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# Replies to initial written questions raised by Finance Committee Members in examining the Estimates of Expenditure 2019-20

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ICAC001

## (Question Serial No. 0110)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

#### Question:

It is stated in "Matters Requiring Special Attention in 2019-20" that the CPD will, in respect of the Government's introduction of pro-innovation procurement, provide corruption prevention advice and revise the Best Practice Checklist on Government Procurement. In this connection, will the Commission inform this Committee of the details of the future work plan and whether additional manpower and expenditure will be allocated to the relevant work?

Asked by: Hon CHAN Chun-ying (LegCo internal reference no.: 2)

#### Reply:

As a usual practice, when the Government introduces new major policies and establishes relevant procedures, the CPD provides early corruption prevention input during the formulation and initial implementation of these policies/procedures.

In respect of the procurement policy newly launched by the Government, the CPD has been working closely with the Financial Services and the Treasury Bureau (FSTB) to provide timely advice on the draft operational framework and related guidelines, so as to assist the FSTB in the effective implementation of the policy from corruption prevention and integrity perspectives.

Subsequent to the implementation of the policy, the CPD will, having regard to the needs of individual bureaux/departments (B/Ds), provide them with tailor-made corruption prevention services, such as assisting them to review their internal procurement procedures, advising on strengthened internal controls, etc. The CPD will also update the relevant contents of its Best Practice Checklist on Government Procurement; and plans to promulgate it in the fourth quarter of this year with a view to providing updated information to assist B/Ds to put in place suitable corruption prevention safeguards for the implementation of the new policy.

As the CPD will deploy the existing resources to conduct the above corruption prevention work, no additional manpower or expenditure will be involved.

ICAC002

## (Question Serial No. 0112)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

During 2019-20, the CPD will continue to collaborate with the Development Bureau and works departments in strengthening their corruption prevention measures for the quality control of major construction materials for government works projects and enhancing the corruption prevention awareness and capabilities of the practitioners. In this connection, please inform this Committee of the number of corruption reports received by the ICAC involving public works contractors in each of the past 3 years; among which, the number of reports eventually substantiated; and whether the ICAC is required to additionally allocate any estimated manpower and expenditure to the work concerned for the coming year.

Asked by: Hon CHAN Chun-ying (LegCo internal reference no.: 4)

#### Reply:

In relation to public works, the number of corruption complaints received by the ICAC and the number of persons prosecuted and convicted in the past 3 years are as follows:

Year	Corruption Complaints	Pursuable Complaints	No. of Persons Prosecuted*	No. of Persons Convicted <sup>#</sup>
2016	76	42	4	4
2017	149	88	29	7
2018	129	81	6	11

- \* The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- # The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints

received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

"Public works" refer to works undertaken by public organisations (including the Government and public bodies using public funds) or the contractors and consultants engaged by them. Complaints related to public works include allegations of corrupt dealings involving contractors, consultants or suppliers, use of false documents with intent to deceive principals and corruption over staff administrative matters.

The Operations Department of the ICAC has an established group dedicated to the investigation of complaints related to works departments. Although there is no increase in resources for this purpose in respect of the new posts to be created in 2019-20, the Operations Department will regularly review the trend of such complaints as well as the gravity and complexity of cases, so that its resources and manpower can be flexibly deployed to step up enforcement against corrupt activities in this connection.

Moreover, to enhance the corruption prevention services provided for government works projects, including the corruption prevention studies on the quality control of major construction materials and initiatives to raise practitioners' awareness against corruption, the CPD created 3 posts in 2018-19 for implementation of the work concerned. In 2019-20, as the relevant work and other duties will continue to be carried out by the officers concerned, no additional manpower and expenditure will be involved.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC003

(Question Serial No. 0113)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

Under "Matters Requiring Special Attention in 2019-20", the ICAC will further step up efforts in the collaboration with and assistance rendered to the anti-corruption agencies of the Belt and Road countries, particularly the Association of Southeast Asian Nations member states, to build up their anti-corruption capacity. In this regard, please advise this Committee of the details of the future work plan, and the manpower and expenditure to be allocated to the relevant work, particularly whether passage expenses will be involved.

Asked by: Hon CHAN Chun-ying (LegCo internal reference no.: 5)

## Reply:

To fight corruption effectively in the process of globalisation, the ICAC has all along attached great importance to establishing international collaboration networks. Under the United Nations Convention against Corruption (UNCAC), the ICAC has the obligation to assist state parties in building anti-corruption capacity. To tie in with the rapid development of the Belt and Road (B&R) Initiative, the ICAC has further enhanced anti-corruption training for B&R countries, particularly the Association of Southeast Asian Nations (ASEAN) member states, within the framework of the UNCAC since 2017. As most ASEAN countries have relatively close business and trade relations with Hong Kong, and other B&R countries also provide Hong Kong business and professional sectors with multifaceted investment and development opportunities, rendering anti-corruption capacity building assistance to these countries will help them improve their corruption situations and develop a level playing field, which in return will also benefit Hong Kong companies and individuals seeking opportunities there. Furthermore, these efforts will show the international community and ranking institutions Hong Kong's unswerving determination to fight corruption.

