The Ombudsman of Hong Kong as requested under the Ombudsman Ordinance, and to injured workers and their families for instituting civil proceedings. LD is seeking DoJ's advice on how the investigation reports on fatal accidents related to HZMB can be made public subject to the above legislation.

Yours sincerely,

(WU Wai-hung)

for Commissioner for Labour

c.c. Secretary for Labour and Welfare (Attn.: Mr Nicholas CHAN)
Secretary for Transport and Housing (Attn.: Ms Judy CHUNG)

Secretary for Development (Attn.: Mr Vincent MAK)

Director of Highways (Attn.: Mr Albert LEE)

Director of Marine (Attn.: Mr WONG Sai-fat)

Details of Written Warnings / Improvement Notices / Suspension Notices issued by Labour Department regarding HZMB project from 2011 to 2017(as at 31 March)

(A) Written Warnings

				Unsat	fe items involve	ed			
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*
27/01/2012				\checkmark	\checkmark				
27/01/2012				\checkmark	\checkmark				
15/03/2012	$\sqrt{}$				\checkmark				$\sqrt{}$
15/03/2012	$\sqrt{}$				\checkmark				$\sqrt{}$
17/05/2012	$\sqrt{}$				\checkmark				$\sqrt{}$
17/05/2012	$\sqrt{}$				\checkmark				$\sqrt{}$
13/06/2012	$\sqrt{}$				\checkmark				
13/06/2012		\checkmark	\checkmark	$\sqrt{}$					
13/06/2012	$\sqrt{}$				\checkmark				
03/07/2012			\checkmark			\checkmark			
03/07/2012			\checkmark			√			
31/08/2012		\checkmark			$\sqrt{}$				$\sqrt{}$
31/08/2012	√	√			√	√		√	√
31/08/2012		√			√				√
31/08/2012	√	√			√	V		√	√
13/09/2012	√								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
13/09/2012	$\sqrt{}$											
13/09/2012	$\sqrt{}$											
13/09/2012	$\sqrt{}$											
05/10/2012	$\sqrt{}$	\checkmark			$\sqrt{}$				$\sqrt{}$			
05/10/2012	$\sqrt{}$	√			$\sqrt{}$				$\sqrt{}$			
22/10/2012						$\sqrt{}$		$\sqrt{}$				
22/10/2012									$\sqrt{}$			
25/02/2013									$\sqrt{}$			
25/02/2013				\checkmark					$\sqrt{}$			
25/02/2013									V			
25/02/2013	$\sqrt{}$								$\sqrt{}$			
25/02/2013				\checkmark					$\sqrt{}$			
25/02/2013									√			
25/02/2013									$\sqrt{}$			
25/02/2013				V					√			
25/02/2013									$\sqrt{}$			
25/02/2013	$\sqrt{}$								$\sqrt{}$			
25/02/2013				√					√			
25/02/2013			_	_					√			
02/04/2013	√							√				
02/04/2013	√		_	_				√				
03/04/2013	√	√						√	√			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
03/04/2013	√	√						√	√			
17/04/2013		\checkmark			$\sqrt{}$				$\sqrt{}$			
17/04/2013		\checkmark										
17/04/2013		\checkmark			$\sqrt{}$				$\sqrt{}$			
17/04/2013		\checkmark										
29/04/2013	$\sqrt{}$							$\sqrt{}$				
29/04/2013	$\sqrt{}$	\checkmark						$\sqrt{}$	√			
29/04/2013	√							√				
29/04/2013	$\sqrt{}$	\checkmark						$\sqrt{}$	√			
14/05/2013	$\sqrt{}$	$\sqrt{}$										
14/05/2013	$\sqrt{}$	\checkmark										
15/05/2013				\checkmark								
15/05/2013		√	√									
15/05/2013						√						
15/05/2013	√			√								
15/05/2013				\checkmark								
15/05/2013		\checkmark	\checkmark									
15/05/2013						V						
15/05/2013	√			V								
28/05/2013				V								
28/05/2013		V		V				√	√			
28/05/2013				V								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
28/05/2013		√		V				V	√			
04/06/2013		√							$\sqrt{}$			
04/06/2013		\checkmark							$\sqrt{}$			
07/06/2013	$\sqrt{}$	\checkmark										
07/06/2013	$\sqrt{}$	√										
24/06/2013		\checkmark				\checkmark			$\sqrt{}$			
24/06/2013		√				√			√			
25/06/2013	√											
25/06/2013	√				√							
25/06/2013				$\sqrt{}$					$\sqrt{}$			
25/06/2013				√								
25/06/2013	$\sqrt{}$											
25/06/2013	√				√							
25/06/2013				√					$\sqrt{}$			
25/06/2013				V								
28/06/2013	$\sqrt{}$	\checkmark	\checkmark					$\sqrt{}$	$\sqrt{}$			
28/06/2013	$\sqrt{}$	\checkmark	\checkmark					$\sqrt{}$	$\sqrt{}$			
08/07/2013	√								√			
08/07/2013	√			V								
08/07/2013	√	√	_									
08/07/2013	√								√			
08/07/2013	√			V								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
08/07/2013	$\sqrt{}$	\checkmark										
11/09/2013	$\sqrt{}$								$\sqrt{}$			
11/09/2013	$\sqrt{}$	\checkmark							$\sqrt{}$			
11/09/2013	$\sqrt{}$								$\sqrt{}$			
11/09/2013	$\sqrt{}$	\checkmark							$\sqrt{}$			
13/09/2013		\checkmark		$\sqrt{}$					$\sqrt{}$			
13/09/2013	$\sqrt{}$	\checkmark			$\sqrt{}$			$\sqrt{}$	$\sqrt{}$			
13/09/2013		\checkmark		$\sqrt{}$					$\sqrt{}$			
13/09/2013	$\sqrt{}$	\checkmark			$\sqrt{}$			$\sqrt{}$	$\sqrt{}$			
07/10/2013	$\sqrt{}$			V		√		$\sqrt{}$	V			
07/10/2013	$\sqrt{}$			$\sqrt{}$		\checkmark		$\sqrt{}$	$\sqrt{}$			
24/10/2013	$\sqrt{}$	\checkmark							$\sqrt{}$			
24/10/2013	√											
24/10/2013	$\sqrt{}$								$\sqrt{}$			
24/10/2013	√	√							V			
24/10/2013	√											
24/10/2013	√								V			
15/11/2013	√			V				√				
15/11/2013	√			V				√				
20/11/2013				V	√							
20/11/2013	√				√							
20/11/2013				$\sqrt{}$								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
20/11/2013				$\sqrt{}$	$\sqrt{}$							
20/11/2013	$\sqrt{}$				$\sqrt{}$							
20/11/2013				$\sqrt{}$								
05/12/2013	$\sqrt{}$					$\sqrt{}$		$\sqrt{}$	$\sqrt{}$			
05/12/2013	$\sqrt{}$											
05/12/2013								$\sqrt{}$				
05/12/2013	$\sqrt{}$					√		$\sqrt{}$	V			
05/12/2013	$\sqrt{}$											
05/12/2013								$\sqrt{}$				
23/12/2013	$\sqrt{}$			$\sqrt{}$				$\sqrt{}$	V			
23/12/2013	$\sqrt{}$			√				$\sqrt{}$	V			
06/01/2014	$\sqrt{}$			√					V			
06/01/2014	√								√			
16/01/2014									V			
06/02/2014				V		√						
06/02/2014	$\sqrt{}$					√			V			
17/02/2014	$\sqrt{}$		\checkmark	√				$\sqrt{}$	V			
03/03/2014						√			√			
03/03/2014						V			V			
05/03/2014									V			
05/03/2014									V			
25/03/2014								√				

