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# Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2018-19

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# CONTROLLING OFFICER'S REPLY

**CSO001** 

(Question Serial No. 1588)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

Would the Government inform this Committee of the operational expenses, staffing establishment and estimated annual emolument under this Programme in 2018-19? In respect of the Indicators under this Programme, would the Government inform this Committee of the reasons for the same estimated number of proposals received in 2018 in comparison with last year and the reasons for the lower estimated number of completed projects in comparison with last year? The Government states that the Policy Innovation and Co-ordination Office (PICO) promotes public participation in the policy formulation process. Will the Government develop indicators to evaluate the effectiveness of its work in this respect? Would the Government inform this Committee of whether PICO will regularly attend Legislative Council meetings to report on its work progress?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 12)

Reply:

In 2018-19, the estimated operational expenditure of the Policy Innovation and Co-ordination Office (PICO) is \$123.8 million. Its civil service establishment is 46 posts and it is expected to hire 37 contract staff. Its estimated annual emolument and relevant expenditure are around \$50.99 million and \$22.35 million respectively.

The Central Policy Unit (CPU) had been responsible for the operation of the Public Policy Research Funding Scheme since 2013-14. In 2016-17, when the CPU launched the Strategic Public Policy Research Funding Scheme, the number of applications it received registered a substantial increase due to the launch of the new scheme. However, given that the scheme has only been in operation for 2 years since its inception, it is rather difficult for us to project the trend in the number of applications at the moment. We have therefore made a cautious estimate that the total number of applications of the 2 schemes in 2018 will be roughly the same as that in 2017.

As for the estimated number of completed projects, based on the estimated implementation time required by each project at the time of approval (ranging from 6 to 36 months), around 30 projects are expected to be completed within 2018.

The major purpose of PICO's policy research and co-ordination work is to identify innovative solutions for complex major cross-bureaux policy matters. In exploring innovative solutions for policies and projects it is responsible for, PICO will place more emphasis on evidence-based research and stakeholder engagement, so as to ensure that public views have been taken into account and responded to at the early stage of policy formulation. The effect of public participation will be reflected in the innovative and practical solutions identified after exploration and discussion of policy recommendations and options with stakeholders, but it is difficult to set a rigid quantitative target.

Given that PICO's research and co-ordination work covers different policy areas, and bureaux will consult the relevant Legislative Council panels with regard to the specific policy proposals under their purview at suitable juncture, PICO will focus on the policy research and co-ordination work at the early stage, while the relevant bureaux will continue to be responsible for reporting to the Legislative Council. Having said that, PICO representatives will, where necessary, attend panel meetings with relevant bureaux to respond to relevant questions on policy formulation.

# Examination of Estimates of Expenditure 2018-19

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO002** 

# (Question Serial No. 1599)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# Question:

According to the Programme, it is the responsibility of the Government Records Service (GRS) to identify and preserve records of archival value, valuable government publications and printed materials and provide storage and disposal services for inactive records. In this regard, please:

- (1) List in tabular form the total number (and linear metres) of records, publications and printed materials transferred or pending transfer by Government departments that were appraised by the GRS in the past year;
- (2) List in tabular form the total number (and linear metres) of records, publications and printed materials transferred by Government departments that were retained and preserved by the GRS in the past year; and
- (3) List in tabular form the total number (and linear metres) of records, publications and printed materials identified by Government departments that were approved for destruction by the GRS in the past year.

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 24)

#### Reply:

(1) The numbers and linear metres (lm) of records appraised, transferred to the Government Records Service (GRS) for permanent preservation and approved for destruction in 2017 are as follows -

Year	Records a	appraised	_		Records approved for destruction*		
	No.	lm	No.	lm	No. ('000)	lm	
2017	75 376	2 339	50 655	614	103 550	67 955	

\*All requests for destruction of records are processed in accordance with the requirements set out in the corresponding records retention and disposal schedules. The decision as to whether records were of no archival value or having potential archival value had been made when the relevant disposal schedules were drawn up. For time-expired records having no archival value, the approval of the GRS Director must be obtained prior to their physical destruction. For those having potential archival value, they would be appraised again by the Public Records Office of the GRS and only those confirmed to be of no archival value would be approved for destruction by the GRS Director.

The routine records (mainly programme records) of 10 departments took up 94% of the number of the records (62% of lm) in this column. They include computer printouts of tax-related systems from the Inland Revenue Department, arrival and departure cards from the Immigration Department, public files of companies registered under Companies Ordinance from the Companies Registry, various types of trade-related documents and cargo manifests from the Census and Statistics Department, the Trade and Industry Department and the Customs and Excise Department, operating records of health service centres and request forms for laboratory tests from the Department of Health, records relating to applications for vehicle registration and licence from the Transport Department, various general and departmental forms from the Hong Kong Police Force, and application forms for library card and financial and accounting records from the Leisure and Cultural Services Department.

(2) As for publications and printed materials, the Central Preservation Library for Government Publications (CPL) selects and preserves government publications and printed materials with permanent value. A copy of new publication and printed material will be forwarded by bureaux and departments (B/Ds) to the CPL for selection. The CPL is not responsible for granting approval for destruction of B/Ds' library items but will select suitable library items for preservation. The numbers of publications and printed materials received for selection and preserved by the CPL in 2017 are 1 422 and 550 respectively. We do not keep statistics in "linear metre" for selected items.

# CONTROLLING OFFICER'S REPLY

**CSO003** 

(Question Serial No. 1600)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### Question:

- (1) With the advancement of information technology as well as the implementation of government-wide initiative of going paperless, there is a change in the way government servants communicate with one another. In addition to the increasing number of electronic copies of policy documents, communication by electronic mail (e-mail) has become an alternative conduit for government officials to exchange views on policies. In this regard, has the Government Records Service (GRS) considered how it can handle electronic records by drawing reference to the practices of overseas governments? Is there any need for keeping e-mail records exchanged among officials so that they can serve as a source of reference for the study of policies in future?
- (2) Has the Government put in place any guidelines on the disposal of electronic document records? Have tens of thousands of electronic records been destroyed without any guidance since the implementation of the e-Government programmes for so many years?
- (3) Will the electronic recordkeeping system to be implemented by the GRS in 11 bureaux/departments cover records of electronic communications which are not in paper form?
- (4) Regarding records destruction, has the GRS developed a classification system for such records with a view to finding out which departments and types of the documents were involved in loss or unauthorised destruction of records cases, so as to avoid the loss of truth in case important classified documents with archival value are lost deliberately?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 25)

# (1) & (2)

The Government Records Service (GRS) has made continuous efforts to develop standards and guidelines on the proper management of electronic records for compliance by bureaux/departments (B/Ds). In developing the guidelines, the GRS has made reference to relevant guidelines and best practices of overseas jurisdictions. These guidelines include A Handbook on Preservation of Electronic Records, Functional Requirements of an Electronic Recordkeeping System, Recordkeeping Metadata Standard for the Government of the Hong Kong Special Administrative Region, Guidelines on Mapping out Implementation of an Electronic Recordkeeping System in the Context of Developing Organisational Electronic Information Management Strategies, A Handbook on Records Management Practices and Guidelines for an Electronic Recordkeeping System and Guidelines for Managing Records in a Hybrid Environment and so on. They have been uploaded to a dedicated theme page on electronic records management on the Government intranet as well as the GRS Internet website to facilitate easy access by Government servants.

Besides, in respect of the handling of electronic mail (e-mail), the Administration Wing formulated the *Guideline on the Management of Electronic Mail* in 2001 to help B/Ds identify, create, file and manage e-mail records. The Guideline stipulates that B/Ds are required to print-and-file e-mail records for storage and management before the full implementation of an electronic recordkeeping system (ERKS). In the light of the advancement of technology and the increasing use of electronic messages in various forms among B/Ds for official business communication, the Guideline was updated and renamed as the *Guideline on the Management of Electronic Messages* by the GRS in December 2017. The new guideline serves to enhance B/Ds' awareness of the management of electronic message records (including e-mail records) and remind Government servants of the need to capture electronic message records created and received in the course of official business for proper storage and management in the departmental recordkeeping system.

In accordance with the Government's policy and requirements on records management, B/Ds are required to develop and establish a comprehensive records management programme, taking their unique business and records management needs into account, so as to ensure safe custody of all electronic and non-electronic records, prevent loss of records and facilitate investigations into incidents in relation to loss or unauthorised destruction of records. Disposal of records (including electronic records) is made by B/Ds having regard to the administrative, operational, fiscal and legal requirements and archival value of the records. All requests for destruction of records are processed in accordance with the requirements set out in the corresponding records retention and disposal schedules approved by the GRS. The decision as to whether records are of archival value or having potential archival value was made when the relevant disposal schedules were drawn up. For time-expired records having no archival value, the GRS Director's agreement would be required prior to their destruction. For those having potential archival value, they would be appraised again by the GRS. Time-expired records having archival value would be identified and transferred to the GRS for permanent retention, and only those confirmed to be of no archival value would be agreed by the GRS Director for destruction.

- (3) ERKS is a computer system designed for records management. It manages both electronic and non-electronic records in an integrated and consistent manner. It features a comprehensive range of functions, including the organisation, classification and capturing of both electronic and non-electronic records in a systematic approach; prevention against inadvertent or unauthorised alteration, deletion and retrieval of records; and effective management of the disposal of records, which includes transfer of electronic records having archival value through electronic means to the GRS for permanent retention or the direct destruction of electronic records with no archival value upon agreement of the GRS Director. While staff of B/Ds are responsible for implementing ERKS in their respective organisations, the GRS will provide assistance and support to put the system into implementation.
- (4) The Government is committed to identifying and preserving government records having archival value so as to enhance public awareness of Hong Kong's documentary heritage. In the course of records disposal (including the destruction of records), B/Ds will first classify the records into administrative and programme records, and dispose of the time-expired records according to the disposal arrangements set out in the relevant records retention and disposal schedules.

In accordance with the mandatory requirements set out in the "General Circular No. 2/2009" issued by the Government in April 2009, any loss or unauthorised destruction of records in B/Ds are required to be immediately reported to their respective Departmental Records Manager (DRM) with a copy of such report sent to the GRS in parallel. Upon receipt of such report, the DRM is required to:

- (a) ascertain the facts and identify the circumstances leading to the loss or unauthorised destruction;
- (b) reconstruct the records where necessary;
- (c) take steps to prevent recurrence;
- (d) consider whether any disciplinary action or other administrative action is necessary; and
- (e) report his findings and actions on (a) to (d) above to the GRS within 3 months.

The above measures enable the GRS to monitor the appropriateness of the follow-up actions taken by B/Ds in respect of investigation of cases of loss or unauthorised destruction of records, acquire the information or business nature of the records involved, and make improvement recommendations to the relevant B/Ds from the records management perspective.

# Examination of Estimates of Expenditure 2018-19

Reply Serial No.

## CONTROLLING OFFICER'S REPLY

**CSO004** 

# (Question Serial No. 1602)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# Question:

Please provide information in table form on the number of consultancy studies commissioned by the pre-revamped Central Policy Unit (CPU) in the past 3 years, and indicate which of these studies were conducted by non-tertiary education or non-local institutions as well as the institutions, expenditure, manpower, topics and details involved.

There are reports that the pre-revamped CPU has repeatedly allocated funds to the One Country Two System Research Institute for conducting studies. In this connection, please provide information in table form on the expenditure, content and details of the funded studies and make public papers of the studies.

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 27)

## Reply:

In the past 3 years, the Central Policy Unit (CPU) commissioned a total of 8 consultancy studies, of which 4 were conducted by non-tertiary education or non-local institutions (items with "#"). No new studies were conducted in 2017-18. Details of the 8 studies are as follows:

Year	Institution	Title	Amount (HK\$)
2015-16	CUHK	Study on Family Mediation Services in Hong Kong	827,945 (funded by the Home Affairs Bureau (HAB))
	CIIS#	Study on The Latest Development of the Five Central Asian Countries, Iran, Turkey, Afghanistan and Russia	300,000
	PolyU	Study on The Role and Functions of Hong Kong under the "One Belt, One Road" Strategy	65,000
	OCTSRI#	Study on Current Affairs and Topical Issues	811,440
2016-17	HKU	Study on Family Impact Assessment in Hong Kong: A Checklist Approach	1,360,000
	HKPC#	Study on Emissions Trading in the Mainland: Options for Hong Kong	1,309,750
	OCTSRI#	Study on Current Affairs and Topical Issues (2016-17)	811,440
	CUHK	Study on Family Research in Hong Kong : A Critical Review and Annotated Bibliography	1,359,564 (funded by the HAB)
2017-18	-	-	-

# Abbreviations:

CUHK – The Chinese University of Hong Kong

PolyU – The Hong Kong Polytechnic University

HKU – The University of Hong Kong

CIIS – China Institute of International Studies

HKPC - Hong Kong Productivity Council

OCTSRI – One Country Two Systems Research Institute

In 2015-16 and 2016-17, the CPU commissioned the One Country Two Systems Research Institute to conduct 2 studies in total, namely the "Study on Current Affairs and Topical Issues" and the "Study on Current Affairs and Topical Issues (2016-17)", the objectives of which were to acquire an in-depth understanding of the reports, foci, concerns and discussions of local media from multiple perspectives and to review and analyse the trends of public opinions, so as to facilitate the CPU to have a more comprehensive understanding of community concerns and public opinions. Please refer to the table above for details. Executive summaries of the 2 studies were uploaded to the Policy Innovation and Co-ordination Office's website at www.pico.gov.hk.

# Examination of Estimates of Expenditure 2018-19

Reply Serial No.

## CONTROLLING OFFICER'S REPLY

**CSO005** 

# (Question Serial No. 1603)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

## **Question:**

Will the Government please list:

- (1) the expenditure for the renovation of the Chief Secretary for Administration (CS)'s official residence and the items renovated in the past 2 years;
- (2) the expenditure for the renovation of the Financial Secretary (FS)'s official residence and the items renovated in the past 2 years;
- (3) the operational expenditure, staff establishment and staff remuneration expenditure for the CS's official residence in the past year, and the estimated operational expenditure, staff establishment and staff remuneration expenditure for the coming year;
- (4) the maintenance fee for the CS's official residence in the past year and the estimated maintenance fee for the coming year;
- (5) the operational expenditure, staff establishment and staff remuneration expenditure for the FS's official residence in the past year, and the estimated operational expenditure, staff establishment and staff remuneration expenditure for the coming year; and
- (6) the maintenance fee for the FS's official residence in the past year and the estimated maintenance fee for the coming year?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 28)

# Reply:

The Architectural Services Department (ArchSD) carries out routine facility upkeep for the CS' official residence (CSOR) and FS' official residence (FSOR) having regard to actual needs. The relevant expenditure on major works projects in 2016-2017 and 2017-18 is set out below:

Official residence	Major works projects	Financia	1 Year (\$'000)*
		2016-17	2017-18 (as at Feb 2018)
CSOR	Repair loosened cement plastering and painting of main building, replace worn-out timber stair floor slabs and carpets, replace aged external water pipes and maintenance works for air conditioning, etc.	310	1,270
FSOR	Repair the wear and tear of building structure and floor coating, replace worn-out carpets, touch-up painting of external walls, waterproofing works for roof, improvement works for security systems, etc.	370	710

\* Same as other government buildings, the expenditure on maintenance works for the CSOR and FSOR is funded under Subhead 000 of Head 25 (ArchSD); while renovation and improvement works are funded by the Capital Works Reserve Fund. The cost figures provided in the table cover the expenditure on the said 3 categories of works. As the costs for most of the works projects are not settled within the same financial year, the figures shown above reflect the total expenditure as indicated in the cash flow of that particular financial year.

In 2018-19, the estimated operational expenditure on maintenance of government buildings under Subhead 000 under Head 25 is \$699 million, covering the maintenance works for all government buildings and facilities including the CSOR and FSOR.

Regarding the CSOR, the revised estimated operational expenditure for 2017-18 and the estimated operational expenditure for 2018-19 are \$3.41 million and \$3.70 million respectively, including the remuneration of 5 housekeeping staff.

Regarding the FSOR, the revised estimated operational expenditure for 2017-18 and the estimated operational expenditure for 2018-19 are \$2.64 million and \$2.70 million respectively, including the remuneration of 5 housekeeping staff.

## CONTROLLING OFFICER'S REPLY

**CSO006** 

(Question Serial No. 0719)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

It is the requirement of the Government Records Service that bureaux/departments (B/Ds) should dispose of time-expired records at least once every two years. Will the Government please list the numbers and categories of records that B/Ds had applied for deferral of transfer, as well as the numbers and categories of such applications approved for each of the past 5 years?

Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 11)

Reply:

Bureaux/Departments (B/Ds) are required to transfer time-expired records having archival value or potential archival value to the Government Records Service (GRS) for permanent retention or appraisal according to the General Administrative Records Disposal Schedules developed by the GRS and record disposal schedules approved by the GRS. Before March 2014, if there were valid reasons to defer the transfer of the relevant records by more than 2 years, B/Ds should set them out in writing for agreement by a directorate officer in the B/D concerned. However, starting from March 2014, the Government has tightened the above requirements. Applications for deferral of transfer of records must be agreed in writing by a directorate officer in the B/D concerned at the level of deputy secretary/deputy head of department and the GRS should be consulted in advance.

Applications for deferral of transfer of records are classified into 2 categories, administrative records and programme records. As applications for deferral of transfer of records were handled internally by B/Ds and needed not be submitted to the GRS before the requirements were tightened in March 2014, the GRS does not have relevant information before this date. From March 2014 to the end of 2017, the GRS received a total of 102 applications for deferral of transfer of records. Apart from 4 applications which are still being processed, only 11 out of the 98 completed applications involving 361 records were approved based on sufficient grounds. For example, if a record contains information concerning unresolved matters that may have financial or legal implications, it may

constitute reasonable grounds for deferral of transfer. Information such as the numbers of applications and approved cases as well as the category of records during the aforementioned period is set out in the following table:

Year	Applicant		aber of Applica Deferral of Tra	nsfer	Number of Completed	N	umber of App	rovals
	Department	Cases	Administrativ Records	eProgramme Records	Cases	Cases	Administrative Records	Programme Records
2014 (from March)	Financial Services and the Treasury Bureau	1	-	8	1	-	-	-
	Government Laboratory	2	-	4 575	2	-	-	-
	Home Affairs Department	2	-	2	2	2	-	2
	Housing Department	1	-	4	1	-	-	-
	Highways Department	1	8	105	1	-	-	-
	Transport Department	1	-	54	1	-	-	-
2015	Home Affairs Department	3	-	260	3	-	-	-
	Housing Department	18	-	3 644	18	5	-	284
	Hong Kong Police Force	1	-	11	1	-	-	-
	Lands Department	1	-	306	1	-	-	-
	Leisure and Cultural Services Department	35	195	-	35	-	-	-
	Rating and Valuation Department	1	357	-	1	-	-	-
	Security Bureau	4	-	75	4	4	-	75
	Transport Department	2	21	-	2	-	-	-
2016	Architectural Services Department	1	-	1 150	1	-	-	-
	Civil Engineering and Development Department	1	-	46	1	-	-	-
	Department of Justice	1	-	8	1	-	-	-
	Education Bureau	1	-	1	1	-	-	-
	Home Affairs Department	1	-	30	1	-	-	-
	Housing Department	6	-	812	6	-	-	-
	Hong Kong Police Force	1	1	-	1	-	-	-
	Leisure and Cultural Services Department	5	66	-	5	-	-	-

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Year	Applicant		nber of Applica Deferral of Trai		Number of Completed	N	Number of Approvals	
Tear	Department	Cases	Administrative Programme Records Records		Cases	Cases	Administrative Records	Programme Records
2016	Security	1	-	11	1	-	-	-
(cont'd)	Bureau							
	Transport Department	4	-	74	4	-	-	-
2017	Leisure and Cultural Services Department	7	47	39	3	-	-	-
	Total	102	695	11 215	98	11	-	361

# Examination of Estimates of Expenditure 2018-19

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO007** 

(Question Serial No. 0720)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

Quantity of records approved for destruction of individual departments in the past 5 years

Regarding Programme (2) Government Records Service, please set out in tabular form the details of (i) programme records (names of records, covering periods of the records, numbers and linear metres of records, numbers of confidential documents) and (ii) administrative records (names of records, covering periods of the records, numbers and linear metres of records, numbers of confidential documents) approved for destruction of the following bureaux or departments in the past 5 years. Please also list the estimated expenditure for 2018-19.

## (Year)

		Program	me records	3		Administrative records			
	Name of	Covering period of	Number and	Number of confidential	Name of	Covering period of	and	Number of confidential	
	records	the records	linear metres of	documents	records	the records	linear metres of	documents	
Chief Executive's Office			records				records		
Agriculture, Fisheries and Conservation Department									
Architectural Services Department									
Audit Commission									
Auxiliary Medical Service									
Buildings Department									

Census and					
Statistics					
Department					
Civil Aid Service					
CIVII 7 Hd Sci vice					
Civil Aviation					
Civil Aviation					
Department					
Civil Engineering					
and Development					
Department					
Correctional					
Services					
Department					
Customs and					
Excise					
Department					
Department of					
Health					
Department of					
Justice					
Drainage Services					
Department					
Electrical and					
Mechanical					
Services					
Department					
Environmental					
Protection					
Department					
Fire Services					
Department					
Food and					
Environmental					
Hygiene					
Department					
General Expenses					
of the Civil					
Service Service					
Government					
Flying Service					
Government					
Laboratory					
Government					
Logistics					
Department		 			
Government			 	 	
Property Agency					
Civil Service					
Bureau					
Commerce and					
Economic					
Development					
Bureau					
(Commerce,					
Industry and					
Tourism Branch)					
			 	 · · · · · · · · · · · · · · · · · · ·	

	, ,		1	r	r	r	
Commerce and							
Economic							
Development							
Bureau							
(Communications							
and Creative							
Industries							
Branch)							
Constitutional and							
Mainland Affairs							
Bureau							
Development							
Bureau (Planning							
and Lands							
Branch)							
Development Bureau (Works							
Branch)							
Education Bureau							
Environment							
Bureau							
Financial Services							
and the Treasury							
Bureau (Financial							
Services Branch)							
Financial Services							
and the Treasury							
Bureau (The							
Treasury Branch)							
Food and Health							
Bureau (Food							
Branch)							
Food and Health							
Bureau (Health							
I							
Branch)							
Home Affairs							
Bureau							
Innovation and							
Technology							
Bureau							
Innovation and							
Technology							
Commission							
Labour and							
Welfare Bureau							
Office of the							
Government							
Chief Information							
Officer							
Offices of the							
Chief Secretary							
for							
Administration							
and the Financial							
Secretary							
Overseas							
Economic and							
Trade Offices		 					
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Counity Dynas					
Security Bureau					
Transport and					
Housing Bureau					
(Transport					
Branch)					
Highways					
Department					
Home Affairs					
Department					
Hong Kong					
Observatory					
Hong Kong					
Police Force					
Housing					
Department					
Immigration					
Department					
Independent					
Commission					
Against					
Corruption					
Independent					
Police Complaints					
Council					
Information					
Services					
Department					
Inland Revenue					
Department					
Intellectual					
Property					
Department Invest Hong Kong					
Invest Hong Kong					
Joint Secretariat					
for the Advisory					
Bodies on Civil					
Service and					
Judicial Salaries					
and Conditions of					
Service					
Judiciary					
Labour					
Department					
Lands					
Department					
Legal Aid					
Department					
Legislative					
Council					
Commission					
Leisure and					
Cultural Services					
Department	 	 			
Marine					
Department					
Miscellaneous					
Services					
1	j			l	1

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Office for Film,					
Newspaper and					
Article					
Administration					
Office of The					
Ombudsman					
Official					
Receiver's Office					
Pensions					
Planning					
Department					
Public Service					
Commission					
Secretariat					
Radio Television					
Hong Kong					
Rating and					
Valuation					
Department					
Registration and					
Electoral Office					
Secretariat,					
Commissioner on					
Interception of					
Communications					
and Surveillance					
Social Welfare					
Department					
Trade and					
Industry					
Department					
Transport					
Department					
Treasury					
University Grants					
Committee					
Water Supplies					
Department					
Working Family					
and Student					
Financial					
Assistance					
Agency					
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Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 12)

# Reply:

Disposal of government records is made by bureaux/departments (B/Ds) having regard to the administrative, operational, fiscal and legal requirements and archival values of the records. All requests for destruction of records are processed in accordance with the requirements set out in the corresponding records retention and disposal schedules approved by the Government Records Service (GRS). The decision as to whether records were having archival value or potential archival value had been made when the relevant disposal schedules were drawn up. For time-expired records having no archival value, the GRS Director's agreement would be required prior to their physical destruction. Time-expired records having archival value would be identified and transferred to the GRS for permanent retention, and only those confirmed to be of no archival value would be agreed by the GRS Director for destruction. The quantities of time-expired records destroyed fluctuate over the years depending on the nature of business of individual B/Ds as well as the numbers of records to be disposed of in a year.

To enhance transparency on records disposal, the GRS launched in 2016 a central platform on its website (http://www.grs.gov.hk/en/destruction\_of\_records\_in\_the\_government.html) for each individual B/D to publish its records destruction information, including the types, quantities and contents/subject matters of the records approved for destruction, on an annual basis. Information on records approved for destruction by B/Ds for each year will be published on the platform in around April of the following year. The information for 2015 and 2016 is now available on the platform for public inspection.

Under the current records management system, individual B/Ds are required to retain permanently their disposal requests and related processing records, including the names and covering periods of disposed records. Therefore, the GRS does not maintain separately statistics on the names and covering periods of records destroyed by individual B/Ds. Moreover, government records are categorised into several different security classifications. The GRS does not maintain statistics on the different security classifications of B/Ds' records which are approved for destruction (such as "confidential" mentioned in the question). Separately, individual B/Ds are responsible for deployment of their own resources to carry out destruction of their time-expired records in accordance with a set of mandatory procedures after obtaining the agreement of the GRS Director. Hence, the GRS does not have information on the estimated expenditures of B/Ds for the destruction of their records in 2018-19.

