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### Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2018-19

**Director of Bureau : Commissioner, Independent Commission Against Corruption**

**Session No. : 3**

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**CONTROLLING OFFICER'S REPLY**

**ICAC001**

**(Question Serial No. 3159)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

According to the Programme, the Commission will provide timely advice and assistance to the Urban Renewal Authority to build in corruption prevention safeguards in the implementation of Operation Building Bright (OBB) 2.0. In this connection, please provide in table form the number of complaints received by the ICAC concerning bid-rigging in OBB, the number of case files opened and the number of substantiated complaints involved in the past 3 years; the estimated manpower and expenditure involved in preventing bid-rigging in OBB, and the amount of resources to be spent by the Commission on publicity and education in disseminating anti-bid-rigging messages for the coming year.

Asked by: Hon CHAN Chi-chuen (Member Question No. (LegCo use): 53)

Reply:

- The Independent Commission Against Corruption (ICAC) has always strived to combat corruption in building management and maintenance (including corrupt bid-rigging activities), which affects people's livelihood and is an issue of public concern. The Operations Department of the ICAC has an established investigation group dedicated to the investigation of corruption cases in this regard. The group currently comprises 43 officers. In addition, the Operations Department has further set up a task force comprising 9 officers since April 2015 through redeployment of its resources and manpower to handle corruption complaints which involve bid-rigging. As Operation Building Bright (OBB) 2.0 is to be launched in mid-2018, the ICAC will heighten vigilance and flexibly deploy resources to address the challenges. The dedicated investigation group will adopt a "double barrel" strategy. On the one hand, pursuable complaints will be investigated, and prosecutions would be made if the complaints are found substantiated. On the other hand, apart from gathering evidence, the ICAC would also take timely intervention action, where appropriate, to frustrate possible corrupt bid-rigging activities at an early stage and alert owners of the potential risk of

corruption in the granting of works contracts, so that owners can consider taking suitable preventive measures against bid-rigging, such as replacing the renovation contractor or consultant, or even re-organising the owners' corporation (OC) when necessary.

- Moreover, the ICAC has established an internal task force comprising officers from the Operations Department, Corruption Prevention Department and Community Relations Department to regularly conduct analyses and reviews on corruption in the building management industry, and to formulate a holistic strategy to combat corruption related to building management and maintenance. Externally, the ICAC works closely with relevant concern groups and government departments/bodies, including the Urban Renewal Authority (URA), Hong Kong Police Force, Home Affairs Department, Buildings Department and Competition Commission which has a specific mandate in fighting bid-rigging activities. As always, the ICAC will spare no effort in implementing the three-pronged approach with a view to eradicating corruption in the building management and maintenance industry through law enforcement, corruption prevention and community education.
- Please find below the number of corruption complaints received by the ICAC involving bid-rigging in building maintenance works<sup>1</sup> in the past 3 years.

<b>Year</b>	<b>Corruption Complaints</b>	<b>Pursuable Complaints</b>	<b>Investigations completed as at 28 February 2018</b>	<b>Investigations still ongoing as at 28 February 2018</b>
<b>2015</b>	9 <sup>2</sup> (1)	2(1)	2 <sup>3</sup> (1)	0(0)
<b>2016</b>	16 <sup>4</sup> (2)	10(2)	9 <sup>5</sup> (2)	1(0)
<b>2017</b>	14 <sup>6</sup> (0)	8(0)	5 <sup>7</sup> (0)	3(0)

( ) Number of bid-rigging corruption complaints involving OBB

- The ICAC will provide corruption prevention advice to the URA in respect of OBB 2.0

<sup>1</sup> "Bid-rigging" is defined in Section 2(2) of the Competition Ordinance, Cap. 619. Corruption complaints involving bid-rigging refer to complaints in which the complainants either specifically allege bid-rigging or provide information fitting within the meaning of bid-rigging.

<sup>2</sup> 7 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and 6 of them remained anonymous which rendered clarification not possible.

<sup>3</sup> For the completed investigations of the big-rigging corruption complaints related to building maintenance received in 2015, no prosecution has been made.

<sup>4</sup> 6 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complaints remained anonymous which rendered clarification not possible.

<sup>5</sup> For the completed investigations of the big-rigging corruption complaints related to building maintenance received in 2016, no prosecution has been made. Early intervention action was taken in 4 of the completed investigations (1 of which involved OBB) to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually decided to reorganise the owners' corporation, replace the renovation consultant or suspend the maintenance works, thereby frustrating possible corrupt bid-rigging activities.

<sup>6</sup> 6 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complaints remained anonymous which rendered clarification not possible.

<sup>7</sup> For the completed investigations of the big-rigging corruption complaints related to building maintenance received in 2017, no prosecution has been made. Early intervention action was taken in 1 of the completed investigations to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually suspended the maintenance works, thereby frustrating possible corrupt bid-rigging activities.

and the Fire Safety Improvement Works Subsidy Scheme. In collaboration with the URA and related organisations, the ICAC will brief participating OCs on corruption risks in building maintenance, consequences of corrupt conduct and measures to step up controls over maintenance projects through briefings or thematic seminars and visits to OCs, etc. Efforts will also be made to remind owners to actively participate in building management for effective monitoring of the building maintenance works.

- Apart from launching the Building Maintenance Toolkit and training videos, the ICAC will produce new promotional leaflets and launch thematic webpages on the Integrity and Quality Building Management Website to let owners, OCs and property management companies understand the corruption risks in building management and maintenance (including tendering of works contracts, supervision of works and payment procedures) and the relevant integrity management measures. The Toolkit has been uploaded to the ICAC Website for easy reference by the public. Moreover, the ICAC has also set up an enquiry hotline to handle public enquiries on corruption prevention matters with regard to building management and maintenance.
- Besides working closely with the relevant government departments, public bodies, building management professional bodies, district organisations and offices of members of the district councils to provide participating OCs and owners with tailor-made corruption prevention advice and preventive education services, the ICAC will also promote corruption prevention knowledge to owners of old buildings (including buildings without OCs) and equip them with the skills in managing the relevant corruption risks. They can also enquire and obtain information about clean building management through the ICAC Regional Offices, the enquiry hotline and the thematic website.
- As corruption preventive education work relating to building management and maintenance is part of the ICAC's regular duties, the resources involved are included in the general operating expenses and no itemised budget is made.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC002**

**(Question Serial No. 0590)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The estimated expenditure under Programme (1) for 2018-2019 is (\$m)84, representing an increase of 5.1% from the revised estimates for this year.

According to the staffing analysis, 4 posts will be created by the Independent Commission Against Corruption (ICAC). Please list out:

- 1) the posts concerned and their job nature;
- 2) the expenditure details of each of the posts;
- 3) the ICAC's annual estimates for expenditure on duty visits arising from the increasing number of cases requiring cross-boundary investigation.

Asked by: Hon CHAN Chun-ying (Member Question No. (LegCo use): 6)

Reply:

1. In 2018-19, the 4 posts to be created under Programme (1) consist of 1 post of Senior Commission Against Corruption Officer (SCACO), 1 post of Commission Against Corruption Officer (Upper) (CACO(U)) and 2 posts of Commission Against Corruption Officer (Middle/Lower) (CACO(M/L)). Among them, 3 new posts will be deployed to mainly provide corruption prevention services relating to mega infrastructure projects and controls over major construction materials in public works projects. Such preventive services include conducting assignment studies, reviewing tender documents, giving corruption prevention advice on contract letting and administration, attending tender opening/assessment meetings as observers and providing corruption prevention training to public officers and the relevant

practitioners in a supervisory role. The other new post<sup>1</sup> will be deployed to enable the Independent Commission Against Corruption (ICAC) to provide effective training and consultancy services to other jurisdictions to fulfil the ICAC's obligations under the United Nations Convention Against Corruption to help other state parties, including countries along the route of Belt and Road which are expected to expand economic links with Hong Kong, in anti-corruption capacity building.

2. The notional annual mid-point salary value of the 4 posts is about \$4.5 million in total.
3. As the expenditure on cross-boundary investigation is a regular item which has already been included in the recurrent expenditure, and the actual expenditure will depend on operational needs, the ICAC has not made separate estimates for this end.

- End -

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<sup>1</sup> In 2018-19, the ICAC plans to create 3 new posts in the Centre of Anti-Corruption Studies and International Training under the Administration Branch to provide training and consultancy services to the anti-corruption agencies in other countries/territories. As the Administration Branch is not covered by any specific programme, its expenses are apportioned to the 4 Programmes of the 3 Departments in accordance with the ratio of resources allocated. For these 3 posts, 1 post of CACO(U) will be allocated to Programme (2) Operations, 1 post of CACO (M/L) to Programme (1) Corruption Prevention, and 1 post of Assistant Commission Against Corruption Officer to Programme (4) Enlisting Support.

**CONTROLLING OFFICER'S REPLY**

**ICAC003**

**(Question Serial No. 1818)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Commission will provide timely advice and assistance to the Urban Renewal Authority (URA) to build in corruption prevention safeguards in respect of Operation Building Bright (OBB) 2.0. Apart from integrity management briefings, are there any other forms of activities? What are the staffing and performance indicators concerned?