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
25/03/2014					$\sqrt{}$							
25/03/2014	$\sqrt{}$	\checkmark		$\sqrt{}$				$\sqrt{}$				
25/03/2014	$\sqrt{}$							$\sqrt{}$				
25/03/2014								$\sqrt{}$				
28/03/2014	$\sqrt{}$			$\sqrt{}$					$\sqrt{}$			
28/03/2014	$\sqrt{}$			$\sqrt{}$					$\sqrt{}$			
23/04/2014					$\sqrt{}$							
05/05/2014		\checkmark										
05/05/2014		\checkmark										
13/05/2014								$\sqrt{}$				
13/05/2014						\checkmark						
13/05/2014				√								
13/05/2014				V					V			
13/05/2014									$\sqrt{}$			
13/05/2014								√				
13/05/2014						\checkmark						
21/05/2014									$\sqrt{}$			
28/05/2014				√								
03/06/2014	√	√	_	V	√							
03/06/2014									V			
08/06/2014									V			
08/06/2014									V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
11/06/2014	$\sqrt{}$											
11/06/2014			\checkmark	$\sqrt{}$								
11/06/2014	$\sqrt{}$											
17/06/2014		\checkmark		$\sqrt{}$				$\sqrt{}$	$\sqrt{}$			
25/06/2014				$\sqrt{}$								
09/07/2014				$\sqrt{}$	V							
09/07/2014				$\sqrt{}$	V							
22/07/2014	$\sqrt{}$			$\sqrt{}$					V			
22/07/2014	$\sqrt{}$			$\sqrt{}$					V			
22/07/2014	$\sqrt{}$			\checkmark					V			
31/07/2014	$\sqrt{}$	\checkmark		$\sqrt{}$	V				V			
31/07/2014	$\sqrt{}$											
31/07/2014				√					V			
31/07/2014	$\sqrt{}$	\checkmark		$\sqrt{}$	V				V			
15/08/2014			\checkmark					$\sqrt{}$				
15/08/2014			\checkmark					$\sqrt{}$				
21/08/2014	$\sqrt{}$			$\sqrt{}$					V			
01/09/2014	√		√						V			
19/09/2014	√		_	V								
19/09/2014	√			V								
22/09/2014	√		_									
22/09/2014	√		√									

	Unsafe items involved										
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*		
22/09/2014	\checkmark										
24/09/2014	\checkmark							$\sqrt{}$			
08/10/2014	\checkmark										
08/10/2014	\checkmark										
08/10/2014	\checkmark										
08/10/2014	$\sqrt{}$										
09/10/2014			$\sqrt{}$								
15/10/2014				V							
15/10/2014	$\sqrt{}$			V							
15/10/2014			$\sqrt{}$	$\sqrt{}$					$\sqrt{}$		
20/10/2014				$\sqrt{}$							
20/10/2014				$\sqrt{}$							
07/11/2014		√									
07/11/2014		\checkmark									
21/11/2014		$\sqrt{}$				√			√		
21/11/2014	$\sqrt{}$								√		
21/11/2014	$\sqrt{}$		$\sqrt{}$	$\sqrt{}$				$\sqrt{}$			
26/11/2014	$\sqrt{}$		$\sqrt{}$		V				√		
26/11/2014	√		√	_	√				√		
03/12/2014				V				√			
05/12/2014	√	V		_							
05/12/2014				V	√				√		

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
05/12/2014					√			$\sqrt{}$	$\sqrt{}$			
05/12/2014	$\sqrt{}$	\checkmark										
05/12/2014				$\sqrt{}$	$\sqrt{}$				$\sqrt{}$			
09/12/2014		√		V	√							
09/12/2014	$\sqrt{}$	\checkmark	\checkmark			√			$\sqrt{}$			
17/12/2014								√				
17/12/2014	√					√						
17/12/2014	√			√								
29/12/2014				√								
29/12/2014				√								
30/12/2014	√			√								
05/01/2015				√		√			√			
05/01/2015	√			$\sqrt{}$		√		√				
05/01/2015				V		√			√			
05/01/2015	√			V		√		√				
14/01/2015	√			$\sqrt{}$					√			
14/01/2015	√			$\sqrt{}$					√			
23/01/2015		√							√			
23/01/2015		V							$\sqrt{}$			
09/02/2015	√			V					√			
09/02/2015	√			V					√			
12/02/2015	V			V	V			$\sqrt{}$				

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
12/02/2015	$\sqrt{}$					√						
18/02/2015	$\sqrt{}$			$\sqrt{}$								
18/02/2015	$\sqrt{}$											
25/02/2015	$\sqrt{}$								$\sqrt{}$			
25/02/2015				$\sqrt{}$								
27/02/2015				$\sqrt{}$					$\sqrt{}$			
27/02/2015				$\sqrt{}$					$\sqrt{}$			
04/03/2015		\checkmark							$\sqrt{}$			
04/03/2015		√		$\sqrt{}$					$\sqrt{}$			
09/03/2015	$\sqrt{}$				$\sqrt{}$							
09/03/2015								$\sqrt{}$				
09/03/2015	$\sqrt{}$				$\sqrt{}$							
09/03/2015								$\sqrt{}$				
12/03/2015		√										
12/03/2015		\checkmark										
19/03/2015								$\sqrt{}$				
19/03/2015								$\sqrt{}$				
31/03/2015	$\sqrt{}$			\checkmark								
31/03/2015	√			√								
21/04/2015						V						
21/04/2015				_		V						
23/04/2015		√		V								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
24/04/2015		\checkmark		\checkmark								
27/04/2015	$\sqrt{}$					\checkmark						
27/04/2015						\checkmark			$\sqrt{}$			
27/04/2015	$\sqrt{}$	\checkmark				\checkmark						
28/04/2015	$\sqrt{}$	\checkmark	\checkmark			\checkmark		$\sqrt{}$	$\sqrt{}$			
30/04/2015	$\sqrt{}$	\checkmark	\checkmark			\checkmark		$\sqrt{}$	$\sqrt{}$			
30/04/2015	$\sqrt{}$	\checkmark	\checkmark			\checkmark		$\sqrt{}$	$\sqrt{}$			
04/05/2015	$\sqrt{}$		\checkmark					$\sqrt{}$	V			
04/05/2015	$\sqrt{}$		\checkmark					$\sqrt{}$	V			
05/05/2015	$\sqrt{}$	\checkmark	\checkmark					$\sqrt{}$				
05/05/2015	$\sqrt{}$	\checkmark	\checkmark					$\sqrt{}$				
06/05/2015	$\sqrt{}$											
06/05/2015	√	√										
08/05/2015		\checkmark	\checkmark	$\sqrt{}$				$\sqrt{}$	V			
08/05/2015		√	√	V				√	√			
15/05/2015	$\sqrt{}$		\checkmark	$\sqrt{}$				$\sqrt{}$				
15/05/2015	$\sqrt{}$		\checkmark	$\sqrt{}$				$\sqrt{}$				
15/05/2015	√		√	√				√				
28/05/2015				_					√			
28/05/2015				V								
28/05/2015			√						√			
28/05/2015				V								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
03/06/2015	\checkmark								$\sqrt{}$			
03/06/2015	\checkmark								$\sqrt{}$			
03/06/2015	\checkmark				$\sqrt{}$				\checkmark			
03/06/2015	\checkmark								\checkmark			
03/06/2015	\checkmark								\checkmark			
03/06/2015	\checkmark				$\sqrt{}$				\checkmark			
08/06/2015					$\sqrt{}$				\checkmark			
08/06/2015					$\sqrt{}$				$\sqrt{}$			
08/06/2015					$\sqrt{}$				$\sqrt{}$			
08/06/2015		\checkmark	\checkmark						$\sqrt{}$			
08/06/2015					$\sqrt{}$				$\sqrt{}$			
08/06/2015					$\sqrt{}$				$\sqrt{}$			
08/06/2015					√				√			
08/06/2015		\checkmark	\checkmark						$\sqrt{}$			
29/06/2015	√											
29/06/2015				\checkmark								
29/06/2015												
29/06/2015	√			√								
09/07/2015	√			_								
09/07/2015	√											
14/07/2015				_		V		√				
14/07/2015						V		√				