So far, the ICAC has connected and commenced dialogues on anti-corruption training with the anti-corruption agencies (ACAs) of 40 countries, including most of the ASEAN member states and some B&R countries. In 2018-19, the ICAC provided ACAs of 4

UNCAC state parties, including Myanmar, Cambodia, Bangladesh and Vietnam, with tailor-made training programmes. In-depth discussions on training collaboration are also underway with ACAs of over 10 other countries, including ASEAN member states (Laos, Indonesia, the Philippines and Singapore, etc.) and B&R countries (Mongolia, Bhutan, Sri Lanka, Timor-Leste, Bulgaria, Moldova, Romania and Serbia, etc.). In 2019-20, the ICAC will continue to conduct training for different countries. Depending on the needs of individual ACAs, such courses will be conducted either in their countries or in Hong Kong, and the Commission expects to conduct 4 overseas and 6 local courses each year. Besides, ICAC officers will continue to visit the ACAs of other countries for exchanges and conducting field research on their corruption situations and anti-corruption regimes with a view to developing tailor-made training courses for the ACAs of these countries and expanding the ICAC's global anti-corruption networks. The Commission expects to visit about 6 countries during the year.

ICAC's initiatives in this area are co-ordinated by the International Liaison and Training Group (ILT) under the Administration Branch. To facilitate effective implementation of such initiatives by the ILT, the Government allocated additional resources to the ICAC in 3 financial years of 2017-18, 2018-19 and 2019-20 for creation of 10 posts, including 1 Senior Commission Against Corruption Officer, 2 Commission Against Corruption Officer (Upper), 3 Commission Against Corruption Officer (Middle/Lower), 3 Assistant Commission Against Corruption Officer and 1 Executive Officer I posts.

In 2019-20, the estimated expenditure for capacity building initiatives including study visits, research and courses conducted by ICAC officers in the relevant countries is \$1.8 million.

ICAC004

## (Question Serial No. 0313)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (2) Operations, (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## Question:

The ICAC stated that a 2-year Ethics Promotion Campaign for the Insurance Industry would be kicked off. In this connection, please advise this Committee of the following:

1. In respect of the corruption cases concerning the Insurance Industry in the past 3 years,

- (a) the number of pursuable complaints
- (b) the number of non-pursuable complaints
- (c) the number of persons arrested in the cases
- 2. Regarding the Ethics Promotion Campaign for the Insurance Industry, please provide details of how the training resources and the web portal, in terms of their production and contents, can effectively strengthen integrity and professional ethics amongst practitioners with a breakdown of the estimated expenditure.

Asked by: Hon CHAN Kin-por (LegCo internal reference no.: 9)

## Reply:

1. Please find below the number of corruption complaints relating to the insurance industry in the past 3 years.

Year	Corruption Complaints	Pursuable Complaints	Non-pursuable Complaints
2016	35	26	9
2017	57	38	19
2018	36	27	9

Please find below the number of persons arrested, prosecuted and convicted as a result of corruption investigations relating to the insurance industry in the past 3 years.

Year	No. of Persons Arrested*	No. of Persons Prosecuted <sup>#</sup>	No. of Persons Convicted <sup>®</sup>
2016	11	14	11
2017	7	1	8
2018	3	8	5

- \* The figure refers to the number of persons arrested in the year. The number of persons arrested each year does not necessarily originate from the complaints received in the same year, and the persons arrested might not necessarily be prosecuted.
- # The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- @ The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.
- 2. In 2019, the CRD of the ICAC will kick off a 2-year Ethics Promotion Campaign for the Insurance Industry jointly with the Insurance Authority (IA) and professional bodies in the industry. Details of the Campaign are as follows:
  - Content relating to the anti-corruption law in the Insurance Intermediaries Qualifying Examination (IIQE) will be updated and web learning materials be provided to align with the updated examination syllabus and the latest development of the industry. New practitioners are encouraged to study the relevant online materials to equip themselves with necessary knowledge of the anti-bribery legislation and professional ethics.
  - A new series of training videos for the insurance industry featuring different scenarios will be produced to alert insurance practitioners to the possible corruption risks and ethical challenges. The videos will be used in the IA accredited Continuing Professional Development (CPD) courses organised by the ICAC for the insurance industry to help frontline insurance intermediaries gain a better understanding of the anti-bribery law and remind managerial staff of the importance of ethical leadership and corruption prevention measures.
  - A new online CPD course for insurance intermediaries will be developed to increase their knowledge of the anti-bribery law and the relevant regulations. In view of the impending requirements for compulsory attendance of CPD courses on ethics or regulations by the intermediaries, the online training course will enable them to receive effective integrity training in a convenient manner.
  - A dedicated one-stop web portal and training platform will be created to provide a

repertoire of preventive education and training resources including feature articles, case studies, corruption prevention guides, training videos and online training courses for insurance companies and practitioners.