The quantities of B/Ds' programme and administrative records approved for destruction in the past 5 years are set out as follows:

2013

	Programn	ne records	Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Agriculture, Fisheries and Conservation Department	3 571	107.51	918	50.84
Architectural Services Department	2 865	109.01	1 030	140.86
Audit Commission	0	0	65	5.50

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	Programn	ne records	Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Auxiliary Medical Service	0	0	49	1.85
Buildings Department	9 431	386.00	6 090	6.52
Census and Statistics Department	267 969	345.35	22 027	31.77
Central Policy Unit	0	0	0	0
Chief Executive's Office	0	0	0	0
Chief Secretary for Administration's Office	36 327	41.93	1 087	55.87
Civil Aid Service	0	0	4	0.20
Civil Aviation Department	0	0	14 505	76.20
Civil Engineering and Development Department	19 453	1 079.58	137	6.74
Civil Service Bureau	1 798	46.60	2 995	49.29
Commerce and Economic Development Bureau Note 2	6 141	46.12	910	40.42
Companies Registry	12 543	35.67	16	0.73
Constitutional and Mainland Affairs Bureau	0	0	0	0
Correctional Services Department	4 909	105.55	9 488	46.77
Customs and Excise Department	2 796 164	1 382.61	431	18.13
Department of Health	1 297 725	729.43	23 794	198.38
Department of Justice	18 984	905.99	1 607	24.05
Development Bureau Note 3	1	0.33	0	0
Drainage Services Department	152	9.43	1 080	34.13
Education Bureau	8 474	343.37	13 475	423.37
Electrical and Mechanical Services Department	45 561	126.86	9 378	34.93
Environment Bureau/Environmental Protection Department	4 069	44.67	5 001 103	343.00
Financial Secretary's Office	0	0	122	6.55
Financial Services and the Treasury Bureau Note 4	1 231	51.34	2 064	36.22
Fire Services Department	43 548	386.83	168 962	292.19
Food and Environmental Hygiene Department	226 462	788.88	42 111	524.42
Food and Health Bureau Note 5	0	0	268	17.16
Government Flying Service	175	6.42	0	0
Government Laboratory	0	0	0	0

	Programn	ne records	Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Government Logistics Department	12 233	427.88	82 363	545.53
Government Property Agency	0	0	0	0
Highways Department	7 169	269.04	6 104	73.89
Home Affairs Bureau	46 199	14.00	621	27.21
Home Affairs Department	7 316	110.39	3 439	153.46
Hong Kong Monetary Authority	0	0	0	0
Hong Kong Observatory	0	0	0	0
Hong Kong Police Force	1 022 148	5 251.19	33 417	629.63
Hongkong Post	7	0.40	61 571	134.37
Immigration Department	35 850 351	1 749.33	8 331	239.94
Independent Commission Against Corruption	0	0	5	0.10
Information Services Department	25	1.01	269	9.72
Inland Revenue Department	5 033 083	4 006.19	240	11.99
Intellectual Property Department	0	0	0	0
InvestHK	0	0	0	0
Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service	133	1.24	50	1.55
Judiciary	0	0	2 685	96.57
Labour and Welfare Bureau	2 378	116.85	315	16.36
Labour Department	361 044	834.74	1 345	67.56
Land Registry	794	46.00	10 757	46.66
Lands Department	80	0.53	793 766	463.36
Legal Aid Department	36 910	684.54	32 786	26.68
Leisure and Cultural Services Department	268 323	464.53	29 699	431.70
Marine Department	22 613	287.65	153 638	103.74
Office of the Communications Authority Note 6	19	1.03	15 199	29.50
Official Receiver's Office	8 782	621.00	17	0.85
Planning Department	681	35.51	323	15.34
Public Service Commission	0	0	0	0
Radio Television Hong Kong	0	0	741	24.59

	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Rating and Valuation Department	6 901	230.60	912	47.55
Registration and Electoral Office	11 645	4 384.20	5 545	24.42
Secretariat, Commissioner on Interception of Communications and Surveillance	0	0	12	0.58
Security Bureau	996	16.14	705	37.59
Social Welfare Department	538 524	2 736.72	36 034	297.05
Student Financial Assistance Agency Note 7	802 247	1 541.53	785	40.97
Trade and Industry Department	4 284 512	2 719.75	68	3.55
Transport and Housing Bureau (Housing)/Housing Department	21 907	1 708.26	256 008	311.08
Transport and Housing Bureau (Transport Branch)	0	0	209	12.26
Transport Department	559 695	736.15	1 343	68.75
Treasury	349 855	902.43	0	0
University Grants Committee Secretariat	0	0	26	1.33
Water Supplies Department	16 510	275.33	1 788	28.55

B/Ds <sup>Note 1</sup>	Programme records		Administrative records	
	Number of records	Linear metre	Number of records	Linear metre
Agriculture, Fisheries and Conservation Department	1 739	29.90	2 601	166.58
Architectural Services Department	8 051	623.70	1 828	270.02
Audit Commission	883	35.80	0	0
Auxiliary Medical Service	1 280	15.55	0	0
Buildings Department	688 575	217.00	91 322	61.29
Census and Statistics Department	5 544 398	891.01	676	30.98
Central Policy Unit	0	0	251	11.54
Chief Executive's Office	390	1.00	142	6.80
Chief Secretary for Administration's Office	2	0.10	16 523	41.45

	Programn	ne records	Administrative records		
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre	
Civil Aid Service	0	0	5	0.25	
Civil Aviation Department	0	0	74	2.97	
Civil Engineering and Development Department	16 918	692.85	1 325	67.87	
Civil Service Bureau	415	16.20	70 358	135.93	
Commerce and Economic Development Bureau Note 2	692	34.96	1 874	35.62	
Companies Registry	5 417 002	4 947.32	3 471	39.59	
Constitutional and Mainland Affairs Bureau	3	0.17	2 130	3.26	
Correctional Services Department	12 099	201.95	39 226	145.31	
Customs and Excise Department	2 595 835	1 375.29	44 892	78.51	
Department of Health	1 523 249	754.37	6 033	26.49	
Department of Justice	55 322	1 083.97	684	23.65	
Development Bureau Note 3	798	26.67	65	5.20	
Drainage Services Department	4 076	242.25	5 247	219.75	
Education Bureau	65 808	523.45	4 271	190.18	
Electrical and Mechanical Services Department	254 001	110.50	1 468	56.32	
Environment Bureau/Environmental Protection Department	15 877	52.66	887	10.42	
Financial Secretary's Office	2	0.12	192	11.71	
Financial Services and the Treasury Bureau Note 4	3 398	142.26	1 021	31.63	
Fire Services Department	12 725	151.77	65 022	115.64	
Food and Environmental Hygiene Department	178 033	475.43	59 267	281.92	
Food and Health Bureau Note 5	0	0	898	45.30	
Government Flying Service	0	0	354	7.25	
Government Laboratory	20 233	930	0	0	
Government Logistics Department	2 167	54.20	38 642	95.03	
Government Property Agency	36	1.88	136	5.44	
Highways Department	27 161	827.47	26 256	70.01	
Home Affairs Bureau	1 213	16.93	614	29.84	
Home Affairs Department	17 828	627.28	4 254	123.78	
Hong Kong Monetary Authority	0	0	0	0	

	Programn	ne records	Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Hong Kong Observatory	0	0	1	0.01
Hong Kong Police Force	1 378 869	5 340.87	20 384	356.93
Hongkong Post	50	2.47	1 563	65.96
Immigration Department	39 168 494	43 370.71	21 379	186.86
Independent Commission Against Corruption	2	0.07	559	24.73
Information Services Department	631	17.34	119	5.95
Inland Revenue Department	24 370 890	6 437.87	16	0.46
Intellectual Property Department	9 635	127.00	1 783	19.21
InvestHK	695	17.73	33	4.75
Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service	4	0.14	0	0
Judiciary	52	20.50	11 114	19.87
Labour and Welfare Bureau	503	25.00	0	0
Labour Department	704 098	1 035.24	176 461	165.32
Land Registry	1 415	63.60	5	0.16
Lands Department	467 826	211.89	21 178	29.85
Legal Aid Department	132 445	879.79	3 820	9.67
Leisure and Cultural Services Department	243 155	321.04	91 507	1 037.47
Marine Department	15 878	113.14	2 127 746	715.99
Office of the Communications Authority Note 6	288	3.10	2 659	3.00
Official Receiver's Office	11 950	688.34	0	0
Planning Department	5 333	101.09	6 868	10.87
Public Service Commission	560	5.60	26	1.27
Radio Television Hong Kong	0	0	752	39.26
Rating and Valuation Department	21 142	312.77	707	35.07
Registration and Electoral Office	323 085	903.40	536	2.02
Secretariat, Commissioner on Interception of Communications and Surveillance	0	0	0	0
Security Bureau	23 553	54.00	27	1.18
Social Welfare Department	413 006	1 949.20	8 586	258.05

	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Student Financial Assistance Agency Note 7	368 290	1 097.04	85	5.10
Trade and Industry Department	4 051 720	1 482.95	45	2.50
Transport and Housing Bureau (Housing)/Housing Department	375 768	2 510.93	7 454	308.31
Transport and Housing Bureau (Transport Branch)	0	0	636	25.67
Transport Department	629 520	766.13	527	24.29
Treasury	2 546	136.06	430	21.73
University Grants Committee Secretariat	0	0	0	0
Water Supplies Department	5 874	319.67	949	29.13

N. 1	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Agriculture, Fisheries and Conservation Department	25 431	149.79	3 947	73.03
Architectural Services Department	9 624	351.35	797	83.17
Audit Commission	327	47.91	132	8.53
Auxiliary Medical Service	42	1.70	0	0
Buildings Department	24 126	1 723.10	7 286	10.02
Census and Statistics Department	5 614 550	1 098.28	2 418	98.15
Central Policy Unit	0	0	14	0.70
Chief Executive's Office	0	0	255	12.70
Chief Secretary for Administration's Office	1 193	37.13	3 628	22.97
Civil Aid Service	0	0	7	0.35
Civil Aviation Department	69	20.00	0	0
Civil Engineering and Development Department	10 751	482.82	2 123	108.02
Civil Service Bureau	97 869	206.41	23 855	32.41
Commerce and Economic Development Bureau Note 2	1 981	104.24	27 776	126.51
Companies Registry	6 726 102	7 205.93	148	7.40
Constitutional and Mainland Affairs Bureau	0	0	41	0.69

N. 1	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Correctional Services Department	56 434	697.32	293	10.17
Customs and Excise Department	535 427	933.30	2 215	77.05
Department of Health	2 261 187	1 451.94	14 402	44.52
Department of Justice	784	16.32	5 605	31.48
Development Bureau Note 3	158	6.57	98	4.96
Drainage Services Department	5 724	271.51	595	27.32
Education Bureau	89 362	312.85	4 489	220.97
Electrical and Mechanical Services Department	52 779	155.03	9 205	40.10
Environment Bureau/Environmental Protection Department	2 747	17.12	4 023 252	357.73
Financial Secretary's Office	0	0	0	0
Financial Services and the Treasury Bureau Note 4	4 590	125.82	1 659	22.31
Fire Services Department	2 175 050	365.07	37 663	136.84
Food and Environmental Hygiene Department	549 672	314.83	98 666	464.75
Food and Health Bureau Note 5	0	0	858	35.52
Government Flying Service	11	0.66	499	11.67
Government Laboratory	0	0	0	0
Government Logistics Department	4 743	69.47	473	27.34
Government Property Agency	1	0.05	0	0
Highways Department	46 560	859.61	5 721	99.66
Home Affairs Bureau	132 247	695.88	19 009	94.48
Home Affairs Department	38 962	511.78	6 806	322.71
Hong Kong Monetary Authority	0	0	3	0.14
Hong Kong Observatory	1	0.05	0	0
Hong Kong Police Force	1 182 866	6 108.22	23 349	280.77
Hongkong Post	462	31.92	59 119	905.86
Immigration Department	33 962 643	10 011.79	128 101	155.86
Independent Commission Against Corruption	0	0	5 031	18.18
Information Services Department	1 140	25.23	7 951	47.04
Inland Revenue Department	35 733 058	5 660.57	232	10.16

	Programn	ne records	Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Innovation and Technology Bureau Note 8	0	0	135	6.75
Intellectual Property Department	82 270	997.67	662	6.17
InvestHK	614	13.34	0	0
Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service	445	8.54	0	0
Judiciary	0	0	293	10.74
Labour and Welfare Bureau	899	40.69	86	4.05
Labour Department	657 341	1 134.27	47 103	196.05
Land Registry	2 293	67.58	48	2.31
Lands Department	11 414	87.27	501	25.80
Legal Aid Department	28 548	965.34	4 594	15.79
Leisure and Cultural Services Department	179 629	916.20	73 001	665.53
Marine Department	3 928	123.69	2 325 434	95.23
Office of the Communications Authority Note 6	32 530	131.07	409	3.91
Official Receiver's Office	5 540	261.67	51	2.55
Planning Department	5 438	104.23	2 559	24.27
Public Service Commission	0	0	205	10.25
Radio Television Hong Kong	739	23.92	473	22.31
Rating and Valuation Department	51 764	99.31	101	4.88
Registration and Electoral Office	177 038	175.50	252	10.71
Secretariat, Commissioner on Interception of Communications and Surveillance	0	0	4	0.18
Security Bureau	2 345	64.20	449	15.85
Social Welfare Department	253 574	2 138.96	16 709	162.49
Trade and Industry Department	5 316 276	2 293.51	999	52.13
Transport and Housing Bureau (Housing)/Housing Department	142 740	2 539.30	18 616	156.68
Transport and Housing Bureau (Transport Branch)	0	0	131	4.89

B/Ds <sup>Note 1</sup>	Programme records		Administrative records	
	Number of records	Linear metre	Number of records	Linear metre
Transport Department	393 833	389.40	2 455	115.12
Treasury	29 812	133.42	540	23.28
University Grants Committee Secretariat	108	25.10	0	0
Water Supplies Department	3 861	170.57	633	24.08
Working Family and Student Financial Assistance Agency	1 139 055	2 290.34	849	40.64

B/Ds <sup>Note 1</sup>	Programme records		Administrative records	
	Number of records	Linear metre	Number of records	Linear metre
Agriculture, Fisheries and Conservation Department	8 647	94.21	51 627	89.64
Architectural Services Department	3 497	326.05	1 316	65.00
Audit Commission	0	0	2 374	10.80
Auxiliary Medical Service	579	5.82	54	2.39
Buildings Department	131 484	3 035.63	0	0
Census and Statistics Department	5 258 763	1 135.98	55 984	84.53
Central Policy Unit	0	0	2 204	17.60
Chief Executive's Office	20 449	104.16	268	12.36
Chief Secretary for Administration's Office	146	1.15	2 667	34.19
Civil Aid Service	0	0	251	10.04
Civil Aviation Department	31	7.98	61 588	124.40
Civil Engineering and Development Department	9 327	479.84	1 478	67.73
Civil Service Bureau	38 394	62.35	3 337	35.28
Commerce and Economic Development Bureau Note 2	79	3.95	1 491	61.52
Companies Registry	1 849 580	2 315.77	51 117	28.58
Constitutional and Mainland Affairs Bureau	0	0	112	2.52
Correctional Services Department	51 917	1 082.28	40 116	120.12
Customs and Excise Department	4 460 442	2 496.65	58 060	63.04
Department of Health	4 213 324	1 238.51	6 818	177.62
Department of Justice	3 812	141.35	778	32.42

	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Development Bureau Note 3	1	0.05	4 667	27.00
Drainage Services Department	8 674	486.22	6 305	303.28
Education Bureau	62 520	279.68	2 459	110.86
Electrical and Mechanical Services Department	257 020	179.44	748	22.42
Environment Bureau/Environmental Protection Department	17 517	136.65	3 206	61.24
Financial Secretary's Office	5	0.28	43	2.74
Financial Services and the Treasury Bureau Note 4	337	5.38	1 589	48.91
Fire Services Department	502 575	383.39	52 700	276.34
Food and Environmental Hygiene Department	229 957	1 156.04	656 664	596.38
Food and Health Bureau Note 5	0	0	432	22.91
Government Flying Service	204	9.03	188	8.22
Government Laboratory	19 799	905.10	0	0
Government Logistics Department	0	0	3 319	28.95
Government Property Agency	0	0	268	10.72
Highways Department	46 788	553.16	13 991	147.97
Home Affairs Bureau	54 692	242.95	22 375	62.11
Home Affairs Department	11 249	147.21	21 688	131.88
Hong Kong Monetary Authority	0	0	35	2.02
Hong Kong Observatory	6	0.20	84	1.66
Hong Kong Police Force	1 051 104	4 351.07	238 367	885.60
Hongkong Post	211	5.72	111 262	433.77
Immigration Department	42 709 841	7 791.03	58 315	411.48
Independent Commission Against Corruption	4 108	53.93	711	33.81
Information Services Department	535	14.28	504	26.69
Inland Revenue Department	25 936 346	5 687.05	7 989	13.62
Innovation and Technology Bureau Note 8	8 485	182.92	745	30.46
Intellectual Property Department	6 734	129.33	378	5.63
InvestHK	230	5.90	59	1.25

	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service	134	1.24	34	1.37
Judiciary	158	20.43	9 634	78.95
Labour and Welfare Bureau	0	0	93	4.18
Labour Department	874 511	1 476.58	3 196	104.42
Land Registry	924 850	241.19	523	22.14
Lands Department	5 668	50.34	1 389	49.16
Legal Aid Department	29 440	794.51	699	32.64
Leisure and Cultural Services Department	797 380	1 457.93	268 819	756.26
Marine Department	7 738	269.55	611	25.66
Office of the Communications Authority Note 6	2 958	19.11	2 706	100.77
Official Receiver's Office	12 335	1 013.33	138	8.23
Planning Department	14 510	313.58	717	36.86
Public Service Commission	368	3.68	364	16.80
Radio Television Hong Kong	0	0	115	4.20
Rating and Valuation Department	68 920	481.32	4 833	43.62
Registration and Electoral Office	983 764	435.64	91	5.97
Secretariat, Commissioner on Interception of Communications and Surveillance	0	0	7	0.35
Security Bureau	92	4.02	639	37.96
Social Welfare Department	306 260	1 199.37	63 051	486.78
Trade and Industry Department	5 200 187	2 145.28	1 128	46.20
Transport and Housing Bureau (Housing)/Housing Department	175 009	1 199.47	7 333	238.90
Transport and Housing Bureau (Transport Branch)	0	0	69	3.06
Transport Department	4 026 791	1 215.73	568	32.65
Treasury	45 518	174.86	39	1.79
University Grants Committee Secretariat	1	0.05	25	1.25
Water Supplies Department	6 307	289.48	914	30.73

B/Ds <sup>Note 1</sup>	Programme records		Administrative records	
	Number of records	Linear metre	Number of records	Linear metre
Working Family and Student Financial Assistance Agency	401 311	1 585.23	2 116	84.80

B/Ds <sup>Note 1</sup>	Programme records		Administrative records	
	Number of records	Linear metre	Number of records	Linear metre
Agriculture, Fisheries and Conservation Department	2 191	24.05	5 696	47.31
Architectural Services Department	7 619	406.57	1 648	172.10
Audit Commission	0	0	6 604	5.64
Auxiliary Medical Service	5	0.23	2	0.08
Buildings Department	54 596	869.42	19 046	78.61
Census and Statistics Department	5 858 021	1 334.65	129 289	113.17
Central Policy Unit	0	0	6	0.27
Chief Executive's Office	0	0	112	5.55
Chief Secretary for Administration's Office	287	3.44	6 529	76.35
Civil Aid Service	25	1.00	368	14.72
Civil Aviation Department	228	20.77	442	17.68
Civil Engineering and Development Department	5 605	312.31	29 257	119.25
Civil Service Bureau	288 349	477.53	3 204	65.41
Commerce and Economic Development Bureau Note 2	41	3.10	374	16.44
Companies Registry	2 296 086	2 740.96	8 703	84.23
Constitutional and Mainland Affairs Bureau	0	0	932	2.52
Correctional Services Department	28 994	816.26	17 518	36.18
Customs and Excise Department	3 374 571	3 436.41	4 598	120.14
Department of Health	3 985 661	1 747.87	27 908	144.11
Department of Justice	5 914	40.45	3 352	32.22
Development Bureau Note 3	0	0	164	10.06
Drainage Services Department	6 819	279.48	5 582	55.31
Education Bureau	118 887	415.94	2 786	91.91
Electrical and Mechanical Services Department	34 406	297.46	8 971	210.89

	Programme records		Administrative records	
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Environment Bureau/Environmental Protection Department	8 449	56.05	37 931	132.69
Financial Secretary's Office	0	0	188	2.06
Financial Services and the Treasury Bureau Note 4	2 323	89.16	9 937	42.82
Fire Services Department	658 769	753.08	50 507	362.47
Food and Environmental Hygiene Department	702 068	1 612.44	72 648	294.20
Food and Health Bureau Note 5	117	5.85	0	0
Government Flying Service	0	0	133	6.00
Government Laboratory	19 520	903.60	0	0
Government Logistics Department	5 565	257.34	71	1.70
Government Property Agency	2 802	6.00	31	1.24
Highways Department	22 753	465.80	54 971	233.33
Home Affairs Bureau	32 500	22.03	13 754	12.14
Home Affairs Department	17 840	315.32	16 963	168.57
Hong Kong Monetary Authority	0	0	12	0.64
Hong Kong Observatory	0	0	2 363	1.50
Hong Kong Police Force	2 336 399	6 770.19	244 773	1 031.33
Hongkong Post	539	21.31	33 942	661.82
Immigration Department	41 669 963	8 238.12	98 958	734.85
Independent Commission Against Corruption	38 540	140.10	2 575	54.25
Information Services Department	842	13.20	299	11.77
Inland Revenue Department	28 396 541	10 546.22	153 250	25.07
Innovation and Technology Bureau Note 8	2 060	48.74	664	31.82
Intellectual Property Department	65 634	577.34	12 368	93.66
InvestHK	0	0	14	0.21
Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service	134	1.24	2	0.08
Judiciary	179	9.37	1 187	62.11
Labour and Welfare Bureau	148	6.48	227	10.60
Labour Department	738 963	1 085.53	101 377	88.72

	Programn	ne records	Administra	tive records
B/Ds <sup>Note 1</sup>	Number of records	Linear metre	Number of records	Linear metre
Land Registry	673	33.82	13	0.62
Lands Department	3 460	99.02	6 093	135.45
Legal Aid Department	18 512	649.21	7 347	76.74
Leisure and Cultural Services Department	2 432 469	889.64	854 469	809.07
Marine Department	6 930	165.05	178 103	30.47
Office of the Communications Authority Note 6	6 742	29.28	10 242	37.45
Official Receiver's Office	5 662	267.97	40	2.00
Planning Department	3 179	133.97	122	5.21
Public Service Commission	650	6.50	49	1.23
Radio Television Hong Kong	0	0	28 245	83.23
Rating and Valuation Department	60 036	160.83	279	9.92
Registration and Electoral Office	447 012	1 636.94	88	5.20
Secretariat, Commissioner on Interception of Communications and Surveillance	0	0	26	1.16
Security Bureau	1 521	21.28	0	0
Social Welfare Department	571 293	3 538.63	31 658	463.94
Trade and Industry Department	4 519 695	1 359.29	433	25.04
Transport and Housing Bureau (Housing)/Housing Department	234 049	1 868.61	3 863	153.18
Transport and Housing Bureau (Transport Branch)	68	2.30	98	3.51
Transport Department	1 126 429	1 597.57	34 650	98.60
Treasury	27 231	404.11	6 555	19.34
University Grants Committee Secretariat	307	13.95	0	0
Water Supplies Department	196	65.33	36 339	127.22
Working Family and Student Financial Assistance Agency	901 214	2 120.22	982	44.54

Note 1: As "General Expenses of the Civil Service", "Independent Police Complaints Council", "Legislative Council Commission", "Miscellaneous Services", "Office of The Ombudsman" and "Pensions" in the table of the question are not government B/Ds, they are not included in the reply. Besides, though "Central Policy Unit", "Companies Registry", "Hong Kong Monetary Authority", "Hongkong Post" and "Land Registry" are not included

in the table of the question, given that they are among the government departments covered by the existing records management policy, the figures on their records approved for destruction are also included in the reply.

Note 2: As the "Commerce and Economic Development Bureau (Commerce, Industry and Tourism Branch)", "Commerce and Economic Development Bureau (Communications and Creative Industries Branch)" and "Overseas Economic and Trade Offices" in the table of the question are under the "Commerce and Economic Development Bureau", the figures on their records approved for destruction are incorporated into those of the "Commerce and Economic Development Bureau". Besides, as the "Innovation and Technology Commission" and "Office of the Government Chief Information Officer" were under the "Commerce and Economic Development Bureau" before November 2015, the figures on their records approved for destruction before November 2015 are incorporated into those of the "Commerce and Economic Development Bureau".

Note 3: As the "Development Bureau (Planning and Lands Branch)" and "Development Bureau (Works Branch)" in the table of the question are under the "Development Bureau", the figures on their records approved for destruction are incorporated into those of the "Development Bureau".

Note 4: As the "Financial Services and the Treasury Bureau (Financial Services Branch)" and "Financial Services and the Treasury Bureau (The Treasury Branch)" in the table of the question are under the "Financial Services and the Treasury Bureau", the figures on their records approved for destruction are incorporated into those of the "Financial Services and the Treasury Bureau".

Note 5: As the "Food and Health Bureau (Food Branch)" and "Food and Health Bureau (Health Branch)" in the table of the question are under the "Food and Health Bureau", the figures on their records approved for destruction are incorporated into those of the "Food and Health Bureau".

Note 6: As the "Office for Film, Newspaper and Article Administration" in the table of the question is under the "Office of the Communications Authority", the figures on its records approved for destruction are incorporated into those of the "Office of the Communications Authority".

Note 7: Before 1 March 2015, the "Working Family and Student Financial Assistance Agency" was known as "Student Financial Assistance Agency". Hence, it is shown as "Student Financial Assistance Agency" in the tables for 2013 and 2014.

Note 8: The "Innovation and Technology Bureau", which was established in November 2015, is not shown in the tables for 2013 and 2014. Upon the establishment of the "Innovation and Technology Bureau", the "Innovation and Technology Commission" and "Office of the Government Chief Information Officer" were subsumed under the "Innovation and Technology Bureau". Hence the figures on their records approved for destruction after November 2015 are incorporated into those of the "Innovation and Technology Bureau".

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO008** 

### (Question Serial No. 0723)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### **Question:**

Please set out the numbers of visits of the Chief Secretary for Administration's car to the Liaison Office of the Central People's Government in the Hong Kong Special Administrative Region in each of the past 5 years.

Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 15)

### Reply:

The Chief Secretary for Administration (CS) routinely uses office vehicles to get to various destinations to attend official functions, etc. according to operational needs. A large number of trip records are involved. The CS' Office has not compiled a breakdown of such trip records by destination.

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO009** 

(Question Serial No. 0731)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### Question:

One of the targets of the Government Records Service is to conduct departmental records management studies/reviews. Please advise on the departments covered in 2016, 2017 and 2018. What are the nature, objectives and details of records management studies/reviews?

Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 25)

### Reply:

The Government Records Service (GRS) conducts in-depth departmental records management reviews for individual bureaux/departments (B/Ds) to assess departmental management's awareness of, commitment to and involvement in practising good records management, evaluate B/Ds' compliance with the mandatory records management requirements and adoption of good records management practices, and identify improvement areas. These reviews also help GRS to consolidate insight for making service-wide recommendations where applicable. Such reviews cover main aspects of records management including records creation, classification, storage and disposal. During the review exercise, the GRS staff will conduct visits to the B/D concerned and examine its records management programme through review of documentation on records management, examination of recordkeeping systems, surveys, interviews and focus group At the end of the departmental records management review, the Director of Administration will convey the GRS' findings and recommendations to the head of the B/D concerned who is required to submit half-yearly progress reports on the implementation of the recommendations to the GRS.

The GRS conducts records management reviews for 2 B/Ds every year. The B/Ds concerned from 2016 to 2018 are set out in the table below:

Year	B/Ds			
2016	Social Welfare Department, Water Supplies			
	Department			
2017	Labour and Welfare Bureau, Intellectual			
	Property Department			
2018	Transport Department, Home Affairs			
	Department			

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO010** 

### (Question Serial No. 0458)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### Question:

What is the estimated expenditure for the annual remuneration of the Chief Secretary for Administration in 2018-19?

Asked by: Hon CHENG Chung-tai (Member Question No. (LegCo use): 3)

Reply:

The provisions earmarked for the salary and non-accountable entertainment allowance of the Chief Secretary for Administration in 2018-19 are as follows:

	Salary	Non-accountable
		entertainment allowance
	(\$ million)	(\$ million)
2018-19 (Draft Estimates)	4.44	0.47

The Finance Committee of the Legislative Council approved on 10 February 2017 that, with effect from 1 July 2018, the cash remuneration of Politically Appointed Officials will be adjusted every year in accordance with the change in the average annual Consumer Price Index (C). The expenses will be met by internal redeployment of resources and no additional provision is required. The sums of money will be reflected in the Revised Estimates for 2018-19.

Apart from the non-accountable entertainment allowance (tied to official residence) adjusted for inflation every year, no other allowances are paid to the Chief Secretary for Administration.

Reply Serial No.

**CSO011** 

### CONTROLLING OFFICER'S REPLY

### (Question Serial No. 0459)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### Question:

What is the estimated expenditure for the annual remuneration of the Financial Secretary in 2018-19?

Asked by: Hon CHENG Chung-tai (Member Question No. (LegCo use): 4)

Reply:

The provisions earmarked for the salary and non-accountable entertainment allowance of the Financial Secretary in 2018-19 are as follows:

	Salary	Non-accountable
		entertainment allowance
	(\$ million)	(\$ million)
2018-19 (Draft Estimates)	4.29	0.36

The Finance Committee of the Legislative Council approved on 10 February 2017 that, with effect from 1 July 2018, the cash remuneration of Politically Appointed Officials will be adjusted every year in accordance with the change in the average annual Consumer Price Index (C). The expenses will be met by internal redeployment of resources and no additional provision is required. The sums of money will be reflected in the Revised Estimates for 2018-19.

Apart from the non-accountable entertainment allowance (tied to official residence) adjusted for inflation every year, no other allowances are paid to the Financial Secretary.

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO012** 

### (Question Serial No. 2499)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (4) Protocol Division

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### Question:

The Chief Secretary for Administration's Office acts as the contact point between the Consular Corps and the HKSAR Government. Please inform the Committee whether issues related to human trafficking have been discussed between the HKSAR Government and the Consular Corps and the details of the discussions (if any), particularly –

- (a) the countries of the Consular Corps of which the HKSAR Government has discussed the relevant issues with;
- (b) the specific issues related to human trafficking being discussed and the relevant details; and
- (c) the Government's responses to the Consular Corps.

Asked by: Hon KWOK Wing-hang, Dennis (Member Question No. (LegCo use): 79)

### Reply:

The Protocol Division of the Chief Secretary for Administration's Office mainly liaises with and provides host government services to the Consular Corps in the Hong Kong Special Administrative Region. The subject matter of human trafficking is handled by the relevant bureau/department.

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO013** 

### (Question Serial No. 2500)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (5) Subvention: Duty Lawyer Service and Legal Aid Services

Council

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### **Question:**

The Chief Secretary for Administration's Office will take up the legal aid and free legal advice portfolio from the Home Affairs Bureau with effect from 1 July 2018. Please inform the Committee whether there will be any new initiatives upon the transfer, the costs of the new initiatives (if any) and the details of such.

Further, does the Chief Secretary for Administration's Office have any plan to conduct a review on the efficacy of the legal aid and free legal advice programmes implemented so far; if yes, the details and the estimated costs; if not, why so?