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
17/07/2015		$\sqrt{}$							$\sqrt{}$			
17/07/2015									$\sqrt{}$			
17/07/2015		$\sqrt{}$							$\sqrt{}$			
17/07/2015									$\sqrt{}$			
28/07/2015	\checkmark											
28/07/2015			$\sqrt{}$									
28/07/2015	$\sqrt{}$											
28/07/2015			$\sqrt{}$									
19/08/2015	$\sqrt{}$			$\sqrt{}$								
19/08/2015	$\sqrt{}$								$\sqrt{}$			
19/08/2015	$\sqrt{}$			$\sqrt{}$								
19/08/2015	$\sqrt{}$											
21/08/2015	√				V				√			
21/08/2015	$\sqrt{}$			$\sqrt{}$								
24/08/2015	√								√			
24/08/2015	$\sqrt{}$											
02/09/2015				√					√			
02/09/2015				√					√			
21/09/2015									V			
21/09/2015	√			V								
21/09/2015									√			
21/09/2015	√			√								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
22/09/2015				V				$\sqrt{}$				
22/09/2015	$\sqrt{}$			$\sqrt{}$	V				$\sqrt{}$			
22/09/2015								$\sqrt{}$	$\sqrt{}$			
22/09/2015								$\sqrt{}$	$\sqrt{}$			
25/09/2015	$\sqrt{}$								$\sqrt{}$			
25/09/2015	$\sqrt{}$								$\sqrt{}$			
30/09/2015							$\sqrt{}$					
30/09/2015	$\sqrt{}$											
30/09/2015									$\sqrt{}$			
30/09/2015	$\sqrt{}$	\checkmark										
30/09/2015							$\sqrt{}$					
30/09/2015	$\sqrt{}$											
30/09/2015									$\sqrt{}$			
02/10/2015								√	$\sqrt{}$			
02/10/2015								$\sqrt{}$	$\sqrt{}$			
09/10/2015	$\sqrt{}$	\checkmark				\checkmark			$\sqrt{}$			
09/10/2015	$\sqrt{}$	\checkmark				\checkmark			$\sqrt{}$			
12/10/2015	$\sqrt{}$								$\sqrt{}$			
12/10/2015			\checkmark	V								
12/10/2015	√								V			
12/10/2015			$\sqrt{}$	V								
15/10/2015	$\sqrt{}$	\checkmark		V								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
15/10/2015	$\sqrt{}$	\checkmark		$\sqrt{}$								
22/10/2015	$\sqrt{}$								$\sqrt{}$			
22/10/2015	$\sqrt{}$								$\sqrt{}$			
23/10/2015	$\sqrt{}$								$\sqrt{}$			
23/10/2015	$\sqrt{}$			$\sqrt{}$	$\sqrt{}$							
23/10/2015	$\sqrt{}$								$\sqrt{}$			
23/10/2015	$\sqrt{}$			\checkmark	$\sqrt{}$							
26/10/2015					$\sqrt{}$			$\sqrt{}$	$\sqrt{}$			
26/10/2015	$\sqrt{}$			√								
26/10/2015	$\sqrt{}$											
26/10/2015									$\sqrt{}$			
26/10/2015					$\sqrt{}$			$\sqrt{}$	$\sqrt{}$			
26/10/2015	√		√		√				V			
26/10/2015	$\sqrt{}$			√								
26/10/2015	√								V			
26/10/2015									$\sqrt{}$			
27/10/2015	$\sqrt{}$								$\sqrt{}$			
27/10/2015	√								V			
03/11/2015			_					√	V			
03/11/2015								$\sqrt{}$	V			
04/11/2015	√	V	_					√				
04/11/2015	√	√										

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
10/11/2015	√							√	V			
10/11/2015	$\sqrt{}$							$\sqrt{}$	$\sqrt{}$			
11/11/2015	√	V						√	V			
11/11/2015	√	V						√	V			
13/11/2015	√											
13/11/2015	√											
16/11/2015								√	√			
16/11/2015								√	√			
17/11/2015	√											
17/11/2015	√											
19/11/2015									√			
19/11/2015	√								√			
19/11/2015	√							√				
19/11/2015	√	V		$\sqrt{}$	V			√	V			
19/11/2015							V					
19/11/2015			V									
19/11/2015									V			
19/11/2015	√								V			
19/11/2015	√ V	√		V	V			V	√ V			
19/11/2015							V					
26/11/2015	√	√		V		V		√				
26/11/2015	√	V		V		√		√				

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
27/11/2015	$\sqrt{}$					$\sqrt{}$			$\sqrt{}$			
27/11/2015	$\sqrt{}$					$\sqrt{}$			$\sqrt{}$			
09/12/2015	√											
16/12/2015	√								V			
16/12/2015	√								V			
21/12/2015	√				V							
21/12/2015							√					
21/12/2015				$\sqrt{}$	√							
21/12/2015	√				√							
21/12/2015				√	√							
22/12/2015								√	V			
22/12/2015								√	V			
28/12/2015		$\sqrt{}$							V			
28/12/2015	√								V			
28/12/2015	√								V			
29/12/2015	√							√	V			
29/12/2015	√							√	V			
05/01/2016	√							√	V			
05/01/2016	√							√	V			
05/01/2016	√							√	V			
06/01/2016	√					V	√	√	V			
06/01/2016	√					√	V	√	V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
06/01/2016	√					√	√	√	√			
07/01/2016									\checkmark			
07/01/2016									$\sqrt{}$			
07/01/2016									√			
11/01/2016						√			$\sqrt{}$			
11/01/2016	√					√			√			
11/01/2016	√					√			√			
13/01/2016	√							√	√			
13/01/2016	√							√	√			
13/01/2016	√							√	√			
15/01/2016	√											
15/01/2016	√											
15/01/2016	√											
22/01/2016	√								√			
22/01/2016	√								√			
22/01/2016	√								√			
26/01/2016	√											
26/01/2016	√											
26/01/2016	√											
26/01/2016	√											
01/02/2016	√					√		√	√			
01/02/2016	√					V		√	√			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
01/02/2016	√					√		V	V			
03/02/2016	$\sqrt{}$								\checkmark			
03/02/2016	$\sqrt{}$								$\sqrt{}$			
03/02/2016	$\sqrt{}$								$\sqrt{}$			
16/02/2016	$\sqrt{}$							V	\checkmark			
16/02/2016	√							V	√			
16/02/2016	√							V	√			
19/02/2016	√			√					√			
19/02/2016	√			√					√			
19/02/2016	√			√					√			
20/02/2016									√			
20/02/2016									√			
20/02/2016									√			
25/02/2016			√	√					√			
25/02/2016			√	√					√			
25/02/2016			√	√					√			
03/03/2016									√			
03/03/2016									$\sqrt{}$			
03/03/2016									$\sqrt{}$			
08/03/2016									√			
08/03/2016									√			
08/03/2016									V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
15/03/2016									$\sqrt{}$			
15/03/2016									\checkmark			
15/03/2016												
18/03/2016	√		√									
18/03/2016	√		√									
18/03/2016	√		√									
22/03/2016							√					
22/03/2016							V					
22/03/2016							V					
23/03/2016	√			√								
23/03/2016	√			$\sqrt{}$								
23/03/2016	√			√								
29/03/2016	√	V						V				
29/03/2016	√	$\sqrt{}$						V				
29/03/2016	√	V						V				
31/03/2016									√			
31/03/2016									√			
31/03/2016									√			
06/04/2016	√	$\sqrt{}$							√			
06/04/2016	√	$\sqrt{}$							√			
06/04/2016	√	$\sqrt{}$							√			
11/04/2016	√								$\sqrt{}$			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
11/04/2016	√								V			
11/04/2016	$\sqrt{}$								\checkmark			
13/04/2016									$\sqrt{}$			
13/04/2016									V			
13/04/2016									V			
15/04/2016	√								√			
15/04/2016	√								√			
15/04/2016	√								$\sqrt{}$			
15/04/2016									$\sqrt{}$			
15/04/2016												
15/04/2016									$\sqrt{}$			
18/04/2016	√	√										
18/04/2016	√	√										
18/04/2016	√	√										
19/04/2016												
19/04/2016												
19/04/2016												
20/04/2016	V			V				V				
20/04/2016	V			V				V				
20/04/2016	V			V				V				
22/04/2016	V											
22/04/2016	V											