The estimated expenditure for the 2-year Campaign is about \$2.4 million, which includes the cost of producing various training resources and the online platform, organising the large-scale trade-wide seminar, CPD talks and the relevant publicity activities.

- End -

ICAC005

(Question Serial No. 0433)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (1) Corruption Prevention

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## **Question:**

Will the Government inform this Committee of the following:

- A. The estimated expenditure under Programme (1) Corruption Prevention will increase by 6%, and 2 posts will be created as stated by the Government. What are the posts and the salaries involved?
- B. How is corruption prevention work currently distributed in the Commission? Please list the expenditure and manpower involved in each unit of the Corruption Prevention Department (CPD).
- C. Our nation is actively promoting the Guangdong-Hong Kong-Macao Greater Bay Area (Greater Bay Area) Development Plan, and the links with enterprises in the Greater Bay Area are expected to be further strengthened. Will the CPD step up its corruption prevention efforts in the Greater Bay Area? If so, what are the details and the expenditure involved? If not, what are the reasons?

Asked by: Hon CHEUNG Wah-fung, Christopher (LegCo internal reference no.: 10)

## Reply:

- A. The CPD will create 2 Commission Against Corruption Officer (CACO) (Middle/Lower) posts. The notional annual mid-point salary value of the 2 posts is approximately \$1.77 million in total.
- B. Headed by 1 Senior Assistant Director and 2 Assistant Directors, the CPD is organised into 7 Assignment Groups, each responsible for the corruption prevention work for a portfolio of designated government departments/public bodies and one or more functional areas such as procurement, civil service integrity and public works. 2 of the Assignment Groups are also designated to provide corruption prevention services to the private sector. Each Assignment Group is generally staffed with 3 CACOs (Upper) and 3 to 4 CACOs (Middle/Lower) under the supervision of 1 Senior

Commission Against Corruption Officer (SCACO). Under the purview of the CPD is also a Management Group, headed by 1 SCACO who is assisted by 2 CACOs and 13 general grade staff members, which provides management and administrative support to the Department. The total notional annual mid-point salary value of staff under the CPD is approximately \$70.49 million.

C. The ICAC has been maintaining close liaison and co-operation with its counterparts in Guangdong Province and Macao in law enforcement, corruption prevention and The Outline Development Plan for the Guangdong-Hong preventive education. Kong-Macao Greater Bay Area (the Outline Development Plan) just promulgated in late February highlighted that the development of the Greater Bay Area must "continuously promote social equality and justice", "vigorously promote a culture of incorruptibility, probity, industry and responsibility, create a good social environment that values integrity" and it must also "actively take forward governance in accordance with the law, strengthen synergy in the Greater Bay Area's anti-corruption mechanism, establish a quality, efficient and clean government". The ICAC has promptly liaised with the Guangdong Provincial Commission of Supervision and the Commission Against Corruption of Macao to actively explore measures to strengthen liaison and co-operation among the anti-corruption agencies in the Greater Bay Area under the Outline Development Plan in a bid to jointly safeguard a clean and efficient public sector and to ensure that a level playing field for business and corruption resistant systems are in place in the Greater Bay Area.

Regarding the expenditure, as the work concerned is still at the stages of discussion and research, the specific estimate is not readily available.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC006

(Question Serial No. 2466)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (4) Enlisting Support

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question**:

The ICAC indicated that it would further step up efforts in the collaboration with and assistance rendered to the anti-corruption agencies of the Belt and Road countries, particularly the Association of Southeast Asian Nations member states, to build up their anti-corruption capacity. In 2019-20, what is the estimated expenditure for the ICAC's work in this regard? Which countries will the ICAC collaborate with and render resistance to in its preliminary plan?