Asked by: Hon CHENG Chung-tai (Member Question No. (LegCo use): 23)

Reply:

- The Independent Commission Against Corruption (ICAC) will provide corruption prevention advice to the URA in respect of OBB 2.0 and the Fire Safety Improvement Works Subsidy Scheme. In collaboration with the URA and related organisations, the ICAC will brief participating owners' corporations (OCs) on corruption risks in building maintenance, consequences of corrupt conduct and measures to step up controls over maintenance projects through briefings or thematic seminars and visits to OCs, etc. Efforts will also be made to remind owners to actively participate in building management for effective monitoring of the building maintenance works.
- Apart from launching the Building Maintenance Toolkit and training videos, the ICAC will produce new promotional leaflets and launch thematic webpages on the Integrity and Quality Building Management Website to let owners, OCs and property management companies understand the corruption risks in building management and maintenance (including tendering of works contracts, supervision of works and payment procedures) and the relevant integrity management measures. The Toolkit has been uploaded to the ICAC Website for easy reference by the public. Moreover, the ICAC has also set up an enquiry hotline to handle public enquiries on corruption prevention matters with regard to building management and maintenance.
- The ICAC will work closely with the relevant government departments, public bodies, building management professional bodies, district organisations and offices of members of the district councils to provide participating OCs and owners with



tailor-made corruption prevention advice and preventive education services. Efforts will also be made to promote corruption prevention knowledge to owners of old buildings (including buildings without OCs) and equip them with the skills in managing the relevant corruption risks. They can also enquire and obtain information about clean building management through the ICAC Regional Offices, the enquiry hotline and the thematic website.

- In 2017, the ICAC handled over 870 enquiries while the thematic website on building management and the online Toolkit recorded a hit rate of about 370 000 and 17 500 respectively. Besides, over 5 000 copies of the Toolkit and 17 000 sets of educational videos were distributed to owners, OCs and building management bodies, etc., through different channels.
- In 2018, the ICAC plans to reach 500 OCs or building management-related organisations through visits, talks, seminars and other publicity and education activities and brief 3 000 people on the relevant legislation and corruption prevention measures. It is also expected to reach 7 000 people, including OCs and owners participating in OBB 2.0 and the Fire Safety Improvement Works Subsidy Scheme, through exhibitions, district activities and promotional leaflets, etc.
- As corruption preventive education work relating to building management and maintenance is part of the ICAC's regular duties, the resources involved are included in the general operating expenses and no itemised budget is made.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC004**

**(Question Serial No. 1141)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please provide details of the death, incapacity and injury expenses amounting to \$598,000 under Subhead 000 Operational Expenses in 2017–18.

Asked by: Hon KWOK Wing-hang, Dennis (Member Question No. (LegCo use): 22)

Reply:

- The expenses concerned were used in accordance with the established policy to pay the death payment of an Independent Commission Against Corruption employee who passed away in service due to illness.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC005**

**(Question Serial No. 1142)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under Subhead 000 Operational Expenses, which item covers the expenditure on staff contract gratuities? Is the employer's contributions to the Mandatory Provident Fund Scheme of an employee during his/her contract period first deducted from the contract gratuity to be received by the employee? If so, what are the reasons?

Asked by: Hon KWOK Wing-hang, Dennis (Member Question No. (LegCo use): 23)

Reply:

- The expenditure on staff contract gratuities is a Centre-funded item of the Government. The payment is released by the Treasury in accordance with the relevant legislations, regulations and rules.
- To cater for the Mandatory Provident Fund (MPF) system when implemented, the Government adjusted the arrangements for provision of contract gratuities in new contracts (for new recruits and serving staff) in December 1998. The adjustment provides that the contract gratuity payable to a contract staff member plus the employer's MPF contributions by the Government shall be the same as the specified rate before the implementation of the MPF system.
- Pursuant to Section 8(4) of the Independent Commission Against Corruption Ordinance, Cap. 204, the Commissioner and officers shall be employed subject to Government regulations and such administrative rules as apply generally to public officers. Thus, the aforesaid arrangements for contract gratuities and employer's MPF contributions also apply to contract staff of the Commission.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC006**

**(Question Serial No. 2932)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In view of the prevalence and gravity of corruption involving bid-rigging in the maintenance works of housing estates, it is necessary for the ICAC to deploy additional manpower to investigate each complaint. Please inform this Committee of the number of ICAC officers responsible for handling cases involving bid-rigging in maintenance works in each of the past 5 years. In the coming financial year, will more officers be deployed to handle such cases? If so, how many more? If not, why?

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 21)

Reply:

The Operations Department of the Independent Commission Against Corruption (ICAC) has an established investigation group dedicated to the investigation of all corruption cases related to building management and maintenance (including those which involve bid-rigging). This group currently comprises 43 officers. In addition, the Operations Department has further set up a task force comprising 9 officers since April 2015 through redeployment of its resources and manpower to handle corruption complaints which involve bid-rigging.

The ICAC adopts a “double barrel” strategy to investigate corruption cases related to building management and maintenance (including those which involve bid-rigging). On the one hand, pursuable complaints will be investigated, and prosecutions would be made if the complaints are found substantiated. On the other hand, apart from gathering evidence, the ICAC would also take timely intervention action, where appropriate, to frustrate possible corrupt bid-rigging activities at an early stage and alert owners of the potential risk of corruption in the granting of works contracts, so that owners can consider taking suitable preventive measures against bid-rigging, such as replacing the renovation contractor or consultant, or even re-organising the owners’ corporation when necessary.

Moreover, the ICAC has established an internal task force comprising officers from the Operations Department, Corruption Prevention Department and Community Relations Department to regularly conduct analyses and reviews on corruption in the building management industry, and to formulate a holistic strategy to combat corruption related to building management and maintenance. Externally, the ICAC works closely with relevant concern groups and government departments/bodies, including the Urban Renewal Authority, Hong Kong Police Force, Home Affairs Department, Buildings Department and Competition Commission which has a specific mandate in fighting bid-rigging activities. As always, the ICAC will spare no effort in implementing the three-pronged approach with a view to eradicating corruption in the building management and maintenance industry through law enforcement, corruption prevention and community education.

Although the Operations Department has not proposed to create any new posts in 2018-19 for the investigation work, it will flexibly deploy manpower and resources according to the said strategy and its actual operational needs. If necessary, new posts will be applied for in accordance with the existing mechanism.

- End -

**CONTROLLING OFFICER'S REPLY****ICAC007****(Question Serial No. 2933)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ( )Programme: (2) OperationsControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please inform this Committee of the number of corruption reports received by the ICAC involving bid-rigging in the maintenance works of housing estates in each of the past 3 years. Please list the number of cases where investigation has concluded and where investigation is still ongoing.

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 22)Reply:

Please find below the number of corruption complaints received by the Independent Commission Against Corruption involving bid-rigging in building maintenance works<sup>1</sup> in the past 3 years.

<b>Year</b>	<b>Corruption Complaints</b>	<b>Pursuable Complaints</b>	<b>Investigations completed as at 28 February 2018</b>	<b>Investigations still ongoing as at 28 February 2018</b>
<b>2015</b>	9 <sup>2</sup>	2	2	0
<b>2016</b>	16 <sup>3</sup>	10	9	1
<b>2017</b>	14 <sup>4</sup>	8	5	3

- End -

<sup>1</sup> "Bid-rigging" is defined in Section 2(2) of the Competition Ordinance, Cap. 619. Corruption complaints involving bid-rigging refer to complaints in which the complainants either specifically allege bid-rigging or provide information fitting within the meaning of bid-rigging.

<sup>2</sup> 7 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and 6 of them remained anonymous which rendered clarification not possible.

<sup>3</sup> 6 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complaints remained anonymous which rendered clarification not possible.

<sup>4</sup> 6 of the complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complaints remained anonymous which rendered clarification not possible.

**CONTROLLING OFFICER'S REPLY****ICAC008****(Question Serial No. 2934)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ()Programme: (3) Preventive EducationControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide details of the preventive education services on building management offered to owners' corporations by the Community Relations Department in the past 2 years, including the number and attendance of visits, talks and seminars as well as the expenditure and manpower involved in organising the publicity activities each year.

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 23)Reply:

- The preventive education services provided by the Community Relations Department (CRD) in 2016 and 2017 for owners and owners' corporations (OCs) are as follows:

	<b>2016</b>		<b>2017</b>	
	Number of times	Number of people reached	Number of times	Number of people reached
Visits	44	50	49	67
Talks	53	2 160	67	2 945
Seminars (some delivered by officers of the Corruption Prevention Department)	20	1 472	20	1 094

- In addition to the above, the CRD also provided enquiry services through its Regional Offices and the Integrity Building Management Enquiry Hotline to answer questions from OCs and owners and to help them reduce corruption risks in building maintenance. Moreover, the CRD set up an Integrity and Quality Building Management Website where information on anti-bribery legislation and preventive education services in relation to daily building management and maintenance is readily

accessible to the public. In 2016 and 2017, the Independent Commission Against Corruption handled over 780 and 870 enquiries respectively while the thematic website on building management recorded 350 000 and 370 000 views respectively. Meanwhile, the CRD has been working closely with the relevant government departments, professional bodies and district organisations to disseminate clean building management messages. The efforts made included inviting building management bodies and members of the district councils to assist in organising activities such as exhibitions, district activities, meet-the-public sessions and distributing promotional leaflets to hammer home clean building management messages.

- As the above preventive education services fall within the regular duties of the CRD and the 7 Regional Offices under its purview, no additional manpower or expenditure is involved.

- End -



**CONTROLLING OFFICER'S REPLY**

**ICAC009**

**(Question Serial No. 2935)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The Government stated that a multi-faceted education and publicity programme will be launched for the rural elections in the coming year. Please provide details of such work, including the expenditure and manpower involved.

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 24)

Reply:

Rural elections, including the Rural Representative Elections and the elections of the Executive Committees of Rural Committees as well as members of the Heung Yee Kuk, are governed by the Elections (Corrupt and Illegal Conduct) Ordinance (ECICO). As in the case of other public elections, the Independent Commission Against Corruption (ICAC) will launch a series of publicity and education initiatives for the candidates, election helpers and electors of the above elections to sustain its efforts to uphold clean public elections in Hong Kong. Details of the relevant initiatives are listed below:

- The Community Relations Department (CRD) will arrange briefing for candidates and their electioneering teams as well as attending the Candidates' Briefing organised by the Electoral Affairs Commission to explain the major provisions of the ECICO and highlight the points-to-note at elections;
- A "Clean Rural Elections" Information Booklet will be produced to help candidates, election agents and helpers understand the provisions and application of the ECICO;
- Leaflets on anti-vote-rigging and "Guidelines for Electors" will be produced with key points and Q&As to highlight the legislation requirements that electors should take note of;
- To promote clean election messages with a lively yet realistic approach, the ICAC will produce 3 new educational filmlets for the Rural Representative Election to remind stakeholders of the importance of abiding by the law;
- A Clean Election Enquiry Hotline will be set up to handle public enquiries about the ECICO;

- Clean election messages will be widely disseminated through roving exhibitions and other effective publicity channels; and
- A dedicate website on clean rural elections will be set up to introduce the publicity and education initiatives launched by the ICAC for the above.