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
22/04/2016	$\sqrt{}$											
22/04/2016	\checkmark											
27/04/2016	$\sqrt{}$											
27/04/2016	$\sqrt{}$											
27/04/2016	$\sqrt{}$											
27/04/2016	V											
28/04/2016	V								√			
28/04/2016	√								V			
28/04/2016	√								√			
04/05/2016									√			
04/05/2016									√			
04/05/2016									√			
09/05/2016	√											
09/05/2016	√											
09/05/2016									V			
09/05/2016									√			
12/05/2016	√								√			
12/05/2016	√								V			
12/05/2016	V								√			
13/05/2016	V								V			
13/05/2016	V								V			
13/05/2016	√								√			

Date of Issue	Unsafe items involved								
	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*
16/05/2016	√		√						√
16/05/2016	$\sqrt{}$		\checkmark						\checkmark
16/05/2016	$\sqrt{}$		\checkmark						$\sqrt{}$
17/05/2016	$\sqrt{}$								$\sqrt{}$
17/05/2016	$\sqrt{}$								$\sqrt{}$
17/05/2016	√								√
19/05/2016	√								√
19/05/2016	√								√
19/05/2016	√								√
25/05/2016	√						V		√
25/05/2016	√						V		√
25/05/2016	√						V		√
10/06/2016	√								
10/06/2016	√								
10/06/2016	√								
13/06/2016	√								
13/06/2016	√								
13/06/2016	√								
15/06/2016	√								√
15/06/2016	V								√
15/06/2016	√								√
17/06/2016	√								$\sqrt{}$

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
17/06/2016	√								V			
17/06/2016	$\sqrt{}$								\checkmark			
20/06/2016	$\sqrt{}$			\checkmark								
20/06/2016	$\sqrt{}$			\checkmark								
20/06/2016	$\sqrt{}$			\checkmark								
22/06/2016	√						√		V			
22/06/2016	√						√		V			
22/06/2016	√						√		V			
23/06/2016	√											
23/06/2016	√											
23/06/2016	√											
23/06/2016	√											
23/06/2016	√											
24/06/2016	√								√			
24/06/2016	√								√			
24/06/2016	√								√			
27/06/2016	√				√	√						
27/06/2016	√				$\sqrt{}$	V						
27/06/2016	√				$\sqrt{}$	V						
28/06/2016				V								
28/06/2016				V								
28/06/2016				$\sqrt{}$								

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
28/06/2016				\checkmark								
30/06/2016									\checkmark			
30/06/2016									\checkmark			
30/06/2016									\checkmark			
05/07/2016									\checkmark			
05/07/2016									$\sqrt{}$			
05/07/2016									$\sqrt{}$			
11/07/2016	$\sqrt{}$				$\sqrt{}$							
11/07/2016	$\sqrt{}$				$\sqrt{}$							
11/07/2016	$\sqrt{}$				$\sqrt{}$							
11/07/2016	$\sqrt{}$											
11/07/2016	$\sqrt{}$											
11/07/2016	√											
12/07/2016									$\sqrt{}$			
12/07/2016												
12/07/2016									$\sqrt{}$			
14/07/2016									$\sqrt{}$			
14/07/2016												
14/07/2016												
15/07/2016				V	√							
15/07/2016				√	√							
15/07/2016				V	V							

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
18/07/2016	$\sqrt{}$	\checkmark							$\sqrt{}$			
18/07/2016	$\sqrt{}$	\checkmark							$\sqrt{}$			
18/07/2016	$\sqrt{}$	\checkmark							$\sqrt{}$			
19/07/2016	$\sqrt{}$								$\sqrt{}$			
19/07/2016	$\sqrt{}$								$\sqrt{}$			
19/07/2016	$\sqrt{}$								$\sqrt{}$			
20/07/2016	√				V				√			
20/07/2016	√				V				√			
20/07/2016	√				V				√			
20/07/2016	√				V				√			
20/07/2016	√											
20/07/2016	√											
20/07/2016	√											
22/07/2016	√								√			
22/07/2016	√								√			
22/07/2016	√								√			
26/07/2016									√			
26/07/2016									√			
26/07/2016									$\sqrt{}$			
27/07/2016	√								$\sqrt{}$			
27/07/2016	√								$\sqrt{}$			
27/07/2016	√								$\sqrt{}$			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
29/07/2016	\checkmark				$\sqrt{}$							
29/07/2016	$\sqrt{}$				$\sqrt{}$							
29/07/2016	$\sqrt{}$				$\sqrt{}$							
04/08/2016					$\sqrt{}$							
04/08/2016					$\sqrt{}$							
04/08/2016					V							
06/08/2016	√								√			
06/08/2016	√								√			
06/08/2016	√								√			
06/08/2016	√								√			
06/08/2016	√								√			
06/08/2016	√								√			
09/08/2016	√		√									
09/08/2016	√		√									
09/08/2016	√		√									
09/08/2016	√		√									
11/08/2016	√			√								
11/08/2016	V			V								
11/08/2016	√			V								
16/08/2016	V								√			
16/08/2016	√								√			
16/08/2016	V								√			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
18/08/2016									√			
18/08/2016									√			
18/08/2016	√								√			
23/08/2016	√											
23/08/2016												
23/08/2016	√											
25/08/2016		√							√			
25/08/2016		√							V			
25/08/2016		√							V			
30/08/2016	√								V			
30/08/2016	√								V			
30/08/2016	√								V			
31/08/2016	√								V			
31/08/2016	√								V			
31/08/2016	√								V			
06/09/2016	√			V								
06/09/2016	√			√								
06/09/2016	√			√								
06/09/2016	√			V								
08/09/2016			√						V			
08/09/2016			√						V			
08/09/2016			√						V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
08/09/2016			\checkmark						$\sqrt{}$			
12/09/2016												
12/09/2016	√											
12/09/2016	√											
12/09/2016	√											
12/09/2016	√											
13/09/2016	√		√		√	$\sqrt{}$			√			
13/09/2016	√		√		√	√			V			
13/09/2016	√		√		√	√			V			
14/09/2016									$\sqrt{}$			
14/09/2016									V			
14/09/2016									V			
21/09/2016	√								V			
21/09/2016	√								V			
21/09/2016	√								V			
23/09/2016	√								V			
23/09/2016	√								V			
23/09/2016	√								V			
26/09/2016									V			
26/09/2016									V			
26/09/2016									√			
27/09/2016	√	√							V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
27/09/2016	√	V							√			
27/09/2016	$\sqrt{}$	$\sqrt{}$							$\sqrt{}$			
03/10/2016	√	V	√									
03/10/2016	√	V	√									
03/10/2016	√	V	√									
06/10/2016	√			√					√			
06/10/2016	√			V					√			
06/10/2016	√			V					√			
12/10/2016				V					√			
12/10/2016				V					√			
12/10/2016				$\sqrt{}$					√			
20/10/2016	√								√			
20/10/2016	√								√			
20/10/2016	√								√			
24/10/2016	√								√			
24/10/2016	√								√			
24/10/2016	√								√			
26/10/2016	√	V							√			
26/10/2016	√	V							√			
26/10/2016	V	V							√			
26/10/2016	√	V							√			
02/11/2016	√	V		V					√			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
02/11/2016	√	\checkmark		$\sqrt{}$					\checkmark			
02/11/2016	√	\checkmark		\checkmark					\checkmark			
09/11/2016	√	$\sqrt{}$		$\sqrt{}$					$\sqrt{}$			
09/11/2016	√	$\sqrt{}$		$\sqrt{}$					$\sqrt{}$			
09/11/2016	√	$\sqrt{}$		$\sqrt{}$					$\sqrt{}$			
15/11/2016	√											
15/11/2016	√											
15/11/2016	√											
17/11/2016	√								√			
17/11/2016	√								√			
17/11/2016	√								√			
23/11/2016	√		√						√			
23/11/2016	√		√						√			
23/11/2016	√		√						√			
24/11/2016	√								√			
24/11/2016	√								√			
24/11/2016	√								√			
29/11/2016	√								√			
29/11/2016	√								√			
29/11/2016	√								√			
08/12/2016	√					V			√			
08/12/2016	√					√			√			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
08/12/2016	$\sqrt{}$					$\sqrt{}$			$\sqrt{}$			
12/12/2016	$\sqrt{}$											
12/12/2016	√											
12/12/2016	√								V			
14/12/2016	√				V		√		V			
14/12/2016	√				√		V		V			
14/12/2016	√				√		$\sqrt{}$		V			
16/12/2016	√								V			
16/12/2016	√								V			
16/12/2016	√								V			
19/12/2016	√											
19/12/2016	√											
19/12/2016	√											
20/12/2016	√											
20/12/2016	√											
20/12/2016	√											
22/12/2016	√								V			
22/12/2016	√								V			
22/12/2016	√								V			
23/12/2016	√											
23/12/2016	√											
23/12/2016	√											