Asked by: Hon LAM Cheuk-ting (LegCo internal reference no.: 41)

## Reply:

To fight corruption effectively in the process of globalisation, the ICAC has all along attached great importance to establishing international collaboration networks. Under the United Nations Convention against Corruption (UNCAC), the ICAC has the obligation to assist state parties in building anti-corruption capacity. To tie in with the rapid development of the Belt and Road (B&R) Initiative, the ICAC has further enhanced anti-corruption training for B&R countries, particularly the Association of Southeast Asian Nations (ASEAN) member states, within the framework of the UNCAC since 2017. As most ASEAN countries have relatively close business and trade relations with Hong Kong, and other B&R countries also provide Hong Kong business and professional sectors with multifaceted investment and development opportunities, rendering anti-corruption capacity building assistance to these countries will help them improve their corruption situations and develop a level playing field, which in return will also benefit Hong Kong companies and individuals seeking opportunities there. Furthermore, these efforts will show the international community and ranking institutions Hong Kong's unswerving determination to fight corruption.

So far, the ICAC has connected and commenced dialogues on anti-corruption training with the anti-corruption agencies (ACAs) of 40 countries, including most of the ASEAN member states and some B&R countries. In 2018-19, the ICAC provided ACAs of 4

UNCAC state parties, including Myanmar, Cambodia, Bangladesh and Vietnam, with tailor-made training programmes. In-depth discussions on training collaboration are also underway with ACAs of over 10 other countries, including ASEAN member states (Laos, Indonesia, the Philippines and Singapore, etc.) and B&R countries (Mongolia, Bhutan, Sri Lanka, Timor-Leste, Bulgaria, Moldova, Romania and Serbia, etc.). In 2019-20, the ICAC will continue to conduct training for different countries. Depending on the needs of individual ACAs, such courses will be conducted either in their countries or in Hong Kong, and the Commission expects to conduct 4 overseas and 6 local courses each year. Besides, ICAC officers will continue to visit the ACAs of other countries for exchanges and conducting field research on their corruption situations and anti-corruption regimes with a view to developing tailor-made training courses for the ACAs of these countries and expanding the ICAC's global anti-corruption networks. The Commission expects to visit about 6 countries during the year.

In 2019-20, the estimated expenditure on the relevant work is \$1.8 million.

ICAC007

(Question Serial No. 2467)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (2) Operations

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

In 2018-19, how many civil servants were prosecuted by the ICAC and how many of them were convicted? The Financial Secretary announced that \$20 billion would be allocated for the purchase of 60 properties for accommodating more than 130 welfare facilities. Have the relevant policy bureaux and departments sought guidance from the ICAC on corruption prevention, and will the ICAC monitor closely if corruption and malpractices are involved in the relevant procurement exercises?

Asked by: Hon LAM Cheuk-ting (LegCo internal reference no.: 42)

## Reply:

Please find below the number of government servants prosecuted and convicted in 2018 and the first 2 months of 2019 as a result of ICAC's corruption investigations.

Year	No. of Persons	No. of Persons
	Prosecuted*	Convicted#
2018	13	14
Jan-Feb 2019	2	0

<sup>\*</sup> The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.

<sup>#</sup> The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

The CPD of the ICAC has attended a meeting arranged by the Social Welfare Department with the relevant government departments, and will provide them with timely corruption prevention advice upon their formulation of the detailed procedures, to ensure that adequate measures are put in place to reduce the risk of corruption and malpractices.

- End -

Reply Serial No.

## CONTROLLING OFFICER'S REPLY

ICAC008

(Question Serial No. 2468)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

**Question**:

In 2019-20, what is the estimated expenditure on salaries and allowances payable to the Commissioner of the ICAC?

Asked by: Hon LAM Cheuk-ting (LegCo internal reference no.: 43)

## Reply:

In 2019-20, the estimated expenditure on salaries and allowances payable to the Commissioner of the ICAC is \$3.68 million.

- End -

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC009

(Question Serial No. 2673)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (1) Corruption Prevention

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## Question:

The CPD started to conduct a comprehensive review on the existing control system of construction materials in 2018. What is the estimated expenditure? What is the projected effectiveness of the review in relation to the quality of construction materials to be used in future government public works projects?

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 10)

## Reply:

To enhance the corruption prevention services provided for government works projects (including the comprehensive review on the control system of construction materials and other relevant work), in 2018-19, the CPD created 3 posts, i.e., a Senior Commission Against Corruption Officer, a Commission Against Corruption Officer (Upper) and a Commission Against Corruption Officer (Middle/Lower), for implementation of the work concerned. The notional annual mid-point salary value of the 3 posts is about \$3.86 million in total.

Through a comprehensive review on the control system of construction materials used in government works projects, the CPD will offer corruption prevention advice to the Development Bureau and works departments with a view to assisting them in strengthening their control systems and corruption prevention measures, and in avoiding the risk of using substandard construction materials arising from corruption. Efforts will also be made to encourage the Government to explore the feasibility of adopting more advanced control measures.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC010

(Question Serial No. 2674)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## **Question:**

In 2018, the ICAC received a total of 84 pursuable corruption complaints related to election, but 71 of them are still under investigation. Considering that the District Council Election will be held this year, has the ICAC earmarked extra funds for handling election-related corruption complaints that may arise?