The programme will be implemented between May 2018 and May 2019. The expenditure of \$1.9 million will be jointly borne by the ICAC and the Home Affairs Department while CRD will deploy existing manpower to implement the programme.

- End -

**CONTROLLING OFFICER'S REPLY****ICAC010****(Question Serial No. 2936)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (700) General Non-recurrent

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The estimated non-recurrent expenditure for the coming year is about two times higher than that for last year. What are the reasons? Please provide the details and, if possible, a breakdown in table form.

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 26)

Reply:

- The estimate is the funding for implementing the preventive education and publicity initiatives by the Community Relations Department (CRD). For 2017-18, the total estimated non-recurrent expenditure for the CRD was \$1.8 million covering the expenses on producing a new publicity package for new arrivals and ethnic minorities (\$800,000) and rolling out a two-year “Youth Integrity Fest” programme (\$1 million for 2017-18 and \$3 million for 2018-19). The “Youth Integrity Fest” programme mainly consists of (i) a series of campus activities for primary, secondary and tertiary students; (ii) multimedia productions (including virtual reality and augmented reality products developed for experience programmes, web videos, four-panel short comics and online games); and (iii) a large-scale youth art fair. The expenditure of \$1 million for the first year of the programme was mainly for organising campus activities and partially covering the cost of the multimedia productions.
- In 2018-19, the CRD will continue to implement the “Youth Integrity Fest” programme for the second year. Apart from organising a large-scale youth art fair, relevant multimedia productions and publicity activities (with an estimated expenditure of \$3 million) will be launched to consolidate and pass on Hong Kong’s probity culture and core value of integrity. In addition, the CRD will kick-start a number of new publicity activities including:

- (1) A new web learning package comprising scenario-based videos will be produced

by making reference to recent cases (such as cases involving the offence of misconduct in public office) to provide civil servants with timely and user-friendly corruption prevention and integrity training in an interactive manner. The estimated expenditure of \$1 million on this item will be separately covered in 2 years (\$300,000 for 2018-19 and \$700,000 for 2019-20).

- (2) A multimedia publicity programme will be launched using the outstanding entries of an anti-corruption Announcement in Public Interest (API) project organised for tertiary students in 2017-18 to further promote the core value of integrity to the young generation in an interesting way. The estimated expenditure on this item is \$2 million.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC011**

**(Question Serial No. 2937)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In the 2018-19 Budget, what is the estimated annual salary for the Commissioner of the Independent Commission Against Corruption (ICAC)? There were views in the community that the personnel appointment issues caused by the incumbent Commissioner when heading the ICAC had led to a major personnel upheaval in the ICAC which seriously damaged its credibility and staff morale. In this connection, did the Commission subsequently adopt any measures to enhance staff morale? If so, what were the measures? If not, what were the reasons?

Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 27)

Reply:

- In 2018-19, the estimated expenditure on salaries and allowances payable to the Commissioner of the ICAC is \$3.54 million.
- In the ICAC, personnel appointments are made according to the Commission's human resources management and operational needs and in strict accordance with the government regulations and guidelines.
- As observed by the management, staff morale in the Commission has been good as reflected from the steady decrease in the unnatural wastage rate of officers in departmental grades from 8.4% in 2007 to 2.6% in 2017. ICAC officers at various levels of all departments continue to display unwavering determination to resolutely carry out their anti-graft duties in a professional manner. The Commission also attaches great importance to staff morale and will continue to maintain effective communication with colleagues of all ranks with a view to understanding their concerns and listening to their views so as to take appropriate follow-up actions.
- The ICAC has a long established credibility with its work continuously supported by

the public and widely recognised by the international community. Since 2010, the Commission has engaged independent research agencies to conduct annual surveys. In the past 5 years, over 95% of the respondents considered the ICAC deserving their support. In the Corruption Perceptions Index 2017 released in late February 2018 by Transparency International, an international organisation committed to countering corruption, Hong Kong was ranked the 13<sup>th</sup> least corrupt place among 180 countries/territories, up two places from its ranking in 2016. Moreover, Hong Kong has been ranked the world's freest economy for 24 consecutive years by the Heritage Foundation in its Index of Economic Freedom released in early February which praised Hong Kong for upholding a clean society and its excellent track record in combating corruption.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC012**

**(Question Serial No. 2938)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (661) Minor plant, vehicles and equipment (block vote)

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Provision of \$16.47 million under Minor plant, vehicles and equipment (block vote) for the ICAC for this year represents a sharp increase of 81.6% over the revised estimate for 2017-18. Will the Government inform this Committee of the respective quantities of the minor plant, vehicles and equipment purchased? Why is there a surge in demand this year?

Asked by: Asked by: Hon LAM Cheuk-ting (Member Question No. (LegCo use): 28)

Reply:

The provision under Subhead 661 for the Independent Commission Against Corruption (ICAC) for 2018-19 will be used mainly for the scheduled replacement and installation of plant and equipment including fire fighting systems, audio and visual systems, communication systems and air-conditioning systems. The ICAC Building has been in use for over 10 years since commissioning in 2007. As a number of mechanical and electrical systems in the Building have reached the end of their service lives and some of the spare parts have been discontinued, it is necessary to conduct replacement works according to schedule. The relevant provision for the ICAC for 2018-19 is thus higher than that of the previous year.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC013**

**(Question Serial No. 0215)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The number of pursuable corruption complaints in 2017 was 2 129, which was higher than 1 990 in 2016. Has the Administration increased funding and manpower to cope with the increasing workload? If so, what are the details? If not, why?

Asked by: Prof Hon Joseph LEE Kok-long (Member Question No. (for LegCo use): 26)

Reply:

The number of corruption complaints received by the Independent Commission Against Corruption fluctuated in the past and the number of pursuable corruption complaints rose by 7% in 2017 as compared to that of 2016. The Operations Department has not proposed to create any new posts in 2018-19 for the handling of corruption complaints, but will flexibly deploy manpower and resources to meet the investigation needs. If necessary, new posts will be applied for in accordance with the existing mechanism.

- End -



**CONTROLLING OFFICER'S REPLY**

**ICAC014**

**(Question Serial No. 3503)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

The actual number of government servants recommended for disciplinary or administrative action in 2017 was 74, which was higher than 55 in 2016. Has the Administration increased funding and manpower to cope with the increasing workload? If so, what are the details? If not, why?

Asked by: Prof Hon Joseph LEE Kok-long (Member Question No. (LegCo use): 134)

Reply:

At the conclusion of every investigation, the Independent Commission Against Corruption (ICAC) will submit the case to the Operations Review Committee for its scrutiny. In 2017, after the deliberations and on the recommendations of the Committee, the ICAC referred 74 government servants (involved in 40 concluded cases) to the respective bureaux or departments for consideration of disciplinary and/or administrative action. Despite the increase as compared to 55 government servants in 32 cases in 2016, no additional resources are required from the ICAC because the follow-up work is to be taken up by the respective bureaux or departments.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC015**

**(Question Serial No. 0686)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Under Programme (4), the Community Relations Department (CRD) will assist in the ICAC's efforts to provide training and advisory services to other jurisdictions to fulfil the ICAC's obligation under the United Nations Convention Against Corruption to help other state parties, including countries along the route of Belt and Road which are expected to expand economic links with Hong Kong, in anti-corruption capacity building. In this connection, please inform this Committee of the following:

- 1) Fluctuations have been observed in Hong Kong's rankings in some international anti-corruption surveys. Though Hong Kong was ranked the 13<sup>th</sup> least corrupt place in the Transparency International's Corruption Perceptions Index 2017, its ranking once dropped to a record low of the 18<sup>th</sup> in 2015. Has the Government put in place any specific measures to enhance the international community's positive perception of the anti-corruption work in Hong Kong? If so, what are the details; if not, what are the reasons?
- 2) The Government intends to share Hong Kong's wide experience in fighting corruption with countries along the route of Belt and Road. What are the details of the future work plan? What are the manpower and expenditure involved?

Asked by: Hon NG Wing-ka, Jimmy (Member Question No. (LegCo use): 106)

Reply:

1.

- Over the years, Hong Kong has been rated as one of the cleanest places in a number of international anti-corruption surveys, including the Transparency International's Corruption Perceptions Index (CPI) which consistently ranked Hong Kong one of the top 20 least corrupt places among over 100 countries/territories. In the CPI 2017 released in February this year, Hong Kong was ranked the 13<sup>th</sup> among 180 countries/territories, up 2 and 5 places as compared with that of 2016 and 2015 respectively.