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
28/12/2016	√				\checkmark				$\sqrt{}$			
28/12/2016	√				√				$\sqrt{}$			
28/12/2016	√				\checkmark				$\sqrt{}$			
29/12/2016								√				
29/12/2016								√				
29/12/2016								√				
30/12/2016	√											
30/12/2016	√											
30/12/2016	√											
30/12/2016	√											
02/01/2017									V			
02/01/2017									V			
02/01/2017									V			
03/01/2017	√	$\sqrt{}$							V			
03/01/2017	√	$\sqrt{}$							V			
03/01/2017	√	√							V			
04/01/2017	√								V			
04/01/2017	√								V			
04/01/2017	√								V			
05/01/2017									V			
05/01/2017									V			
05/01/2017									V			

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
05/01/2017	$\sqrt{}$											
05/01/2017	$\sqrt{}$											
05/01/2017	√											
07/01/2017									√			
07/01/2017									√			
07/01/2017									√			
11/01/2017	√					√			√			
11/01/2017	√					V			√			
11/01/2017	√					V			√			
13/01/2017	√								√			
13/01/2017	√								√			
13/01/2017	√								√			
17/01/2017									√			
17/01/2017									√			
17/01/2017									√			
19/01/2017	√								√			
19/01/2017	√								√			
19/01/2017	√								V			
20/01/2017	√								√			
20/01/2017	√								√			
20/01/2017	√								√			
22/01/2017	√											

	Unsafe items involved											
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*			
22/01/2017	$\sqrt{}$											
22/01/2017	$\sqrt{}$											
25/01/2017	√											
25/01/2017	√											
25/01/2017	$\sqrt{}$											
26/01/2017								√				
26/01/2017								√				
26/01/2017								√				
27/01/2017	√	√	√						V			
27/01/2017	√	√	√						V			
27/01/2017	√	√	√						V			
27/01/2017	√	√	√						V			
27/01/2017	√	√	√						√			
02/02/2017	√								V			
02/02/2017	√								√			
02/02/2017	√											
06/02/2017	√											
06/02/2017	√											
06/02/2017	√											
07/02/2017	√											
07/02/2017	√											
07/02/2017	√											

		Unsafe items involved								
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*	
07/02/2017	$\sqrt{}$				√					
07/02/2017	$\sqrt{}$				V					
07/02/2017	√				V					
08/02/2017	√			√					√	
08/02/2017	√			√					√	
08/02/2017	√			√					√	
08/02/2017									√	
08/02/2017									V	
08/02/2017									V	
10/02/2017	√									
10/02/2017	√									
10/02/2017	√									
15/02/2017	√	$\sqrt{}$								
15/02/2017	√	√								
15/02/2017	√	$\sqrt{}$								
16/02/2017	√					$\sqrt{}$				
16/02/2017	√					√				
16/02/2017	√					√				
20/02/2017									√	
20/02/2017									√	
23/02/2017	√									
23/02/2017	√									

		Unsafe items involved								
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*	
23/02/2017	$\sqrt{}$									
27/02/2017	$\sqrt{}$								V	
27/02/2017	$\sqrt{}$								V	
27/02/2017	√								√	
01/03/2017	$\sqrt{}$								V	
01/03/2017	√								√	
01/03/2017	√								√	
01/03/2017	√	√	√							
01/03/2017	√	√	√							
01/03/2017	√	√	√							
03/03/2017									V	
03/03/2017									V	
03/03/2017									V	
08/03/2017	√									
08/03/2017	√									
08/03/2017	√									
08/03/2017		√							V	
08/03/2017		√							V	
09/03/2017	√									
09/03/2017	√									
09/03/2017	√									
14/03/2017	√									

		Unsafe items involved									
Date of Issue	Work-at-height	Lifting Appliances/ Lifting Gears	Personal Protective Equipment	Work over/near Water	Excavation Work	Electricity Safety	Safety Management	System of work and related items	Others*		
14/03/2017	$\sqrt{}$										
14/03/2017	$\sqrt{}$										
15/03/2017									√		
15/03/2017									√		
15/03/2017									√		
18/03/2017	√								V		
18/03/2017	√								√		
18/03/2017	√								√		
20/03/2017	√										
20/03/2017	√										
20/03/2017	√										
24/03/2017	√										
24/03/2017	√										
24/03/2017	√										
28/03/2017	√	√									
28/03/2017	√	√									
28/03/2017	√	√									
28/03/2017		√							V		
28/03/2017		√							V		
28/03/2017		V							√		

Remarks: * Other items included projecting nails, lighting, guarding of machinery, falling objects, materials stored, etc...

(B) Improvement Notices

Date of Issue	Unsafe items involved	Number of notices Issued
13/06/2012	Operation of Lifting Appliances / Lifting Gears	4
16/01/2014	Fabrication of Reinforcement Cages	3
05/05/2014	Operation of Lifting Appliances / Lifting Gears	2
25/06/2014	Work over/near Water	4
20/10/2014	Work over/near Water	2
26/11/2014	Work-at-height/Personal Protective Equipment/Work over/near Water	40
17/12/2014	Work over/near Water	2
17/12/2014	Work-at-height	2
05/01/2015	Work-at-height/Work over/near Water	8
14/01/2015	Work near Water	3
14/01/2015	Guarding of Machinery	3
04/03/2015	Guarding of Machinery Guarding of Machinery	2
31/03/2015	Work-at-height/Work over/near Water	6
23/04/2015	Erection, Alteration or Dismantling of Cranes	2
24/04/2015	Erection, Alteration of Dismanting of Cranes Erection, Alteration or Dismantling of Cranes	1
27/04/2015	Using of Lifting Appliances / Lifting Gears	1
28/04/2015	Erection, Alteration or Dismantling of Cranes	6
30/04/2015	Erection, Alteration of Dismanting of Cranes Erection, Alteration or Dismantling of Cranes	3
30/04/2015	Erection, Alteration of Dismanting of Cranes Erection, Alteration or Dismantling of Cranes	2
04/05/2015	Work-at-height/Personal Protective Equipment	8
06/05/2015	Erection, Alteration or Dismantling of Cranes	4
08/05/2015		3
15/05/2015	Erection, Alteration or Dismantling of Cranes Work-at-height/Personal Protective Equipment/Work over/near Water	23
15/05/2015	Eye Protector /Work over/near Water	2
15/05/2015	Emergency Evacuation Arrangement	1
24/08/2015	Work-at-height	8
04/11/2015	Work-at-height	2
11/11/2015	Work-at-height	3
09/12/2015		3
	Work-at-height	1
26/01/2016	Means of Access and Egress	7
22/04/2016	Means of Access and Egress	5
27/04/2016 23/06/2016	Work-at-height Work-at-height	3
20/07/2016	E	
	Work-at-height Means of Access and Egress/Personal Protective Equipment	8
09/08/2016		
08/09/2016 12/09/2016	Work-at-height/Personal Protective Equipment	3 2
	Work-at-height	
12/09/2016	Work-at-height	2
26/10/2016	Electricity Safety	6
30/12/2016	Means of Access and Egress	3
27/01/2017	Operation of Lifting Appliances / Lifting Gears	2
27/01/2017	Operation of Lifting Appliances / Lifting Gears	4
09/03/2017	Work-at-height	4
20/03/2017	Work-at-height	14
24/03/2017	Work-at-height	2
27/03/2017	Means of Access and Egress	1
28/03/2017	Operation of Lifting Appliances / Lifting Gears Total:	230