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 11)

## Reply:

The Operations Department of the ICAC has an established investigation group comprising a total of 47 officers dedicated to the investigation of election-related corruption cases. Although there is no increase in resources for investigating such cases in respect of the new posts to be created in 2019-20, the Operations Department will, in consideration of the coming District Council Election, review from time to time the trend of election-related corruption complaints as well as the gravity and complexity of cases, so that its resources and manpower can be flexibly deployed to step up enforcement against corrupt activities in this connection.

ICAC011

(Question Serial No. 4093)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (3) Preventive Education

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

While 2019 is the District Council election year and the ICAC also recognises the proliferation of start-up businesses in Hong Kong, why are the estimated expenditure and the planned targets under Preventive Education for 2019-20 (p.586) lower than those of 2018? Does the CRD consider that it can possibly begin to reduce efforts in preventive education?

Asked by: Hon Alvin YEUNG (LegCo internal reference no.: 12)

#### Reply:

The CRD attaches great importance to educating the public against the evils of corruption and enlisting public support in the fight against corruption. Each year, the CRD maps out suitable strategies to promote preventive education taking into account the latest social development and other relevant factors.

In setting the planned targets for 2019, the CRD had considered the latest social development and tied in with its publicity and education strategies for the year. In fact, the planned targets/estimated indicators for 2019 are set at a level similar to those planned in 2018, with some targets higher than 2018 (the Controlling Officer's Report only included the actual figures but not the planned targets for 2018).

The estimated expenditure on preventive education is \$91.9 million for 2019-20, while the revised estimate for 2018-19 is \$94.9 million. Meanwhile, to step up preventive education efforts for the District Council Elections this year, the CRD has made an application for additional funds of about \$3.4 million to the Constitutional and Mainland Affairs Bureau. All in all, the CRD's estimated expenditure on preventive education remains stable.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC012

## (Question Serial No. 4094)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

<u>Programme</u>: (4) Enlisting Support

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

## Question:

Instagram is currently a major social networking platform for young people. How many "followers" does the Instagram account hosted by the animated character *Greedy Kin* have? Can the youth be reached effectively now?

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 13)

## Reply:

The CRD of the ICAC has been striving to reach the young people through various means and channels (including online platforms and social media) and to disseminate integrity messages in ways well catered for the interest of the youth. In March 2018, the ICAC made a new attempt to launch an Instagram account hosted by an animated character *Greedy Kin* to show the common behaviour and habits of young people, and to help the youth build up positive values through the use of a language familiar amongst them. As at 10 March 2019, the account has over 5 000 followers.

Apart from making use of illustrations and posts, the ICAC also conducted street interviews, which were carried out in a casual way, to inspire the reflection on integrity-related issues among the young people. Over the past year, a total of 36 videos were rolled out on the Instagram platform of *Greedy Kin*, with the cumulative views approaching 400 000.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC013

## (Question Serial No. 4109)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

Programme: (4) Enlisting Support

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## **Question:**

Regarding paragraph 36 under Programme (4) of the ICAC, please inform this Committee of the operating expenses (including video production, advertisements and daily operation) on the YouTube ICAC Channel (https://www.youtube.com/user/ICACChannel), the "All for Integrity" Facebook Fanpage (https://www.facebook.com/allforintegrity) and the greedy\_kin Instagram account (https://www.instagram.com/greedy\_kin) in the past year.

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 92)

#### Reply:

In recent years, the ICAC has stepped up publicity and educational efforts through suitable online platforms to extend its reach to the general public. In 2018-19, the total expenses (including the expenditure on video production, daily operation and advertisements) on the Commission's publicity platforms, namely the YouTube ICAC Channel (https://www.youtube.com/user/ICACChannel), the "All for Integrity" Facebook Fanpage (https://www.facebook.com/allforintegrity) and the greedy\_kin Instagram account (https://www.instagram.com/greedy\_kin), were around \$2.39 million.

ICAC014

(Question Serial No. 4339)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: Not Specified

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

In regard to the work concerning the Code on Access to Information, will the Government please inform this Committee of the following:

1) Among the information requests made under the Code on Access to Information that were received and met in part only by the ICAC, please provide in table form (i) the contents of those requests which were met in part only; (ii) the reasons for meeting the requests in part only; and (iii) the handling of the requests eventually.

#### Year

(i) the contents of those	(ii) the reasons for	(iii) the handling of the
requests which were met	meeting the requests in	requests eventually
in part only	part only	

2) Among the information requests made under the Code on Access to Information that were received and refused by the ICAC, please provide in table form (i) the contents of those requests which were refused; (ii) the reasons for the refusal; and (iii) the handling of the requests eventually.