- Since most of the international surveys concerned are based on perception rather than actual experience of the respondents, several high-profile Independent Commission Against Corruption (ICAC) cases in recent years might have affected some respondents' perception on the probity situation of Hong Kong. In addition, other factors such as changes to the survey methodologies and changes in the rankings of other countries/territories may also affect the scores and ranking of a place. According to the annual opinion surveys conducted by the ICAC over the years, corruption remains uncommon in Hong Kong with only a tiny proportion of respondents having come across corruption personally. To maintain Hong Kong's international reputation as a corruption-free city, the ICAC will continue to promote the probity situation of Hong Kong to the international community through different platforms.
  - First of all, the ICAC will continue to brief visitors from overseas government and business organisations, law enforcement agencies and international institutions on Hong Kong's effective anti-corruption institution and efforts in integrity building. Besides, the Commission will organise international conferences and thematic seminars regularly to share Hong Kong's experience in combating corruption with the international community.
  - The Commission will continue to invite senior executives of major international ranking agencies to visit Hong Kong. Through meetings with the senior officials of the ICAC as well as various government departments and organisations, they can gain first-hand understanding of the overall development and the actual probity situation of Hong Kong.
  - Since the United Nations Convention Against Corruption (UNCAC) came into force for China in February 2006 and in parallel became applicable to Hong Kong, the ICAC has been designated by the Central People's Government as the authority to assist other State Parties in developing and implementing corruption prevention measures pursuant to the UNCAC. The ICAC will make continued efforts to assist the anti-corruption agencies (ACAs) of those countries/territories agreeable to the arrangements.
  - In recent years, the Commission has also stepped up international publicity efforts by making effective use of online platforms. For example, through the one-stop platform "International Perspective" launched at ICAC's corporate website, overseas stakeholders can have access to and gain knowledge of Hong Kong's latest efforts in integrity building.
  - The ICAC will continue to keep a close eye on anti-corruption related international rankings with a view to formulating international publicity and promotion strategies accordingly.
2. The ICAC is having close contact with the ACAs of Timor-Leste, Bangladesh and Sri Lanka and will provide officers of those ACAs with bespoke training in 2018. In view of the close business and trade relations between Hong Kong and ASEAN countries, the ICAC is also reaching out to the ACAs of those countries and will accord priority training support to them.

In 2018-19, the ICAC was allocated resources to create 3 posts of in the ranks of Commission Against Corruption Officer (Upper), Commission Against Corruption Officer (Middle/Lower) and Assistant Commission Against Corruption Officer respectively to implement the above-mentioned work. The total notional annual mid-point salary value of the 3 posts is about \$2.47 million. Other expenses including

those incurred by officers for their visits to the ACAs of various countries and those for organising training programmes in 2018-19 are about \$600,000.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC016**

**(Question Serial No. 0966)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In respect of provision of timely advice and assistance to the Urban Renewal Authority (URA) to build in corruption prevention safeguards in the implementation of Operation Building Bright (OBB) 2.0, will the Government inform this Committee of the following:

1. the current expenditure on provision of timely advice to the URA regarding OBB (the current scheme) and the estimates for 2018;
2. the current expenditure on enhancement of public education on corruption prevention regarding OBB (the current scheme) and the estimates for 2018;
3. apart from organising integrity management briefings for owners' corporations (OCs) participating in the scheme, whether the Government will provide OCs with other preventive measures in the estimates; if so, what are the details; if not, the reasons for that;
4. apart from providing OCs participating in the scheme with corruption prevention materials, whether the Government will provide buildings without OCs with preventive measures in the estimates; if so, what are the details; if not, the reasons for that.

Asked by: Hon YEUNG Alvin (Member Question No. (LegCo use): 1)

Reply:

- The Independent Commission Against Corruption (ICAC) will provide corruption prevention advice to the URA in respect of OBB 2.0 and the Fire Safety Improvement Works Subsidy Scheme. In collaboration with the URA and related organisations, the ICAC will brief participating OCs on corruption risks in building maintenance, consequences of corrupt conduct and measures to step up controls over maintenance projects through briefings or thematic seminars and visits to OCs, etc. Efforts will also be made to remind owners to actively participate in building management for effective monitoring of the building maintenance works.
- Apart from launching the Building Maintenance Toolkit and training videos, the ICAC

will produce new promotional leaflets and launch thematic webpages on the Integrity and Quality Building Management Website to let owners, OCs and property management companies understand the corruption risks in building management and maintenance (including tendering of works contracts, supervision of works and payment procedures) and the relevant integrity management measures. The Toolkit has been uploaded to the ICAC Website for easy reference by the public. Moreover, the ICAC has also set up an enquiry hotline to handle public enquiries on corruption prevention matters with regard to building management and maintenance.

- The target of OBB 2.0 is buildings with OCs. The ICAC will work closely with the relevant government departments, public bodies, building management professional bodies, district organisations and offices of members of the district councils to provide participating OCs and owners with tailor-made corruption prevention advice and preventive education services.
- Furthermore, upon request the ICAC will offer free, confidential and tailor-made corruption prevention advisory service to buildings with or without OCs. The ICAC will also work closely with the relevant government departments to promote corruption prevention knowledge to owners of old buildings (including buildings without OCs) and equip them with the skills in managing the relevant corruption risks. They can also enquire and obtain information about clean building management through the ICAC Regional Offices, the enquiry hotline and the thematic website.
- As corruption preventive education work relating to building management and maintenance is part of the ICAC's regular duties, the resources involved are included in the general operating expenses and no itemised budget is made.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC017**

**(Question Serial No. 0967)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In respect of the continued efforts made to assist government departments and the relevant public bodies in strengthening their corruption resistance in implementing mega infrastructure and development projects such as the Three-Runway System, will the Government provide this Committee with:

1. the expenditure on the anti-corruption work for the entire Hong Kong – Zhuhai – Macao Bridge (HZMB) project in 2016 and 2017; and
2. the estimates for the anti-corruption work for the entire HZMB project in 2018.

Asked by: Hon YEUNG Alvin (Member Question No. (LegCo use): 2)

Reply:

The Corruption Prevention Department (CPD) has been consistently assisting the Development Bureau and works departments to put in place corruption prevention measures in all key procedures (including contract letting, site supervision and contract administration) of infrastructure projects by conducting assignment studies and offering consultation services. Since the Hong Kong Section of the HZMB project has adopted the standard government public works procedures, it is already covered by the above corruption prevention work. The CPD also regularly meets with the senior management of the relevant bureaux and departments to discuss corruption prevention work plans, taking into account the latest corruption risks. As these are CPD's regular duties, no separate budget is made for individual infrastructure projects.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC018**

**(Question Serial No. 1191)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In regard to corruption cases involving government servants in the past 3 years, please provide to this Committee in table form:

- (1) the number of corruption cases involving different government departments in the past 3 years;
- (2) the number of persons of different government departments arrested for corruption in the past 3 years;
- (3) the number of persons of different government departments prosecuted for corruption in the past 3 years;
- (4) the number of persons of different government departments convicted for corruption in the past 3 years.

Asked by: Hon Alvin YEUNG (Member Question No. (LegCo use): 23)

Reply:

- (1) Please find in the tables below the number of corruption complaints and pursuable corruption complaints received by the Independent Commission Against Corruption (ICAC) involving government departments in the past 3 years.



**Number of corruption complaints and pursuable corruption complaints  
received by the ICAC involving government departments (2015)**

<b>Government Department</b>	<b>Corruption Complaints*</b>
Hong Kong Police Force	221 (138)
Lands Department	60 (39)
Food & Environmental Hygiene Department	57 (33)
Housing Department	50 (24)
Leisure & Cultural Services Department	29 (22)
Hongkong Post	28 (14)
Fire Services Department	26 (11)
Buildings Department	21 (13)
Customs & Excise Department	20 (15)
Immigration Department	19 (9)
Correctional Services Department	17 (8)
Water Supplies Department	16 (12)
Home Affairs Department	16 (11)
Social Welfare Department	14 (10)
Highways Department	14 (8)
Judiciary	13 (4)
Electrical and Mechanical Services Department	12 (12)
Agriculture, Fisheries and Conservation Department	12 (7)
Labour Department	10 (4)
Education Bureau	9 (7)
Transport Department	9 (6)
Department of Health	8 (6)
Architectural Services Department	8 (5)
Civil Engineering and Development Department	7 (5)
Legal Aid Department	6 (4)
Drainage Services Department	6 (1)
Civil Aviation Department	5 (2)
Other departments	58 (29)
<b>Total</b>	<b>771 (459)</b>

\* Government departments involved in 5 or more corruption complaints in 2015 are listed in the table above. There were 24 other government departments each involved in less than 5 corruption complaints in 2015.

(     ) No. of pursuable corruption complaints

**Number of corruption complaints and pursuable corruption complaints  
received by the ICAC involving government departments (2016)**

<b>Government Department</b>	<b>Corruption Complaints*</b>
Hong Kong Police Force	241 (148)
Lands Department	75 (35)
Food & Environmental Hygiene Department	70 (42)
Housing Department	67 (46)
Fire Services Department	33 (15)
Leisure & Cultural Services Department	28 (14)
Buildings Department	26 (14)
Correctional Services Department	26 (17)
Hongkong Post	22 (11)
Education Bureau	19 (10)
Water Supplies Department	19 (6)
Customs & Excise Department	18 (8)
Immigration Department	17 (8)
Social Welfare Department	16 (9)
Home Affairs Department	16 (8)
Highways Department	14 (6)
Judiciary	13 (2)
Transport Department	11 (5)
Labour Department	9 (3)
Electrical and Mechanical Services Department	8 (6)
Department of Health	8 (4)
Architectural Services Department	7 (2)
Marine Department	6 (5)
Civil Engineering and Development Department	6 (1)
Agriculture, Fisheries and Conservation Department	5 (2)
Other departments	67 (25)
<b>Total</b>	<b>847 (452)</b>

\* Government departments involved in 5 or more corruption complaints in 2016 are listed in the table above. There were 29 other government departments each involved in less than 5 corruption complaints in 2016.