(C) Suspension Notices

Date of Issue	Unsafe items involved	Number of notices Issued
25&26/10/2012	Work-at-height	6
24/07/2014	Work-at-height	2
19/10/2014	Use, Erection, Alteration or Dismantling of Suspended Working Platforms	4
21/11/2014	Work-at-height	2
15/12/2014	Electrical Installation	2
19/01/2015	Work-at-height	2
07/04/2015	Operation of Lifting Appliances / Lifting Gears	4
29/04/2015	Work-at-height	3
27/10/2015	Operation of Lifting Appliances / Lifting Gears	4
23/04/2016	Work-at-height	2
29/03/2017	Work-at-height	20
	Total:	51

 $\label{eq:Annex} \textbf{Annex} \ \ \textbf{II}$ Prosecutions in connection with HZMB Project from 2011 to 1st Quarter of 2017

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18A(a): Failure to use shackle	Yes	3,700
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(g): Failure to clearly mark safe working load	Yes	5,000
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(g): Failure to clearly mark safe working load	Yes	5,000
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18A(a): Failure to use shackle	Yes	3,700
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(g): Failure to clearly mark safe working load	Yes	5,000
13/06/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(g): Failure to clearly mark safe working load	Yes	5,000
14/12/2012	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a): Failure to provide safe plant or safe systems of work	No	
14/12/2012	《Factories and Industrial Undertakings Ordinance》S.6A(2)(c): Failure to provide necessary information, instruction, training and supervision	No	
14/12/2012	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a) and 13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	No	
14/12/2012	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	No	
14/12/2012	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a): Failure to provide safe plant or safe systems of work	No	
25/10/2012	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a): Failure to provide safe plant or safe systems of work	Yes	10,000
25/10/2012	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary information, instruction, training and supervision	Yes	10,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
25/10/2012	《Factories and Industrial Undertakings Ordinance》S.6A(2)(d): Failure to ensure that part of the	Yes	10,000
23/10/2012	industrial undertaking is safe and the means of access and egress from it are safe		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	Yes	50,000
25/10/2012	offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work		
	《Factories and Industrial Undertakings Ordinance》S.6A(2)(c)&13(1)條:Shall be guilty of alike	Yes	20,000
25/10/2012	offence because of another proprietor (subcontractor) failure to provide necessary information,	105	20,000
23/10/2012	instruction, training and supervision		
	《Factories and Industrial Undertakings Ordinance》S.6A(2)(d)&13(1)條: Shall be guilty of alike	No	
25/10/2012	offence because of another proprietor (subcontractor) failure to ensure that part of the industrial	110	
23/10/2012	undertaking is safe and the means of access and egress from it are safe		
	《Factories and Industrial Undertakings (Suspended Working Platforms) Regulations》 Reg.16:	Yes	8,000
25/10/2012	Failure to ensure that the erection, dismantling and alteration of the suspended working		,,,,,,
	platform is under the supervision of a supervisor		
25/10/2012	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Yes	10,000
25/10/2012	implement a safety management system that contains specified elements		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	Yes	10,000
25/10/2012	means of access and egress		
25/10/2012	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Yes	15,000
23/10/2012	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000
23/10/2012	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000
23/10/2012	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000
23/10/2012	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000
25/10/2012	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000
	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Yes	15,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	falling from a height of 2 meters or more		
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	15,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》Reg.38C: Failure to provide scaffolds, ladders or means of support	Yes	8,000
25/10/2012	《Construction Sites (Safety) Regulations》 Reg.52A(1)(b): Failure to take measures for the prompt rescue of any worker in a danger of drowning	Yes	5,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.5(1)條: Failure to thoroughly examine the lifting appliance by a competent examiner in the preceding 12 months	Yes	10,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the preceding 12 months	Yes	10,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the preceding 12 months	Yes	10,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the preceding 12 months	Yes	10,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the		
	preceding 12 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the		
	preceding 12 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the		
	preceding 12 months		10.000
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(1): Failure to thoroughly examine the lifting appliance by a competent examiner in the		
	preceding 12 months		10.000
2.5/1.0/2.012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule	**	10.000
0.5/1.0/2.01.0	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliances by a competent examiner		
	in the manner prescribed the First Schedule	**	10.000
0.5/1.0/2.01.0	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule	X7	10,000
0.5 /1.0 /0.01.0	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule	***	10,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliances by a competent examiner		
	in the manner prescribed in the First Schedule	X 7	10,000
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule		

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.5(2): Failure to test and thoroughly examine the lifting appliance by a competent examiner		
	in the manner prescribed in the First Schedule		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
25/10/2012	Reg.7I(a): Failure to ensure that each lifting appliance is so arranged and fixed that it is not		
	beyond its safe working load or rendered unstable where more than one lifting appliance is used		
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	10,000
23/10/2012	Reg.7I(b): No competent person to supervise where more than one lifting appliance is used		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
25/10/2012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a		
	competent examiner		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
2.5/1.0/2.01.5	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
2.5/1.0/2.012	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
25/10/2012	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		5 0.000
25/10/2012	《Factories and Industrial Undertakings Ordinance》 S. 6A(2)(a): Failure to provide safe plant or	No	50,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	safe systems of work		
25/10/2012	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to implement a safety management system that contains specified elements	Yes	10,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) and13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1) ∶ Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a) and 13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a) and13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》S.6A(2)(c)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	Yes	23,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》S.6A(2)(a) and 13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Yes	25,000
23/02/2014	《Factories and Industrial Undertakings Ordinance》S.6A(2)(c)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	Yes	25,000
20/06/2014	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	Yes	9,000
20/06/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
20/06/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
20/06/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
20/06/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
20/06/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
20/06/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
20/06/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
13/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Court proceedings in progress	
13/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	Court proceedings in progress	
13/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained 18 years and holds a valid certificate	Court proceedings in progress	
13/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Court proceedings in progress	
13/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	Court proceedings in progress	
13/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained 18 years and holds a valid certificate	Court proceedings in progress	
13/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court proceedings in	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	falling from a height of 2 meters or more	progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
13/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
13/10/2014	Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained	proceedings in	
	18 years and holds a valid certificate	progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
14/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
1.4/1.0/2.01.4	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
14/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
4.44.0.42.04.4	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
14/10/2014	Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained	proceedings in	
	18 years and holds a valid certificate	progress	
4.44.0.42.04.4	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
14/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
1.4/1.0/2.01.4	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
14/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
	WE there and Industrial III destalines (LiGing Applications and LiGing Coop) Descriptions.	progress	
1.4/1.0/2.01.4	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
14/10/2014	Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained	proceedings in	
	18 years and holds a valid certificate	progress	
1.4/1.0/0.01.4	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
14/10/2014	falling from a height of 2 meters or more	proceedings in	
	WE started and Industrial Hadrotelians (Lifeting Applications and Lifeting C.) B. 147.	progress	
14/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
14/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
14/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	progress Court	
14/10/2014	Tractories and industrial Undertakings (Litting Appliances and Litting Geal) Regulations	Court	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	Reg.15A(1): Failure to ensure that the lifting appliance is operated by a person who has attained	proceedings in	
	18 years and holds a valid certificate	progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
16/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
16/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
16/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
16/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
1.6/1.0/10.01.4	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
16/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
1.6/10/2014	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
16/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
	// C	progress	
16/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
16/10/2014	falling from a height of 2 meters or more	proceedings in	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	progress Court	
16/10/2014		proceedings in	
10/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
16/10/2014		proceedings in	
10/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
18/10/2014	falling from a height of 2 meters or more	proceedings in	
-5.10,201.	family from a neight of 2 meters of more	progress	
18/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	falling from a height of 2 meters or more	proceedings in	
		progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
18/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) and13(1): Shall be guilty of	Court	
19/10/2014	alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary	Court	
19/10/2014	information, instruction, training and supervision	proceedings in	
		progress	
10/10/2011	《Occupational Safety and Health Ordinance》S.7(1)(c): As an occupier failure to ensure that any	Court	
19/10/2014	plant or substances is safe Yes	proceedings in	
		progress	
10/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
19/10/2014	falling from a height of 2 meters or more	proceedings in	
	#Construction Sites (Sefets) Begulations Beg 20D(1): Foilure to prevent any pages from	progress Court	
19/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	proceedings in	
19/10/2014	falling from a height of 2 meters or more	progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
19/10/2014		proceedings in	
17/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
17/10/2017	systems of work	progress	
	Systems of work	P 2	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
	《Occupational Safety and Health Ordinance》S.7(1)(c): As an occupier failing to ensure that any	Court	
19/10/2014	plant or substances is safe Yes	proceedings in	
	•	progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
19/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
19/10/2014	falling from a height of 2 meters or more	proceedings in	
		progress	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Court	
19/10/2014	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	proceedings in	
		progress	
40/40/2044	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary	Court	
19/10/2014	information, instruction, training and supervision	proceedings in	
		progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) & 13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) & 13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c) & 13(1): Shall be guilty of alike	Court	
19/10/2014	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
19/10/2014	《Occupational Safety and Health Ordinance》S.7(1)(c): As an occupier failure to ensure that any	Court	
	plant or substances is safe	proceedings in	
		progress	
19/10/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Court	
19/10/2014	falling from a height of 2 meters or more	proceedings in	