<u>Asked by</u>: Hon KWOK Wing-hang, Dennis (Member Question No. (LegCo use): 87) <u>Reply</u>:

As announced in the Chief Executive's 2017 Policy Address, the Government will implement the Legal Aid Services Council's earlier proposal to transfer the responsibilities for formulating legal aid policy and housekeeping the Legal Aid Department from Home Affairs Bureau (HAB) to Chief Secretary for Administration's Office (CSO). The transfer will take effect from 1 July 2018.

CSO and HAB will ensure the progress and continuity of the policy work and reviews relating to the legal aid portfolio before and after the transfer. Ongoing reviews include the review of duty lawyer fees, the expansion of the Supplementary Legal Aid Scheme and the annual review of financial eligibility limits of legal aid applicants.

As the reviews are part and parcel of the legal aid portfolio, we do not maintain breakdown statistics on the costs involved.

**CSO014** 

(Question Serial No. 0273)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

Controlling Officer: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### **Question:**

Records are a precious resource for the society and an important source of information for the public to verify historical events and monitor the Government. In this connection, please advise this Committee on: (1) the staffing and functions of the 15 posts to be increased in the Government Records Service in 2018-19; and (2) the progress of development of the new Integrated Information Access System, and whether additional manpower is needed for its development.

<u>Asked by</u>: Hon LAU Ip-keung, Kenneth (Member Question No. (LegCo use): 34) <u>Reply</u>:

- (1) The Law Reform Commission (LRC) has studied the existing records management system in Hong Kong and the relevant laws of other jurisdictions, and will conduct public consultation, with a view to making appropriate recommendations on possible options for reform if need be. The Government will follow up on this subject after receiving the report from the LRC. In this regard, the Government Records Service (GRS) will reserve funding in 2018-19 for creating 15 posts, including 1 Senior Administrative Officer, 1 Archivist, 2 Senior Assistant Archivists, 4 Assistant Archivists, 1 Systems Manager, 1 Curator, 1 Assistant Curator I, 3 Assistant Clerical Officers and 1 Workman II, to follow up on the subject of archives law after the LRC has submitted the report.
- (2) The GRS currently manages about 1.5 million of archival holdings through the Integrated Information Access System developed in 2004. The system also provides an interface for the public to search for archival holdings online. As its hardware and software are obsolescent, the GRS has engaged an information technology company and a Contract System Analyst since July 2017 to assist in the development of a new system to replace the existing one, with a view to streamlining the archives management workflow and enhancing online functions to better serve the public.

The analysis and design work of the new system was completed in December 2017 and the system programming work is now in progress. The new system is expected to be launched in late 2018/early 2019.

- End -

**CSO015** 

(Question Serial No. 2387)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### **Question:**

After the revamp of the Central Policy Unit into the Policy Innovation and Co-ordination Office ("PICO"), what are the government expenditure on secretariat and research support for the PICO in 2018-19 and its specific staff establishment?

Asked by: Hon LEUNG Kenneth (Member Question No. (LegCo use): 2.09)

### Reply:

Under the operational expenses of the Policy Innovation and Co-ordination Office (PICO) in 2018-19, we have set aside around \$180,000 to meet expenses on interpretation service, hiring of equipment and other items related to meetings of the Chief Executive's Council of Advisers on Innovation and Strategic Development (the Council). Division 1 of PICO will provide secretariat support for the Council. It will also be responsible for other duties, including policy research and co-ordination, co-ordination of Policy Address and Policy Agenda as well as the daily administrative work of PICO. There is no separate staff establishment for the secretariat. With flexible use and deployment of manpower, other staff of PICO responsible for policy research and co-ordination will, depending on the Council's discussion topics, assist in conducting research and following up on the Council's recommendations.

**CSO016** 

(Question Serial No. 2388)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### **Question:**

Please provide details of the projects funded under the Public Policy Research Funding Scheme ("the Scheme") in each of the past 5 years, including research topics, applicants and the amount of funds approved. After the Central Policy Unit is reorganised into the Policy Innovation and Co-ordination Office, will there be any changes in the assessment mechanism and management of the Scheme? If yes, what are the details? If no, why?

Asked by: Hon LEUNG Kenneth (Member Question No. (LegCo use): 2.10)

Reply:

Details of the policy research projects approved under the Public Policy Research ("PPR") Funding Scheme and the Strategic Public Policy Research ("SPPR") Funding Scheme from 2013-14 to 2017-18 financial years are at Annex.

Following the establishment of the Policy Innovation and Co-ordination Office ("PICO"), assessments of the funding schemes will continue to be conducted by the Assessment Panel comprising academics. As a usual practice, the Assessment Panel will consider all applications taking into account the quality of the studies, policy development needs, views of external academics and subject experts, etc. To ensure policy relevance of the recommendations of the studies, the Assessment Panel will seek views from relevant Government bureaux/departments for reference. PICO will also draw up the themes of research upon consultation with the Assessment Panel and invite the policy bureaux to make suggestions, so as to enhance the policy relevance of the approved research projects of the PPR and the SPPR Funding Schemes.

Reports of the research projects funded by the PPR and the SPPR Funding Schemes will be passed to relevant policy bureaux for reference upon acceptance by the Assessment Panel and they will be uploaded onto the website of the Central Policy Unit ("CPU")/PICO for public reference. At present, 63 research reports of the PPR Funding Scheme have been uploaded onto PICO's website. Since the SPPR Funding Scheme is still at an initial stage,

the relevant approved research projects are still in progress, and there has been no completed project so far. PICO will also continue to organise public policy seminars to invite academics who conduct public policy research to share their experience and findings with think tanks, government officials, non-government organisations, etc.

# Public Policy Research Funding Scheme 2013-14 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2013-14 First Round	CUHK	Processes of Children's Literacy Acquisition in Chinese as a Second Language	461,088	30/3/2016
2	2013-14 First Round	Dashun Policy Research Centre	Age-Friendly Housing Policies	579,383	8/2/2015
3	2013-14 First Round	HKBU	Land and Housing Policies in Post-Handover Hong Kong: Political Economy and Urban Space	416,760	31/3/2015
4	2013-14 First Round	HKU	A Study of the Movement of Type A and B Babies in Hong Kong	308,706	24/10/2014
5	2013-14 First Round	HKU	A Study of Aspiration of Fertility amongst Married Women in Hong Kong (Age 15-49)	365,700	16/1/2015
6	2013-14 First Round	HKU	Granger Causality Analysis of Land Supply and Housing Market Performance - Implications for Long Term Urban Land Policy in Hong Kong	519,570	9/2/2015
7	2013-14 First Round	HKUST	Developing an Integrated Construction Waste Management Policy Framework in Hong Kong	485,415	31/12/2014
8	2013-14 First Round	LU	A Study on the Preferences and Feasibility of Optional Retirement in Hong Kong: A Human Resources Management Perspective	544,255	28/2/2015
9	2013-14 First Round	LU	The Governing System of Pre-1997 Hong Kong: Archival Study of Selected Policies/Events	443,498	28/2/2015
10	2013-14 First Round	LU	Retirement Planning for Pre-Retiree in Hong Kong	516,954	28/5/2015

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No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
11	2013-14 First Round	PolyU	Is the Polluter Paying? Assessing the Application of the "Polluter Pays" Principle to Ship-Source Pollution in Hong Kong	258,750	15/2/2015
12	2013-14 First Round	PolyU	Shaping Tourism Development: Anticipating Changes and Impacts, and Managing Consequences and Conflicts	269,100	23/2/2015
13	2013-14 First Round	PolyU	Sustainable Planning Criteria for Age-Friendly Precincts in the New Development Areas of Hong Kong	528,471	9/4/2015
14	2013-14 First Round	PolyU	Study on the Development Potential and Energy Incentives of Rooftop Solar Photovoltaic Applications in Hong Kong	424,120	31/5/2015
15	2013-14 First Round	PolyU	Can We Get Rid of Smoggy Days in Hong Kong: Formation Mechanism and Control Strategies?	662,969	2/6/2015
16	2013-14 First Round	PolyU	A Remote Sensing Study of Solar Energy Supply in Cloud-Prone Areas of Hong Kong	359,870	9/6/2015
17	2013-14 First Round	PolyU	Towards Sustainability: Exploring the Social and Economic Dimensions of Travel to Hong Kong by Mainland Chinese	428,490	14/8/2015
18	2013-14 First Round	PolyU	Improving Safety Communication of Ethnic Minorities in the Construction Industry	488,750	24/8/2015
19	2013-14 First Round	PolyU	Green Information Technology Adoption in Hong Kong: An Empirical Analysis and Policy Implications for Sustainable Development	329,906	9/10/2015

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
20	2013-14 First Round	PolyU	Development of a Policy for Optimizing the Use of Inert Solid Wastes in Road Pavement Construction	373,635	24/11/2015

# <u>Public Policy Research Funding Scheme</u> <u>2014-15 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2014-15 First Round	HKU	Language Use, Proficiency and Attitudes in Hong Kong	714,985	31/7/2015
2	2014-15 First Round	HKU	Family Caregiving and Long-Term Care Decision of People with Dementia in Hong Kong	332,427	31/7/2015
3	2014-15 First Round	HKU	Can Online Opinion Reflect Public Opinion? An Investigation into the Interplays between Online Opinion, Public Opinion, and Mass Media	556,888	31/12/2016
4	2014-15 First Round	HKUST	Dynamics of Poverty in Hong Kong: A Supplementary Survey	423,200	31/5/2015
5	2014-15 First Round	One Country Two Systems Research Institute	Assessment of the Impact and Opportunities of the Hong Kong-Zhuhai-Macao Bridge to Hong Kong in the New Economic Situation	588,000	22/1/2015
6	2014-15 Second Round	HKU	Industrial Land Use Changes in Response to Economic Restructuring in Hong Kong	704,812	31/10/2015
7	2014-15 Second Round	Hong Kong Academy of Engineering Sciences	Enhancing Policy on Building Maintenance: Solving the Residential Seepage Problem	737,150	14/9/2015

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
8	2014-15 Second Round	HKU	Developing Sustainable Hong Kong through Low Impact Development: from Science to Innovation Policy	455,975	14/12/2015
9	2014-15 Second Round	HKU	Repeated Planning Applications by Developers under Statutory Zoning: A Hong Kong Case Study of Delays in Private Residential Development	640,366	7/2/2016
10	2014-15 Second Round	HKUST	Hong Kong People in Shenzhen: Facts and Challenges	229,453	31/7/2015
11	2014-15 Second Round	PolyU	Enhancing Hong Kong's Competitiveness as a Regional Cruise Hub from a Policy Perspective	556,600	30/11/2015
12	2014-15 Third Round	CityU	The Drafting Policy for Hong Kong's Bilingual Legislation: A Communicative Approach	318,037	14/3/2016
13	2014-15 Third Round	HKIEd#	Poverty of Ethnic Minority Children in Hong Kong	322,414	31/10/2015
14	2014-15 Fourth Round	CityU	Investigating the Possibility of Incorporating the Effect of Photovoltaic Panel and Photovoltaic Glazing Systems in the Overall Thermal Transfer Value Calculation in Hong Kong	157,895	9/10/2015
15	2014-15 Fourth Round	CityU	Student Visa Holders in Rental Market: A Study of Mainland Students Housing Choice and Their Impact on Hong Kong's Housing Market	294,883	31/3/2016
16	2014-15 Fourth Round	CityU	Performance Information Use: Experiments on Performance Dimensions, Communication and Data Sources in Education and Solid Waste Recycling	597,264	9/6/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
17	2014-15 Fourth Round	CUHK	Vocational-Oriented Education at Senior Secondary Level: Perceptions, Decision-Making, and Life Planning	691,211	31/8/2016
18	2014-15 Fourth Round	HKIEd#	How to Increase the Demand for Annuity in Hong Kong: A Study of Middle-Aged Adults	767,917	31/3/2016
19	2014-15 Fourth Round	HKU	e-Learning in Formal, Informal and Open Learning Contexts: A Study of Global Trends, Policy Options and Their Implications for Sustainable Development in Hong Kong	885,489	31/3/2016
20	2014-15 Fourth Round	HKU	A Possible Zero Carbon Building Policy for Hong Kong: Opportunities, Risks and Recommendations	408,894	8/6/2016
21	2014-15 Fourth Round	HKU	Redeveloping a Governance Model of the Complaint-Handling Process for Sufficient, Accountable and Transparent Regulation of Medical Professionals in Hong Kong	911,536	Withdrawn
22	2014-15 Fourth Round	PolyU	Feasibility Study of Implementing Indoor Air Quality Index in Hong Kong	365,700	31/12/2016

# <u>Public Policy Research Funding Scheme</u> <u>2015-16 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2015-16 First	CityU	Making Policy for Child Care in Hong Kong	476,123	14/9/2016
	Round				

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
2	2015-16 First Round	CityU	How to Improve Participatory Mechanisms in The Processes of Urban Redevelopment: The Case of Kowloon East (Hong Kong)	498,251	30/11/2016
3	2015-16 First Round	CUHK	Enforcing and Complying with Voluntary Agreements as an Alternative Environmental Policy Instrument	195,332	30/11/2015
4	2015-16 First Round	HKBU	Shaping Arts Development and Education in Facilitating the Role of Hong Kong as a Cultural Hub of the Region	332,350	31/8/2016
5	2015-16 First Round	HKIEd#	Impact of Family Friendly Policies: A Panel Study in Hong Kong	448,945	30/4/2017
6	2015-16 First Round	HKIEd#	A Panel Study of Media Effects on Hong Kong Youth's Political Participation	667,176	In Progress
7	2015-16 First Round	Hang Seng Management College	Preventing Marginalization in Air Passenger and Freight Businesses - A Global Network Decision Support System	654,500	30/6/2017
8	2015-16 First Round	PolyU	Civil and Criminal Liabilities for Ship-Source Pollution in Hong Kong: Taking Stock of the Present and Seeing the Way Forward	278,530	21/9/2016
9	2015-16 First Round	PolyU	Does Dual-Class Share Structure Create Value for Shareholders in the Long Run? Evidence from an Empirical Study of Global Markets with Implications for Hong Kong Stock-Listing Policies	898,840	In Progress
10	2015-16 Second Round	CityU	Sustainability and Social Mobility in Professional Services: A Case Study of Accounting Profession in Hong Kong	548,775	2/11/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
11	2015-16 Second Round	CityU	Exploration and Evaluation of Policy Options for Tackling the Illegal Subdivided Unit Problem in Hong Kong	212,175	30/11/2016
12	2015-16 Second Round	HKBU	Impacts of the Residential Physical and Social Environment on Daily Walking Behavior of Older Adults in Hong Kong	499,878	In Progress
13	2015-16 Second Round	HKIEd#	Towards a Refugee Policy for an Inclusive Hong Kong: Enhancing the Status of China's International City	652,303	31/8/2016
14	2015-16 Second Round	HKU	Birds of a Feather: Cross-Group Interaction between Mainland and Local University Students in Hong Kong	242,236	14/12/2016
15	2015-16 Second Round	HKU	Public Transport Policy Measures to Improve the Mobility of the Elderly in Hong Kong	526,700	23/12/2016
16	2015-16 Second Round	PolyU	Evaluation of Green Practices for Grocery Retailers in Hong Kong and the Policy Implications for Sustainable Development	294,400	20/9/2016
17	2015-16 Second Round	PolyU	The Effect of Isomorphic Pressure for Building Information Modelling in Hong Kong Construction Industry	470,695	In Progress
18	2015-16 Third Round	CityU	Discontinuing Youth's Violent Involvements with Social Capital Development	817,420	4/10/2016
19	2015-16 Third Round	CUHK	Immigrant Entrepreneurship among Mainland Chinese University Graduates in Hong Kong: An Empirical Study with Multiple Perspectives	722,455	30/9/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
20	2015-16 Third Round	CUHK	Impact of Social Mobility on the Political Attitudes and Behaviours of Young People: A Comparative Study of Hong Kong, Taiwan, and Macao	1,636,653	30/11/2016
21	2015-16 Third Round	CUHK	Designing a Sustainable Public-Private-Partnership Program to Enhance Diabetes Care and Evaluating Its Impact Using an Outcomes Simulation Model	552,000	14/2/2017
22	2015-16 Third Round	HKBU	An Exploration of School Fieldtrip to Mainland in Hong Kong Secondary Schools: Students' Self-Authoring Civic Identity	630,766	14/6/2017
23	2015-16 Third Round	HKU	A Sustainable Tourism and Mobility Framework for Assessing the Effects of the Individual Visit Scheme on the Public Transportation System in Hong Kong	690,000	In Progress
24	2015-16 Fourth Round	CityU	Tenant Purchase, Assisted Home Ownership and Social and Residential Mobility	657,296	31/5/2017
25	2015-16 Fourth Round	Civic Exchange	The First Baseline Study of the General Public's Awareness and Attitudes Towards Biodiversity Conservation in Hong Kong	838,120	In Progress
26	2015-16 Fourth Round	HKIEd#	Enhancing Executive Functioning of Children Living in Poverty: A Randomized Experiment Study	856,452	In Progress
27	2015-16 Fourth Round	HKUST	Carbon Trading in International Climate Cooperation and Its Implications to Hong Kong	195,500	In Progress
28	2015-16 Fourth Round	PolyU	Electrification of Single-Deck Bus and Minibus in Hong Kong	700,000	31/12/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
29	2015-16 Fourth Round	PolyU	Toward a Better Understanding of the Chinese Mindset in Cruise Tourism Development: A Conjoint Analysis of Chinese Travelers' Preferences for Cruising Attributes	463,025	In Progress

# Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2016-17 First Round	CityU	A Pan-Asian Field Study of Corporate Awareness to Information Security and Preparedness Against Cybercrimes	655,500	31/7/2017
2	2016-17 First Round	CityU	An Experimental Study of National Identity Among Hong Kong Youth	415,150	In Progress
3	2016-17 First Round	CityU	The "Citizen Satisfaction Assessment Tool": Applying Expectancy Disconfirmation Theory to Public Services in Hong Kong	961,400	In Progress
4	2016-17 First Round	CUHK	Impacts of Implementing "Low-income Working Family Allowance" on Labour Market Situation and Quality of Life of Low Income Working Families in Hong Kong	999,299	In Progress
5	2016-17 First Round	CUHK	Attitudes and Levels of Support Toward Same-Sex Civil Union and Same-Sex Marriage Legislation among the General Public and Homosexual People in Hong Kong - A Comparative Study	981,966	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
6	2016-17 First Round	HKIEd#	Hong Kong Youths' National Identity: Impacts of Mainland Exchange Programmes, Study Tours and Volunteer Activities	403,880	In Progress
7	2016-17 First Round	HKU	Hong Kong-Shenzhen-Guangzhou as a Multi-gateway Trading City-region for Cross-border e-retailing: A Geographical Analysis	605,337	31/5/2017
8	2016-17 First Round	HKU	Financial Impacts of Family Caregiving: An Investigation of the Moderating Effects of Workplace Accommodative Measures and Domestic Helpers	559,632	In Progress
9	2016-17 First Round	HKU	Creative Industries in Flux: A Critical Investigation into the Challenges, Agency and Potential of Cultural and Creative Workers in Hong Kong	500,000	In Progress
10	2016-17 First Round	LU	Hong Kong Non-Governmental Welfare Organisations in Mainland China: Services, Challenges and Opportunities	930,460	In Progress
11	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028	7/3/2017
12	2016-17 First Round	PolyU	Developing A Globalised Industry under the Context of Territorial Policy - The Development of Aviation Maintenance, Repair and Overhaul Industry in Singapore and Hong Kong	611,225	30/4/2017

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
13	2016-17 First Round	PolyU	Association Among Father Involvement in Pregnancy and Childbirth, Pregnancy Violence and Health Outcomes: Does It Help Promote Good Health Among Newborns, Mothers, and Fathers?	649,035	In Progress
14	2016-17 Second Round	CUHK	Achieving Sustainable Urban Park Management in Hong Kong through the Development of Indicators	340,786	In Progress
15	2016-17 Second Round	EdUHK	Youth Radicalism in Hong Kong: Exploring Changes in Adolescents' Civic Consciousness and Attitudes to the Nation	569,905	In Progress
16	2016-17 Second Round	HKU	A Study on Population Dynamics in One Belt One Road: Opportunities and Challenges	617,298	In Progress
17	2016-17 Second Round	HKU	Performance of the Self-assessment Practice of Service Performance Monitoring System	561,547	In Progress
18	2016-17 Second Round	HKU	Demarcation or Integration?: Improving Effectiveness of Traditional Chinese Medicine Use in Hong Kong	399,326	In Progress
19	2016-17 Second Round	PolyU	Exploring the Effects of Airbnb on the Hong Kong Tourism Industry	256,818	In Progress
20	2016-17 Third Round	CUHK	A Study on the Development of Palliative and End-of-Life Care Services in Hong Kong	480,801	In Progress
21	2016-17 Third Round	EdUHK	Investment Pattern and Performance of Mandatory Provident Fund Scheme Members: A Historical Administrative Record Analysis	336,390	In Progress
22	2016-17 Third Round	EdUHK	Promotion of Volunteerism among Hong Kong Retirees: An Intervention Study	712,307	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
23	2016-17 Third Round	HKBU	World Values Survey 2017: Generating Data for Trust Maintenance, Repair, and Better Governance in Post-Occupy Hong Kong	1,025,243	In Progress
24	2016-17 Third Round	HKUST	Energy Behavior Patterns in Hong Kong: The Role of Bounded Rationality and Peer Pressure in Air Conditioner Use	568,445	In Progress
25	2016-17 Third Round	PolyU	An Empirical Study of the Town Planning Board's Planning Control Decisions on Residential Development Applicants since Hong Kong's Handover to China	369,909	In Progress
26	2016-17 Fourth Round	CityU	Developing Appropriate Typical Weather Data for Applications in Building Related Codes of Practices and Design Guidelines in Hong Kong	314,928	In Progress
27	2016-17 Fourth Round	CityU	The Development of a New Media Expertise in the Creative Economy of Hong Kong	550,620	In Progress
28	2016-17 Fourth Round	CUHK	Knowledge and Perceptions towards Gender-Based Violence of Minority Girls in Hong Kong	200,000	In Progress
29	2016-17 Fourth Round	HKU	A Survey Experiment on Discontent Among the Youth: Welfare or Politics?	220,368	In Progress
30	2016-17 Fourth Round	HKU	The Experience of Stigma in Patients with Mental Disorders: Are There Improvements in the Past 15 Years?	346,293	In Progress
31	2016-17 Fourth Round	LU	One Belt One Road: China's Motives and Hong Kong's Roles	468,050	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
32	2016-17 Fourth Round	LU	Deriving Public Policy for Hong Kong as an Infrastructure Financing Hub and Super-connector in Project Finance: The Belt and Road Initiative	691,783	In Progress

# <u>Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2017-18 First Round	CUHK	Maintaining Hong Kong Aviation-hub Position Under the ASEAN - China Air Transport Agreement	481,491	In Progress
2	2017-18 First Round	EdUHK	Life Course Effects on Marital Stability: Experience of Remarried Mainland Chinese Migrant Women in Hong Kong	500,000	In Progress
3	2017-18 First Round	HKBU	Investigating Hong Kong Students' Critical News Literacy in the Age of Social Media	492,982	In Progress
4	2017-18 First Round	HKBU	Differential In-migration, Housing Access and Spatial Segregation: Hong Kong since 1997	825,125	In Progress
5	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464	In Progress
6	2017-18 First Round	HKU	Exploring the Motivations, Incentive Designs, and Performance of Open Innovation in Hong Kong	297,032	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
7	2017-18 First Round	HKU	Associations between Emerging Political Ideology, Political Participation and Social Media Use: Making Sense of the Connections between "Localism", "Populism", and "Post-materialism" in Hong Kong	780,234	In Progress
8	2017-18 First Round	LU	Construction of Mainland China - Hong Kong Economic Integration Index and Its Application to Facilitate Public Policy Research in Hong Kong	494,960	In Progress
9	2017-18 First Round	PolyU	Facilitating the Diffusion of Building Information Modelling in the Hong Kong Construction Industry: A Network Perspective	716,335	In Progress
10	2017-18 Second Round	CityU	Enhancing the Environmental Effectiveness of Overall Thermal Transfer Value Regulation for Green Building Design in Hong Kong	304,750	In Progress
11	2017-18 Second Round	CUHK	Out-of-home Activities and Social Exclusion among Hong Kong's Aging Population: A Study of the Elderly's Activity-travel Patterns and Its Implication on Well-being	397,853	In Progress
12	2017-18 Third Round	CityU	Demographic and Social Indicators of Youth Volunteering in Hong Kong	842,950	In Progress
13	2017-18 Third Round	CUHK	Investigating the Preference, Attitude and Perception of Frail Older People on Consumer-directed Long-term Care in Hong Kong	490,907	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
14	2017-18 Third Round	CUHK	Promoting e-mobility in Hong Kong: Institutional and Spatial Contexts, Public Acceptance, and the Location Choice of Public Electric Vehicle Charging Facilities	530,725	In Progress
15	2017-18 Third Round	HKU	Opening Doors, Creating Pathways - A Qualitative Study of Social Harms and Service Access of Young People from Ethnic Minority Backgrounds in Hong Kong	400,000	In Progress
16	2017-18 Third Round	HKU	Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility Inside Hong Kong's Anti-Money Laundering Law	295,550	In Progress
17	2017-18 Third Round	LU	Relationship between Poverty and Neurocognitive Skills	700,000	In Progress
18	2017-18 Fourth Round	CUHK	Tracking the Future: The Perception of Future and Aspirations of Hong Kong Youth	345,000	In Progress
19	2017-18 Fourth Round	EdUHK	Family Policies, Social Norms and Fertility Decisions: A Survey Experiment	764,750	In Progress
20	2017-18 Fourth Round	EdUHK	Global Governance Hub: A New Dimension in Hong Kong's Global City Strategy?	957,766	In Progress
21	2017-18 Fourth Round	PolyU	Clear up Toxic Smog and Improve Air Quality in Hong Kong	498,410	In Progress

<sup>#</sup> With effect from 27 May 2016, the term "The Hong Kong Institute of Education" has been renamed "The Education University of Hong Kong".

## <u>Strategic Public Policy Research Funding Scheme</u> <u>2016-17 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2016-17	CityU	Hong Kong Professional Services in the Co-Evolving Belt-Road Initiative: Innovative Agency for Sustainable Development	3,400,000	In Progress
2	2016-17	HKU	The Implementation of "One Country Two Systems" in Hong Kong	3,000,000	In Progress
3	2016-17	HKUST	Trade and Investment under "One Belt One Road" and Implications for Hong Kong	3,400,000	In Progress

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2017-18	HKU	Antimicrobial Resistance Policy Framework in Big Bay Area (Guangdong-Hong Kong-Macao)	3,500,000	In Progress
2	2017-18	HKU	In Search of New Economic Cooperation Models Between Hong Kong and the Big Bay Area	3,500,000	In Progress
3	2017-18	HKUST	Strategies for Enhancing Walkability in Hong Kong via Smart Policies	3,500,000	In Progress

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO017** 

### (Question Serial No. 2412)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### **Question:**

How will the newly established Policy Innovation and Co-ordination Office promote evidence-based policy research and foster a policy research community? Please provide details of the relevant work plan and the manpower and expenditure involved.

Asked by: Hon LEUNG Kenneth (Member Question No. (LegCo use): 2.11)

### Reply:

It is a major function of the Policy Innovation and Co-ordination Office (PICO) and part of the daily work of its staff to promote evidence-based policy research and foster a policy research community. We have not made separate provisions for the manpower and expenditure involved in this respect.

In promoting evidence-based policy research, PICO will be responsible for carrying out research on and co-ordinating major cross-bureau policies selected by the Chief Executive (CE) and Secretaries of Departments. These policies will reflect the priority of the current-term Government. PICO will engage the relevant policy bureaux more closely in the selection of research topics and formulation of study scope, collection of information, discussion of policy options, formulation of implementation plan and evaluation of results.

On the other hand, PICO will endeavour to strengthen the public policy research capability and foster a public policy research community in Hong Kong. This would include continuing to administer two public policy research funding schemes and identifying ways to further enhance the policy relevance and impact of the findings and recommendations of the studies funded under these schemes. Further, PICO will also step up liaison with the academia, research institutes and think tanks and facilitate rational public policy debates and collaboration in public policy research.

**CSO018** 

### (Question Serial No. 3296)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### **Question:**

What was the number of repairs carried out at the Financial Secretary's official residence in each of the past 3 years? What were the works and the expenditure involved? What was the total expenditure involved each year?

Asked by: Hon LEUNG Yiu-chung (Member Question No. (LegCo use): 70)

### Reply:

Same as other government buildings, the Architectural Services Department (ArchSD) carries out routine facility upkeep works for the Financial Secretary's official residence (FSOR) having regard to actual needs. The expenditure involved from 2015-16 to 2017-18 is as follows:

Financial Year	2015-16	2016-17 2017-18	
			(As at February 2018)
Expenditure*	40	370	710
<b>(\$'000)</b>			

The expenditure on maintenance works for the FSOR is funded under Subhead 000 of Head 25 (ArchSD), while the renovation and improvement works are funded by the Capital Works Reserve Fund. The cost figures tabulated above include the expenditure on the said 3 categories of works. As the expenditure for most of the works projects are not settled within the same financial year, the figures shown in the table reflect the total expenditure as indicated in the cash flow of that particular financial year.