#### Year

(i) the contents of those	(ii) the reasons for the	(iii) the handling of the
requests which were	refusal	requests eventually
refused		

Asked by: Hon CHAN Tanya (LegCo internal reference no.: 83)

## Reply:

- 1) The Constitutional and Mainland Affairs Bureau (CMAB) has uploaded to its website the statistics of information requests made under the Code on Access to Information concluded by individual departments in the first and second quarters of 2018. The ICAC has submitted its statistics in the third quarter to the CMAB. In the first 3 quarters of 2018, the ICAC did not handle any information requests which were met in part only.
- During this period, the ICAC refused a total of 8 information requests which were made by the same applicant. Pursuant to paragraph 2.9 of the Code on Access to Information, the ICAC may refuse to provide information if the disclosure of such information would harm or prejudice the proper and efficient conduct of the operations of the Commission. The applicant subsequently lodged a complaint with the Ombudsman. After investigation, the Ombudsman agreed that, pursuant to paragraph 2.9 of the Code, the ICAC could refuse to disclose the information requested by the applicant. As a result, the applicant's complaint was unsubstantiated.

ICAC015

(Question Serial No. 4716)

Head: (72) Independent Commission Against Corruption

Subhead (No. & title): (000) Operational Expenses

(1) Corruption Prevention Programme:

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

Director of Bureau: Commissioner, Independent Commission Against Corruption

#### **Question**:

According to the Programme, the Commission assisted the Urban Renewal Authority (URA), the Buildings Department and the Fire Services Department in developing corruption-resistant systems for processing applications under the Operation Building Bright (OBB) 2.0 and the Fire Safety Improvement Works Subsidy Scheme (FSWS). this connection, please provide in table form the number of complaints received by the ICAC concerning bid-rigging in OBB, the number of case files opened and the number of substantiated complaints involved in the past 3 years; the estimated manpower and expenditure involved in preventing bid-rigging in OBB, and the amount of resources to be used by the Commission on publicity and education in disseminating anti-bid-rigging messages for the coming year.

Asked by: Hon CHAN Chi-chuen (LegCo internal reference no.: 228)

## Reply:

The renovation, maintenance and other general management issues of buildings are closely related to people's livelihood. The ICAC will continue its vigorous enforcement action to combat corrupt activities in this connection. The Operations Department of the ICAC has an established investigation group comprising 43 officers dedicated to the investigation of corruption complaints related to building management. Moreover, the ICAC has set up a task force since April 2015 to investigate corruption complaints which may involve bid-rigging<sup>1</sup>. In 2019-20, the ICAC will create 4 new posts to strengthen its capabilities in handling corruption cases arising from such complaints. To maximise the

<sup>&</sup>quot;Bid-rigging" is defined in Section 2(2) of the Competition Ordinance, Cap. 619. Corruption complaints involving bid-rigging refer to complaints in which the complainants either specifically allege bid-rigging or provide information falling within the meaning of bid-rigging.

effectiveness of its enforcement actions, the Operations Department adopts a "two-pronged" strategy. Apart from the traditional approach of investigation and prosecution of offenders, it also takes timely intervention action<sup>2</sup>, where appropriate, to frustrate potential corrupt bid-rigging activities at an early stage, which raises the owners' awareness of the risk of corruption involved in the awarding of works contracts and prompts them to consider taking suitable measures, such as replacing the renovation contractor or consultant, when necessary.

Moreover, the ICAC has established an internal task force comprising officers from the Operations Department, the CPD and the CRD to regularly analyse and review the corruption situation in the building management subsector in order to formulate a holistic strategy to combat corruption involved in building management and maintenance. Externally, the ICAC has been working closely with the relevant concern groups and government departments/bodies, including the URA, the Hong Kong Police Force, the Home Affairs Department (HAD), the Buildings Department and the Competition Commission which is mandated to fight bid-rigging activities. To tie in with the OBB 2.0 and the FSWS implemented by the URA, the ICAC will continue to partner with the relevant departments and organisations to provide owners and owners' corporations (OCs) participating in the schemes as well as other stakeholders with corruption prevention advice and preventive education services, such as briefing the schemes' participants on the anti-corruption laws and preventive measures in building maintenance at the thematic seminars organised by the URA and the HAD. Efforts will also be made to remind owners to actively participate in building management for effective monitoring of the building maintenance works. ICAC Regional Offices will continue to provide bespoke preventive education services through visits to OCs and talks and handle enquiries from OCs and owners through the Integrity Building Management Hotline. Apart from launching new publicity items and the "Government Subsidy Schemes for Building Maintenance -Corruption Preventive Education Thematic Webpage" to promote knowledge of the relevant anti-corruption legislation and information, the CRD will also introduce the ICAC's preventive education services and resources targeting the participants by writing to all buildings which have successfully applied for subsidies under the schemes. As always, the ICAC will spare no effort to eradicate corruption in building management and maintenance through a three-pronged approach combining law enforcement, corruption prevention and community education.