Relevant Legislation	Conviction	Penalty(Dollars)
	progress	
《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from		
falling from a height of 2 meters or more	proceedings in	
	progress	
《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》		
Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person		
implement a safety management system that contains specified elements	1	
implement a safety management system that contains specified elements		
// Footoning and Industrial Undertakings (Cofety Management) Descriptions \ Dec 9(1): Failure to		
implement a safety management system that contains specified elements		
#Eactories and Industrial Undertakings (Loadshifting Machinery) Regulation Reg. 3 : The		
	110	
certificate		
	No	
operator who operates loadshifting machine has not attain the age of 18 years and hold a valid		
certificate		
《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	7,000
Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
examiner in the preceding 6 months		
《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	4,000
Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
examiner in the preceding 6 months		
《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	4,000
		,
, ě	No	
	 《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more 《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person 《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to implement a safety management system that contains specified elements 《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to implement a safety management system that contains specified elements 《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to implement a safety management system that contains specified elements 《Factories and Industrial Undertakings (Loadshifting Machinery) Regulation》 Reg. 3: The operator who operates loadshifting machine has not attain the age of 18 years and hold a valid certificate 《Factories and Industrial Undertakings (Loadshifting Machinery) Regulation》 Reg.3: The operator who operates loadshifting machine has not attain the age of 18 years and hold a valid certificate 《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months 《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months 	(Construction Sites (Safety) Regulations) Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more (Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations) Court proceedings in progress (Factories and Industrial Undertakings (Safety Management)Regulations) Reg.8(1): Failure to implement a safety management system that contains specified elements (Factories and Industrial Undertakings (Safety Management)Regulations) Reg.8(1): Failure to implement a safety management system that contains specified elements (Factories and Industrial Undertakings (Safety Management)Regulations) Reg.8(1): Failure to implement a safety management system that contains specified elements (Factories and Industrial Undertakings (Safety Management)Regulations) Reg.8(1): Failure to implement a safety management system that contains specified elements (Factories and Industrial Undertakings (Loadshifting Machinery) Regulation) Reg. 3: The operator who operates loadshifting machine has not attain the age of 18 years and hold a valid certificate (Factories and Industrial Undertakings (Loadshifting Machinery) Regulation) Reg. 3: The operator who operates loadshifting machine has not attain the age of 18 years and hold a valid certificate (Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations) Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months (Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations) Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Yes	4,000
07/11/2014	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent		
	examiner in the preceding 6 months		
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	6,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1A): Failure to prevent any person from falling from a height of 2 meters or more	Yes	12,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.43(b): Failure to ensure workers wearing of eye protectors	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.48(1A)(b): Failure to ensure workers wearing of safety helmets	No	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.43(b): Failure to ensure workers wearing of eye protectors	Yes	4,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of safety helmets	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.43(b): Failure to ensure workers wearing of eye protectors	Yes	4,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of safety helmets	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.43(b): Failure to ensure workers wearing of eye protectors	Yes	4,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of safety helmets	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1A): Failure to prevent any person from	Yes	12,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	falling from a height of 2 meters or more		
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	6,000
21/11/2014	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	Yes	14,000
21/11/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) and13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
21/11/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
21/11/2014	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	No	
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Yes	10,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	Yes	5,000
21/11/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	Yes	12,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	means of access and egress		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	No	
21/11/2014	offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems		
	of work		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
13/12/2014	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations,》	No	
13/12/2014	Reg.18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
13/12/2014	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
13/12/2014	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
15/12/2014	《Construction Sites (Safety) Regulations》 Reg.38B(1A): Failure to prevent any person from	Yes	12,000
13/12/2014	falling from a height of 2 meters or more		
15/12/2014	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
13/12/2014	falling from a height of 2 meters or more		
15/12/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	Yes	8,000
13/12/2011	workers from falling to water		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
15/12/2014	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
13/12/2011	specified in the request the certificate which was required to be kept (Prosecution initiated as a		
	result of Item 147)		
30/12/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	No	
50,12,2011	workers from falling to water		
30/12/2014	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe	No	
	means of access and egress		
30/12/2014	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	No	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	workers from falling to water		
30/12/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
30/12/2014	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
30/12/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
30/12/2014	Construction Sites (Safety) Regulations Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
30/12/2014	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	No	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.44(1)(c): Dangerous parts of machine not effectively guarded	Court proceedings in progress	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.44(1)(c): Dangerous parts of machine not effectively guarded	Court proceedings in progress	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.44(1)(c): Dangerous parts of machine not effectively guarded	Court proceedings in progress	
09/01/2015	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
09/01/2015	《Construction Sites (Safety) Regulations》Reg.52A(2): Failure to provide fencing to prevent workers from falling to water	No	
27/02/2015	《Construction Sites (Safety) Regulations》Reg.44(1)(c): Dangerous parts of machine not effectively guarded	Yes	5,500
27/02/2015	《Construction Sites (Safety) Regulations》Reg.44(1)(c): Dangerous parts of machine not	Yes	8,000

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	effectively guarded		
	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	Court	
26/03/2015	workers from falling to water	proceedings in	
		progress	
26/02/2015	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	Court	
26/03/2015	workers from falling to water	proceedings in	
	// Construction City (Cofety) Descriptions Description Description Construction Construction City Construction City	progress	
26/03/2015	《Construction Sites (Safety) Regulations》 Reg.52A(2): Failure to provide fencing to prevent	Court	
20/03/2013	workers from falling to water	proceedings in	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	progress No	
07/04/2015	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person	INO	
		No	
07/04/2015	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	NO	
07/04/2015	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
07/04/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) and13(1): Shall be guilty of	No	
07/04/2015	alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe		
	systems of work	NT.	
07/04/2015	« Occupational Safety and Health Ordinance » S.6(2)(c): Failure to provide necessary	No	
	information, instruction, training and supervision	N T	
07/04/2015	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
0770172010	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
07/04/2015	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	No	
07/04/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems		
	of work		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1): Shall be guilty of alike	No	
07/04/2015	offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision		

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
07/04/2015	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	No	
	implement a safety management system that contains specified elements		
07/04/2015	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	No	
07/04/2015	Reg.7A: Failure to conduct a weekly inspection to the lifting appliance by a competent person		
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》,	No	
07/04/2015	Reg. 18C(5): Failing that upon request by an occupational safety officer within the period		
	specified in the request the certificate which was required to be kept		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	No	
07/04/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe		
	systems of work		
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c)&13(1): Shall be guilty of alike	No	
07/04/2015	offence because of another proprietor (subcontractor) failure to provide necessary information,		
	instruction, training and supervision		
07/04/2015	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	No	
0770172013	implement a safety management system that contains specified elements		
29/04/2015	《Factories and Industrial Undertakings (Protection of Eyes) Regulations》 Reg.7(b): Failure to	No	
29/01/2015	ensure workers wearing of protective goggles		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
29/01/2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
2970172018	falling from a height of 2 meters or more		
29/04/2015	《Construction Site (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
2570 1/2010	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of	Yes	5,000
2570 1/2010	safety helmets		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	No	
2570 1/2010	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
	falling from a height of 2 meters or more		
29/04/2015	《Factories and Industrial Undertakings (Protection of Eyes) Regulations》 Reg. 7(b): Failure to	No	
27/01/2013	ensure workers wearing of protective goggles		