Major works in the above financial years include repairs to the building and the floor coating, replacement of worn-out carpets, touch-up painting of external walls, waterproofing works for roof and improvement works for security systems. Apart from the above, the ArchSD also undertakes routine maintenance works including water pipes, woodwork, doors and windows, carpet, paint works, cement plastering and termite control for the

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**CSO019** 

(Question Serial No. 2543)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

### **Question:**

The work for legal aid and free legal advice will be transferred from the Home Affairs Bureau to the Office of the Chief Secretary for Administration starting from 1 July 2018. It is expected that the Administration Wing will have a net increase of 22 posts in 2018-19 to meet operational needs. What are the details of the distribution of work for these 22 posts?

Asked by: Hon LIAO Cheung-kong, Martin (Member Question No. (LegCo use): 45)

### Reply:

The duties relating to legal aid and free legal advice will be transferred from the Home Affairs Bureau to the Office of the Chief Secretary for Administration with effect from 1 July 2018. To meet relevant operational needs, 8 additional posts will be created in the Administration Wing, namely 1 Administrative Officer Staff Grade C post, 1 Senior Administrative Officer post, 1 Executive Officer I post and 5 other general grade posts (such as clerical and secretarial grades). Other additional posts to be created will be responsible for supporting the work of different units under Programme (3).

### **CSO020**

### CONTROLLING OFFICER'S REPLY

### (Question Serial No. 2554)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### **Question:**

In paragraph 262 of the Chief Executive's 2017 Policy Address, it is mentioned that in the next 5 years, the Government will strive to do its best in youth development work by addressing their concerns about education, career pursuit and home ownership, and encouraging their participation in politics as well as public policy discussion and debate, which includes "recruiting 20 to 30 young people aspiring to pursue a career in policy research as well as policy and project co-ordination" to join the Policy Innovation and Co-ordination Office "on a non-civil service contract basis". In this connection, please inform this Committee of:

- 1. the latest progress of the recruitment of this group of young people;
- 2. the estimated expenditure for the recruitment of this group of young people in the coming year.

Asked by: Hon LIAO Cheung-kong, Martin (Member Question No. (LegCo use): 33)

Reply:

We advertised for the open recruitment of Senior Policy and Project Co-ordination Officers and Policy and Project Co-ordination Officers on 27 October last year. The relevant written tests and interview have been completed. Upon completion of the recruitment formalities, appointment letters will be issued. It is expected that the appointees will report duty within the first quarter of 2018-19. The estimated expenditure on emolument of the Policy and Project Co-ordination Officers is \$16.31 million.

Reply Serial No.

### CONTROLLING OFFICER'S REPLY

**CSO021** 

### (Question Serial No. 2227)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

### Question:

1. The estimated expenditure of the Government Records Service (GRS) for 2018-19 has significantly increased by 24.7% compared to that for 2017-18. What are the reasons?

- 2. A provision of \$300,000 was earmarked for the procurement of archival records relating to Hong Kong from other places in 2017-18. What is the progress of procurement? What are the contents of the records?
- 3. Will the GRS set up a mechanism similar to the book suggestion mechanism of public libraries, which, when budget permits, allows the public to give suggestions on the procurement of records relating to Hong Kong?

Asked by: Hon MA Fung-kwok (Member Question No. (LegCo use): 106)

### Reply:

- 1. The estimated expenditure of the Government Records Service (GRS) for 2018-19 has increased by \$16 million (24.7%) compared to the original estimate for 2017-18 mainly due to the increase of 15 posts to follow up on the subject of archives law after the Law Reform Commission has completed extensive consultation and submitted a report, the filling of existing vacancies and salary increment for staff, etc.
- 2. The GRS procured 146 and 2 copies of archival records relating to Hong Kong from the National Archives of the UK (TNA) and the Ronald Reagan Presidential Library of the USA respectively in 2017-18. Details of their record series are as follows:

	Record series	Years covered
The	National Archives of the UK	
(a)	PREM19: Correspondence and documents of the Prime	1984-1989
	Minister's Office	
(b)	FCO21: Foreign Office and Foreign and Commonwealth Office	1984
	(Far Eastern Department)	
(c)	FCO40: Commonwealth Office and Foreign and Commonwealth	1986-1989
	Office (Hong Kong Departments)	
The	Ronald Reagan Presidential Library of the USA	
CO	066: White House Office of Records Management	1981-1987

The selected records cover many important subjects relating to Hong Kong, including the issue of the future of Hong Kong, constitutional development and reforms in Hong Kong, political and leading personalities, visits of Chinese and British principal officials, the Sino-British Joint Declaration, political relationship between the Mainland and Hong Kong, Legislative Council affairs, nationality and citizenship, political environment of Hong Kong and international relations, Daya Bay Nuclear Plant Project, etc. Among these 148 records, 105 records were made available for public inspection at the Public Records Office of the GRS in February 2018. The remaining 43 records are expected to be made available for public inspection in the second quarter of 2018 after completion of records description and arrangement by the GRS in due course. Besides, the GRS is contacting some mainland archives to discuss the procurement of copies of archival records relating to Hong Kong in order to enrich its holdings.

3. The GRS has an established mechanism to keep track of new releases of archival records relating to Hong Kong by TNA and other major overseas archives. It will consider the collection development direction of our holdings, criteria for appraising records and existing resources. GRS will also collect the views of service users, in particular those of the academic and educational sectors, on acquisition of archival materials through user surveys in the formulation of the procurement plan, so as to fulfil its vision and meet the needs of the public. In 2017, the GRS received 30 suggestions on the acquisition of holdings through user surveys, the subjects of which included the establishment of the free port of Hong Kong, the First World War, 1967 riots, future of Hong Kong, overseas Chinese, medical services, history of walled villages, meteorological data, old newspapers, old maps, The GRS has considered the views of service users in the procurement of the aforementioned 148 archival records. It will continue to review the existing mechanism for procuring archival records in a timely manner and will study and make reference to the book suggestion mechanism of public libraries so as to improve the existing mechanism.

# CONTROLLING OFFICER'S REPLY

**CSO022** 

# (Question Serial No. 2688)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

The Government Records Service (GRS) administers government records efficiently by formulating and implementing policies and plans for records management and archives administration as well as providing storage and disposal services for inactive records.

- (a) Has the GRS developed a series of criteria for the disposal of records? If yes, what are the details? If no, what are the reasons?
- (b) Please advise on the quantity (linear metre) of records destroyed by the GRS and the expenditure incurred over the past 3 years. Has provision been made in the 2018-19 Financial Year for the above-mentioned item? If yes, what are the details?
- (c) There are many views in the community that the Government should enact an archives law immediately. The Law Reform Commission (LRC) has set up a sub-committee to study the subject of archives law and it is mentioned that the Government has been using, in lieu of legislation, administrative directives, guidelines and publications to regulate the management of government records. Would the Government advise on the contents of the administrative directives, guidelines and publications? What are the reasons for their use in lieu of legislation? Would there be plans to enact an archives law in the 2018-19 Financial Year?

Asked by: Hon MO Claudia (Member Question No. (LegCo use): 14)

# Reply:

(a) Records appraisal is an important process for ascertaining the archival value of government records and the Government Records Service (GRS) places much emphasis on this task. The Archivist grade officers adopt a set of appraisal guidelines based on the experience of and standards adopted by other overseas jurisdictions. Records likely to be selected for permanent retention should possess one of the following qualities:

- (i) document or reflect the organisation, functions and activities of government agencies;
- (ii) document the formation process, implementation and outcome of significant policies, decisions, legislation and actions of the Government;
- (iii) document the impact of the decisions, policies and programmes of the Government upon the physical environment, community, organisations and individuals;
- (iv) document the interaction between the public and the Government as well as between the physical environment and the Government;
- (v) document the legal rights and obligations of individuals, groups, organisations and the Government; or
- (vi) contain significant or unique information or aged documents that can enrich the understanding about the history, physical environment, society, culture, economy and people of Hong Kong.
- (b) The quantities of bureaux/departments' (B/Ds) records approved for destruction in the past 3 years are set out below:

Year	Quantity in linear metre
2015	61 418
2016	56 633
2017	67 955

Under the current records management system, B/Ds are required to obtain the prior agreement of the GRS Director before destruction of their time-expired records. Individual B/Ds are responsible for deployment of their own resources to carry out destruction of such records in accordance with a set of mandatory procedures. Hence, the GRS does not have information on the expenditure incurred by B/Ds for the destruction of their records over the past 3 years, nor the provisions made for such purpose in 2018-19.

(c) The Government fully recognises the importance of records management and is committed to identifying and preserving government records having archival value. The Government has put in place comprehensive administrative arrangements to regulate the management of government records. The GRS is tasked to oversee the overall management of government records and ensure that government records are properly managed and those with archival value are preserved for public access. While Hong Kong has not implemented an archives law at present, the essential principles of records management adopted internationally have been implemented in Hong Kong through administrative arrangements. These principles include promulgation of recordkeeping standards; designation of obligations and responsibilities of government agencies relating to creating, keeping, maintaining and

protecting government records; destruction of records to be subject to prior authorisation of archival authority; setting out responsibility for safe custody and conservation of archival materials; and provision for public access to public records.

When developing the present records management system, we have made reference to those of different countries and regions such as the United Kingdom, the United States and Australia, etc., and adopted internationally recognised standards and practices. As an on-going effort, the Government keeps the current administrative arrangements under review and will improve on them as and when appropriate.

With a view to assisting B/Ds to properly manage their own records, the Government has promulgated a wide range of publications and circulars in relation to records management. Some of the key ones are listed below:

- (i) the Records Management Manual the manual provides guidance and instructions for proper and co-ordinated management of government records. It prescribes the code of practices for the establishment of a comprehensive records management programme in B/Ds;
- (ii) General Circulars and Circular Memoranda they cover a wide range of records and archives management issues, such as mandatory records management requirements and good practices, framework for records management review, guidelines on creation and collection of records, and establishment of departmental records management policies;
- (iii) records management publications they set out guidelines for B/Ds to perform the full range of records management processes from records creation and collection, filing, classification to disposal and microfilming; and
- (iv) electronic records management (ERM) publications they provide guidance on various aspects of ERM, such as functional requirements, implementation and evaluation of electronic recordkeeping system, metadata standard, management and preservation of electronic records (including electronic message records and e-mail records), and management of records in a hybrid environment.

These guidelines have been uploaded to the GRS's website for public access.

The Law Reform Commission (LRC) set up a Sub-committee in 2013 to study the subject of archives law. The Sub-committee has studied the existing records management system in Hong Kong and the relevant laws of other jurisdictions, and will conduct a public consultation, with a view to making appropriate recommendations on possible options for reform if need be. According to the current progress, it is expected that the public consultation will be conducted as early as possible in 2018. The Chief Executive indicated in her 2017 Policy Address that she held a positive view towards the enactment of archives legislations. The Government will follow up on the subject after receiving the report from the LRC. In this regard, the GRS will reserve funding in 2018-19 for creating 15 posts to follow up on the subject of archives law after the LRC has submitted the report.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO023** 

# (Question Serial No. 2290)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# Question:

As regards scrutiny of existing policies and measures and removal of obstacles for the development of various trades and industries in Hong Kong, please advise on the following:

For 2018-19, what are the specific plans, targets, and policy areas and legislation under study in relation to the review of outdated legislation and policies to promote the development of innovation and technology as well as the opening up of data? What are the schedules, expenditure and manpower involved?

What are the specific plans and targets for enhancing transparency and information sharing? What are the schedules, expenditure and manpower involved?

For 2018-19, what are the specific plans, targets and study areas of the Chief Executive's Council of Advisers on Innovation and Strategic Development? What are the schedules, expenditure and manpower involved?

Will any public consultation be conducted for the study to review obsolete legislation, and will the study be funded by public policy research funding schemes? If yes, what are the details and estimated expenditure?

What are the criteria for determining the "major cross-bureaux policies selected by the Chief Executive and the Secretaries of Departments"?

Is there any key performance indicator (KPI) set for each major responsibility of the Policy Innovation and Co-ordination Office to monitor the efficacy of departmental efforts? And what are the details, if any?

Asked by: Hon MOK Charles Peter (Member Question No. (LegCo use): 20)

# Reply:

On 23 February 2018, the Finance Committee of the Legislative Council endorsed the staffing proposal for the re-organisation of the Central Policy Unit (CPU) as the Policy Innovation and Co-ordination Office (PICO) with effect from 1 April 2018. Prior to that, we made use of CPU's remaining manpower to conduct preliminary research on issues such as sharing economy and opening up of government data, including the current situation of relevant issues in other regions. PICO will further discuss with relevant policy bureaux on work plans, including selection of research topics, formulation of the study scope, collection of information, discussion of policy options as well as formulation of implementation plan.

PICO is responsible for carrying out research on and co-ordinating major cross-bureaux policies selected by the Chief Executive (CE) and Secretaries of Departments. These policies will reflect the priority of the current-term Government. Given that the research method, scale and complexity of each research topic may vary, and that the CE and Secretaries of Departments may assign new research and co-ordination tasks to PICO from time to time in light of social development and new situations encountered in the course of administration, we are unable to estimate the number of major policies requiring research and co-ordination in 2018-19 at this moment.

PICO's objective is to translate our research and co-ordination work into new policies and measures, or improvements in existing policies. Moreover, in exploring options to resolve problems related to the policies and projects it is responsible for, PICO will place more emphasis on evidence-based research and stakeholders engagement, the results of its research work will be reflected in the ideas, suggestions and options it proposes to the stakeholders for further exploration and discussion. Through the public engagement activities of PICO and, more importantly, development of innovative and practical solutions to complex public policy issues and project proposals through PICO's efforts, the public will be able to see PICO's work. Organising public engagement activities is only part of the policy research and co-ordination work, we have not made separate provisions for the expenditure and manpower involved.

To enhance transparency, we have already set out the estimated expenditure and main responsibilities of PICO in the Government's annual estimates in detail. Representatives of PICO will also attend the special meetings of the Finance Committee that examine the Estimates of Expenditure to answer Members' questions concerning PICO's estimated expenditure.

The Chief Executive's Council of Advisors on Innovation and Strategic Development (the Council) convened its first meeting in March 2018, and we expect that a total of 4 meetings will be held in 2018. Under PICO's organisational structure, Division 1 will provide secretariat support for the Council. It will also share other duties, including policy research and co-ordination, co-ordination of the Policy Address and Policy Agenda as well as the daily administrative work of PICO. There is no separate establishment for the secretariat. With flexible deployment of manpower, other policy research staff of PICO will, depending on the Council's discussion topics, assist in researching and following up on the Council's recommendations. Under the operational expenses of PICO, we have set aside around \$180,000 to meet expenses on interpretation service, hiring of equipment and other items related to meetings of the Council.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO024** 

(Question Serial No. 2292)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

Regarding the promotion in Government of electronic records management and the provision of support and assistance to the bureaux and departments, please provide the following information:

(1) Please list in the table below information on training and advisory services that the Government Records Service (GRS) provided to government bureaux and departments in 2017:

Year	Bureau/Department (in alphabetical order)	Number of government records management training courses	Number of topical records management training courses	Number of staff who have taken government records management training courses	Rank distribution of staff (from high to low) who have taken government records management training courses	Number of staff who have taken government records management training courses more than once	Topic areas of training courses
2017							

(2) Please list in the table below information regarding the numbers of public requests for government records in 2017:

Year	Number of requests for government records	Number of successful requests for government records	Number of failed requests for government records	Reasons for failed requests for government records
2017				

(3) Please list in the table below information on the transfer of government records to GRS for retention in 2017:

Year	Number of bureaux/departments that have transferred records for retention	Number and linear metres of records retained	Number and linear metres of records approved for destruction	Number of electronic records retained through the electronic recordkeeping system (please list by department)
2017				

(4) What are the details of the additional establishment for the GRS in 2018-19?

<u>Asked by</u>: Hon MOK Charles Peter (Member Question No. (LegCo use): 22) <u>Reply</u>:

(1) The information on training and advisory services that the Government Records Service (GRS) provided to government bureaux and departments (B/Ds) in 2017 is as follows:

Year	B/Ds (in alpha- betical order)	Number of government records manage -ment training courses	Number of topical records manage- ment training courses	Number of staff who have taken government records management training courses	Rank distribution of staff (from high to low) who have taken government records management training courses	Topic areas of training courses
2017	See the note below	79	22	4 941	Directorate Pay Scale Points 2 to 1  Master Pay Scale Points 49 to 1 or equivalent	Regular and topical training courses/workshops/ seminars/briefings for records managers, registry supervisors and records users cover the following topic areas -  Regular courses a. records management overview

		classification and coding creation and collection
		filing practices
		scheduling and disposal
	f.	management of administrative and programme records
	g.	storage, custody, access control and tracking, and preservation
	h.	introduction of electronic records management (ERM) and electronic recordkeeping system (ERKS)
		(211120)
	To	,
		opical courses departmental records management policy
	a.	opical courses departmental records
	a. b.	departmental records management policy mandatory records management requirements
	a. b. c.	departmental records management policy mandatory records management requirements and good practices
	a. b. c. d.	departmental records management policy mandatory records management requirements and good practices vital records protection prevention of loss and unauthorised destruction of records archival records
	a. b. c. d.	departmental records management policy mandatory records management requirements and good practices vital records protection prevention of loss and unauthorised destruction of records archival records management
	a. b. c. d. e. f.	departmental records management policy mandatory records management requirements and good practices vital records protection prevention of loss and unauthorised destruction of records archival records management archives law
	a. b. c. d. f. g.	departmental records management policy mandatory records management requirements and good practices vital records protection prevention of loss and unauthorised destruction of records archival records management

The GRS has not kept information on the number of staff who have taken the government records management training courses more than once.

Note: B/Ds involved (in alphabetical order): Agriculture, Fisheries and Conservation Department, Architectural Services Department, Audit Commission, Auxiliary Medical Service, Buildings Department, Census and Statistics Department, Central Policy Unit, Chief Executive's Office, Chief Secretary for Administration's Office, Civil Aid Service, Civil Aviation Department, Civil Engineering and Development Department, Civil Service Bureau, Commerce and Economic Development Bureau, Companies Registry, Constitutional and Mainland Affairs Bureau, Correctional Services Department, Customs and Excise Department, Department of Health, Department of Justice, Development Bureau, Drainage Services Department, Education Bureau, Electrical and Mechanical Services Department, Environment Bureau and Environmental Protection Department, Financial Secretary's Office, Financial Services and the Treasury Bureau, Fire Services Department, Food and Environmental Hygiene Department, Food and Health Bureau, Government Flying

Service, Government Laboratory, Government Logistics Department, Government Property Agency, Highways Department, Home Affairs Bureau, Home Affairs Department, Hong Kong Monetary Authority, Hong Kong Observatory, Hong Kong Police Force, Hongkong Post, Immigration Department, Independent Commission Against Corruption, Information Services Department, Innovation and Technology Bureau, Intellectual Property Department, Invest Hong Kong, Inland Revenue Department, Joint Secretariat for the Advisory Bodies on Civil Service and Judicial Salaries and Conditions of Service, Judiciary, Labour and Welfare Bureau, Labour Department, Land Registry, Lands Department, Legal Aid Department, Leisure and Cultural Services Department, Marine Department, Office of the Communications Authority, Official Receiver's Office, Planning Department, Public Service Commission, Radio Television Hong Kong, Rating and Valuation Department, Registration and Electoral Office, Secretariat, Commissioner on Interception of Communications and Surveillance, Security Bureau, Social Welfare Department, Trade and Industry Department, Transport and Housing Bureau (Housing)/Housing Department, Transport and Housing Bureau (Transport), Transport Department, Treasury, University Grants Committee Secretariat, Water Supplies Department, and Working Family and Student Financial Assistance Agency

(2) The information regarding the numbers of public requests for archival records kept by the GRS in 2017 is as follows:

Year	Number of requests for archival records	Number of successful requests for archival records	Number of failed requests for archival records	Reasons for failed requests for archival records
2017	3 066	3 056	0	Not applicable

As at end-February 2018, 10 cases are still being processed.

(3) Disposal of government records is made by B/Ds having regard to the administrative, operational, fiscal and legal requirements and archival values of the records. All requests for destruction of records are processed in accordance with the requirements set out in the corresponding records retention and disposal schedules approved by the GRS. The decision as to whether records were of no archival value or having potential archival value had been made when the relevant disposal schedules were drawn up. For time-expired records having no archival value, the GRS Director's agreement would be required prior to their physical destruction. For those having potential archival value, they would be appraised again by the GRS. Time-expired records having archival value would be identified and transferred to the GRS for permanent retention, and only those confirmed to be of no archival value would be agreed by the GRS Director for destruction. The information on the transfer of records to the GRS for retention and records approved for destruction in 2017 is as follows:

Year	Number of B/Ds that have transferred their records for retention		ords nined Linear Metre	No. ('000)		Number of electronic records retained through the electronic recordkeeping system (please list by department)
2017	35	50 655	614	103 550	67 955	0 *

<sup>\*</sup> Since 2010, 11 B/Ds have implemented or are developing their ERKS. The electronic records in their ERKS are not yet due for transfer to the GRS for retention.

(4) The Law Reform Commission (LRC) has studied the existing records management system in Hong Kong and the relevant laws of other jurisdictions, and will conduct public consultation, with a view to making appropriate recommendations on possible options for reform if need be. The Government will follow up on this subject after receiving the report from the LRC. In this regard, the GRS will reserve funding in 2018-19 for creating 15 posts, including 1 Senior Administrative Officer, 1 Archivist, 2 Senior Assistant Archivists, 4 Assistant Archivists, 1 System Manager, 1 Curator, 1 Assistant Curator I, 3 Assistant Clerical Officers and 1 Workman II, to follow up on the subject of archives law after the LRC has submitted the report.

# CONTROLLING OFFICER'S REPLY

**CSO025** 

(Question Serial No. 3405)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# Question:

Paragraph 26 of the Budget Speech, the Financial Secretary has mentioned that on the premise that local workers' priority for employment will be safeguarded, the Administration should consider increasing imported labour in a timely manner and on an appropriate scale to address the specific needs of individual sectors. Under this connection, apart from the previous enhanced Supplementary Labour Scheme, what kind of measures will the Administration introduce in order to alleviate labour shortage in Hong Kong? Given that there are stringent restrictions on labour importation, will the Commission for the Planning of Human Resources led by the Chief Secretary for Administration as mentioned by the Financial Secretary in paragraph 27 of his Budget speech be responsible for reviewing and reforming these inflexible restrictions involved? Will this commission conduct consultation in examining and coordinating the existing policies and measures? If yes, of the details; if no, of the reasons.

Asked by: Hon SHEK Lai-him, Abraham (Member Question No. (LegCo use): 69)

# Reply:

The Government operates different schemes whereby employers may apply for importation of workers on account of their actual operational circumstances so as to supplement skills not readily available in the local labour market and to sustain the competitiveness and development needs of Hong Kong. Depending on the skill level and/or education requirement of the vacancies concerned, employers may apply to the Immigration Department for admission of professionals or to the Labour Department for importation of workers at technician level or below under the Supplementary Labour Scheme.

Relevant Government bureaux and departments will closely monitor the manpower demand and supply of different sectors, as well as strengthen training and attract new entrants. Taking the social welfare sector as an example, the Government will provide additional resources for subsidised elderly service units to increase the salaries of personal care worker and home helper (i.e. the salaries of personal care worker and home helper will be increased

by two pay points in the current calculation of the subsidy for salaries), thereby enabling these service units to recruit and retain staff more effectively. This initiative will also cover similar posts in service units of subsidised rehabilitation services as well as family and child welfare services.

In addition, on the premise that local workers' priority for employment will be safeguarded, the Government will explore with stakeholders the possibility of increasing imported workers on an appropriate and limited scale. For example, the Chief Executive's 2017 Policy Address proposed that consideration may be given to allowing subsidised elderly service and rehabilitation service units more flexibility in importing carers.

The Human Resources Planning Commission ("the Commission") chaired by the Chief Secretary for Administration will review and co-ordinate policies on human resources in a holistic manner and follow up and refine the population policy with a view to further developing Hong Kong into a high value-added and diversified economy. Established on 1 April 2018, the Commission will examine and review issues related to local human resources, and advise the Government on relevant policies and measures. Apart from considering how to enhance the quality of local human resources and further unleash the potential of the labour force, the Commission will also review how to attract talents, professionals and workers from outside in a targeted manner to supplement the labour force of Hong Kong and meet the huge demand for manpower in the local market. When reviewing different issues, the Commission will maintain communication with and gauge views from stakeholders from various sectors of the community so as to advise and make recommendations to the Government on the work, focuses and priorities of human resources development and planning.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO026** 

# (Question Serial No. 1313)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

Please list in tabular form the top 10 archival records with the largest number of access out of the archival holdings held by the Public Records Office of the Government Records Service, the details of these records and the number of access to each of these records in each of the past 5 years.

# (Year)

No.	Level of description/Reference no.	Title of records	Years covered	No. of access

Asked by: Hon TAM Man-ho, Jeremy (Member Question No. (LegCo use): 527)

# Reply:

Relevant information on the top 10 archival records held in the Public Records Office of the Government Records Service with the largest number of public access in the past 5 years (from 2013 to 2017) is as follows:

# 

No.	Reference no.	Title of records	Years covered	No. of access
1	HKRS70-1-313B	Riot, 1967 - communist newspaper prosecution	1967 - 1970	33
2	HKRS70-3-484	Riot 1967, Chinese press summaries (July, 1967)	1967	32
3	HKRS70-3-488	Riots, 1967 - court cases	1971	
4	HKMS158-3-34	Kowloon riot and disturbances: background and establishment of Commission of Inquiry	1966	30
5	HKRS915-1-2	Riot, civil disturbances, strikes - Left Wing activities - Mui Wo sub-division	1967 - 1972	
6	HKRS70-1-299	Riots, (August) 1967	1967	29
7	HKRS70-3-482	Riots, 1967	1968 - 1973	
8	HKRS70-1-303	Riots, bombs, 1967	1967 - 1969	28
9	HKRS70-3-276	Macau riot and its effects on Hong Kong (3.12.66)	1966 - 1967	
10	HKRS70-3-483	Riot 1967, Chinese press summaries (May 30, June)	1967	
11	HKRS70-3-485	Riot 1967, Chinese press summaries (Oct Dec. 1967)	1967 - 1974	
12	HKRS890-1-6	Riots in Kowloon - 1967	1967	

# 

No.	Reference no.	Title of records	Years covered	No. of access
1	HKRS41-1-4206	Cold storage and ice making plants - suggested provision of for the fisheries syndicate	1946 - 1948	16

No.	Reference no.	Title of records	Years covered	No. of access
2	HKMS189-1-307	Allegations of bribery and corruption in the Hong Kong Police and public service	1973	13
3	HKMS191-1-15	Reports on arrests and trial of British subjects in Hong Kong	1945	
4	HKMS189-1-309	Allegations of bribery and corruption in the Hong Kong Police and public service	1973	12
5	HKMS189-1-310	Allegations of bribery and corruption in the Hong Kong Police and public service	1973	
6	HKRS70-8-2178	Independent Commission Against Corruption (ICAC) – N	1977 - 1978	
7	HKMS157-3-2	Hong Kong: effect of closure of British firms in China	1952	11
8	HKMS157-3-3	Hong Kong: effect of closure of British firms in China	1952	
9	HKMS157-3-4	Hong Kong: effect of closures of British businesses in China upon Colony	1952 - 1953	
10	HKMS191-1-23	Information on Stanley Internment Camp, Hong Kong	1943 - 1944	
11	HKRS163-1-63	Sir Mark Young G.G.M.G. procedure to be followed on arrival of	1946	

No.	Reference no.	Title of records	Years covered	No. of access
1	HKRS163-1-1509	Inland revenue forms - amendments to	1962 - 1969	23
2	HKRS163-1-759	Estimates 1949-50 - 1. General 2. Proposed increasing of revenue	1948 - 1950	20
3	HKRS163-1-449	Revenue - suggested question of a franchise to operate a casino to increase the Colony's	1947	19
4	HKRS163-1-857	Annual departmental reports - correspondence refrom Inland Revenue Department	1948	
5	HKRS163-9-242	(1) Revision of the Inland Revenue Ordinance (2) Proposed committee to enquire into certain aspects of the Inland Revenue Ordinance	1960 - 1966	
6	HKRS163-9-243	(1) Revision of the Inland Revenue Ordinance (2) Proposed committee to enquire into certain aspects of the Inland Revenue Ordinance	1960 - 1966	
7	HKRS163-9-244	(1) Revision of the Inland Revenue Ordinance (2) Proposed committee to enquire into certain aspects of the Inland Revenue Ordinance	1966 - 1967	
8	HKRS163-9-245	(1) Revision of the Inland Revenue Ordinance (2) Proposed committee to enquire into certain aspects of the Inland Revenue Ordinance	1967 - 1968	
9	HKRS163-10-122	Revenue Estimates (Land)	1981 - 1982	

No.	Reference no.	Title of records	Years covered	No. of access
10	HKRS41-1-1233	Taxation - general policy regarding in the Colony	1946 - 1950	18

# 2016

No.	Reference no.	Title of records	Years covered	No. of access
1	HKRS1443-2-12	Reclamation at Aldrich Bay	1976 - 1978	47
2	HKMS158-4-4	Question of jurisdiction within Walled City of Kowloon, Hong Kong	1955	40
3	HKMS158-4-8	Kowloon Walled City in Hong Kong	1959	38
4	HKRS58-1-125-53	K.I.L. 1847 granted in exchange - resumption of Kowloon Inland Lot 656 (Tam Kung Temple)	1923 - 1928	
5	HKRS1443-2-11	Partial reclamation at Aldrich Bay	1973 - 1976	34
6	HKRS1689-1-71	Tai Kok Tsui reclamation	1973 - 1977	33
7	HKRS170-1-266	Fisheries - 1. The wholesale marketing scheme 2. Orders made to control landing, export and sale of fish	1945 - 1960	30
8	HKRS413-4-7	Crime in Shaukiwan	1970 - 1981	
9	HKRS634-1-18	Chinese temples-general	1967 - 1982	27
10	HKRS909-1-8	Political - Kowloon Walled City - public works within the	1965 - 1970	

No.	Reference no.	Title of records	Years covered	No. of access
1	HKMS158-1-221	Disturbances, riots and other incidents in Hong Kong	1960 - 1962	35
2	HKRS146-5-21	Hong Kong University expansion – general	1964 - 1965	33
3	HKRS457-3-10	Hong Kong University extra-mural studies 1. Courses of 2. Government assistance for the expansion of	1958 - 1970	
4	HKRS896-1-41	Cancellation of agreements of land sales - policy with regard to	1948 - 1950	
5	HKRS394-17-4	Hong Kong disturbances 1967 - Labour front	1967 - 1968	32
6	HKRS685-2-5	Joint defence scheme	1972 - 1976	
7	HKMS158-1-22	Political allegiance of Hong Kong citizens	1954	31
8	HKMS158-1-43	Post-secondary Chinese colleges - Hong Kong development plans	1957 - 1959	30
9	HKMS158-1-123	Hong Kong education system in relation to the problems of Chinese education in South East Asia	1957 - 1959	
10	HKMS158-1-134	Post-secondary Chinese colleges - Hong Kong development plans	1960 - 1962	

No.	Reference no.	Title of records	Years covered	No. of access
11	HKRS229-1-22	Business tax - 1. Imposition of on all business. 2. The Business Regulation Ordinance no. 14 of 1952. 3. The Business Regulation (Amendment) Ordinance 30 of 1953. 4. The Business Regulation (Amendment) Ordinance 46 of 1954. 5. The Business Regulation (Amendment) Ordinance 51 of 1955. 6. The Business Regulation (Amendment) Ordinance 51 of 1955. 6. The Business Regulation (Amendment) Ordinance 2 of 1957	1952 - 1968	30
12	HKRS793-1-1	Petitions, strikes, etc	1972 - 1982	

# CONTROLLING OFFICER'S REPLY

**CSO027** 

(Question Serial No. 1731)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

The Government said that the Policy Innovation and Co-ordination Office would review outdated legislation and examine such issues as sharing economy. What are the details and progress of such work? What are the anticipated effectiveness and resources involved?