In relation to bid-rigging in building renovation and maintenance works, the number of corruption complaints received by the ICAC and the number of persons prosecuted and convicted in the past 3 years are as follows:

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<sup>&</sup>lt;sup>2</sup> In 2016, 2017 and 2018, the ICAC took timely intervention action in 4, 2 and 1 bid-rigging corruption cases related to building renovation and maintenance respectively, which raised the owners' awareness of the risk of corruption involved in the awarding of works contracts and, as a result, the member of the owners' corporation or project consultant concerned was replaced, or the works concerned were suspended.

Year	Corruption Complaints	Pursuable Complaints <sup>3</sup>	No. of Persons Prosecuted*	No. of Persons Convicted <sup>#</sup>
2016	16 (2)	10 (2)	0 (0)	1 (0)
2017	14 (0)	8 (0)	0 (0)	0 (0)
2018	8 (0)	5 (0)	1 (0)	1 (0)

- () Number of bid-rigging corruption complaints and number of persons prosecuted and convicted in relation to OBB
- \* The figure refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- # The figure refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

As corruption prevention and education work relating to building management and maintenance, including the corruption prevention services in respect of the OBB 2.0 and the FSWS, is part of the ICAC's regular duties, the resources involved are included in the general operating expenses and no itemised budget is made.

- End -

possible.

Some corruption complaints were classified as non-pursuable for the reason that the information provided was too nebulous or tenuous and the complainants in the cases remained anonymous which rendered clarification not

ICAC016

(Question Serial No. 4908)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (3) Preventive Education

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## **Question**:

1. In the past 3 years, how many schools visited the ICAC and how many school activities were conducted? Please provide the information in the table below:

	Number of Visits by Schools			Numb	er of Scho	ol Activities		
Yea	Kinder-garte	Primar	Secondar	Specia	Kinder-garte	Primar	Secondar	Specia
r	n	y	У	1	n	у	У	1
		School	School	School		School	School	School
201								
8								
201								
7								
201								
6								

- 2. How many school requests for visits were received by the ICAC in each of the past 3 years? If there were cases where school requests were declined, what were the common reasons?
- 3. How many school requests for activities were received by the ICAC in each of the past 3 years? If there were cases where school requests were declined, what were the common reasons?

Asked by: Hon IP Kin-yuen (LegCo internal reference no.: 162)

## Reply:

1.

	Number of Visits by Schools			Numb	er of Scho	ol Activities		
Yea	Kinder-garte	Primar	Secondar	Specia	Kinder-garte	Primar	Secondar	Specia
r	n	y	y	1	n	y	y	1
		School	School	School		School	School	School
201	0	69	126	2	357	350	473	3
8								
201	0	16	127	2	289	254	414	1
7								
201	0	0	91	0	345	207	384	1
6								

The ICAC has all along adopted a multi-faceted approach to promote integrity and probity messages to children and youths. In addition to school activities such as interactive drama performances, talks on integrity and the iTeen Leadership Programme, job shadowing activities and visits to the ICAC Building were organised for secondary school students. For primary schools, the ICAC had organised the "All for Integrity" Multimedia Project for students and briefing sessions on teaching packages for teachers to support them in moral education work. The ICAC also distributed moral education worksheets to primary schools and kindergartens to strengthen parenting and moral education.

## 2. and 3.

Each year, the ICAC proactively invites schools to participate in activities to promote integrity and follows up on all of their enquiries. The Commission will not refuse to offer assistance requests from schools to arrange activities. In case the request cannot be accommodated due to venue or time constraints, the ICAC will provide the school with moral education resources or related teaching materials to promote integrity education according to the school's needs.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC017

(Question Serial No. 4953)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): (002) Allowances

Programme: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

Regarding the disciplined services quarters for ICAC officers, please provide the following information:

(1) Please provide in table form (i) the number of ICAC officers provided with disciplined services quarters; and (ii) its percentage in the total number of ICAC officers in the financial year 2018-19.

(i) The number of ICAC officers provided with disciplined services quarters	(ii) Its percentage in the total number of ICAC officers

(2) Please provide in table form (i) the estimated number of ICAC officers to be provided with disciplined services quarters; and (ii) its percentage in the total number of ICAC officers in the financial year 2019-20.

(i) The estimated number of ICAC officers to be provided with disciplined services quarters	1 .