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
2970172013	falling from a height of 2 meters or more		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
29/01/2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of	Yes	5,000
29/01/2013	safety helmets		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	No	
2970172013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
27/01/2013	falling from a height of 2 meters or more		
29/04/2015	《Factories and Industrial Undertakings (Protection of Eyes) Regulations》 Reg.7(b): Failure to	No	
2)/04/2013	ensure workers wearing of protective goggles		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
2)/04/2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
2)/04/2013	falling from a height of 2 meters or more		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
27/04/2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.48(1)(b): Failure to ensure workers wearing of	Yes	5,000
29/04/2013	safety helmets		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	No	
29/04/2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	No	
29/04/2013	falling from a height of 2 meters or more		
29/04/2015	《Factories and Industrial Undertakings (Protection of Eyes) Regulations》 Reg.7(b): Failure to	Yes	5,000
29/0 1 /2013	ensure workers wearing of protective goggles		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe	Yes	12,000
27/0 4 /2013	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe	No	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	means of access and egress		
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	Yes	12,000
29/04/2015	《Construction Sites (Safety) Regulations》Reg.48(1A)(b): Failure to ensure workers wearing of safety helmets	Yes	5,000
29/04/2015	《Construction Sites (Safety) Regulations》Reg.38AA(2): Failure to provide adequate and safe means of access and egress	No	
29/04/2015	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	No	
18/08/2015	《Construction Sites (Safety) Regulations》 Reg.49(1A): Failure to prevent worker from being struck by falling object	No	
18/08/2015	《Construction Sites (Safety) Regulations》Reg.49(1): Failure to prevent worker from being struck by falling object	No	
18/08/2015	《Construction Sites (Safety) Regulations》Reg.49 (1): Failure to prevent worker from being struck by falling object	No	
18/08/2015	《Construction Sites (Safety) Regulations》Reg.49(1): Failure to prevent worker from being struck by falling object	No	
27/10/2015	《Occupational Safety and Health Ordinance》S.6: Failure to ensure the safety of employees	Court proceedings in progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) and13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Court proceedings in progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary information, instruction, training and supervision	Court proceedings in progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	Court proceedings in progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe	Court proceedings in progress	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	systems of work		
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike offence because of another proprietor (subcontractor) failure to provide safe plant or safe	Court proceedings in	
	systems of work «Factories and Industrial Undertakings Ordinance» S.6A(2)(c) &13(1): Shall be guilty of alike	progress Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a)&13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe systems of work	proceedings in progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a): Failure to provide safe plant or	Court	
27/10/2015	safe systems of work	proceedings in	
		progress	
0.5 /1.0 /0.0 1.5	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary	Court	
27/10/2015	information, instruction, training and supervision	proceedings in	
		progress	
27/10/2015	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work «Factories and Industrial Undertakings Ordinance» S.6A(2)(c) &13(1): Shall be guilty of alike	progress Court	
27/10/2015		proceedings in	
27/10/2013	offence because of another proprietor (subcontractor) failure to provide necessary information, instruction, training and supervision	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
27/10/2013	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c) &13(1): Shall be guilty of alike	Court	
27/10/2015	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Court	
27/10/2015	implement a safety management system that contains specified elements	proceedings in	
		progress	
27/10/2015	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Court	
27/10/2015	implement a safety management system that contains specified elements	proceedings in	
	#Ft	progress	
27/10/2015	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Court proceedings in	
27/10/2013	implement a safety management system that contains specified elements	proceedings in	
	《Construction Sites (Safety) Regulations》Reg.38B(1A): Failure to prevent any person from	Yes	9,000
08/12/2015	falling from a height of 2 meters or more	103	7,000
	Construction Sites (Safety) Regulations Reg.38AA(2): Failure to provide adequate and safe	Yes	9,000
08/12/2015	means of access and egress	103	7,000
	Construction Site (Safety) Regulations Reg. Reg. Reg. Reg. Reg. Reg. Reg. Reg.	Yes	9,000
08/12/2015	falling from a height of 2 meters or more	105	7,000
	Construction Sites (Safety) Regulations Reg.38AA(2): Failure to provide adequate and safe	No	
08/12/2015	means of access and egress	110	
	Construction Sites (Safety) Regulations Reg. 38B(1): Failure to prevent any person from	No	
08/12/2015	falling from a height of 2 meters or more	110	
	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe	Yes	12,000
08/12/2015	means of access and egress	105	12,000
	Factories and Industrial Undertakings (Safety Management) Regulation, Reg.11(1)(c):	Yes	3,000
07/03/2016	Failure to ensure safety committee meets at least once every 3 months	100	2,000
	Factories and Industrial Undertakings Ordinance S.6A(2)(a): Failure to provide safe plant or	Court	
23/04/2016	safe systems of work	proceedings in	
2570 172010	Suite Systems of Work	progress	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c): Failure to provide necessary	Court	
23/04/2016	information, instruction, training and supervision	proceedings in	
		progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(a) &13(1): Shall be guilty of alike	Court	
23/04/2016	offence because of another proprietor (subcontractor) failure to provide safe plant or safe	proceedings in	
	systems of work	progress	
	《Factories and Industrial Undertakings Ordinance》 S.6A(2)(c) & 13(1) ∶ Shall be guilty of alike	Court	
23/04/2016	offence because of another proprietor (subcontractor) failure to provide necessary information,	proceedings in	
	instruction, training and supervision	progress	
	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Court	
23/04/2016	implement a safety management system that contains specified elements	proceedings in	
		progress	
22/04/2045	《Factories and Industrial Undertakings (Safety Management)Regulations》 Reg.8(1): Failure to	Court	
23/04/2016	implement a safety management system that contains specified elements	proceedings in	
	// C	progress	
14/11/2016	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Summon not yet	
	falling from a height of 2 meters or more	issued	
14/11/2016	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Summon not yet	
	falling from a height of 2 meters or more	issued	
14/11/2016	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from	Summon not yet	
11/11/2010	falling from a height of 2 meters or more	issued	
	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations 》	Summon not yet	
24/01/2107	Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent	issued	
	examiner in the preceding 6 months		
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Summon not yet	
10/03/2017	falling from a height of 2 meters or more	issued	
1.6/02/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Summon not yet	
16/03/2017	falling from a height of 2 meters or more	issued	
1.6/02/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from	Summon not yet	
16/03/2017	falling from a height of 2 meters or more	issued	
1.6/02/2017	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe	Summon not yet	
16/03/2017	" Sold () in a long plant and plant and plant	issued	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	means of access and egress		
16/03/2017	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》 Reg.38A(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》Reg.38A(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
16/03/2017	《Construction Site (Safety) Regulations》Reg.38B(1A): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	
16/03/2017	《Construction Sites (Safety) Regulations》 Reg.38AA(2): Failure to provide adequate and safe means of access and egress	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》, Reg.18(1)(a): Failure to use lifting gear of good construction, sound material, adequate strength, and free from patent defect	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a competent examiner	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》	Summon not yet	

Offence Date	Relevant Legislation	Conviction	Penalty(Dollars)
	Reg.18A(a): Failure to use shackle	issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》, Reg.18(1)(a): Failure to use lifting gear of good construction, sound material, adequate strength, and free from patent defect	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(d): Failure to test and thoroughly examine the chain, rope or lifting gear by a competent examiner	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18(1)(e): Failure to thoroughly examine the chain, rope or lifting gear by a competent examiner in the preceding 6 months	Summon not yet issued	
23/03/2017	《Factories and Industrial Undertakings (Lifting Appliances and Lifting Gear) Regulations》 Reg.18A(a): Failure to use shackle	Summon not yet issued	
23/03/2017	《Construction Sites (Safety) Regulations》 Reg.38B(1): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	
23/03/2017	《Construction Sites (Safety) Regulations》 Reg.38B(1A): Failure to prevent any person from falling from a height of 2 meters or more	Summon not yet issued	

Remarks: The Labour Department (LD) is unable to provide the names of the contractors and sub-contractors involved in the above prosecutions, mainly considering that the disclosure of the information may result in contractors and sub-contractors who are facing or may face legal proceedings not having a chance of a fair trial.