Asked by: Hon TAM Man-ho, Jeremy (Member Question No. (LegCo use): 409)

# Reply:

The Chief Executive stated in her 2017 Policy Address that she would ask the Policy Innovation and Co-ordination Office (PICO) to be established to work with all bureaux to proactively review the policies and legislation within their policy purview to bring them up to date and remove red tape in order to foster the development of a new economy. On 23 February 2018, the Finance Committee of the Legislative Council endorsed the staffing proposal for the re-organisation of the Central Policy Unit (CPU) as the PICO with effect from 1 April 2018. Meanwhile, we are using the remaining manpower of the CPU to conduct preliminary research on issues such as sharing economy by, for instance, drawing reference from the latest development of sharing economy and relevant policies in other cities, working with relevant bureaux to understand the existing related regulatory regimes in Hong Kong; and meeting with non-government stakeholders to understand the modes of operation and expectation of the industry.

PICO's objective is to translate our research and co-ordination work into new policies and measures or improvements in existing policies. In exploring options to resolve problems related to the policies and projects it is responsible for, PICO will place more emphasis on evidence-based research and stakeholders' participation with a view to identifying innovative and feasible options for complex public policy issues and project proposals.

With flexible use and deployment of manpower, Division 1 of PICO will be responsible for policy research and co-ordination of subjects relating to economic development such as sharing economy. At the same time, it will provide secretariat support for the Chief

Executive's Council of Advisers on Innovation and Strategic Development, co-ordinate the Policy Address and the Policy Agenda Booklet and handle daily administrative work of PICO. We have not made separate provisions for the manpower and expenditure involved in individual research and co-ordination projects.

- End -

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO028** 

# (Question Serial No. 0639)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# Question:

1. What is the recruitment exercise for the Head of the Policy Innovation and Co-ordination Office (PICO)? And when are the recruitment procedures of other staff expected for completion?

- 2. How many researches on specific priority policy areas are expected to be conducted in 2018-19 for the purpose of removing obstacles across bureaux and departments? Please give details by name, contents and the manpower and expenditure involved; and
- 3. Will the PICO set any work target upon establishment, such as the number of legislative amendment proposals to be raised?

Asked by: Hon TO Kun-sun, James (Member Question No. (LegCo use): 20)

# Reply:

- 1. The post of Head, Policy Innovation and Co-ordination Office (PICO) can be filled by a non-civil service appointee (equivalent to D8 rank) or a civil servant at Administrative Officer Staff Grade A1 rank (D8). As for other civil service posts, they will be filled through the deployment of civil servants according to established government procedures. PICO needs not conduct recruitment exercises itself. Regarding the recruitment of Senior Policy and Project Co-ordination Officers and Policy and Project Co-ordination Officers, the relevant written tests and interviews have been completed. Appointment letters will be issued upon completion of the recruitment formalities. It is expected that the appointees will report duty within the first quarter of 2018-19.
- 2. One of the major duties of PICO is to carry out research on and co-ordinate major cross-bureau policies selected by the Chief Executive (CE) and Secretaries of Departments. These policies will reflect the priority of the current-term Government. Given that the research method, scale and complexity of each policy issue requiring research and co-ordination may vary, and that the CE and Secretaries of Departments may assign new

research and co-ordination tasks to PICO from time to time in light of social development and new situations encountered in the course of administration, we are unable to estimate the number of major policies requiring research and co-ordination in 2018-19 at this moment.

3. The research and co-ordination work of PICO will not be carried out by PICO alone. After its formal establishment, PICO will engage the relevant policy bureaux more closely in the selection of research topics and formulation of study scope, collection of information, discussion of policy options, formulation of implementation plan and evaluation of results. PICO's objective is to, through its research and co-ordination work, formulate new policies and measures or improve existing policies in order to address social needs. In exploring options to solve problems related to the policies and projects it is responsible for, PICO will place more emphasis on evidence-based research and stakeholders engagement, the results of its research work will be reflected in the ideas, suggestions and options it proposes to the stakeholders for further exploration and discussion. Through the public engagement activities of PICO and, more importantly, development of innovative and practical solutions to complex public policy issues and project proposals through PICO's efforts, the public will be able to see PICO's work.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

**CSO029** 

# (Question Serial No. 0640)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

Regarding the Public Policy Research Funding Scheme ("PPRFS"), please advise on:

- 1. the research projects funded in the past 2 years, including the names of the projects, the approval dates of the funding, the amounts of funding, and the completion dates of the research projects;
- 2. whether the Central Policy Unit/the Policy Innovation and Co-ordination Office ("PICO") has assessed the contributions of the research projects, and if yes, the details;
- 3. whether the PICO will draw up any directions or parameters for the PPRFS to be implemented in 2018, and if yes, the details.

<u>Asked by</u>: Hon TO Kun-sun, James (Member Question No. (LegCo use): 21)

# Reply:

- 1. Details of the policy research projects approved under the Public Policy Research ("PPR") Funding Scheme and the Strategic Public Policy Research ("SPPR") Funding Scheme in 2016-17 and 2017-18 financial years are at <u>Annex</u>.
- 2. According to the usual arrangement, reports of the research projects funded by the PPR Funding Scheme and the SPPR Funding Scheme will be passed to relevant policy bureaux for reference upon acceptance by the Assessment Panel and they will be uploaded onto the website of the Central Policy Unit ("CPU")/the Policy Innovation and Co-ordination Office ("PICO") for public reference. At present, 63 research reports of the PPR Funding Scheme have been uploaded onto PICO's website. Since the SPPR Funding Scheme is still at an initial stage, the relevant approved research projects are still in progress, and there has been no completed project so far. PICO will also continue to organise public policy seminars to invite academics who conduct public policy researches to share their experience and findings with think tanks, government officials, non-governmental organisations, etc.

3. PICO will draw up the themes of research upon consultation with the Assessment Panel and invite the policy bureaux to make suggestions, so as to enhance the policy relevance of the approved research projects of the PPR Funding Scheme and the SPPR Funding Scheme.
- End -

Details of the policy research projects approved under the PPR Funding Scheme and the SPPR Funding Scheme in 2016-17 and 2017-18 financial years are set out below. Among them, 4 research projects approved in 2016-17 financial year were completed between March 2017 and July 2017 (Nos. 1, 7, 11 and 12). Other projects are still in progress.

# Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2016-17 First Round	CityU	A Pan-Asian Field Study of Corporate Awareness to Information Security and Preparedness Against Cybercrimes	655,500
2	2016-17 First Round	CityU	An Experimental Study of National Identity Among Hong Kong Youth	415,150
3	2016-17 First Round	CityU	The "Citizen Satisfaction Assessment Tool": Applying Expectancy Disconfirmation Theory to Public Services in Hong Kong	961,400
4	2016-17 First Round	CUHK	Impacts of Implementing "Low-income Working Family Allowance" on Labour Market Situation and Quality of Life of Low Income Working Families in Hong Kong	999,299
5	2016-17 First Round	CUHK	Attitudes and Levels of Support Toward Same-Sex Civil Union and Same-Sex Marriage Legislation among the General Public and Homosexual People in Hong Kong - A Comparative Study	981,966
6	2016-17 First Round	HKIEd#	Hong Kong Youths' National Identity: Impacts of Mainland Exchange Programmes, Study Tours and Volunteer Activities	403,880
7	2016-17 First Round	HKU	Hong Kong-Shenzhen-Guangzhou as a Multi-gateway Trading City-region for Cross-border e-retailing: A Geographical Analysis	605,337
8	2016-17 First Round	HKU	Financial Impacts of Family Caregiving: An Investigation of the Moderating Effects of Workplace Accommodative Measures and Domestic Helpers	559,632

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
9	2016-17 First Round	HKU	Creative Industries in Flux: A Critical Investigation into the Challenges, Agency and Potential of Cultural and Creative Workers in Hong Kong	500,000
10	2016-17 First Round	LU	Hong Kong Non-Governmental Welfare Organisations in Mainland China: Services, Challenges and Opportunities	930,460
11	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028
12	2016-17 First Round	PolyU	Developing A Globalised Industry under the Context of Territorial Policy - The Development of Aviation Maintenance, Repair and Overhaul Industry in Singapore and Hong Kong	611,225
13	2016-17 First Round	PolyU	Association Among Father Involvement in Pregnancy and Childbirth, Pregnancy Violence and Health Outcomes: Does It Help Promote Good Health Among Newborns, Mothers, and Fathers?	649,035
14	2016-17 Second Round	CUHK	Achieving Sustainable Urban Park Management in Hong Kong through the Development of Indicators	340,786
15	2016-17 Second Round	EdUHK	Youth Radicalism in Hong Kong: Exploring Changes in Adolescents' Civic Consciousness and Attitudes to the Nation	569,905
16	2016-17 Second Round	HKU	A Study on Population Dynamics in One Belt One Road: Opportunities and Challenges	617,298
17	2016-17 Second Round	HKU	Performance of the Self-assessment Practice of Service Performance Monitoring System	561,547
18	2016-17 Second Round	HKU	Demarcation or Integration?: Improving Effectiveness of Traditional Chinese Medicine Use in Hong Kong	399,326
19	2016-17 Second Round	PolyU	Exploring the Effects of Airbnb on the Hong Kong Tourism Industry	256,818
20	2016-17 Third Round	CUHK	A Study on the Development of Palliative and End-of-Life Care Services in Hong Kong	480,801

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
21	2016-17 Third Round	EdUHK	Investment Pattern and Performance of Mandatory Provident Fund Scheme Members: A Historical Administrative Record Analysis	336,390
22	2016-17 Third Round	EdUHK	Promotion of Volunteerism among Hong Kong Retirees: An Intervention Study	712,307
23	2016-17 Third Round	HKBU	World Values Survey 2017: Generating Data for Trust Maintenance, Repair, and Better Governance in Post-Occupy Hong Kong	1,025,243
24	2016-17 Third Round	HKUST	Energy Behavior Patterns in Hong Kong: The Role of Bounded Rationality and Peer Pressure in Air Conditioner Use	568,445
25	2016-17 Third Round	PolyU	An Empirical Study of the Town Planning Board's Planning Control Decisions on Residential Development Applicants since Hong Kong's Handover to China	369,909
26	2016-17 Fourth Round	CityU	Developing Appropriate Typical Weather Data for Applications in Building Related Codes of Practices and Design Guidelines in Hong Kong	314,928
27	2016-17 Fourth Round	CityU	The Development of a New Media Expertise in the Creative Economy of Hong Kong	550,620
28	2016-17 Fourth Round	CUHK	Knowledge and Perceptions towards Gender-Based Violence of Minority Girls in Hong Kong	200,000
29	2016-17 Fourth Round	HKU	A Survey Experiment on Discontent Among the Youth: Welfare or Politics?	220,368
30	2016-17 Fourth Round	HKU	The Experience of Stigma in Patients with Mental Disorders: Are There Improvements in the Past 15 Years?	346,293
31	2016-17 Fourth Round	LU	One Belt One Road: China's Motives and Hong Kong's Roles	468,050
32	2016-17 Fourth Round	LU	Deriving Public Policy for Hong Kong as an Infrastructure Financing Hub and Super-connector in Project Finance: The Belt and Road Initiative	691,783

# Public Policy Research Funding Scheme 2017-18 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2017-18 First Round	CUHK	Maintaining Hong Kong Aviation-hub Position Under the ASEAN - China Air Transport Agreement	481,491
2	2017-18 First Round	EdUHK	Life Course Effects on Marital Stability: Experience of Remarried Mainland Chinese Migrant Women in Hong Kong	500,000
3	2017-18 First Round	HKBU	Investigating Hong Kong Students' Critical News Literacy in the Age of Social Media	492,982
4	2017-18 First Round	HKBU	Differential In-migration, Housing Access and Spatial Segregation: Hong Kong since 1997	825,125
5	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464
6	2017-18 First Round	HKU	Exploring the Motivations, Incentive Designs, and Performance of Open Innovation in Hong Kong	297,032
7	2017-18 First Round	HKU	Associations between Emerging Political Ideology, Political Participation and Social Media Use: Making Sense of the Connections between "Localism", "Populism", and "Post-materialism" in Hong Kong	780,234
8	2017-18 First Round	LU	Construction of Mainland China - Hong Kong Economic Integration Index and Its Application to Facilitate Public Policy Research in Hong Kong	494,960
9	2017-18 First Round	PolyU	Facilitating the Diffusion of Building Information Modelling in the Hong Kong Construction Industry: A Network Perspective	716,335
10	2017-18 Second Round	CityU	Enhancing the Environmental Effectiveness of Overall Thermal Transfer Value Regulation for Green Building Design in Hong Kong	304,750
11	2017-18 Second Round	CUHK	Out-of-home Activities and Social Exclusion among Hong Kong's Aging Population: A Study of the Elderly's Activity-travel Patterns and Its Implication on Well-being	397,853

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
12	2017-18 Third Round	CityU	Demographic and Social Indicators of Youth Volunteering in Hong Kong	842,950
13	2017-18 Third Round	CUHK	Investigating the Preference, Attitude and Perception of Frail Older People on Consumer-directed Long-term Care in Hong Kong	490,907
14	2017-18 Third Round	CUHK	Promoting e-mobility in Hong Kong: Institutional and Spatial Contexts, Public Acceptance, and the Location Choice of Public Electric Vehicle Charging Facilities	530,725
15	2017-18 Third Round	HKU	Opening Doors, Creating Pathways - A Qualitative Study of Social Harms and Service Access of Young People from Ethnic Minority Backgrounds in Hong Kong	400,000
16	2017-18 Third Round	HKU	Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility Inside Hong Kong's Anti-Money Laundering Law	295,550
17	2017-18 Third Round	LU	Relationship between Poverty and Neurocognitive Skills	700,000
18	2017-18 Fourth Round	CUHK	Tracking the Future: The Perception of Future and Aspirations of Hong Kong Youth	345,000
19	2017-18 Fourth Round	EdUHK	Family Policies, Social Norms and Fertility Decisions: A Survey Experiment	764,750
20	2017-18 Fourth Round	EdUHK	Global Governance Hub: A New Dimension in Hong Kong's Global City Strategy?	957,766
21	2017-18 Fourth Round	PolyU	Clear up Toxic Smog and Improve Air Quality in Hong Kong	498,410

<sup>#</sup> With effect from 27 May 2016, "The Hong Kong Institute of Education" has been renamed "The Education University of Hong Kong".

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2016-17 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2016-17	CityU	Hong Kong Professional Services in the Co-Evolving Belt-Road Initiative: Innovative Agency for Sustainable Development	3,400,000
2	2016-17	HKU	The Implementation of "One Country Two Systems" in Hong Kong	3,000,000
3	2016-17	HKUST	Trade and Investment under "One Belt One Road" and Implications for Hong Kong	3,400,000

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2017-18	HKU	Antimicrobial Resistance Policy Framework in Big Bay Area (Guangdong-Hong Kong-Macao)	3,500,000
2	2017-18	HKU	In Search of New Economic Cooperation Models Between Hong Kong and the Big Bay Area	3,500,000
3	2017-18	HKUST	Strategies for Enhancing Walkability in Hong Kong via Smart Policies	3,500,000

# CONTROLLING OFFICER'S REPLY

**CSO030** 

(Question Serial No. 2341)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# Question:

In the Estimate, one of the Matters Requiring Special Attention in 2018-19 is setting up a dedicated unit to co-ordinate the implementation of policies on population policy, poverty alleviation and human resources planning. In this connection, will the Government inform this Committee of whether the scope of work of the dedicated commission, i.e. the Commission for the Planning of Human Resources (CPHR), will involve such areas as human resources planning, population policy and poverty alleviation? What are the number of meetings arranged per year? Will special panels be set up under the CPHR to conduct focused discussions on individual issues?

Asked by: Hon WONG Kwok-kin (Member Question No. (LegCo use): 51)

Reply:

The dedicated unit to be set up under the Chief Secretary for Administration's Office is responsible for providing secretariat and policy support services to two high-level commissions chaired by the Chief Secretary for Administration, namely the Human Resources Planning Commission (HRPC) and the Commission on Poverty (CoP). The HRPC will review and co-ordinate policies on human resources in a holistic manner and follow up and refine the population policy with a view to further developing Hong Kong into a high value-added and diversified economy. The CoP is responsible for examining various policies and measures in support of the Government's poverty alleviation work for achieving the objectives of preventing and alleviating poverty.

The above Commissions will meet approximately every 2 months. Since their scopes of work encompass a wide spectrum of areas, the secretariat will assist in setting up tasks forces under the Commissions to explore specific issues having regard to the discussion of the Commissions and actual operational needs.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO031** 

# (Question Serial No. 1412)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# Question:

1. Regarding the projects funded in 2016 and 2017, please list their names, responsible organisations/persons and the amount of funding provided respectively.

- 2. Regarding the provision of secretariat support to the Chief Executive's Council of Advisers on Innovation and Strategic Development, how many meetings are expected to be held by the Council of Advisers in 2018? What are the establishment and estimated expenditure for the secretariat?
- 3. What are the measures and strategies for fostering a policy research community?
- 4. What are the measures and strategies for promoting public participation in the policy formulation process?

<u>Asked by</u>: Hon WONG Pik-wan, Helena (Member Question No. (LegCo use): 28) <u>Reply</u>:

1. Details of the policy research projects approved under the Public Policy Research (PPR) Funding Scheme and the Strategic Public Policy Research (SPPR) Funding Scheme in 2016-17 and 2017-18 financial years, which are available on the website (<a href="www.pico.gov.hk">www.pico.gov.hk</a>) of the Policy Innovation and Co-ordination Office (PICO), are listed as follows:

# Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2016-17 First Round	CityU	A Pan-Asian Field Study of Corporate Awareness to Information Security and Preparedness Against Cybercrimes	655,500
2	2016-17 First Round	CityU	An Experimental Study of National Identity Among Hong Kong Youth	415,150
3	2016-17 First Round	CityU	The "Citizen Satisfaction Assessment Tool": Applying Expectancy Disconfirmation Theory to Public Services in Hong Kong	961,400
4	2016-17 First Round	CUHK	Impacts of Implementing "Low-income Working Family Allowance" on Labour Market Situation and Quality of Life of Low Income Working Families in Hong Kong	999,299
5	2016-17 First Round	CUHK	Attitudes and Levels of Support Toward Same-Sex Civil Union and Same-Sex Marriage Legislation among the General Public and Homosexual People in Hong Kong - A Comparative Study	981,966
6	2016-17 First Round	HKIEd#	Hong Kong Youths' National Identity: Impacts of Mainland Exchange Programmes, Study Tours and Volunteer Activities	403,880
7	2016-17 First Round	HKU	Hong Kong-Shenzhen-Guangzhou as a Multi-gateway Trading City-region for Cross-border e-retailing: A Geographical Analysis	605,337
8	2016-17 First Round	HKU	Financial Impacts of Family Caregiving: An Investigation of the Moderating Effects of Workplace Accommodative Measures and Domestic Helpers	559,632
9	2016-17 First Round	HKU	Creative Industries in Flux: A Critical Investigation into the Challenges, Agency and Potential of Cultural and Creative Workers in Hong Kong	500,000
10	2016-17 First Round	LU	Hong Kong Non-Governmental Welfare Organisations in Mainland China: Services, Challenges and Opportunities	930,460

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
11	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028
12	2016-17 First Round	PolyU	Developing A Globalised Industry under the Context of Territorial Policy - The Development of Aviation Maintenance, Repair and Overhaul Industry in Singapore and Hong Kong	611,225
13	2016-17 First Round	PolyU	Association Among Father Involvement in Pregnancy and Childbirth, Pregnancy Violence and Health Outcomes: Does It Help Promote Good Health Among Newborns, Mothers, and Fathers?	649,035
14	2016-17 Second Round	CUHK	Achieving Sustainable Urban Park Management in Hong Kong through the Development of Indicators	340,786
15	2016-17 Second Round	EdUHK	Youth Radicalism in Hong Kong: Exploring Changes in Adolescents' Civic Consciousness and Attitudes to the Nation	569,905
16	2016-17 Second Round	HKU	A Study on Population Dynamics in One Belt One Road: Opportunities and Challenges	617,298
17	2016-17 Second Round	HKU	Performance of the Self-assessment Practice of Service Performance Monitoring System	561,547
18	2016-17 Second Round	HKU	Demarcation or Integration?: Improving Effectiveness of Traditional Chinese Medicine Use in Hong Kong	399,326
19	2016-17 Second Round	PolyU	Exploring the Effects of Airbnb on the Hong Kong Tourism Industry	256,818
20	2016-17 Third Round	CUHK	A Study on the Development of Palliative and End-of-Life Care Services in Hong Kong	480,801
21	2016-17 Third Round	EdUHK	Investment Pattern and Performance of Mandatory Provident Fund Scheme Members: A Historical Administrative Record Analysis	336,390
22	2016-17 Third Round	EdUHK	Promotion of Volunteerism among Hong Kong Retirees: An Intervention Study	712,307

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
23	2016-17 Third Round	НКВИ	World Values Survey 2017: Generating Data for Trust Maintenance, Repair, and Better Governance in Post-Occupy Hong Kong	1,025,243
24	2016-17 Third Round	HKUST	Energy Behavior Patterns in Hong Kong: The Role of Bounded Rationality and Peer Pressure in Air Conditioner Use	568,445
25	2016-17 Third Round	PolyU	An Empirical Study of the Town Planning Board's Planning Control Decisions on Residential Development Applicants since Hong Kong's Handover to China	369,909
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27	2016-17 Fourth Round	CityU	The Development of a New Media Expertise in the Creative Economy of Hong Kong	550,620
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29	2016-17 Fourth Round	HKU	A Survey Experiment on Discontent Among the Youth: Welfare or Politics?	220,368
30	2016-17 Fourth Round	HKU	The Experience of Stigma in Patients with Mental Disorders: Are There Improvements in the Past 15 Years?	346,293
31	2016-17 Fourth Round	LU	One Belt One Road: China's Motives and Hong Kong's Roles	468,050
32	2016-17 Fourth Round	LU	Deriving Public Policy for Hong Kong as an Infrastructure Financing Hub and Super-connector in Project Finance: The Belt and Road Initiative	691,783

# Public Policy Research Funding Scheme 2017-18 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2017-18 First Round	CUHK	Maintaining Hong Kong Aviation-hub Position Under the ASEAN - China Air Transport Agreement	481,491
2	2017-18 First Round	EdUHK	Life Course Effects on Marital Stability: Experience of Remarried Mainland Chinese Migrant Women in Hong Kong	500,000
3	2017-18 First Round	HKBU	Investigating Hong Kong Students' Critical News Literacy in the Age of Social Media	492,982
4	2017-18 First Round	НКВИ	Differential In-migration, Housing Access and Spatial Segregation: Hong Kong since 1997	825,125
5	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464
6	2017-18 First Round	HKU	Exploring the Motivations, Incentive Designs, and Performance of Open Innovation in Hong Kong	297,032
7	2017-18 First Round	HKU	Associations between Emerging Political Ideology, Political Participation and Social Media Use: Making Sense of the Connections between "Localism", "Populism", and "Post-materialism" in Hong Kong	780,234
8	2017-18 First Round	LU	Construction of Mainland China - Hong Kong Economic Integration Index and Its Application to Facilitate Public Policy Research in Hong Kong	494,960
9	2017-18 First Round	PolyU	Facilitating the Diffusion of Building Information Modelling in the Hong Kong Construction Industry: A Network Perspective	716,335
10	2017-18 Second Round	CityU	Enhancing the Environmental Effectiveness of Overall Thermal Transfer Value Regulation for Green Building Design in Hong Kong	304,750
11	2017-18 Second Round	CUHK	Out-of-home Activities and Social Exclusion among Hong Kong's Aging Population: A Study of the Elderly's Activity-travel Patterns and Its Implication on Well-being	397,853

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
12	2017-18 Third Round	CityU	Demographic and Social Indicators of Youth Volunteering in Hong Kong	842,950
13	2017-18 Third Round	CUHK	Investigating the Preference, Attitude and Perception of Frail Older People on Consumer-directed Long-term Care in Hong Kong	490,907
14	2017-18 Third Round	CUHK	Promoting e-mobility in Hong Kong: Institutional and Spatial Contexts, Public Acceptance, and the Location Choice of Public Electric Vehicle Charging Facilities	530,725
15	2017-18 Third Round	HKU	Opening Doors, Creating Pathways - A Qualitative Study of Social Harms and Service Access of Young People from Ethnic Minority Backgrounds in Hong Kong	400,000
16	2017-18 Third Round	HKU	Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility Inside Hong Kong's Anti-Money Laundering Law	295,550
17	2017-18 Third Round	LU	Relationship between Poverty and Neurocognitive Skills	700,000
18	2017-18 Fourth Round	CUHK	Tracking the Future: The Perception of Future and Aspirations of Hong Kong Youth	345,000
19	2017-18 Fourth Round	EdUHK	Family Policies, Social Norms and Fertility Decisions: A Survey Experiment	764,750
20	2017-18 Fourth Round	EdUHK	Global Governance Hub: A New Dimension in Hong Kong's Global City Strategy?	957,766
21	2017-18 Fourth Round	PolyU	Clear up Toxic Smog and Improve Air Quality in Hong Kong	498,410

<sup>#</sup> With effect from 27 May 2016, "The Hong Kong Institute of Education" has been renamed "The Education University of Hong Kong".