( ) No. of pursuable corruption complaints

**Number of corruption complaints and pursuable corruption complaints  
received by the ICAC involving government departments (2017)**

<b>Government Department</b>	<b>Corruption Complaints*</b>
Hong Kong Police Force	209 (144)
Housing Department	74 (37)
Food & Environmental Hygiene Department	72 (53)
Lands Department	39 (29)
Leisure & Cultural Services Department	29 (25)
Correctional Services Department	28 (23)
Fire Services Department	26 (18)
Education Bureau	24 (17)
Water Supplies Department	22 (9)
Buildings Department	21 (19)
Hongkong Post	21 (15)
Immigration Department	20 (14)
Home Affairs Department	19 (11)
Judiciary	16 (4)
Highways Department	15 (8)
Customs & Excise Department	12 (8)
Agriculture, Fisheries and Conservation Department	11 (9)
Social Welfare Department	10 (9)
Department of Health	7 (6)
Transport Department	7 (5)
Electrical and Mechanical Services Department	7 (4)
Legal Aid Department	6 (5)
Architectural Services Department	6 (3)
Auxiliary Medical Service	6 (4)
Environmental Protection Department	5 (4)
Other departments	59 (44)
<b>Total</b>	<b>771 (527)</b>

\* Government departments involved in 5 or more corruption complaints in 2017 are listed in the table above. There were 31 other government departments each involved in less than 5 corruption complaints in 2017.

( ) No. of pursuable corruption complaints

- (2) Please find in the table below the number of persons of different government departments arrested as a result of the ICAC's corruption investigations in the past 3 years.

Government Department	No. of Persons Arrested*		
	2015	2016	2017
Hong Kong Police Force	17#	7	10
Housing Department	1		6
Hongkong Post	2	1	3
Fire Services Department		1	3
Civil Engineering and Development Department			2
Immigration Department	2		1
Highways Department	2		1~
Lands Department			1
Correctional Services Department	1		1
Agriculture, Fisheries and Conservation Department			1
Department of Health	1		1
Water Supplies Department	1	1	
Food & Environmental Hygiene Department	1	1	
Leisure & Cultural Services Department	1	1	
Customs & Excise Department	2	1	
Marine Department	1	1	
Electrical and Mechanical Services Department	3^	2	
Auxiliary Medical Service	1	3	
Chief Executive's Office	1		
Inland Revenue Department		1	
<b>Total</b>	<b>37</b>	<b>20</b>	<b>30</b>

\* The figure refers to the number of persons arrested as a result of the ICAC's corruption investigations in the year. Persons arrested might not necessarily be prosecuted.

# The figure involves 16 officers of Hong Kong Police Force, 1 of whom was arrested in 2 different investigations.

~ The officer of Highways Department was arrested once during the investigation stage in 2015 and once during the prosecution stage in 2017.

^ The figure involves 2 officers of Electrical and Mechanical Services Department, 1 of whom was arrested once during the investigation stage and once during the prosecution stage within the same year.

- (3) Please find in the table below the number of persons of different government departments prosecuted as a result of the ICAC's corruption investigations in the past 3 years.

Government Department	No. of Persons Prosecuted*		
	2015	2016	2017
Hong Kong Police Force	3	3	3
Hongkong Post		1	3
Highways Department			1
Leisure & Cultural Services Department		1	1
Immigration Department	1		1
Inland Revenue Department			1
Correctional Services Department		1	1
Marine Department			1
Water Supplies Department		1	
Fire Services Department		1	
Food & Environmental Hygiene Department	1		
Department of Health		1	
Customs & Excise Department	7 <sup>#</sup>		
Architectural Services Department		1	
Electrical and Mechanical Services Department	1		
Government Logistics Department	1		
Auxiliary Medical Service	1		
Chief Executive's Office	1		
<b>Total</b>	<b>16</b>	<b>10</b>	<b>12</b>

\* The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

# The figure involves 5 officers of Customs & Excise Department, 2 of whom were prosecuted in 2 different court cases.

- (4) Please find in the table below the number of persons of different government departments convicted as a result of the ICAC's corruption investigations in the past 3 years.

Government Department	No. of Persons Convicted*		
	2015	2016	2017
Correctional Services Department			2
Leisure & Cultural Services Department		1	1
Architectural Services Department			1
Hong Kong Police Force	5	1	1
Hongkong Post		1	1
Immigration Department	2		1
Inland Revenue Department			1
Marine Department			1
Water Supplies Department			1
Chief Executive's Office			1
Government Logistics Department	1		
Department of Health	4	1	
Food & Environmental Hygiene Department	1	1	
Fire Services Department	1	1	
Auxiliary Medical Service	1		
Housing Department	1		
<b>Total</b>	<b>16</b>	<b>6</b>	<b>11</b>

\* The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

- End -

**CONTROLLING OFFICER'S REPLY****ICAC019****(Question Serial No. 6154)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ()Programme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide details of the duty visits made by the Commissioner of the Independent Commission Against Corruption (ICAC) in each of the past 5 years and list in table form the following details of each trip in chronological order: (a) purpose, place; (b) post titles of local officials met; (c) number and post titles of Hong Kong officials in entourage; (d) duration; and (e) total expenditure involved; and the respective expenses on (i) travelling (list out the air passage and travelling expenses at the destination); (ii) accommodation; (iii) meals; (iv) banquets or entertainment; (v) the gifts presented.

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. 2723)Reply:

Details of the Commissioners' duty visits in the past 5 years including date, destination, duration, purpose, number of ICAC officers in entourage and expenditure, as well as official entertainment hosted and souvenirs presented during duty visits are listed as follows:

**2013-14**

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
7.4.2013 – 10.4.2013	New Delhi, India	4	Attended the Executive Committee Meeting of the International Association of Anti-Corruption Authorities (IAACA)	4	73,224	Air passage: 37,443  Subsistence Allowance/ Others: 35,781	2 ICAC ties (totalling \$100), 2 ICAC key chains (totalling \$70)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
17.11.2013 – 25.11.2013	United States (New York, Washington) and Panama	9	Visited New York and Washington of the United States to pay official visits to relevant law enforcement agencies, government departments and international rating institutions; and attended the 7 <sup>th</sup> Annual Conference and General Meeting of IAACA held in Panama and delivered a keynote speech	2	298,547	Air passage: 223,129  Subsistence Allowance/ Others: 75,418	2 ICAC plaques (totalling \$396)
				Total: \$371,771 for 2 duty visits			

## 2014-15

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
26.3.2014 – 4.4.2014	Germany, Spain and Switzerland	10	Visited the Chair of Transparency International (TI), visited anti-corruption and law enforcement agencies in Germany; attended the Executive Committee Meeting of IAACA; visited senior officials of the World Economic Forum and officials of the International Institute for Management Development, Lausanne, Switzerland who are responsible for compiling their own Corruption	3	275,382	Air passage: 186,778  Travelling expenses: 1,144  Subsistence Allowance/ Others: 87,460	2 ICAC plaques (totalling \$396)



Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Perception Indices; and attended a seminar at the invitation of the Institute to explain the probity situation and anti-corruption strategies of Hong Kong				
21.9.2014 – 26.9.2014	Beijing, Guangzhou and Shenzhen, China	6	Visited counterpart agencies, including the Supreme People's Procuratorate (SPP), Ministry of Supervision (MoS), Guangdong Provincial People's Procuratorate (GDPP), Guangdong Provincial Department of Supervision, Shenzhen Municipal People's Procuratorate (SMPP), and Shenzhen Municipal Commission for Discipline Inspection and Supervision Bureau	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014  Travelling expenses: 895  Subsistence Allowance/ Others: 32,338	-
4.11.2014 – 7.11.2014	Shanghai, China	4	Attended the opening ceremony of the 54 <sup>th</sup> National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai	1	19,387	Air passage: 4,002  Subsistence Allowance/ Others: 15,385	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau				
				Total: \$339,016 for 3 duty visits			

## 2015-16

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Department of Supervision	1	13,911	Air passage: 5,814 Subsistence Allowance/ Others: 8,097	1 ICAC plaque (\$290)
20.5.2015 – 27.5.2015	Washington, United States and Berlin, Germany	8	Visited 3 international rating institutions in the United States, including RAND Corporation, TRACE International and Heritage Foundation; visited TI in Germany	2	226,410	Air passage: 159,307 Travelling expenses: 672 Subsistence Allowance/ Others: 66,431	-
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities held in Beijing for the 70 <sup>th</sup> anniversary of Chinese people's victory in the war against Japanese invasion	-	7,886	Air passage: 3,760 Subsistence Allowance/ Others: 4,126	-
4.9.2015 – 5.9.2015	Putrajaya, Malaysia	2	Attended the 16 <sup>th</sup> International Anti-Corruption Conference organised by TI and delivered a	1	28,066	Air passage: 17,751 Travelling expenses: 200	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			keynote speech; visited the Vice-Chair of TI, and met with Sri Lanka's Secretary-General for Anti-Corruption and Law Enforcement Agencies upon invitation			Subsistence Allowance/ Others: 10,115	
29.10.2015 – 1.11.2015	St. Petersburg, Russia	4	Attended the 8 <sup>th</sup> Annual Conference and General Meeting of IAACA and delivered a keynote speech	1	28,770	Air passage: 15,340  Subsistence Allowance/ Others: 13,430	-
23.11.2015 – 26.11.2015	Nanning, Guangxi, China	4	Attended the 9 <sup>th</sup> China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884  Subsistence Allowance/ Others: 11,140	-
13.3.2016 – 18.3.2016	Singapore and Indonesia	6	Visited anti-corruption and law enforcement agencies in Singapore and Indonesia to foster ties and co-operation in the fight against corruption	4	93,689	Air passage: 42,333  Travelling expenses: 180  Subsistence Allowance/ Others: 51,176	-
				Total: \$414,756 for 7 duty visits			