# Strategic Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2016-17	CityU	Hong Kong Professional Services in the Co-Evolving Belt-Road Initiative: Innovative Agency for Sustainable Development	3,400,000
2	2016-17	HKU	The Implementation of "One Country Two Systems" in Hong Kong	3,000,000
3	2016-17	HKUST	Trade and Investment under "One Belt One Road" and Implications for Hong Kong	3,400,000

# Strategic Public Policy Research Funding Scheme 2017-18 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)
1	2017-18	HKU	Antimicrobial Resistance Policy Framework in Big Bay Area (Guangdong-Hong Kong-Macao)	3,500,000
2	2017-18	HKU	In Search of New Economic Cooperation Models Between Hong Kong and the Big Bay Area	3,500,000
3	2017-18	HKUST	Strategies for Enhancing Walkability in Hong Kong via Smart Policies	3,500,000

- 2. The Chief Executive's Council of Advisers on Innovation and Strategic Development (the Council) convened its first meeting in March 2018, and we expect that a total of 4 meetings will be held in 2018. Under the operational expenses of PICO, we have set aside around \$180,000 to meet expenses on interpretation service, hiring of equipment and other items related to meetings of the Council. Division 1 of PICO will provide secretariat support for the Council. It will also share other duties, including policy research and co-ordination, co-ordination of the Policy Address and Policy Agenda as well as the daily administrative work of PICO as a whole. There is no separate staff establishment for the secretariat. With flexible deployment of manpower, other policy research staff of PICO will, depending on the Council's discussion topics, assist in researching and following up the Council's recommendations.
- 3. PICO will endeavour to strengthen the public policy research capacity and foster a public policy research community in Hong Kong. This would include continuing to administer 2 public policy research funding schemes and identifying ways to further enhance the policy

relevance and impact of the findings and recommendations of the studies funded under these schemes. Further, PICO will also step up liaison with the academia, research institutes and think tanks and facilitate rational public policy debates and collaboration in public policy research.

4. In keeping with the new style of governance of the current-term Government, PICO will pay special attention to the need for early engagement of stakeholders and the general public in the process of policy formulation. When pursuing its policy research and co-ordination work in the future, PICO will make suitable arrangements for engaging stakeholders and the public in such forms as small group discussions with experts, focus groups, workshops and public meetings, so as to ensure that the views of the general public are taken into account and assessed in the early stage of policy formulation.

- End -

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO032** 

(Question Serial No. 3633)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (4) Protocol Division

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

Would the Government inform this Committee of the Protocol Division's expenditure on providing hospitalities to XI Jinping during the 20<sup>th</sup> Anniversary of Hong Kong's return to China in 2017-18?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 72)

#### Reply:

The expenditure incurred by the Protocol Division for providing hospitality to President XI Jinping and his delegation visiting Hong Kong for the 20th Anniversary of the Establishment of the Hong Kong Special Administrative Region is \$46.9 million, covering expenses for hotel accommodation, meals, transportation arrangements and other programme costs for the visit.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO033** 

#### (Question Serial No. 3648)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

(1) What were the operational expenses of the Policy Innovation and Co-ordination Office ("PICO") (formerly known as Central Policy Unit ("CPU")) in the past year? What were the annual salaries and the half-yearly gratuities of the Head, Deputy Head, Member (1), Member (2), Member (3), Research Director and 10 Senior Researchers of the CPU? What were the total salaries and total gratuities for their term of office?

- (2) What are the actual total expenses on the Public Policy Research Funding Schemes? What are the estimated expenses of the PICO for the coming year?
- (3) Please tabulate the research and promotional projects approved by the CPU under the Public Policy Research Funding Schemes in the past 3 years. What were the manpower and expenses involved?
- (4) Please provide the names of the non-tertiary institutions funded under the Public Policy Research Funding Schemes in the past 3 years, details of their research projects and the reasons for granting research funding to the non-tertiary institutions.

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 87)

#### Reply:

(1) In 2017-18, the revised estimate for the operational expenditure of the Central Policy Unit (CPU) was \$88.18 million. The revised provisions for the annual salaries and gratuities of Head, Deputy Head, Full-time Members, Research Director and Senior Researchers are set out below:

Post	Revised Provision for Salaries in 2017-18 (\$)	Revised Provision for Gratuities in 2017-18 (\$)
Head*	836,550	1,983,408 (the relevant contract period was about 2.5 years)
Deputy Head*	757,926	Not applicable
Member (1)*	590,700	200,819 (the relevant contract period was about 7 months)
Member (2)*	626,400	323,400 (the relevant contract period was about 11 months)
Member (3)*	626,400	276,432 (the relevant contract period was about 9 months)
Research Director	2,158,200	Not applicable
Senior Researchers(8**in total)	5,193,641	517,693 (involving 3 Senior Researchers)

<sup>\*</sup>Vacant since July 2017.

During the Head's tenure in the past 5 years, the total amounts of salaries and gratuities were \$14.82 million and \$3.67 million respectively. During the tenure of the 3 Full-time Members in the past 5 years, the total amounts of salaries and gratuities were \$30.65 million and \$4.41 million respectively. The contract period of each Senior Researcher was usually 2 years and their monthly salaries ranged from \$53,000 to \$74,000, with a gratuity each, when added to the Government's contribution to the Mandatory Provident Fund Scheme for the employee, equals 15% of the total basic salary drawn during the respective contract period.

- (2) In 2017-18, the Public Policy Research (PPR) Funding Scheme and the Strategic Public Policy Research (SPPR) Funding Scheme awarded a total of \$11.53 million to 21 research projects and a total of \$10.50 million to 3 research projects respectively. The estimated operational expenditure of the Policy Innovation and Co-ordination Office for 2018-19 is \$123.8 million, of which \$30 million has been earmarked for the PPR and SPPR Funding Schemes.
- (3) Details of the policy research projects approved under the PPR Funding Scheme from 2015-16 to 2017-18 financial years are at Annex. As for the SPPR Funding Scheme, since it was launched in 2016-17 financial year, details of the policy research projects approved from 2016-17 to 2017-18 financial years are also at Annex. From time to time, the CPU organised briefing sessions for interested parties on these 2 research funding schemes and

<sup>\*\*2</sup> posts were not filled in 2017-18.

met with academics and researchers of various tertiary institutions and think tanks to encourage applications. The CPU also organised seminars and workshops to encourage academics, researchers, experts, practitioners, officials and other stakeholders to exchange views on the findings of the research projects funded. In 2017-18, 6 executive/clerical officers were involved in administering these 2 research funding schemes. The revised estimated operational expenditure was \$2.20 million.

(4) The PPR and SPPR Funding Schemes, when administered by the Research Grants Council, were restricted to institutions funded by the University Grants Committee. Since 2013-14, in order to encourage and support more academics and researchers to conduct public policy research, and promote public policy research in the community, the schemes have been open for application by more institutions and people. In addition to academics teaching undergraduate programmes or above at local degree-awarding institutions; or visiting scholars/adjunct professors/honorary professors/emeritus professors and research officers qualified to teach undergraduate programmes or above at these institutions, the schemes are also open for application by public policy researchers of local non-profit-making think tanks. The assessment panel adopts uniform assessment criteria in processing all applications.

Details of the policy research projects approved under PPR Funding Scheme from 2015-16 to 2017-18 financial years that are conducted by local degree-awarding institutions operated on a self-financing basis and local non-profit-making think tanks specialised in public policy research are set out below:

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2015-16 First Round	Hang Seng Management College	Preventing Marginalization in Air Passenger and Freight Businesses – A Global Network Decision Support System	654,500
2	2015-16 Fourth Round	Civic Exchange	The First Baseline Study of the General Public's Awareness and Attitudes Towards Biodiversity Conservation in Hong Kong	838,120
3	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028
4	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464

# Public Policy Research Funding Scheme 2015-16 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2015-16 First Round	CityU	Making Policy for Child Care in Hong Kong	476,123
2	2015-16 First Round	CityU	How to Improve Participatory Mechanisms in The Processes of Urban Redevelopment: The Case of Kowloon East (Hong Kong)	498,251
3	2015-16 First Round	CUHK	Enforcing and Complying with Voluntary Agreements as an Alternative Environmental Policy Instrument	195,332
4	2015-16 First Round	HKBU	Shaping Arts Development and Education in Facilitating the Role of Hong Kong as a Cultural Hub of the Region	332,350
5	2015-16 First Round	HKIEd#	Impact of Family Friendly Policies: A Panel Study in Hong Kong	448,945
6	2015-16 First Round	HKIEd#	A Panel Study of Media Effects on Hong Kong Youth's Political Participation	667,176
7	2015-16 First Round	Hang Seng Management College	Preventing Marginalization in Air Passenger and Freight Businesses - A Global Network Decision Support System	654,500
8	2015-16 First Round	PolyU	Civil and Criminal Liabilities for Ship-source Pollution in Hong Kong: Taking Stock of the Present and Seeing the Way Forward	278,530
9	2015-16 First Round	PolyU	Does Dual-class Share Structure Create Value for Shareholders in the Long Run? Evidence from an Empirical Study of Global Markets with Implications for Hong Kong Stock-listing Policies	898,840
10	2015-16 Second Round	CityU	Sustainability and Social Mobility in Professional Services: A Case Study of Accounting Profession in Hong Kong	548,775
11	2015-16 Second Round	CityU	Exploration and Evaluation of Policy Options for Tackling the Illegal Subdivided Unit Problem in Hong Kong	212,175
12	2015-16 Second Round	нкви	Impacts of the Residential Physical and Social Environment on Daily Walking Behavior of Older Adults in Hong Kong	499,878

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
13	2015-16 Second Round	HKIEd#	Towards a Refugee Policy for an Inclusive Hong Kong: Enhancing the Status of China's International City	652,303
14	2015-16 Second Round	HKU	Birds of a Feather: Cross-group Interaction between Mainland and Local University Students in Hong Kong	242,236
15	2015-16 Second Round	HKU	Public Transport Policy Measures to Improve the Mobility of the Elderly in Hong Kong	526,700
16	2015-16 Second Round	PolyU	Evaluation of Green Practices for Grocery Retailers in Hong Kong and the Policy Implications for Sustainable Development	294,400
17	2015-16 Second Round	PolyU	The Effect of Isomorphic Pressure for Building Information Modelling in Hong Kong Construction Industry	470,695
18	2015-16 Third Round	CityU	Discontinuing Youth's Violent Involvements with Social Capital Development	817,420
19	2015-16 Third Round	CUHK	Immigrant Entrepreneurship among Mainland Chinese University Graduates in Hong Kong: An Empirical Study with Multiple Perspectives	722,455
20	2015-16 Third Round	CUHK	Impact of Social Mobility on the Political Attitudes and Behaviours of Young People: A Comparative Study of Hong Kong, Taiwan, and Macao	1,636,653
21	2015-16 Third Round	CUHK	Designing a Sustainable Public-Private-Partnership Program to Enhance Diabetes Care and Evaluating Its Impact Using an Outcomes Simulation Model	552,000
22	2015-16 Third Round	HKBU	An Exploration of School Fieldtrip to Mainland in Hong Kong Secondary Schools: Students' Self-Authoring Civic Identity	630,766
23	2015-16 Third Round	HKU	A Sustainable Tourism and Mobility Framework for Assessing the Effects of the Individual Visit Scheme on the Public Transportation System in Hong Kong	690,000
24	2015-16 Fourth Round	CityU	Tenant Purchase, Assisted Home Ownership and Social and Residential Mobility	657,296
25	2015-16 Fourth Round	Civic Exchange	The First Baseline Study of the General Public's Awareness and Attitudes Towards Biodiversity Conservation in Hong Kong	838,120

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
26	2015-16	HIZIE 4#	Enhancing Executive Functioning of	057 450
26	Fourth Round	HKIEd#	Children Living in Poverty: A Randomized Experiment Study	856,452
	2015-16		Carbon Trading in International Climate	
27	Fourth	HKUST	Cooperation and Its Implications to Hong	195,500
	Round		Kong	
	2015-16		Electrification of Single-Deck Bus and	
28	Fourth	PolyU	Minibus in Hong Kong	700,000
	Round		Willious in Hong Kong	
			Toward a Better Understanding of the	
	2015-16		Chinese Mindset in Cruise Tourism	
29	Fourth	PolyU	Development: A Conjoint Analysis of	463,025
	Round		Chinese Travelers' Preferences for	
			Cruising Attributes	

# Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2016-17 First Round	CityU	A Pan-Asian Field Study of Corporate Awareness to Information Security and Preparedness Against Cybercrimes	655,500
2	2016-17 First Round	CityU	An Experimental Study of National Identity Among Hong Kong Youth	415,150
3	2016-17 First Round	CityU	The "Citizen Satisfaction Assessment Tool": Applying Expectancy Disconfirmation Theory to Public Services in Hong Kong	961,400
4	2016-17 First Round	CUHK	Impacts of Implementing "Low-income Working Family Allowance" on Labour Market Situation and Quality of Life of Low Income Working Families in Hong Kong	999,299
5	2016-17 First Round	CUHK	Attitudes and Levels of Support Toward Same-Sex Civil Union and Same-Sex Marriage Legislation among the General Public and Homosexual People in Hong Kong - A Comparative Study	981,966

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
6	2016-17 First Round	HKIEd#	Hong Kong Youths' National Identity: Impacts of Mainland Exchange Programmes, Study Tours and Volunteer Activities	403,880
7	2016-17 First Round	HKU	Hong Kong-Shenzhen-Guangzhou as a Multi-gateway Trading City-region for Cross-border e-retailing: A Geographical Analysis	605,337
8	2016-17 First Round	HKU	Financial Impacts of Family Caregiving: An Investigation of the Moderating Effects of Workplace Accommodative Measures and Domestic Helpers	559,632
9	2016-17 First Round	HKU	Creative Industries in Flux: A Critical Investigation into the Challenges, Agency and Potential of Cultural and Creative Workers in Hong Kong	500,000
10	2016-17 First Round	LU	Hong Kong Non-Governmental Welfare Organisations in Mainland China: Services, Challenges and Opportunities	930,460
11	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028
12	2016-17 First Round	PolyU	Developing A Globalised Industry under the Context of Territorial Policy - The Development of Aviation Maintenance, Repair and Overhaul Industry in Singapore and Hong Kong	611,225
13	2016-17 First Round	PolyU	Association Among Father Involvement in Pregnancy and Childbirth, Pregnancy Violence and Health Outcomes: Does It Help Promote Good Health Among Newborns, Mothers, and Fathers?	649,035
14	2016-17 Second Round	CUHK	Achieving Sustainable Urban Park Management in Hong Kong through the Development of Indicators	340,786
15	2016-17 Second Round	EdUHK	Youth Radicalism in Hong Kong: Exploring Changes in Adolescents' Civic Consciousness and Attitudes to the Nation	569,905
16	2016-17 Second Round	HKU	A Study on Population Dynamics in One Belt One Road: Opportunities and Challenges	617,298
17	2016-17 Second Round	HKU	Performance of the Self-assessment Practice of Service Performance Monitoring System	561,547

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
18	2016-17 Second Round	HKU	Demarcation or Integration?: Improving Effectiveness of Traditional Chinese Medicine Use in Hong Kong	399,326
19	2016-17 Second Round	PolyU	Exploring the Effects of Airbnb on the Hong Kong Tourism Industry	256,818
20	2016-17 Third Round	CUHK	A Study on the Development of Palliative and End-of-Life Care Services in Hong Kong	480,801
21	2016-17 Third Round	EdUHK	Investment Pattern and Performance of Mandatory Provident Fund Scheme Members: A Historical Administrative Record Analysis	336,390
22	2016-17 Third Round	EdUHK	Promotion of Volunteerism among Hong Kong Retirees: An Intervention Study	712,307
23	2016-17 Third Round	HKBU	World Values Survey 2017: Generating Data for Trust Maintenance, Repair, and Better Governance in Post-Occupy Hong Kong	1,025,243
24	2016-17 Third Round	HKUST	Energy Behavior Patterns in Hong Kong: The Role of Bounded Rationality and Peer Pressure in Air Conditioner Use	568,445
25	2016-17 Third Round	PolyU	An Empirical Study of the Town Planning Board's Planning Control Decisions on Residential Development Applicants since Hong Kong's Handover to China	369,909
26	2016-17 Fourth Round	CityU	Developing Appropriate Typical Weather Data for Applications in Building Related Codes of Practices and Design Guidelines in Hong Kong	314,928
27	2016-17 Fourth Round	CityU	The Development of a New Media Expertise in the Creative Economy of Hong Kong	550,620
28	2016-17 Fourth Round	CUHK	Knowledge and Perceptions towards Gender-Based Violence of Minority Girls in Hong Kong	200,000
29	2016-17 Fourth Round	HKU	A Survey Experiment on Discontent Among the Youth: Welfare or Politics?	220,368
30	2016-17 Fourth Round	HKU	The Experience of Stigma in Patients with Mental Disorders: Are There Improvements in the Past 15 Years?	346,293

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
31	2016-17 Fourth Round	LU	One Belt One Road: China's Motives and Hong Kong's Roles	468,050
32	2016-17 Fourth Round	LU	Deriving Public Policy for Hong Kong as an Infrastructure Financing Hub and Super-connector in Project Finance: The Belt and Road Initiative	691,783

# <u>Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2017-18 First Round	CUHK	Maintaining Hong Kong Aviation-hub Position Under the ASEAN - China Air Transport Agreement	481,491
2	2017-18 First Round	EdUHK	Life Course Effects on Marital Stability: Experience of Remarried Mainland Chinese Migrant Women in Hong Kong	500,000
3	2017-18 First Round	HKBU	Investigating Hong Kong Students' Critical News Literacy in the Age of Social Media	492,982
4	2017-18 First Round	HKBU	Differential In-migration, Housing Access and Spatial Segregation: Hong Kong since 1997	825,125
5	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464
6	2017-18 First Round	HKU	Exploring the Motivations, Incentive Designs, and Performance of Open Innovation in Hong Kong	
7	2017-18 First Round	HKU	Associations between Emerging Political Ideology, Political Participation and Social Media Use: Making Sense of the Connections between "Localism", "Populism", and Post-materialism in Hong Kong	780,234
8	2017-18 First Round	LU	Construction of Mainland China - Hong Kong Economic Integration Index and Its Application to Facilitate Public Policy Research in Hong Kong	494,960

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
9	2017-18 First Round	PolyU	Facilitating the Diffusion of Building Information Modeling in the Hong Kong Construction Industry: A Network Perspective	716,335
10	2017-18 Second Round	CityU	Enhancing the Environmental Effectiveness of Overall Thermal Transfer Value (OTTV) Regulation for Green Building Design in Hong Kong	304,750
11	2017-18 Second Round	CUHK	Out-of-home Activities and Social Exclusion among Hong Kong's Aging Population: A Study of the Elderly's Activity-travel Patterns and Its Implication on Well-being	397,853
12	2017-18 Third Round	CityU	Demographic and Social Indicators of Youth Volunteering in Hong Kong	842,950
13	2017-18 Third Round	CUHK	Investigating the Preference, Attitude and Perception of Frail Older People on Consumer-directed Long-term Care in Hong Kong	490,907
14	2017-18 Third Round	CUHK	Promoting e-mobility in Hong Kong: Institutional and Spatial Contexts, Public Acceptance, and the Location Choice of Public Electric Vehicle Charging Facilities	530,725
15	2017-18 Third Round	HKU	Opening Doors, Creating Pathways - A Qualitative Study of Social Harms and Service Access of Young People from Ethnic Minority Backgrounds in Hong Kong	400,000
16	2017-18 Third Round	HKU	Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility Inside Hong Kong's Anti-Money Laundering Law	295,550
17	2017-18 Third Round	LU	Relationship between Poverty and Neurocognitive Skills	700,000
18	2017-18 Fourth Round	CUHK	Tracking the Future: The Perception of Future and Aspirations of Hong Kong Youth	345,000
19	2017-18 Fourth Round	EdUHK	Family Policies, Social Norms and Fertility Decisions: A Survey Experiment	764,750

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
20	2017-18 Fourth Round	EdUHK	Global Governance Hub: A New Dimension in Hong Kong's Global City Strategy?	957,766
21	2017-18 Fourth Round	PolyU	Clear up Toxic Smog and Improve Air Quality in Hong Kong	498,410

<sup>#</sup> With effect from 27 May 2016, "The Hong Kong Institute of Education" has been renamed "The Education University of Hong Kong".

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2016-17 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2016-17	CityU	Hong Kong Professional Services in the Co-Evolving Belt-Road Initiative: Innovative Agency for Sustainable Development	3,400,000
2	2016-17	HKU	The Implementation of "One Country Two Systems" in Hong Kong	3,000,000
3	2016-17	HKUST	Trade and Investment under "One Belt One Road" and Implications for Hong Kong	3,400,000

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Fund awarded (HK\$)
1	2017-18	HKU	Antimicrobial Resistance Policy Framework in Big Bay Area (Guangdong-Hong Kong-Macao)	3,500,000
2	2017-18	HKU	In Search of New Economic Cooperation Models Between Hong Kong and the Big Bay Area	3,500,000
3	2017-18	HKUST	Strategies for Enhancing Walkability in Hong Kong via Smart Policies	3,500,000

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO034** 

#### (Question Serial No. 3649)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### Question:

Regarding the Policy Innovation and Co-ordination Office, provision for 2018-19 is \$35.6 million (40.4%) higher than the revised estimate for 2017-18. The Government explains that this is mainly due to a net increase of 4 posts to meet operational needs and salary increment for staff. Please set out the ranks, scope of work and salaries of the 4 posts, and the staff salaries which will be increased by using the remaining estimate.

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 88)

#### Reply:

In 2018-19, the estimated financial provision for the Policy Innovation and Co-ordination Office (PICO) is \$123.8 million, which is \$35.6 million (40.4%) higher than the revised estimate (\$88.2 million) for the Central Policy Unit (CPU) in 2017-18. The increase in the provision for 2018-19 is mainly due to reduced expenditure in remuneration for special appointments, contract staff and hire of services during the transitional period of the CPU in 2017-18, as well as net increase of 4 posts to meet operational needs, staff changes and salary increment for staff in 2018-19.

The revised estimate for the CPU in 2017-18 is \$31.3 million (26.2%) lower than the original estimate (\$119.5 million). This is mainly due to reduced salary expenditure for the posts of Head and 3 Full-time Members of the CPU, which were left vacant since July 2017 while CPU was undergoing revamp in 2017-18, and reduced expenditure for contract staff, hire of services and professional services arising from the change in departmental operation during the revamp.

In 2018-19, there is only a slight increase of \$4.3 million (3.6%) in the estimated financial provision for PICO as compared with the original estimate for the CPU in 2017-18. This is mainly due to the annual civil service pay adjustment and an increase in the provision for personnel related expenses. The Government has not allocated additional provision for changes in the establishment due to revamping the CPU into PICO.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO035** 

#### (Question Serial No. 3650)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### Question:

Regarding the Duty Lawyer Service (DLS) and Legal Aid Services Council (LASC) under the purview of the Chief Secretary for Administration's Office, please inform this Committee of the following:

Will there be any additional expenditure during the transfer of DLS and LASC from Head 53 – Government Secretariat: Home Affairs Bureau to Head 142 – Government Secretariat: Offices of the Chief Secretary for Administration and Financial Secretary? If yes, please list out. Comparing with the past, will there be any difference in the handling procedures regarding public enquiries and complaints relating to the DLS?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 89)

#### Reply:

As announced in the Chief Executive's 2017 Policy Address, the Government will implement the Legal Aid Services Council's earlier proposal to transfer the responsibilities for formulating legal aid policy and housekeeping the Legal Aid Department from the Home Affairs Bureau (HAB) to the Chief Secretary for Administration's Office (CSO). The transfer will take effect on 1 July 2018 and the exercise is cost-neutral.

The subvention for the Duty Lawyer Service (DLS) will be transferred from HAB to CSO as part and parcel of the legal aid portfolio. The transfer will not affect the daily operation of the DLS, including the procedures for handling public enquiries and complaints.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO036** 

#### (Question Serial No. 3720)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

Will the Government inform this Committee of the respective estimated annual salary expenditures for the Chief Secretary for Administration and the Financial Secretary in 2018-19?

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 217)

#### Reply:

The provisions earmarked for the annual salaries of the Chief Secretary for Administration and the Financial Secretary in 2018-19 are \$4.44 million and \$4.29 million respectively.

The Finance Committee of the Legislative Council approved on 10 February 2017 that, with effect from 1 July 2018, the cash remuneration of Politically Appointed Officials will be adjusted every year in accordance with the change in the average annual Consumer Price Index (C). The expenses will be met by internal redeployment of resources and no additional provision is required. The sums of money will be reflected in the Revised Estimates for 2018-19.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO037** 

#### (Question Serial No. 5581)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### Question:

Does the Government intend to launch a life annuity scheme in replacement of implementing a universal retirement protection system? If yes, what are the details? If no, will the Government proceed to put in place the universal retirement protection system in 2018-19 and what are the details?

Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 106)

## Reply:

The Commission on Poverty conducted a public engagement exercise on retirement protection from December 2015 to June 2016. Based on the views collected from the public engagement exercise and relevant data analyses, the Government expressed in January 2017 that Hong Kong should not go down the route of universal pension, but should optimise the use of resources to support the elderly in need.

The Government announced and has been implementing in phases a series of measures to strengthen the existing multi-pillar retirement protection system in Hong Kong. In addition to the life annuity scheme to be launched by the Hong Kong Mortgage Corporation Limited, these measures include enhancing the Old Age Living Allowance, strengthening healthcare services for the elderly and enhancing the Mandatory Provident Fund System, etc. They will help enhance the adequacy and coverage of the retirement protection system in Hong Kong in a comprehensive manner, continuing to take care of the varying needs of the elderly through multiple channels.

#### CONTROLLING OFFICER'S REPLY

**CSO038** 

#### (Question Serial No. 5858)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### Question:

(1) Will the Government allocate resources in 2018-19 to conduct studies or consultations on the enactment of the archives law and the legislation on freedom of information? If so, what are the relevant work plan, timetable and estimated expenditure? If not, what are the reasons?

(2) What is the progress of the studies of the Law Reform Commission on the archives law and the legislation on freedom of information? What is the estimated time of announcement and public consultation?

Will the Government allocate resources in 2017-18 to conduct studies or consultations on the enactment of the archives law? If so, what are the relevant work plan, timetable and estimated expenditure? If not, what are the reasons?

Asked by: Hon CHAN Tanya (Member Question No. (LegCo use): 265)

#### Reply:

(1) and (2) The Administration Wing is responsible for records management of the Government while the Constitutional and Mainland Affairs Bureau is responsible for matters of access to information. Regarding questions on these two areas, our reply is as follows:

#### Records Management

The Law Reform Commission (LRC) set up a Sub-Committee in 2013 to study the subject of archives law. Staff of the Government Records Service (GRS) have been actively participating in the LRC study. As it is part of their ongoing work, no separate provision was made for such work in 2017-18. The Sub-Committee has studied the existing records management system in Hong Kong and the relevant laws of other jurisdictions, and will conduct public consultation, with a view to making appropriate recommendations on possible options for reform if need be. According to the current progress, it is expected

that the public consultation will be conducted as early as possible in 2018. The Chief Executive indicated in her 2017 Policy Address that she held a positive view towards the enactment of archives legislations. The Government will follow up on the subject after receiving the report from the LRC. In this regard, GRS will reserve funding in 2018-19 for creating 15 posts to follow up on the subject of archives law after the LRC has submitted the report.

#### Access to Information

LRC also set up a Sub-Committee on Access to Information in 2013 to study the subject of access to information. The Sub-Committee has been conducting regular meetings since its establishment to study the existing system in Hong Kong and the relevant laws and systems of other jurisdictions. According to the current progress, the Sub-Committee is expected to issue a consultation paper as early as possible in 2018 to gauge the views of the public on the relevant issue. LRC will issue a final report after considering the draft report to be submitted by the Sub-Committee. The Government will carefully examine and follow up on the findings of the LRC report with a view to formulating proposals to reform the existing regime of access to information.

#### CONTROLLING OFFICER'S REPLY

**CSO039** 

(Question Serial No. 4392)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

How many archival records have been inventoried as stock by the Government Records Service (GRS) but not yet been included in the index of holdings (i.e. the online catalogue) and made available for public inspection? If there are such records, please set out the information in the following table:

Department before transfer to the GRS	Year of transfer to the GRS	Category of the records (administrative/programm e records)	.,	Covering years of the records	

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 23)

Reply:

Similar to the practice of other overseas archives, before inventorying the archival records transferred from bureaux/departments (B/Ds) for permanent retention and including them in the index of holdings, the Government Records Service (GRS) needs to carry out records accessioning and description work for these records. Besides, the GRS, having made reference to the practice of other overseas archives and in accordance with the views of B/Ds, will not at this stage make public in the online catalogue the information on those archival records that contain personal data, that have been closed for less than 30 years and contain sensitive content (such as involving security and external affairs), and that have been closed for more than 30 years and are not yet opened after review by the B/Ds concerned. Every year, the GRS will request B/Ds to review archival records the closure of which is approaching 30 years so that the relevant records can be made available for public inspection upon the expiry of the 30-year closure period. In case B/Ds are of the

view that certain records require a longer closure period after review, they must review these records again at least once every 5 years. As and when the records concerned are opened, the GRS will immediately arrange for the relevant information to be uploaded onto the online catalogue.

#### CONTROLLING OFFICER'S REPLY

**CSO040** 

#### (Question Serial No. 4393)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

Controlling Officer: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### Question:

(a) It is the current practice of the Government Records Service (GRS) to procure archival records relating to Hong Kong from the National Archives of the United Kingdom (TNA). On what criteria are the procurement of such records determined?

(b) How much the Government has paid for the procurement of records from the TNA over the past 5 years? Will there be a budget for more procurements in the future?

<u>Asked by</u>: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 24) <u>Reply</u>:

- (a) The Government Records Service (GRS) has an established mechanism to keep track of new releases of archival records relating to Hong Kong by the National Archives of the United Kingdom (TNA) and other major overseas archives. It will consider the collection development direction of our holdings, criteria for appraising records and existing resources. GRS will also collect the views of service users, in particular those of the academic and educational sectors, on acquisition of archival materials through user surveys in the formulation of the procurement plan, so as to fulfil its vision and meet the needs of the public. The aforementioned records appraisal criteria are drawn up by the GRS with reference to the experience of and standards adopted by other overseas jurisdictions, with the aim of providing basis for the Archivist grade officers to appraise records transferred from bureaux/departments and procure archival records relating to Hong Kong from overseas archives (including the TNA). According to the appraisal criteria, records likely to be selected as archived records should possess one of the following qualities:
  - (i) document or reflect the organisation, functions and activities of government agencies;
  - (ii) document the formation process, implementation and outcome of significant policies, decisions, legislation and actions of the Government;

- (iii) document the impact of the decisions, policies and programmes of the Government upon the physical environment, community, organisations and individuals:
- (iv) document the interaction between the public and the Government as well as between the physical environment and the Government;
- (v) document the legal rights and obligations of individuals, groups, organisations and the Government; or
- (vi) contain significant or unique information or aged documents that can enrich the understanding about the history, physical environment, society, culture, economy and people of Hong Kong.
- (b) The expenditures of the GRS on procuring copies of archival records from the TNA over the past 5 years were as follows:

Year	Expenditure (\$)
2013-14	0
2014-15	452,665
2015-16	19,025
2016-17	647,008
2017-18	353,918

Since the quantity of records relating to Hong Kong released by the TNA varies from year to year, there may not be suitable archival records for GRS to procure every year. In future, the GRS will continue to procure archival records relating to Hong Kong from overseas archives (including the TNA) to enrich its holdings. In this connection, the GRS will earmark a provision of \$0.30 million in 2018-19 for the purpose.

#### CONTROLLING OFFICER'S REPLY

**CSO041** 

#### (Question Serial No. 4410)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

Controlling Officer: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

With regard to the staff establishment of the Government Records Service:

- (a) What were the staff establishment and the expenditures involved in 2016-17 and 2017-18?
- (b) The provision for 2018-19 is \$17.4 million higher than the revised estimates for 2017-18, mainly due to the increase of 15 posts to meet operational needs. Please provide, in tabular form, details about the 15 posts and the expenditures involved.

<u>Asked by</u>: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 52) <u>Reply</u>:

(a) The staff establishment and the expenditures of the Government Records Service (GRS) in 2016-17 and 2017-18 are as follows:

	2016-17	2017-18
Staff establishment Note 1	111	109 Note 2
Salary expenditure (\$)	47,275,571	44,661,354 Note 3

Note 1: As at the last day of that year (i.e. 31 March).

- 2: GRS deleted 2 time-limited posts in 2017, including 1 Senior Executive Officer post and 1 Clerical Assistant post, due to the fact that the former had completed time-limited tasks for the review of records management publications and guidelines and the latter had completed the task for the accessioning of motion pictures.
- 3: As at 28 February 2018.

(b) The Law Reform Commission (LRC) has studied the existing records management system of Hong Kong and the relevant laws of other jurisdictions, and will conduct public consultation with a view to making appropriate recommendations on possible options for reform if need be. The Government will follow up on this subject after receiving the report from the LRC. In this regard, the GRS will reserve funding of \$9.85 million in 2018-19 for creating 15 posts to follow up on the subject of archives law after the LRC has submitted the report. The salary expenditures of the posts are as follows:

Post and No.	Salary expenditure (\$)
1 Senior Administrative Officer	1,389,540
1 Archivist	1,389,540
2 Senior Assistant Archivists	1,468,080
4 Assistant Archivists	1,944,240
1 Systems Manager	989,100
1 Curator	989,100
1 Assistant Curator I	734,040
3 Assistant Clerical Officers	787,680
1 Workman II	162,960

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO042** 

## (Question Serial No. 4491)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

Please give a breakdown of the salary, allowance and other expenses for the following posts in each month of the past 3 years. Please also advise on the amounts of their monthly pensions on retirement and the total expenditures on their pension payment.

- 1. Chief Secretary for Administration
- 2. Financial Secretary

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 620)

# Reply:

1. The expenditure on the monthly salary and non-accountable entertainment allowance for the post holder of the Chief Secretary for Administration (CS) for the past 3 years is set out below:

Date	Monthly salary	Monthly expenditure on
	expenditure <sup>#</sup>	non-accountable entertainment
		allowance*
		(rounded down to the nearest
		whole number)
April 2015 - March 2016	\$330,565	\$36,300
April 2016 - March 2017		\$37,391
April 2017 - June 2017		\$38,291
July 2017 - March 2018	\$370,200	

The Finance Committee of the Legislative Council approved on 10 February 2017 that, with effect from 1 July 2017, the cash remuneration of Politically Appointed Officials (PAOs) will be adjusted in accordance with the cumulative change in the Consumer Price Index (C) from 2012 to 2016 (i.e. 12.0%).

2. The expenditure on the monthly salary and non-accountable entertainment allowance for the post holder of the Financial Secretary (FS) for the past 3 years is as follows:

Date	Monthly salary	Monthly expenditure on			
	expenditure <sup>#</sup>	non-accountable entertainment			
		allowance*			
		(rounded down to the nearest			
		whole number)			
April 2015 - March 2016	\$319,385	\$27,825			
April 2016 - March 2017		\$28,658			
April 2017 - June 2017		\$29,350			
July 2017 - March 2018	\$357,700				

The Finance Committee of the Legislative Council approved on 10 February 2017 that, with effect from 1 July 2017, the cash remuneration of PAOs will be adjusted in accordance with the cumulative change in the Consumer Price Index (C) from 2012 to 2016 (i.e. 12.0%).

According to the remuneration package for PAOs of the SAR Government, CS, FS and all other PAOs are not entitled to pension benefits/ contract gratuity except for the Government's contribution to the Mandatory Provident Fund.

<sup>\*</sup> Apart from the non-accountable entertainment allowance (related to official residence) which is adjusted for inflation every year, CS does not receive other allowances.

<sup>\*</sup> Apart from the non-accountable entertainment allowance (related to official residence) which is adjusted for inflation every year, FS does not receive other allowances.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO043** 

#### (Question Serial No. 4507)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### Question:

Please provide the following details of each of the meetings with, visits to or exchanges with the relevant Mainland authorities by the Administration Wing in the past year in chronological order:

- (a) purpose and place;
- (b) post titles of the officials met;
- (c) number and post titles of Hong Kong officials in entourage;
- (d) number of days of the visit;
- (e) total expenditure incurred;
- (f) whether any announcement was made prior to the trip; if not, what were the reasons for confidentiality;
- (g) whether minutes of meetings were kept on file; if not, what were the reasons; and
- (h) whether any agreement was reached; if yes, what were the details and implementation progress of the agreement;
  - (i) transportation expenses (air tickets and local transportation);
  - (ii) accommodation expenses;
  - (iii) meals expenses;
  - (iv) banquets or entertainment expenses; and
  - (v) gifts expenses.

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 637)

## Reply:

Relevant information on the duty visits made by officials of the Offices of the Chief Secretary for Administration and the Financial Secretary to the Mainland in the past year is set out in the table below -

Date of	Place of visit	Number	Purpose of visit	Hotel	Passage	Other	Total
visit*		of		accommodation	expenses #	expenses @	Expenditure
		officials^		expenses			
(Number							
of visits)							
From	Beijing, Changsha,	1 to 6	To attend	About	About	About	About
1 Mar	Dongguan, Foshan,	per trip	meetings, visits	HK\$205,000	HK\$376,000	HK\$196,000	HK\$777,000
2017 to	Guangzhou,		and events such as				
28 Feb	Hainan, Jiangmen,		forums,				
2018	Macao, Nanning,		symposiums and				
(30)	Shanghai,		seminars to				
(30)	Shenzhen, Wuhan,		exchange views				
	Zhaoqing,		and share				
	Zhengzhou,		experiences, and				
	Zhongshan and		to enhance liaison				
	Zhuhai		and strengthen				
			mutual				
			relationship.				

#### **Remarks:**

- \* Each duty visit lasted from half a day to 7 days.
- ^ Comprising officials of different ranks and led by a senior official or directorate officer.
- # Including charges for all cross-boundary transport (e.g. air tickets / ferry tickets / train tickets).
- <sup>®</sup> Including charges for local transport, subsistence allowance for duty outside Hong Kong and sundry expenses (if applicable).

The expenses for official entertainment on the Mainland were about HK\$62,000 for the above period.

We are in touch with the relevant Mainland authorities on issues of mutual concern from time to time as and when necessary. Generally speaking, records are made as appropriate having regard to the different circumstances and factors such as the natures of meetings and subject matters, consensus reached on arrangement (if any) and development of the matters concerned. We will decide whether and how the trips and their achievements should be made public in the light of the circumstances and needs.

In line with the Government's green policy, public officers will as far as possible refrain from bestowing gifts or souvenirs to others during the conduct of official activities. According to the existing guidelines, where bestowal of gifts or souvenirs is necessary or unavoidable due to operational, protocol or other reasons, such items should not be extravagant and the number should be kept to a minimum, and the exchange should only be made from organisation to organisation. We do not maintain separate accounts for the expenses on the procurement of gifts and souvenirs.

#### CONTROLLING OFFICER'S REPLY

**CSO044** 

(Question Serial No. 5108)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

Please provide for the past 5 years the number of Justice of the Peace (JP) visits to individual penal facilities and the relevant expenditures; the number of complaints received during their visits to individual penal facilities and proportion of substantiated complaints; and the details of the relevant arrangements.

<u>Asked by</u>: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 7234) <u>Reply</u>:

One of the important functions of JP visits to correctional institutions is to ensure that complaints lodged by persons in custody are handled in a fair and transparent manner. The visiting JPs may either conduct investigations themselves by making personal inquiries into persons in custody's complaints (such as seeking background information from staff of the institutions and examining relevant records and documents) or refer the cases to the institutions concerned, the management of the Correctional Services Department (CSD), the Complaints Investigation Unit (CIU) of CSD, the Office of The Ombudsman or the Police for their follow-up actions, having regard to the nature and seriousness of the complaints. Upon receipt of the referrals, the parties concerned will carry out investigation into the complaints. On completion of the investigation, the result will be reported to the JPs. JPs are at liberty to conduct any further investigation personally or re-visit the institution in question as they consider necessary. The number of JP visits to individual penal facilities and the number of complaints received during these visits in the past 5 years are set out at **Annex A** and **Annex B** respectively.

The majority of complaints received by JPs during visits to correctional institutions were related to medical care, facilities, environment, services, treatment, staff attitude and conduct, and other government departments. Taking 2016 as an example, about 34% of cases were directed by the JPs concerned that no further action be taken due to such reasons as lack of solid information for further investigation. About 50% of cases were referred to other government department(s) for handling or institution management for follow up, and

were resolved to the satisfaction of both JPs and complainants. The remaining 16% of cases were referred to the CIU of CSD for investigation. They include 5 cases referred by CIU to institution management for follow up, of which 4 cases were resolved by institution management and 1 was referred back to CIU and concluded unsubstantiated; 19 found unsubstantiated after investigation by CIU; and 1 referred to the Police on the request of the complainant. No crime element was found after investigation by the Police and the case was concluded. JPs and the complainant were duly informed of the investigation results. JPs were satisfied with the results and directed that no further action be taken. The complainant did not pursue the case further or lodge any appeal.

The departmental costs involved are mainly travelling expenses incurred by JP visits. If visits are jointly conducted by Official JPs and Non-official JPs, transport is generally arranged by the former using the respective departmental resources and breakdown of travelling expenses is not available. If departmental transport is not available, commercial vehicles or pool cars of the Government Logistics Department (GLD) will be hired for the purpose. Expenses incurred for hiring commercial vehicles or GLD vehicles in the past 5 years are set out below:

Year	Expenses incurred for hiring		
	commercial vehicles and pool cars		
	arranged by GLD		
	(\$ million)		
2013	0.65		
2014	0.73		
2015	0.67		
2016	0.83		
2017	0.89		

Annex A
Number of JP Visits to Individual Penal Facilities in the Past 5 Years

	Number of JP Visits to Individual Penal Facilities in the Past 5 Years							
	Institution	2013	2014	2015	2016	2017		
1	Cape Collinson Correctional Institution	12	12	12	12	12		
2	Lai Chi Kok Reception Centre <sup>1</sup>					12		
3	Custodial Ward of Queen Elizabeth Hospital and Lai Chi	24	25	24	24	12		
	Kok Reception Centre <sup>1</sup>							
4	Custodial Ward of Queen Mary Hospital and Ma Hang	24	24	1				
	Prison <sup>2</sup>							
5	Custodial Ward of Queen Mary Hospital <sup>2</sup>			3				
6	Hei Ling Chau Addiction Treatment Centre and Hei Ling	23	23	22				
	Chau Correctional Institution <sup>3</sup>							
7	Lai Sun Correctional Institution and Nei Kwu Correctional	24	21	22				
	Institution <sup>3</sup>							
8	Hei Ling Chau Addiction Treatment Centre and Lai Sun				22	22		
	Correctional Institution <sup>3</sup>							
9	Hei Ling Chau Correctional Institution and Nei Kwu				22	22		
	Correctional Institution <sup>3</sup>							
10	Lai King Correctional Institution and Chi Lan	24	24	24	24	12		
	Rehabilitation Centre <sup>1</sup>							
11	Lai King Correctional Institution, Chi Lan Rehabilitation					12		
	Centre and Custodial Ward of Queen Elizabeth Hospital <sup>1</sup>							
12	Lo Wu Correctional Institution	24	24	24	24	24		
13	Pak Sha Wan Correctional Institution	23	25	24	23	24		
14	Phoenix House, Pelican House and Lai Hang	12	12	12	12	12		
	Rehabilitation Centre							
15	Pik Uk Correctional Institution	24	24	24	24	24		
16	Pik Uk Prison	24	24	24	24	24		
17	Sha Tsui Correctional Institution <sup>4</sup>	24						
18	Lai Chi Rehabilitation Centre <sup>4</sup>	12	3					
19	Sha Tsui Correctional Institution and Lai Chi		23	23	23	24		
	Rehabilitation Centre <sup>4</sup>							
20	Shek Pik Prison	24	24	23	24	24		
21	Siu Lam Psychiatric Centre	24	24	24	24	23		
22	Stanley Prison	24	24	24	24	24		
23	Tai Lam Centre for Women, Bauhinia House, and Wai Lan	24	24	25	24	24		
	Rehabilitation Centre							
24	Tai Lam Correctional Institution	24	23	24	24	24		
25	Tong Fuk Correctional Institution	24	24	24	24	24		
26	Tung Tau Correctional Institution	24	24	24	24	24		
27	Tai Tam Gap Correctional Institution <sup>2</sup>		19	4				
28	Tai Tam Gap Correctional Institution and Custodial Ward			20	24	23		
	of Queen Mary Hospital <sup>2</sup>							
	Total:	442	450	431	426	426		
	•		•	•	•	ı .		

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<sup>4</sup> Lai Chi Rehabilitation Centre was relocated to Sha Tsui Correctional Institution on 26 March 2014. These two institutions are jointly visited by JPs since then.

Custodial Ward of Queen Elizabeth Hospital and Lai Chi Kok Reception Centre were jointly visited by JPs. Custodial Ward of Queen Elizabeth Hospital, Lai King Correctional Institution and Chi Lan Rehabilitation Centre have been jointly visited by JPs since 1 July 2017.

<sup>&</sup>lt;sup>2</sup> Custodial Ward of Queen Mary Hospital and Ma Hang Prison were jointly visited by JPs. Ma Hang Prison was closed in late January 2015 and JP visit was ceased accordingly. Custodial Ward of Queen Mary Hospital and Tai Tam Gap Correctional Institution have been jointly visited by JPs since March 2015.

<sup>&</sup>lt;sup>3</sup> Hei Ling Chau Addiction Treatment Centre and Hei Ling Chau Correctional Institution were jointly visited by JPs. Hei Ling Chau Addiction Treatment Centre and Lai Sun Correctional Institution have been jointly visited by JPs since 4 January 2016. Hei Ling Chau Correctional Institution have also been jointly visited from that day.

Annex B

Number of Complaints Received during JP Visits to Individual Penal Facilities in the Past 5

Years

	Institution	2013	2014	2015	2016	2017
1	Custodial Ward of Queen Elizabeth Hospital	-	-	1	-	-
2	Hei Ling Chau Correctional Institution	2	-	-	-	1
3	Hei Ling Chau Addiction Treatment Centre	1	1	-	_	1
4	Lai Chi Kok Reception Centre	18	10	10	21	11
5	Lo Wu Correctional Institution	9	8	14	13	31
6	Nei Kwu Correctional Institution	-	2	-	1	2
7	Pak Sha Wan Correctional Institution	-	1	2	1	-
8	Pik Uk Prison	1	-	-	2	-
9	Shek Pik Prison	1	4	2	13	15
10	Siu Lam Psychiatric Centre	52	46	39	58	72
11	Stanley Prison	40	55	47	44	52
12	Tai Lam Centre for Women	6	-	-	-	13
13	Tai Lam Correctional Institution	-	2	-	3	5
14	Tong Fuk Correctional Institution	2	-	-	-	1
15	Tung Tau Correctional Institution	5	4	-	6	5
	Total:	137	133	115	162	209

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO045** 

# (Question Serial No. 5321)

<u>Head</u>: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

## Question:

1. Regarding the Public Policy Research (PPR) Funding Scheme and the Strategic Public Policy Research (SPPR) Funding Scheme administered by the Government's Central Policy Unit (CPU), please set out the respective numbers of applications, numbers of projects which were granted funding, amounts of funding granted to the projects, titles of the projects and total amounts of funding granted in the past 5 years (2013-14 to 2017-18).

2. Among the projects funded by the PPR Funding Scheme and the SPPR Funding Scheme, how many of them had their funding support terminated prematurely in the past 5 years (2013-14 to 2017-18)? What were the reasons? How much public money was involved? Please provide the details.

Asked by: Hon IP Kin-yuen (Member Question No. (LegCo use): 70)

#### Reply:

- 1. From 1 April 2013 to 7 March 2018, the Central Policy Unit (CPU) received a total of 482 applications under the Public Policy Research (PPR) Funding Scheme and the Strategic Public Policy Research (SPPR) Funding Scheme, 431 of which had been assessed with 130 research projects funded, involving a total funding of about \$86 million. Details of the research projects approved under the PPR and the SPPR Funding Schemes from 2013-14 to 2017-18 financial years are at Annex.
- 2. From 2013-14 to 2017-18 financial years, 2 research projects approved by the Research Grants Council and the CPU respectively under the PPR Funding Scheme were terminated due to withdrawal of applications by Principal Investigators. The details are as follows:

Institution/ Think Tank	Project Title	Fund awarded (HK\$)	Amount spent (HK\$)	Termination Date
CityU	The Sociolinguistic Survey of Hong Kong in 2013	594,961	0	09/12/2013
HKU	Redeveloping a Governance Model of the Complaint-handling Process for Sufficient, Accountable and Transparent Regulation of Medical Professionals in Hong Kong	911,536	0	17/03/2015

From 2013-14 to 2017-18 financial years, no projects funded by the SPPR Funding Scheme were terminated before completion.

# Public Policy Research Funding Scheme 2013-14 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2013-14 First Round	CUHK	Processes of Children's Literacy Acquisition in Chinese as a Second Language	461,088	30/3/2016
2	2013-14 First Round	Dashun Policy Research Centre	Age-Friendly Housing Policies	579,383	8/2/2015
3	2013-14 First Round	HKBU	Land and Housing Policies in Post-Handover Hong Kong: Political Economy and Urban Space	416,760	31/3/2015
4	2013-14 First Round	HKU	A Study of the Movement of Type A and B Babies in Hong Kong	308,706	24/10/2014
5	2013-14 First Round	HKU	A Study of Aspiration of Fertility amongst Married Women in Hong Kong (Age 15-49)	365,700	16/1/2015
6	2013-14 First Round	HKU	Granger Causality Analysis of Land Supply and Housing Market Performance - Implications for Long Term Urban Land Policy in Hong Kong	519,570	9/2/2015
7	2013-14 First Round	HKUST	Developing an Integrated Construction Waste Management Policy Framework in Hong Kong	485,415	31/12/2014
8	2013-14 First Round	LU	A Study on the Preferences and Feasibility of Optional Retirement in Hong Kong: A Human Resources Management Perspective	544,255	28/2/2015
9	2013-14 First Round	LU	The Governing System of Pre-1997 Hong Kong: Archival Study of Selected Policies/Events	443,498	28/2/2015
10	2013-14 First Round	LU	Retirement Planning for Pre-Retiree in Hong Kong	516,954	28/5/2015

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No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
11	2013-14 First Round	PolyU	Is the Polluter Paying? Assessing the Application of the "Polluter Pays" Principle to Ship-Source Pollution in Hong Kong	258,750	15/2/2015
12	2013-14 First Round	PolyU	Shaping Tourism Development: Anticipating Changes and Impacts, and Managing Consequences and Conflicts	269,100	23/2/2015
13	2013-14 First Round	PolyU	Sustainable Planning Criteria for Age-Friendly Precincts in the New Development Areas of Hong Kong	528,471	9/4/2015
14	2013-14 First Round	PolyU	Study on the Development Potential and Energy Incentives of Rooftop Solar Photovoltaic Applications in Hong Kong	424,120	31/5/2015
15	2013-14 First Round	PolyU	Can We Get Rid of Smoggy Days in Hong Kong: Formation Mechanism and Control Strategies?	662,969	2/6/2015
16	2013-14 First Round	PolyU	A Remote Sensing Study of Solar Energy Supply in Cloud-Prone Areas of Hong Kong	359,870	9/6/2015
17	2013-14 First Round	PolyU	Towards Sustainability: Exploring the Social and Economic Dimensions of Travel to Hong Kong by Mainland Chinese	428,490	14/8/2015
18	2013-14 First Round	PolyU	Improving Safety Communication of Ethnic Minorities in the Construction Industry	488,750	24/8/2015
19	2013-14 First Round	PolyU	Green Information Technology Adoption in Hong Kong: An Empirical Analysis and Policy Implications for Sustainable Development	329,906	9/10/2015

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
20	2013-14 First Round	PolyU	Development of a Policy for Optimizing the Use of Inert Solid Wastes in Road Pavement Construction	373,635	24/11/2015

# <u>Public Policy Research Funding Scheme</u> <u>2014-15 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2014-15 First Round	HKU	Language Use, Proficiency and Attitudes in Hong Kong	714,985	31/7/2015
2	2014-15 First Round	HKU	Family Caregiving and Long-Term Care Decision of People with Dementia in Hong Kong	332,427	31/7/2015
3	2014-15 First Round	HKU	Can Online Opinion Reflect Public Opinion? An Investigation into the Interplays between Online Opinion, Public Opinion, and Mass Media	556,888	31/12/2016
4	2014-15 First Round	HKUST	Dynamics of Poverty in Hong Kong: A Supplementary Survey	423,200	31/5/2015
5	2014-15 First Round	One Country Two Systems Research Institute	Assessment of the Impact and Opportunities of the Hong Kong-Zhuhai-Macao Bridge to Hong Kong in the New Economic Situation	588,000	22/1/2015
6	2014-15 Second Round	HKU	Industrial Land Use Changes in Response to Economic Restructuring in Hong Kong	704,812	31/10/2015
7	2014-15 Second Round	Hong Kong Academy of Engineering Sciences	Enhancing Policy on Building Maintenance: Solving the Residential Seepage Problem	737,150	14/9/2015

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
8	2014-15 Second Round	HKU	Developing Sustainable Hong Kong through Low Impact Development: from Science to Innovation Policy	455,975	14/12/2015
9	2014-15 Second Round	HKU	Repeated Planning Applications by Developers under Statutory Zoning: A Hong Kong Case Study of Delays in Private Residential Development	640,366	7/2/2016
10	2014-15 Second Round	HKUST	Hong Kong People in Shenzhen: Facts and Challenges	229,453	31/7/2015
11	2014-15 Second Round	PolyU	Enhancing Hong Kong's Competitiveness as a Regional Cruise Hub from a Policy Perspective	556,600	30/11/2015
12	2014-15 Third Round	CityU	The Drafting Policy for Hong Kong's Bilingual Legislation: A Communicative Approach	318,037	14/3/2016
13	2014-15 Third Round	HKIEd#	Poverty of Ethnic Minority Children in Hong Kong	322,414	31/10/2015
14	2014-15 Fourth Round	CityU	Investigating the Possibility of Incorporating the Effect of Photovoltaic Panel and Photovoltaic Glazing Systems in the Overall Thermal Transfer Value Calculation in Hong Kong	157,895	9/10/2015
15	2014-15 Fourth Round	CityU	Student Visa Holders in Rental Market: A Study of Mainland Students Housing Choice and Their Impact on Hong Kong's Housing Market	294,883	31/3/2016
16	2014-15 Fourth Round	CityU	Performance Information Use: Experiments on Performance Dimensions, Communication and Data Sources in Education and Solid Waste Recycling	597,264	9/6/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
17	2014-15 Fourth Round	CUHK	Vocational-Oriented Education at Senior Secondary Level: Perceptions, Decision-Making, and Life Planning	691,211	31/8/2016
18	2014-15 Fourth Round	HKIEd#	How to Increase the Demand for Annuity in Hong Kong: A Study of Middle-Aged Adults	767,917	31/3/2016
19	2014-15 Fourth Round	HKU	e-Learning in Formal, Informal and Open Learning Contexts: A Study of Global Trends, Policy Options and Their Implications for Sustainable Development in Hong Kong	885,489	31/3/2016
20	2014-15 Fourth Round	HKU	A Possible Zero Carbon Building Policy for Hong Kong: Opportunities, Risks and Recommendations	408,894	8/6/2016
21	2014-15 Fourth Round	HKU	Redeveloping a Governance Model of the Complaint-Handling Process for Sufficient, Accountable and Transparent Regulation of Medical Professionals in Hong Kong	911,536	Withdrawn
22	2014-15 Fourth Round	PolyU	Feasibility Study of Implementing Indoor Air Quality Index in Hong Kong	365,700	31/12/2016