## 2016-17

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
8.5.2016 – 11.5.2016	Beijing and Tianjin, China	4	Attended the 9 <sup>th</sup> Annual Conference and General Meeting of IAACA held in Tianjin and delivered a keynote speech; visited SPP, MoS and Tianjin Municipal People's	1	44,474	Air passage: 14,680  Travelling expenses: 223  Subsistence Allowance/ Others: 29,571	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Procuratorate, etc.				
23.5.2016 – 25.5.2016	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and disciple inspection and supervisionn authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme	2	19,058	Travelling expenses: 720  Subsistence Allowance/ Others: 18,338	-
29.11.2016 – 4.12.2016	Sanya and Hangzhou, China	6	Attended the Prosecutors General Conference of the Member States of Shanghai Co-operation Organisation and Meeting of the Heads of Prosecution Service of the BRICS States and the opening ceremony of a National Studies Course participated by ICAC officers in Hanzhou and delivered speeches on both occasions; visited procuratorates in Hainan and Zhejiang provinces	1	28,586	Air passage: 8,738  Subsistence Allowance/ Others: 19,848	-
26.2.2017 – 1.3.2017	Doha, Qatar	4	Attended the Executive Committee Meeting of IAACA	1	74,018	Air passage: 53,953  Subsistence Allowance/ Others: 20,065	-
				Total: \$166,136 for 4 duty visits			

**2017-18 (as at 28 February 2018)**

<b>Date of duty visit</b>	<b>Destination</b>	<b>Duration (Days)</b>	<b>Purpose of duty visit</b>	<b>Commissioner &amp; ICAC officers in entourage (Total No.)</b>	<b>Total expenditure (\$)</b>	<b>Details of expenditure (\$)</b>	<b>Details of souvenirs presented</b>
5.5.2017	Macao, China	1	Visited the Macao Commission Against Corruption and the Macao Public Prosecutions Office to exchange the latest development of anti-corruption work in the two places	5	2,118	Travelling expenses: 2,118	–
2.6.2017– 8.6.2017	Hangzhou and Beijing, China	7	Attended and addressed the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou; visited the Zhejiang Provincial Supervision Committee and Public Security Department; subsequently visited SPP, the Central Commission for Discipline Inspection and Ministry of Supervision, Ministry of Public Security and local procuratorates, etc. in Beijing	4	108,969	Air passage: 29,200  Subsistence Allowance/ Others:79,769	1 ICAC plague (\$290)
12.7.2017– 14.7.2017	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and disciple inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and	4	29,351	Air passage: 1,050  Subsistence Allowance/ Others:28,301	–

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			enhance co-operation in various aspects, including the mutual case assistance scheme				
30.11.2017– 2.12.2017	Shanghai, China	3	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers at the CELAP; visited Shanghai Municipal People's Procuratorate, disciple inspection and supervision authorities, and public security bodies to learn the progress of reform in the supervision mechanism on the Mainland and discuss measures to enhance mutual exchanges and co-operation	6	76,290	Air passage: 31,689  Travelling expenses: 324  Subsistence Allowance/ Others:44,277	–
				Total: \$216,728 for 4 duty visits			

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC020**

**(Question Serial No. 6251)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please provide details of the meetings with, visits to or exchanges with the relevant authorities taken place on the Mainland by the department in each of the past 5 years; and list the following details of each trip in chronological order:

- (a) purpose, place;
- (b) post titles of local officials met;
- (c) number and post titles of Hong Kong officials in entourage;
- (d) duration;
- (e) total expenditure involved;
- (f) whether announcement was made prior to the trip; if not, the reasons for keeping it confidential;
- (g) whether minutes of the meeting were kept on file; if not, the reasons for that; and
- (h) whether agreements were reached; if so, the details and progress of their implementation;
- (i) the respective expenses on travelling (list out the air passage and travelling expenses at the destination);
- (j) accommodation;
- (k) meals;
- (l) banquets or entertainment;
- (m) the gifts presented.

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 2715)

Reply:

At present, the Commission only keeps detailed records of the Commissioner's duty visits to the Mainland.

Details of the Commissioners' duty visits to the Mainland in the past 5 years including date, destination, duration, purpose, number of ICAC officers in entourage, expenditure as well as

official entertainment hosted and souvenirs presented during duty visits are listed as follows:

## 2013-14

Nil.

## 2014-15

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
21.9.2014 – 26.9.2014	Beijing, Guangzhou and Shenzhen, China	6	Visited counterpart agencies, including the Supreme People's Procuratorate (SPP), Ministry of Supervision (MoS), Guangdong Provincial People's Procuratorate (GDPP), Guangdong Provincial Department of Supervision, and Shenzhen Municipal People's Procuratorate (SMPP), Shenzhen Municipal Commission for Discipline Inspection and Supervision Bureau	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014  Travelling expenses: 895  Subsistence Allowance/ Others: 32,338	-
4.11.2014 – 7.11.2014	Shanghai, China	4	Attended the opening ceremony of the 54 <sup>th</sup> National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau	1	19,387	Air passage: 4,002  Subsistence Allowance/ Others: 15,385	-
				Total: \$63,634 for 2 duty visits			



## 2015-16

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Department of Supervision	1	13,911	Air passage: 5,814  Subsistence Allowance/ Others: 8,097	1 ICAC plague (\$290)
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities held in Beijing for the 70 <sup>th</sup> anniversary of Chinese people's victory in the war against Japanese invasion	-	7,886	Air passage: 3,760  Subsistence Allowance/ Others: 4,126	-
23.11.2015 – 26.11.2015	Nanning, Guangxi, China	4	Attended the 9 <sup>th</sup> China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884  Subsistence Allowance/ Others: 11,140	-
				Total: \$37,821 for 3 duty visits			

## 2016-17

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
8.5.2016 – 11.5.2016	Beijing and Tianjin, China	4	Attended the 9 <sup>th</sup> Annual Conference and General Meeting of International Association of Anti-Corruption Authorities held in Tianjin and delivered a keynote speech; visited SPP, MoS and Tianjin Municipal People's Procuratorate, etc.	1	44,474	Air passage: 14,680  Travelling expenses: 223  Subsistence Allowance/ Others: 29,571	-
23.5.2016 – 25.5.2016	Guangzhou and Shenzhen,	3	Visited GDDP, SMPP, and disciple	2	19,058	Travelling expenses: 720	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
	China		inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme			Subsistence Allowance/ Others: 18,338	
29.11.2016 – 4.12.2016	Sanya and Hangzhou, China	6	Attended the Prosecutors General Conference of the Member States of Shanghai Co-operation Organisation and Meeting of the Heads of Prosecution Service of the BRICS States and the opening ceremony of a National Studies Course participated by ICAC officers in Hanzhou and delivered speeches on both occasions; visited procuratorates in Hainan and Zhejiang provinces	1	28,586	Air passage: 8,738  Subsistence Allowance/ Others: 19,848	-
				Total: \$92,118 for 3 duty visits			

### 2017-18 (as at 28 February 2018)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
5.5.2017	Macao, China	1	Visited the Macao Commission Against Corruption and the Macao Public	5	2,118	Travelling expenses: 2,118	–

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Prosecutions Office to exchange the latest development of anti-corruption work in the two places				
2.6.2017–8.6.2017	Hangzhou and Beijing, China	7	Attended and addressed the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou; visited the Zhejiang Provincial Supervision Committee and Public Security Department; subsequently visited SPP, the Central Commission for Discipline Inspection and Ministry of Supervision, Ministry of Public Security and local procuratorates, etc. in Beijing	4	108,969	Air passage: 29,200  Subsistence Allowance/ Others: 79,769	1 ICAC plague (\$290)
12.7.2017–14.7.2017	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and disciple inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme	4	29,351	Air passage: 1,050  Subsistence Allowance/ Others: 28,301	–
30.11.2017–2.12.2017	Shanghai, China	3	Attended and addressed the opening ceremony of the	6	76,290	Air passage: 31,689	–

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	Commissioner & ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			National Studies Course participated by ICAC officers at the CELAP; visited Shanghai Municipal People's Procuratorate, disciple inspection and supervision authorities, and public security bodies to learn the progress of reform in the supervision mechanism on the Mainland and discuss measures to enhance mutual exchanges and co-operation			Travelling expenses: 324  Subsistence Allowance/ Others:44,277	
				Total: \$216,728 for 4 duty visits			

- End -

**CONTROLLING OFFICER'S REPLY****ICAC021****(Question Serial No. 6257)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ()Programme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

In regard to the increasing cross-boundary cooperation between Hong Kong and the Mainland in recent years, please provide relevant information on the Hong Kong/Mainland cross-boundary projects or programmes in which your department has been involved.

(a) Please provide in table form the following information on the Hong Kong/Mainland cross-boundary projects or programmes in the past 5 years:

Project/programme title

Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Cooperation or the 13th Five-Year Plan

Expenditure involved

Names of Mainland officials, departments or organisations involved

Have any agreements or documents been signed? Have they been made public? If not, why?

Progress (percentage completed, date of commencement, estimated date of completion)

Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why? Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?

Details of the legislative amendments or policy changes involved in the programme

(b) Has provision been earmarked for the Hong Kong/Mainland cross-boundary projects or programmes in this year (2018-19)? If so, please provide in the following table information on the Hong Kong/Mainland cross-boundary projects or programmes in this year:

Project/programme title

Details, objectives and whether it is related to the Framework Agreement on Hong Kong/Guangdong Cooperation or the 13th Five-Year Plan

Expenditure involved

Names of Mainland officials, departments or organisations involved

Have any agreements or documents been signed? Have they been made public? If not, why?

Progress (percentage completed, date of commencement, estimated date of completion)

Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?

Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?

Details of the legislative amendments or policy changes involved in the programme

(c) Apart from the projects or programmes listed above, is cross-boundary cooperation conducted in any other forms? If so, in what forms? What were the manpower and expenditure involved in the past year and how many financial and manpower resources have been earmarked in the Estimates for 2018-19?

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 2722)

Reply:

(a) Information on the Hong Kong/Mainland cross-boundary projects or programmes from 2013-14 to 2017-18 is as follows:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme
'Business Success: Integrity & Legal Compliance' – Corruption Prevention Guide for SMEs in Guangdong, Hong Kong and Macao	The Guide, jointly developed by the Independent Commission Against Corruption (ICAC), the Guangdong People's Procuratorate (GDPP) and the Commission Against Corruption of Macao (CCAC), was produced by the ICAC in Hong Kong to enhance the corruption prevention capabilities of cross-boundary enterprises. It covers anti-corruption laws of the Mainland, Hong Kong and Macao, case analyses and corruption prevention advice.  The Guide is an item under the Framework Agreement on Hong Kong/ Guangdong Co-operation.	\$690,000 (A total of 23 200 copies in the print version [in Chinese] and CD-ROM version [in both Chinese and English] were produced)	Officials of the GDPP	No	Completed  The Guide's print version in Chinese was published in October 2012 while its CD-ROM version in both Chinese and English was launched in June 2013.  Feature articles on the Guide were published on newspapers and through the networks of the chambers of commerce. The Guide was also promoted and distributed at seminars co-organised with the chambers of commerce. Moreover, related information was disseminated through press releases, the ICAC website and email alerts.	The ICAC sent the Guide to local and foreign chambers of commerce and foreign organisations based in Hong Kong. Copies were also distributed to individual enterprises upon request. The Guide has also been uploaded to the ICAC website for public reference.	No	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-boundary project?	Details of the legislative amendments or policy changes involved in the programme
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	The forum in 2013 was hosted by the ICAC. The total expenditure incurred was around \$28,000.	Officials of the Supreme People's Procuratorate (SPP), GDPP and Hong Kong and Macao Affairs Office of the State Council (HKMAO)	No	This programme is a standing arrangement which is hosted once a year by the GDPP, ICAC and CCAC on a rotational basis.	Exchange of mutual case assistance in cross-boundary corruption was made amongst the GDPP, ICAC and CCAC. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable
Workshop on Corruption Prevention System and Work Procedures (Hong Kong)	The Workshop introduced the work methods for corruption prevention work of the ICAC.  This item was not related to the Framework Agreement on Hong Kong/Guangdong Co-operation or the 13th Five-Year Plan.	\$5,400 for the lunch provided to 29 Mainland officials participating in the workshop  An ICAC Annual Report 2011, a set of DVDs on the 'ICAC Investigators 2011' TV drama series and two best practice checklists for the construction industry were presented to officials of the Guangdong Provincial Department of Supervision (GPDS).	Officials of Guangdong Provincial Anti-Corrupti on Bureau (ACB) and the ACB officers at the municipal level or above in Guangdong Province	No	Completed  The workshop was held by the Corruption Prevention Department at the ICAC Building in Hong Kong from 9:00am to 5:00pm on 14 May 2013.	The details were not made public as it was a forum and sharing session instead of a publicity event.	No	Not applicable



Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-bound ary project?	Details of the legislative amendments or policy changes involved in the programme
Forum on Corruption Prevention in Major Infrastructure Projects in Guangdong, Hong Kong and Macao (Zhuhai)	Sharing of strategies and measures adopted by Guangdong, Hong Kong and Macao for preventing corruption in major infrastructure projects.  This item was not related to the Framework Agreement on Hong Kong/ Guangdong Co-operation or the 13th Five-Year Plan.	\$840 for the return ferry tickets between Hong Kong and Zhuhai for 2 ICAC officers	Officials of the GPDS and CCAC	No	Completed  The forum was held in Zhuhai on 30 July 2013.	The details were not made public as it was a forum and sharing session instead of a publicity event.	No	Not applicable
Forum on Supervision of Major Construction Projects (Jiangmen)	Sharing of experience in promoting integrity in enterprises and supervision of major construction projects.  This item was not related to the Framework Agreement on Hong Kong/ Guangdong Co-operation or the 13th Five-Year Plan.	\$860 for the return ferry tickets between Hong Kong and Jiangmen for 2 ICAC officers  10 sets of capacity building package on corruption prevention in engineering risk management and integrity building for the construction industry were also presented to the ACBs of Zhuhai and Jiangmen.	Officials of ACBs in Guangdong Province, Zhuhai and Jiangmen	No	Completed  The forum was held in Jiangmen on 18 December 2014.	The details were not made public as it was a forum and sharing session instead of a publicity event.	No	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-bound ary project?	Details of the legislative amendments or policy changes involved in the programme
Study visit programme to the Anti-Corrupti on Education Centre in Guangdong Province	Sharing of anti-corruption strategies and experience.  This item was not related to the Framework Agreement on Hong Kong/ Guangdong Co-operation or the 13th Five-Year Plan.	The total travelling expenses incurred by 62 ICAC officers for the return journey between Hong Kong and Panyu were \$27,880	Officials of the GPDS	No	Completed  2 programmes were held in Panyu on 27 November 2014 and 22 January 2015 respectively.	The details were not made public as it was a forum and sharing session instead of a publicity event.	No	Not applicable
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	The forum in 2014 was hosted by the GDPP. The expenditure incurred was unknown. The total amount of subsistence allowances and travelling expenses of 8 ICAC representatives on a one-night official visit to Shenzhen was around \$25,000.	Officials of the SPP and GDPP	No	This programme is a standing arrangement which is hosted once a year by the GDPP, ICAC and CCAC on a rotational basis.	Exchange of mutual case assistance in cross-boundary corruption was made amongst the GDPP, ICAC and CCAC. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-bound ary project?	Details of the legislative amendments or policy changes involved in the programme
Study visit programme on corruption prevention for core investigating officers of the GPDS (Hong Kong)	Sharing of the work methods for corruption prevention work of the ICAC, and the investigation system and work of the Operations Department.  This item was not related to the Framework Agreement on Hong Kong/ Guangdong Co-operation or the 13th Five-Year Plan.	\$6,800 for the lunch provided to 31 Mainland officials participating in the programme	Officials of the GPDS and the Commission for Discipline Inspection and Supervision Bureaux at the municipal level or above	No	Completed  The training programme was held at the ICAC Building in Hong Kong on 13 August 2015.	The details were not made public as it was a forum and sharing session instead of a publicity event.	No	Not applicable
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	The forum in 2015 was hosted by the CCAC. The expenditure incurred was unknown. The total amount of subsistence allowances and travelling expenses of 8 ICAC representatives on a one-night official visit to Macao was around \$31,000.	Officials of the SPP, GDPP and HKMAO	No	This programme is a standing arrangement which is hosted once a year by the GDPP, ICAC and CCAC on a rotational basis.	Exchange of mutual case assistance in cross-boundary corruption was made amongst the GDPP, ICAC and CCAC. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-bound ary project?	Details of the legislative amendments or policy changes involved in the programme
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	The forum in 2016 was hosted by the ICAC. The expenditure incurred was around \$25,000.	Officials of the SPP, GDPP and HKMAO	No	This programme is a standing arrangement which is hosted once a year by the GDPP, ICAC and CCAC on a rotational basis.	Exchange of mutual case assistance in cross-boundary corruption was made amongst the GDPP, ICAC and CCAC. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable
Guangdong Hong Kong Macao Mutual Case Assistance Forum (Guangdong Province, Hong Kong and Macao)	Annual exchange forum on mutual case assistance in cross-boundary corruption. This programme is under the Framework Agreement on Hong Kong/ Guangdong Cooperation.	The forum in 2017 was hosted by the GDPP. The expenditure incurred was unknown. The total amount of subsistence allowances and travelling expenses of 8 ICAC representatives on a two-night official visit to Chaozhou, Guangdong was around \$34,000.	Officials of the SPP and GDPP	No	This programme is a standing arrangement which is hosted once a year by the GDPP, ICAC and CCAC on a rotational basis.	Exchange of mutual case assistance in cross-boundary corruption was made amongst the GDPP, ICAC and CCAC. Since the contents of the forum are confidential, the ICAC cannot disclose the details to the public.	Not applicable	Not applicable

(b) Information on the Hong Kong/Mainland cross-boundary projects or programmes in 2018-19 is as follows:

Project/ programme title	Details, objectives and whether it is related to the Framework Agreement on Hong Kong/ Guangdong Cooperation or the 13th Five-Year Plan	Expenditure involved	Names of Mainland officials, departments or organisations involved	Have any agreements or documents been signed? Have they been made public? If not, why?	Progress (percentage completed, date of commencement, estimated date of completion)	Have the details, objectives, amount involved or impact on the public, society, culture and ecology been released to the public? If so, through what channels and what were the manpower and expenditure involved? If not, why?	Has public consultation been conducted to seek Hong Kong citizens' views on the cross-bound ary project?	Details of the legislative amendments or policy changes involved in the programme
Due to the institutional reform of the anti-corruption system on the Mainland, the future arrangement of the Guangdong Hong Kong Macao Mutual Case Assistance Forum is to be confirmed.	-	-	-	-	-	-	-	-

(c) The ICAC does not have other forms of cross-boundary cooperation projects or programmes. As the items in the table above are part of the daily work of the Commission, the manpower involved cannot be calculated.

- End -

**CONTROLLING OFFICER'S REPLY**

**ICAC022**

**(Question Serial No. 6258)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): ( )

Programme: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

Please list the number of corruption cases involving different government departments in the past year, and the number of persons involved, arrested, prosecuted and convicted in those cases.

Asked by: Dr Hon Fernando CHEUNG Chiu-hung (Member Question No. (LegCo use): 2724)

Reply:

The number of corruption complaints and pursuable corruption complaints received by the Independent Commission Against Corruption (ICAC) involving government departments and the number of government servants arrested, prosecuted and convicted as a result of the ICAC's corruption investigations in the past year are listed in Annexes 1 to 4. The ICAC does not maintain statistics on the number of persons involved in each investigation, and therefore cannot provide such information.