# Public Policy Research Funding Scheme 2015-16 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2015-16 First Round	CityU	Making Policy for Child Care in Hong Kong	476,123	14/9/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
2	2015-16 First Round	CityU	How to Improve Participatory Mechanisms in The Processes of Urban Redevelopment: The Case of Kowloon East (Hong Kong)	498,251	30/11/2016
3	2015-16 First Round	CUHK	Enforcing and Complying with Voluntary Agreements as an Alternative Environmental Policy Instrument	195,332	30/11/2015
4	2015-16 First Round	HKBU	Shaping Arts Development and Education in Facilitating the Role of Hong Kong as a Cultural Hub of the Region	332,350	31/8/2016
5	2015-16 First Round	HKIEd#	Impact of Family Friendly Policies: A Panel Study in Hong Kong	448,945	30/4/2017
6	2015-16 First Round	HKIEd#	A Panel Study of Media Effects on Hong Kong Youth's Political Participation	667,176	In Progress
7	2015-16 First Round	Hang Seng Management College	Preventing Marginalization in Air Passenger and Freight Businesses - A Global Network Decision Support System	654,500	30/6/2017
8	2015-16 First Round	PolyU	Civil and Criminal Liabilities for Ship-Source Pollution in Hong Kong: Taking Stock of the Present and Seeing the Way Forward	278,530	21/9/2016
9	2015-16 First Round	PolyU	Does Dual-Class Share Structure Create Value for Shareholders in the Long Run? Evidence from an Empirical Study of Global Markets with Implications for Hong Kong Stock-Listing Policies	898,840	In Progress
10	2015-16 Second Round	CityU	Sustainability and Social Mobility in Professional Services: A Case Study of Accounting Profession in Hong Kong	548,775	2/11/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
11	2015-16 Second Round	CityU	Exploration and Evaluation of Policy Options for Tackling the Illegal Subdivided Unit Problem in Hong Kong	212,175	30/11/2016
12	2015-16 Second Round	HKBU	Impacts of the Residential Physical and Social Environment on Daily Walking Behavior of Older Adults in Hong Kong	499,878	In Progress
13	2015-16 Second Round	HKIEd#	Towards a Refugee Policy for an Inclusive Hong Kong: Enhancing the Status of China's International City	652,303	31/8/2016
14	2015-16 Second Round	HKU	Birds of a Feather: Cross-Group Interaction between Mainland and Local University Students in Hong Kong	242,236	14/12/2016
15	2015-16 Second Round	HKU	Public Transport Policy Measures to Improve the Mobility of the Elderly in Hong Kong	526,700	23/12/2016
16	2015-16 Second Round	PolyU	Evaluation of Green Practices for Grocery Retailers in Hong Kong and the Policy Implications for Sustainable Development	294,400	20/9/2016
17	2015-16 Second Round	PolyU	The Effect of Isomorphic Pressure for Building Information Modelling in Hong Kong Construction Industry	470,695	In Progress
18	2015-16 Third Round	CityU	Discontinuing Youth's Violent Involvements with Social Capital Development	817,420	4/10/2016
19	2015-16 Third Round	CUHK	Immigrant Entrepreneurship among Mainland Chinese University Graduates in Hong Kong: An Empirical Study with Multiple Perspectives	722,455	30/9/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
20	2015-16 Third Round	CUHK	Impact of Social Mobility on the Political Attitudes and Behaviours of Young People: A Comparative Study of Hong Kong, Taiwan, and Macao	1,636,653	30/11/2016
21	2015-16 Third Round	CUHK	Designing a Sustainable Public-Private-Partnership Program to Enhance Diabetes Care and Evaluating Its Impact Using an Outcomes Simulation Model	552,000	14/2/2017
22	2015-16 Third Round	HKBU	An Exploration of School Fieldtrip to Mainland in Hong Kong Secondary Schools: Students' Self-Authoring Civic Identity	630,766	14/6/2017
23	2015-16 Third Round	HKU	A Sustainable Tourism and Mobility Framework for Assessing the Effects of the Individual Visit Scheme on the Public Transportation System in Hong Kong	690,000	In Progress
24	2015-16 Fourth Round	CityU	Tenant Purchase, Assisted Home Ownership and Social and Residential Mobility	657,296	31/5/2017
25	2015-16 Fourth Round	Civic Exchange	The First Baseline Study of the General Public's Awareness and Attitudes Towards Biodiversity Conservation in Hong Kong	838,120	In Progress
26	2015-16 Fourth Round	HKIEd#	Enhancing Executive Functioning of Children Living in Poverty: A Randomized Experiment Study	856,452	In Progress
27	2015-16 Fourth Round	HKUST	Carbon Trading in International Climate Cooperation and Its Implications to Hong Kong	195,500	In Progress
28	2015-16 Fourth Round	PolyU	Electrification of Single-Deck Bus and Minibus in Hong Kong	700,000	31/12/2016

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
29	2015-16 Fourth Round	PolyU	Toward a Better Understanding of the Chinese Mindset in Cruise Tourism Development: A Conjoint Analysis of Chinese Travelers' Preferences for Cruising Attributes	463,025	In Progress

# Public Policy Research Funding Scheme 2016-17 Financial Year

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2016-17 First Round	CityU	A Pan-Asian Field Study of Corporate Awareness to Information Security and Preparedness Against Cybercrimes	655,500	31/7/2017
2	2016-17 First Round	CityU	An Experimental Study of National Identity Among Hong Kong Youth	415,150	In Progress
3	2016-17 First Round	CityU	The "Citizen Satisfaction Assessment Tool": Applying Expectancy Disconfirmation Theory to Public Services in Hong Kong	961,400	In Progress
4	2016-17 First Round	CUHK	Impacts of Implementing "Low-income Working Family Allowance" on Labour Market Situation and Quality of Life of Low Income Working Families in Hong Kong	999,299	In Progress
5	2016-17 First Round	CUHK	Attitudes and Levels of Support Toward Same-Sex Civil Union and Same-Sex Marriage Legislation among the General Public and Homosexual People in Hong Kong - A Comparative Study	981,966	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
6	2016-17 First Round	HKIEd#	Hong Kong Youths' National Identity: Impacts of Mainland Exchange Programmes, Study Tours and Volunteer Activities	403,880	In Progress
7	2016-17 First Round	HKU	Hong Kong-Shenzhen-Guangzhou as a Multi-gateway Trading City-region for Cross-border e-retailing: A Geographical Analysis	605,337	31/5/2017
8	2016-17 First Round	HKU	Financial Impacts of Family Caregiving: An Investigation of the Moderating Effects of Workplace Accommodative Measures and Domestic Helpers	559,632	In Progress
9	2016-17 First Round	HKU	Creative Industries in Flux: A Critical Investigation into the Challenges, Agency and Potential of Cultural and Creative Workers in Hong Kong	500,000	In Progress
10	2016-17 First Round	LU	Hong Kong Non-Governmental Welfare Organisations in Mainland China: Services, Challenges and Opportunities	930,460	In Progress
11	2016-17 First Round	The Open University of Hong Kong	Feasibility Study of Old Age Pension Scheme in Hong Kong: An Employee's Perspective	345,028	7/3/2017
12	2016-17 First Round	PolyU	Developing A Globalised Industry under the Context of Territorial Policy - The Development of Aviation Maintenance, Repair and Overhaul Industry in Singapore and Hong Kong	611,225	30/4/2017

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
13	2016-17 First Round	PolyU	Association Among Father Involvement in Pregnancy and Childbirth, Pregnancy Violence and Health Outcomes: Does It Help Promote Good Health Among Newborns, Mothers, and Fathers?	649,035	In Progress
14	2016-17 Second Round	CUHK	Achieving Sustainable Urban Park Management in Hong Kong through the Development of Indicators	340,786	In Progress
15	2016-17 Second Round	EdUHK	Youth Radicalism in Hong Kong: Exploring Changes in Adolescents' Civic Consciousness and Attitudes to the Nation	569,905	In Progress
16	2016-17 Second Round	HKU	A Study on Population Dynamics in One Belt One Road: Opportunities and Challenges	617,298	In Progress
17	2016-17 Second Round	HKU	Performance of the Self-assessment Practice of Service Performance Monitoring System	561,547	In Progress
18	2016-17 Second Round	HKU	Demarcation or Integration?: Improving Effectiveness of Traditional Chinese Medicine Use in Hong Kong	399,326	In Progress
19	2016-17 Second Round	PolyU	Exploring the Effects of Airbnb on the Hong Kong Tourism Industry	256,818	In Progress
20	2016-17 Third Round	CUHK	A Study on the Development of Palliative and End-of-Life Care Services in Hong Kong	480,801	In Progress
21	2016-17 Third Round	EdUHK	Investment Pattern and Performance of Mandatory Provident Fund Scheme Members: A Historical Administrative Record Analysis	336,390	In Progress
22	2016-17 Third Round	EdUHK	Promotion of Volunteerism among Hong Kong Retirees: An Intervention Study	712,307	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
23	2016-17 Third Round	HKBU	World Values Survey 2017: Generating Data for Trust Maintenance, Repair, and Better Governance in Post-Occupy Hong Kong	1,025,243	In Progress
24	2016-17 Third Round	HKUST	Energy Behavior Patterns in Hong Kong: The Role of Bounded Rationality and Peer Pressure in Air Conditioner Use	568,445	In Progress
25	2016-17 Third Round	PolyU	An Empirical Study of the Town Planning Board's Planning Control Decisions on Residential Development Applicants since Hong Kong's Handover to China	369,909	In Progress
26	2016-17 Fourth Round	CityU	Developing Appropriate Typical Weather Data for Applications in Building Related Codes of Practices and Design Guidelines in Hong Kong	314,928	In Progress
27	2016-17 Fourth Round	CityU	The Development of a New Media Expertise in the Creative Economy of Hong Kong	550,620	In Progress
28	2016-17 Fourth Round	CUHK	Knowledge and Perceptions towards Gender-Based Violence of Minority Girls in Hong Kong	200,000	In Progress
29	2016-17 Fourth Round	HKU	A Survey Experiment on Discontent Among the Youth: Welfare or Politics?	220,368	In Progress
30	2016-17 Fourth Round	HKU	The Experience of Stigma in Patients with Mental Disorders: Are There Improvements in the Past 15 Years?	346,293	In Progress
31	2016-17 Fourth Round	LU	One Belt One Road: China's Motives and Hong Kong's Roles	468,050	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
32	2016-17 Fourth Round	LU	Deriving Public Policy for Hong Kong as an Infrastructure Financing Hub and Super-connector in Project Finance: The Belt and Road Initiative	691,783	In Progress

# <u>Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2017-18 First Round	CUHK	Maintaining Hong Kong Aviation-hub Position Under the ASEAN - China Air Transport Agreement	481,491	In Progress
2	2017-18 First Round	EdUHK	Life Course Effects on Marital Stability: Experience of Remarried Mainland Chinese Migrant Women in Hong Kong	500,000	In Progress
3	2017-18 First Round	HKBU	Investigating Hong Kong Students' Critical News Literacy in the Age of Social Media	492,982	In Progress
4	2017-18 First Round	HKBU	Differential In-migration, Housing Access and Spatial Segregation: Hong Kong since 1997	825,125	In Progress
5	2017-18 First Round	Hong Kong Shue Yan University	From Ketamine to Ice: Neutralisation Techniques and Risk Perception of Adolescent Drug Abusers	422,464	In Progress
6	2017-18 First Round	HKU	Exploring the Motivations, Incentive Designs, and Performance of Open Innovation in Hong Kong	297,032	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
7	2017-18 First Round	HKU	Associations between Emerging Political Ideology, Political Participation and Social Media Use: Making Sense of the Connections between "Localism", "Populism", and "Post-materialism" in Hong Kong	780,234	In Progress
8	2017-18 First Round	LU	Construction of Mainland China - Hong Kong Economic Integration Index and Its Application to Facilitate Public Policy Research in Hong Kong	494,960	In Progress
9	2017-18 First Round	PolyU	Facilitating the Diffusion of Building Information Modelling in the Hong Kong Construction Industry: A Network Perspective	716,335	In Progress
10	2017-18 Second Round	CityU	Enhancing the Environmental Effectiveness of Overall Thermal Transfer Value Regulation for Green Building Design in Hong Kong	304,750	In Progress
11	2017-18 Second Round	CUHK	Out-of-home Activities and Social Exclusion among Hong Kong's Aging Population: A Study of the Elderly's Activity-travel Patterns and Its Implication on Well-being	397,853	In Progress
12	2017-18 Third Round	CityU	Demographic and Social Indicators of Youth Volunteering in Hong Kong	842,950	In Progress
13	2017-18 Third Round	CUHK	Investigating the Preference, Attitude and Perception of Frail Older People on Consumer-directed Long-term Care in Hong Kong	490,907	In Progress

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
14	2017-18 Third Round	CUHK	Promoting e-mobility in Hong Kong: Institutional and Spatial Contexts, Public Acceptance, and the Location Choice of Public Electric Vehicle Charging Facilities	530,725	In Progress
15	2017-18 Third Round	HKU	Opening Doors, Creating Pathways - A Qualitative Study of Social Harms and Service Access of Young People from Ethnic Minority Backgrounds in Hong Kong	400,000	In Progress
16	2017-18 Third Round	HKU	Financial Inclusion and Bank Account Opening: Deploying Financial Technology and Regulatory Technology for Improving Banking Services Accessibility Inside Hong Kong's Anti-Money Laundering Law	295,550	In Progress
17	2017-18 Third Round	LU	Relationship between Poverty and Neurocognitive Skills	700,000	In Progress
18	2017-18 Fourth Round	CUHK	Tracking the Future: The Perception of Future and Aspirations of Hong Kong Youth	345,000	In Progress
19	2017-18 Fourth Round	EdUHK	Family Policies, Social Norms and Fertility Decisions: A Survey Experiment	764,750	In Progress
20	2017-18 Fourth Round	EdUHK	Global Governance Hub: A New Dimension in Hong Kong's Global City Strategy?	957,766	In Progress
21	2017-18 Fourth Round	PolyU	Clear up Toxic Smog and Improve Air Quality in Hong Kong	498,410	In Progress

<sup>#</sup> With effect from 27 May 2016, "The Hong Kong Institute of Education" has been renamed "The Education University of Hong Kong".

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2016-17 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2016-17	CityU	Hong Kong Professional Services in the Co-Evolving Belt-Road Initiative: Innovative Agency for Sustainable Development	3,400,000	In Progress
2	2016-17	HKU	The Implementation of "One Country Two Systems" in Hong Kong	3,000,000	In Progress
3	2016-17	HKUST	Trade and Investment under "One Belt One Road" and Implications for Hong Kong	3,400,000	In Progress

# <u>Strategic Public Policy Research Funding Scheme</u> <u>2017-18 Financial Year</u>

No.	Approval Dates of the Funding	Institution/ Think Tank	Project Title	Funding Amount (HK\$)	Project Completion Date
1	2017-18	HKU	Antimicrobial Resistance Policy Framework in Big Bay Area (Guangdong-Hong Kong-Macao)	3,500,000	In Progress
2	2017-18	HKU	In Search of New Economic Cooperation Models Between Hong Kong and the Big Bay Area	3,500,000	In Progress
3	2017-18	HKUST	Strategies for Enhancing Walkability in Hong Kong via Smart Policies	3,500,000	In Progress

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO046** 

## (Question Serial No. 6015)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

Please tabulate the research and promotional projects relating to ethnic minorities and /or non-Chinese speaking students approved by the Central Policy Unit (CPU) from 2006 to 2017 with the following details:

- name of institution(s) and/or consultancy;
- title of research project
- objective and content
- manpower and expenses involved
- start date
- progress of research (under planning/ in progress/ completed date)
- Administration's follow-up to the research report and the progress
- For completed research, has the executive summary/full report been made publicly available; if yes, through which channels; if no, explain why.

Asked by: Hon KWOK Wing-hang, Dennis (Member Question No. (LegCo use): 121)

Reply:

Research projects relating to ethnic minorities and non-Chinese speaking students approved under the Public Policy Research (PPR) Funding Scheme from 2013-14 to 2017-18 financial years are detailed as follows:

No.	Date of approval	Institution/ Think tank	Project title	Fund awarded (HK\$)	Commencement date of research	Completion date of research
1	2013-14	The Chinese	Processes of Children's Literacy			
	First	University of	Acquisition in Chinese as a	461,088	31/12/2013	30/3/2016
	Round	Hong Kong	Second Language			
2	2016-17	The Chinese	Knowledge and Perceptions			
	Fourth	University of	towards Gender-Based Violence	200,000	6/2/2017	5/8/2017
	Round	Hong Kong	of Minority Girls in Hong Kong			
3	2017-18	The	Opening Doors, Creating			
	Third	University of	Pathways - A Qualitative Study of			
	Round	Hong Kong	Social Harms and Service Access	400,000	11/12/2017	In progress
			of Young People from Ethnic	400,000	11/12/2017	In progress
			Minority Backgrounds in Hong			
			Kong			

As for another Funding Scheme, i.e. the Strategic Public Policy Research (SPPR) Funding Scheme, no related research project was funded.

According to the usual arrangement, reports of the research projects funded by the PPR Funding Scheme and the SPPR Funding Scheme will be passed to relevant policy bureaux for reference upon acceptance by the Assessment Panel and they will be uploaded onto the website of the Central Policy Unit/the Policy Innovation and Co-ordination Office (PICO) for public reference. At present, 63 research reports of the PPR Funding Scheme have been uploaded onto PICO's website. Since the SPPR Funding Scheme is still at an initial stage, the relevant approved research projects are still in progress, and there has been no completed project so far. PICO will also continue to organise public policy research seminars to invite academics who conduct public policy researches to share their experience and findings with think tanks, government officials, non-governmental organisations, etc.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO047** 

(Question Serial No. 5782)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

In respect of promoting the implementation of the Electronic Recordkeeping System (ERKS) across all bureaux/departments, what are the work plans, timetable, expenditure and manpower involved for 2018-19?

Asked by: Hon MOK Charles Peter (Member Question No. (LegCo use): 172)

# Reply:

Subsequent to the successful completion of the first phase of Electronic Recordkeeping System (ERKS) implementation in 5 bureaux/departments (B/Ds), the Government commenced the second phase of implementation in late 2015 with the participation of 6 departments. Among them, the Administration Wing, the Intellectual Property Department and the Office of the Government Chief Information Officer have successfully launched their respective ERKS. The Civil Engineering and Development Department has been progressively launching ERKS in its different sections and units since March 2017, aiming to implement the system for the use by the last batch of its staff in the second half of 2018; whereas the Architectural Services Department and the Marine Department will implement the ERKS by phases starting from early 2018. Upon initial completion of the second phase of implementation, the Government will assess and review its effectiveness in 2018, and the results will enable the Government to make informed decisions on the long-term strategy for the full extension of ERKS across the Government.

The implementation of ERKS is conducted through the collaboration of the government departments concerned. The Government Records Service (GRS) is mainly responsible for formulating the standards and functional requirements of ERKS, and providing assistance and advice to B/Ds on the implementation of ERKS. The above work is undertaken by an office comprising 6 Executive Officer grade staff in the GRS as part of their ongoing duties. No separate provision has therefore been made for such work in 2018-19.

Reply Serial No.

## CONTROLLING OFFICER'S REPLY

**CSO048** 

(Question Serial No. 5783)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

As mentioned in paragraph 27 of the Budget Speech, "the Commission for the Planning of Human Resources led by the Chief Secretary for Administration will commence operation shortly. Fostering collaboration across bureaux and various sectors, the Commission will examine and co-ordinate existing policies and measures from a macro perspective." What are the work plan, schedule, expenditure and manpower in respect of human resources planning policies for 2018-19?

Asked by: Hon MOK Charles Peter (Member Question No. (LegCo use): 173)

# Reply:

The Human Resources Planning Commission will review and co-ordinate policies on human resources in a holistic manner and follow up and refine the population policy with a view to further developing Hong Kong into a high value-added and diversified economy. It was established on 1 April 2018.

The Government will set up a dedicated unit under the Chief Secretary for Administration's Office to provide secretariat and policy support services for the Human Resources Planning Commission and the Commission on Poverty. The proposed establishment for the dedicated unit includes 12 civil service posts as follows:

Rank	No. of Post
Administrative Officer Staff Grade B	1
Administrative Officer Staff Grade C	1
Senior Economist	1
Senior Statistician	1
Statistician	1
Administrative Officer	2
Executive Officer II	1
Personal Secretary I	2
Assistant Clerical Officer	2
Total	12

The proposal of creating two supernumerary directorate posts in the dedicated unit will be submitted to the Establishment Subcommittee under the Finance Committee of the Legislative Council and the Finance Committee of the Legislative Council for deliberation. The tenure of the dedicated unit will expire on 30 June 2022.

The estimated total expenditure of the dedicated unit in 2018-19 is about \$28 million, which includes civil service remuneration and staff-related expenditure of about \$13 million and recurrent expenditure of about \$15 million.

**CSO049** 

(Question Serial No. 6369)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

# **Question:**

Please advise on the number of meetings held, the issues discussed, the attendance of members, and whether any minutes of meeting have been taken and made public since the establishment of the Chief Executive's Council of Advisers on Innovation and Strategic Development, as well as its work plan and schedule for 2018-19. If such information cannot be provided, what are the reasons?

Asked by: Hon MOK Charles Peter (Member Question No. (LegCo use): 155)

#### Reply:

Upon its inception on 21 March 2018, the Chief Executive's Council of Advisers on Innovation and Strategic Development (the Council) convened its first meeting in the same month, and is expected to hold a total of 4 meetings in 2018. During the first meeting, members were mainly briefed on the Council's mode of operation and Hong Kong's overall situation, including local economic conditions and major national development strategies including the Belt and Road Initiative and the Guangdong-Hong Kong-Macao Bay Area Development. A total of 36 members attended the meeting and a press release was issued after the meeting to sum up the issues discussed and the Council's preliminary discussion directions.

**CSO050** 

(Question Serial No. 5225)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

(a) What are the numbers of attendance to the Search Room of the Government Records Service (GRS) over the past 5 years?

(b) What are the total numbers and linear metres of records accessed by the public in the GRS Search Room over the past 5 years?

Asked by: Hon TAM Man-ho, Jeremy (Member Question No. (LegCo use): 619)

Reply:

(a) The numbers of visitors to the Search Room of the Government Records Service (GRS) over the past 5 years (2013 to 2017) are as follows:

Year	Number of visitors
2013	3 526
2014	4 756
2015	5 475
2016	5 664
2017	6 038

(b) The total numbers of archival records accessed by the public in the Search Room over the past 5 years (2013 to 2017) are as follows:

Year	Total number of records accessed
2013	15 114
2014	12 846
2015	15 161
2016	19 603
2017	23 844

GRS does not maintain information on the linear metres of archival records accessed.		
- End -		

**CSO051** 

(Question Serial No. 5275)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

#### **Question:**

Regarding the re-organisation of the Central Policy Unit into the Policy Innovation and Coordination Office (PICO), will the Government inform this Committee of:

- Whether the functions of the PICO will overlap those of other existing inter-departmental working groups;
- The policy areas involved by the PICO; and
- Whether the PICO will assist in the coordination of cross-department innovation policies, for example, similar to Transport for London which coordinates the drafting of policy programmes covering transport, environment and public health.

Asked by: Hon TAM Man-ho, Jeremy (Member Question No. (LegCo use): 308)

# Reply:

One of the major duties of the Policy Innovation and Coordination Office (PICO) is to carry out research on and co-ordinate major cross-bureaux policies selected by the Chief Executive and Secretaries of Departments. These policies will reflect the priority of the current-term Government. The policy areas involved are not preconceived, but will be determined according to actual needs. PICO is under the direct steer of the most senior leadership of the Government and has been given a clear mandate to coordinate the deliberation and formulation of selected complex cross-bureaux policies, and to collaborate with relevant policy bureaux and departments in defining problems and identifying innovative and feasible solutions to address the problems. On the other hand, the Government will set up inter-departmental working groups at various levels to tackle specific issues from time to time. These groups are usually formed on an ad hoc basis, with existing staff of the relevant policy bureaux and departments. PICO's work will not overlap with that of existing inter-departmental working groups.

**CSO052** 

(Question Serial No. 3797)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (1) Policy Innovation and Co-ordination Office

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

What is the progress of the setting up of the Policy Innovation and Co-ordination Office (PICO)? What is the estimated total expenditure on the operation of the PICO involved? Has recruitment been completed? What is the estimated total number of posts to be created? What are the duties and emolument expenditure of the posts involved? What is the percentage of the emolument expenditure in the total expenditure of the PICO? What policy research projects are planned for the coming year?

Asked by: Hon WONG Ting-kwong (Member Question No. (LegCo use): 59)

Reply:

On 23 February 2018, the Finance Committee of the Legislative Council endorsed the staffing proposal for the re-organisation of the Central Policy Unit (CPU) as the Policy Innovation and Co-ordination Office (PICO) with effect from 1 April 2018. The estimated expenditure of PICO for 2018-19 is \$123.8 million.

The written tests and interviews of the recruitment exercise for the Senior Policy and Project Co-ordination Officers and Policy and Project Co-ordination Officers, who will be employed by PICO on non-civil service contract terms, have been completed. Upon completion of the recruitment formalities, appointment letters will be issued. The number of recruits is targeted at 20-30. It is expected that the appointees will report duty within the first quarter of 2018-19. The duties of the Policy and Project Co-ordination Officers include: (a) undertaking public policy research and analysis, including drafting of study briefs, collection and analysis of data and information, policy evaluation and report write-up; (b) assisting in cross-bureau policy co-ordination and project facilitation; (c) maintaining liaison with the policy research community, including the academia and think tanks; (d) organising public engagement exercises, including focus groups, seminars and public meetings; and (e) providing secretariat support to relevant committees and panels, or research funding schemes. The estimated expenditure on emolument of the Policy and

Project Co-ordination Officers for 2018-19 is \$16.31 million, accounting for about 13% of PICO's total expenditure.

One of the major duties of PICO is to carry out research on and co-ordinate major cross-bureaux policies selected by the Chief Executive (CE) and Secretaries of Departments. These policies will reflect the priority of the current-term Government. Given that the research method, scale and complexity of each research project may vary, and that the CE and Secretaries of Departments may assign new research and co-ordination tasks to PICO from time to time in light of social development and new situations encountered in the course of administration, we are unable to estimate the number of major policies requiring research and co-ordination in 2018-19 at this moment.

**CSO053** 

(Question Serial No. 3798)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (2) Government Records Service

Controlling Officer: Director of Administration (Ms Kitty CHOI)

Director of Bureau: Director of Administration

#### **Question:**

In the "Analysis of Financial and Staffing Provision", it is stated that the provision for 2018-19 is \$17.4 million higher than the revised estimate for 2017-18, which is mainly due to the increase of 15 posts to meet operational needs, filling of vacancies and salary increment for staff. What are the details of the 15 newly created posts in terms of their duties and expenditure on their salaries?

Asked by: Hon WONG Ting-kwong (Member Question No. (LegCo use): 60)

# Reply:

The Law Reform Commission (LRC) has studied the existing records management system of Hong Kong and the relevant laws of other jurisdictions, and will conduct public consultation with a view to making appropriate recommendations on possible options for reform if need be. The Government will follow up on this subject after receiving the report from the LRC. In this regard, the Government Records Service will reserve funding of \$9.85 million in 2018-19 for creating 15 posts to follow up on the subject of archives law after the LRC has submitted the report. The salary expenditures of the posts are as follows:

Post and No.	Salary expenditure
	(\$)
1 Senior Administrative Officer	1,389,540
1 Archivist	1,389,540
2 Senior Assistant Archivists	1,468,080
4 Assistant Archivists	1,944,240
1 Systems Manager	989,100
1 Curator	989,100
1 Assistant Curator I	734,040
3 Assistant Clerical Officers	787,680
1 Workman II	162,960

**CSO054** 

(Question Serial No. 3799)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

The Administration Wing will take over the responsibilities of overseeing legal aid and free legal advice matters from the Home Affairs Bureau starting from 1 July 2018. What are the reasons for such a change? What are the details of the estimated manpower and financial resources to be allocated?

Asked by: Hon WONG Ting-kwong (Member Question No. (LegCo use): 61)

Reply:

As announced in the Chief Executive's 2017 Policy Address, the Government will implement the Legal Aid Services Council's earlier proposal to transfer the responsibilities for formulating legal aid policy and housekeeping the Legal Aid Department from the Home Affairs Bureau to the Chief Secretary for Administration's Office, thereby underlining the independence of the legal aid system. The transfer will take effect on 1 July 2018 and the exercise is cost-neutral. To ensure continuity and to tie in with the transfer of the legal aid portfolio, 1 directorate and 7 non-directorate civil service posts will be transferred from Head 53 - Government Secretariat: Home Affairs Bureau to Head 142 - Government Secretariat: Offices of the Chief Secretary for Administration and the Financial Secretary. A provision of \$125.3 million under Head 53 will be transferred to Head 142 to cover nine months' expenditure from 1 July 2018 to 31 March 2019.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

**CSO055** 

## (Question Serial No. 3800)

Head: (142) Government Secretariat: Offices of the Chief Secretary for

Administration and the Financial Secretary

Subhead (No. & title): (000) Operational expenses

<u>Programme</u>: (3) CSO - Administration Wing

<u>Controlling Officer</u>: Director of Administration (Ms Kitty CHOI)

<u>Director of Bureau</u>: Director of Administration

# **Question:**

In 2018-19, the Administration Wing will set up a dedicated unit to co-ordinate the implementation of policies relating to population, poverty alleviation and human resources planning. What are the details of the work plan and schedule as well as the manpower and expenditure involved?

Asked by: Hon WONG Ting-kwong (Member Question No. (LegCo use): 62)

Reply:

The dedicated unit to be set up under the Chief Secretary for Administration's Office is responsible for providing secretariat and policy support services to the two high-level commissions chaired by the Chief Secretary for Administration, namely the Human Resources Planning Commission (HRPC) and the Commission on Poverty (CoP). The HRPC will review and co-ordinate policies on human resources in a holistic manner and follow up and refine the population policy with a view to further developing Hong Kong into a high-value added and diversified economy. The CoP is responsible for examining various policies and measures in support of the Government's poverty alleviation work for achieving the objectives of preventing and alleviating poverty.

The proposed establishment for the dedicated unit includes 12 civil service posts as follows:

Rank	No. of Post
Administrative Officer Staff Grade B	1
Administrative Officer Staff Grade C	1
Senior Economist	1
Senior Statistician	1
Statistician	1
Administrative Officer	2
Executive Officer II	1
Personal Secretary I	2
Assistant Clerical Officer	2
Total	12

The proposal of creating two supernumerary directorate posts in the dedicated unit will be submitted to the Establishment Sub-committee under the Finance Committee of the Legislative Council and the Finance Committee of the Legislative Council for deliberation. The tenure of the dedicated unit will expire on 30 June 2022.

The estimated total expenditure of the dedicated unit in 2018-19 is about \$28 million, which includes civil service remuneration and staff-related expenditure of about \$13 million and recurrent expenditure of about \$15 million.