**Number of corruption complaints and pursuable corruption complaints  
received by the ICAC involving government departments (2017)**

<b>Government Department</b>	<b>Corruption Complaints*</b>
Hong Kong Police Force	209 (144)
Housing Department	74 (37)
Food & Environmental Hygiene Department	72 (53)
Lands Department	39 (29)
Leisure & Cultural Services Department	29 (25)
Correctional Services Department	28 (23)
Fire Services Department	26 (18)
Education Bureau	24 (17)
Water Supplies Department	22 (9)
Buildings Department	21 (19)
Hongkong Post	21 (15)
Immigration Department	20 (14)
Home Affairs Department	19 (11)
Judiciary	16 (4)
Highways Department	15 (8)
Customs & Excise Department	12 (8)
Agriculture, Fisheries and Conservation Department	11 (9)
Social Welfare Department	10 (9)
Department of Health	7 (6)
Transport Department	7 (5)
Electrical and Mechanical Services Department	7 (4)
Legal Aid Department	6 (5)
Architectural Services Department	6 (3)
Auxiliary Medical Service	6 (4)
Environmental Protection Department	5 (4)
Other departments	59 (44)
<b>Total</b>	<b>771 (527)</b>

\* Government departments involved in 5 or more corruption complaints in 2017 are listed in the table above. There were 31 other government departments each involved in less than 5 corruption complaints in 2017.

( ) No. of pursuable corruption complaints

**Number of persons of different government departments arrested  
as a result of ICAC's corruption investigations (2017)**

<b>Government Department</b>	<b>No. of Persons Arrested*</b>
Hong Kong Police Force	10
Housing Department	6
Hongkong Post	3
Fire Services Department	3
Civil Engineering and Development Department	2
Immigration Department	1
Highways Department	1
Lands Department	1
Correctional Services Department	1
Agriculture, Fisheries and Conservation Department	1
Department of Health	1
<b>Total</b>	<b>30</b>

\* The figure refers to the number of persons arrested as a result of ICAC's corruption investigations in the year. Persons arrested might not necessarily be prosecuted.



**Number of persons of different government departments prosecuted  
as a result of ICAC's corruption investigations (2017)**

<b>Government Department</b>	<b>No. of Persons Prosecuted*</b>
Hong Kong Police Force	3
Hongkong Post	3
Highways Department	1
Leisure & Cultural Services Department	1
Immigration Department	1
Inland Revenue Department	1
Correctional Services Department	1
Marine Department	1
<b>Total</b>	<b>12</b>

\* The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

**Number of persons of different government departments convicted  
as a result of ICAC's corruption investigations (2017)**

<b>Government Department</b>	<b>No. of Persons Convicted*</b>
Correctional Services Department	2
Leisure & Cultural Services Department	1
Architectural Services Department	1
Hong Kong Police Force	1
Hongkong Post	1
Immigration Department	1
Inland Revenue Department	1
Marine Department	1
Water Supplies Department	1
Chief Executive's Office	1
<b>Total</b>	<b>11</b>

\* The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

- End -

**CONTROLLING OFFICER'S REPLY****ICAC023****(Question Serial No. 6259)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ()Programme: Not SpecifiedControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your department in the past 2 years.

Department and year

Estimated expenditure on entertainment and gifts in the year

Final actual expenditure respectively on entertainment and gifts in the year

Expenditure limit for entertainment (including beverages) per head in the year

Expenditure limit for gifts per guest in the year

Number of receptions held and total number of guests entertained in the year

2. Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your bureau and the departments under its purview this year.

Bureau/branch/department

Date of reception (year/month/day)

Departments/organisations and titles of guests entertained (grouped by department or organisation and indicating the number of guests)

Food expenses incurred in the reception

Beverage expenses incurred in the reception; gift expenses incurred in the reception

Venue of the reception (department offices / restaurant in government facilities / private restaurant / others (please specify))

3. Please provide in table form details of the following items in relation to the estimated expenditure on entertainment and gifts for next year.

Bureau/branch/department

Estimated provision for entertainment and gifts

Expenditure limit for entertainment per guest

Expenditure limit for gifts per guest

Asked by: Hon CHEUNG Chiu-hung, Fernando (Member Question No. (LegCo use): 2725)

Reply:

The expenditure on official entertainment and procurement of souvenirs of the Independent Commission Against Corruption (ICAC) in the past 2 years was as follows:

Year	Expenditure on official entertainment (\$)	Expenditure on procurement of souvenirs (\$)
2016-17	Around 210,000	0
2017-18 (as at 28 February 2018)	Around 260,000 <sup>1</sup>	0

The ICAC follows the Government's relevant regulations as well as administrative guidelines and principles in organising official entertainment. The Government's prevailing expenditure ceilings for lunch and dinner are \$450 and \$600 respectively per head. Adhering to the principle of strict economy in expenses, the ICAC generally does not accept or offer souvenirs or gifts in official events. If it is necessary to exchange souvenirs for protocol reasons, they should be first drawn from the existing stock.

As the ICAC's funding allocation for 2018-19 is still under preparation, the estimates for official entertainment and souvenirs are not yet available.

- End -

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<sup>1</sup> Including \$90,000 as the official entertainment expenses in relation to the ICAC International Seminar on Financial Investigation; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2017-18 was around \$170,000.

**CONTROLLING OFFICER'S REPLY****ICAC024****(Question Serial No. 6147)**Head: (72) Independent Commission Against CorruptionSubhead (No. & title): ( )Programme: (2) OperationsControlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)Director of Bureau: Commissioner, Independent Commission Against CorruptionQuestion:

Please complete the table below for assessment on the value for money of the law enforcement effort of the Independent Commission Against Corruption in handling the bid-rigging complaints received in 2015-17 involving maintenance works of old buildings in the three administrative districts of Kowloon West (Sham Shui Po, Yau Tsim Mong and Kowloon City).

Administrative district	Total no. of complaints received	No. of complaints being processed	No. of complaints with insufficient evidence	No. of complaints for which law enforcement action has been taken	Total amount of time spent on processing the complaints	Cost (\$)

Asked by: Hon Claudia MO (Member Question No. (LegCo use): 117)Reply:

The Independent Commission Against Commission (ICAC) has always strived to combat corruption in building management and maintenance (including corrupt bid-rigging activities<sup>1</sup>), which affects people's livelihood and is an issue of public concern. The

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<sup>1</sup> "Bid-rigging" is defined in Section 2(2) of the Competition Ordinance, Cap. 619. Corruption complaints involving bid-rigging refer to complaints in which the complainants either specifically allege bid-rigging or provide information fitting within the meaning of bid-rigging.

Operations Department of the ICAC has an established investigation group dedicated to the investigation of corruption cases in this regard. The group currently comprises 43 officers. In addition, the Operations Department has further set up a task force comprising 9 officers since April 2015 through redeployment of its resources and manpower to handle corruption complaints which involve bid-rigging.

The corruption complaints involving building maintenance in the three administrative districts of Kowloon West (Sham Shui Po, Yau Tsim Mong and Kowloon City) received by the ICAC in the past three years are listed in the annex. The ICAC does not maintain statistics on the time and costs incurred in handling each investigation, and therefore cannot provide the information requested.

	2015	2016	2017
<b>Sham Shui Po</b>			
Corruption complaints involving building maintenance	10(0)	19(0)	15(1)
Pursuable corruption complaints involving building maintenance	10(0)	17(0)	13(0)
Corruption complaints involving building maintenance of which investigation has been completed*	10(0)	16(0)	5(0)
Corruption complaints involving building maintenance of which investigation is still ongoing*	0(0)	1(0)	8(0)
No. of persons prosecuted in cases involving building maintenance <sup>#</sup>	0(0)	0(0)	0(0)
<b>Yau Tsim Mong</b>			
Corruption complaints involving building maintenance	28(0)	38(0)	36(1)
Pursuable corruption complaints involving building maintenance	28(0)	36(0)	31(1)
Corruption complaints involving building maintenance of which investigation has been completed*	28(0)	36 <sup>2</sup> (0)	19 <sup>3</sup> (0)
Corruption complaints involving building maintenance of which investigation is still ongoing*	0(0)	0(0)	12(1)
No. of persons prosecuted in cases involving building maintenance <sup>#</sup>	0(0)	0(0)	6(0)
<b>Kowloon City</b>			
Corruption complaints involving building maintenance	16(0)	24(1)	31(1)
Pursuable corruption complaints involving building maintenance	16(0)	22(0)	25(1)
Corruption complaints involving building maintenance of which investigation has been completed*	16(0)	22 <sup>4</sup> (0)	18 <sup>5</sup> (1)
Corruption complaints involving building maintenance of which investigation is still ongoing*	0(0)	0(0)	7(0)
No. of persons prosecuted in cases involving building maintenance <sup>#</sup>	1(1)	0(0)	0(0)

( ) Complaints involving bid-rigging

\* As at 28 February 2018

# The figure denotes the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

- End -

<sup>2</sup> Early intervention action was taken in 1 of the completed investigations to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually decided to reorganise the owners' corporation, thereby frustrating possible corrupt bid-rigging activities.

<sup>3</sup> Early intervention action was taken in 2 of the completed investigations to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually decided to replace the renovation contractor, thereby frustrating possible corrupt bid-rigging activities.

<sup>4</sup> Early intervention action was taken in 1 of the completed investigations to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually decided to reorganise the owners' corporation and replace the renovation consultant and contractor, thereby frustrating possible corrupt bid-rigging activities.

<sup>5</sup> Early intervention action was taken in 3 of the completed investigations to alert the owners of the risk of corruption involved in the granting of contracts. The owners eventually decided to reorganise the owners' corporation or suspend the maintenance works, thereby frustrating possible corrupt bid-rigging activities.

**CONTROLLING OFFICER'S REPLY**

**ICAC025**

**(Question Serial No. 5297)**

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption  
(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

Question:

In respect of the provision for salaries and related allowances, please inform the Committee of the following:

- (1) Does this provision cover entertainment allowances?
- (2) Further to the above, if so, what is the proportion accounted for and the exact amount?

Asked by: Hon YEUNG Alvin (Member Question No. (LegCo use): 47)

Reply:

As official entertainment is covered by the general departmental expenses, it is not included in the provision for salaries and related allowances.

- End -