Asked by: Hon YEUNG Alvin (LegCo internal reference no.: 118)

## Reply:

The ICAC departmental quarters (DQ) are open for application by all eligible local married departmental grades officers who are employed on Local Agreement Terms and remunerated at or below ICAC Pay Scale Point 42, and by all eligible local married departmental grades officers who are employed on Common Agreement Terms or New

Agreement Terms of Service and remunerated below ICAC Pay Scale Point 28. The ICAC conducts DQ allocation exercises in accordance with the established procedures. Under the quartering points system, applicants with the highest points will be allocated DQs of their appropriate grades and categories. The information requested is tabulated as follows:

## Table 1:

(i) The number of ICAC officers provided with disciplined services quarters in 2018-19 (As at 1 March 2019)	(ii) Its percentage in the total number of ICAC officers eligible to apply for DQs
220	58%

## Table 2:

(i) The estimated number of ICAC officers to be provided with disciplined services quarters in 2019-20	number of ICAC officers eligible
223	58%

ICAC018

## (Question Serial No. 6665)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: Not Specified

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## Question:

Please provide details of the meetings with, visits to or exchanges with the relevant authorities taken place on the Mainland by the department in each of the past 5 years; and list the following details of each trip in chronological order:

- (a) purpose, place;
- (b) post titles of local officials met;
- (c) number and post titles of Hong Kong officials in entourage;
- (d) duration;
- (e) total expenditure involved;
- (f) whether announcement was made prior to the trip; if not, the reasons for keeping it confidential;
- (g) whether minutes of the meeting were kept on file; if not, the reasons for that; and
- (h) whether agreements were reached; if so, the details and progress of their implementation;
- (i) the respective expenses on travelling (list out the air passage and travelling expenses at the destination);
- (i) accommodation;
- (k) meals;
- (1) banquets or entertainment;
- (m) the gifts presented.

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 7013)

## Reply:

At present, the ICAC only keeps detailed records of the Commissioner's duty visits to the Mainland.

Announcement was made to the public prior to each duty visit of the Commissioner. Details of the Commissioners' duty visits to the Mainland in the past 5 years including date,

destination, duration, purpose, number of ICAC officers in entourage, expenditure as well as official entertainment hosted and souvenirs presented during duty visits are listed as follows:

2014-15

1.9.2014	Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
26.9.2014 Guangzhou and Shenzhen, China Shenzhen, China Shenzhen, China Shenzhen, China Shenzhen, China Shenzhen Municipal Provincial Department of Supervision, and Shenzhen Municipal Commission for Discipline Inspection and Supervision for Others:  4.11.2014 Shanghai, China China Shenzhen Shenzhen: 5					(Total No.)	(\$)	(\$)	presented
Inspection and Supervision Bureau  4.11.2014		Guangzhou and Shenzhen,	6	agencies, including the Supreme People's Procuratorate (SPP), Ministry of Supervision (MoS), Guangdong Provincial People's Procuratorate (GDPP), Guangdong Provincial Department of Supervision, and Shenzhen Municipal People's Procuratorate (SMPP), Shenzhen Municipal Commission for	Beijing: 1 Guangzhou: 2		Air passage: 11,014  Travelling expenses: 895  Subsistence Allowance/ Others:	-
4.11.2014 - Shanghai, China Shanghai Policy Workshop of the 54th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and								
4.11.2014 - Shanghai, China  Attended the opening ceremony of the 54th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and								
Total: \$63,634 for 2 duty visits			4	Attended the opening ceremony of the 54 <sup>th</sup> National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and			4,002 Subsistence Allowance/ Others: 15,385	-

# 2015-16

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Department of Supervision	1	13,911	Air passage: 5,814  Subsistence Allowance/ Others: 8,097	1 ICAC plague (\$290)
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities held in Beijing for the 70th anniversary of Chinese people's victory in the war against Japanese invasion	-	7,886	Air passage: 3,760  Subsistence Allowance/ Others: 4,126	-
23.11.2015 – 26.11.2015	Nanning, Guangxi, China	4	Attended the 9th China-ASEAN Prosecutors General Conference and delivered a keynote speech	1	16,024	Air passage: 4,884 Subsistence Allowance/ Others: 11,140	-
				Total: \$37,821 for 3 duty visits			

# 2016-17

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
8.5.2016 – 11.5.2016	Beijing and Tianjin, China	4	Attended the 9 <sup>th</sup> Annual Conference and General Meeting of the International Association of Anti-Corruption Authorities held in Tianjin and delivered a keynote speech; visited SPP, MoS and Tianjin Municipal People's Procuratorate, etc.	1	44,474	Air passage: 14,680  Travelling expenses: 223  Subsistence Allowance/ Others: 29,571	-
23.5.2016 – 25.5.2016	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance	2	19,058	Travelling expenses: 720  Subsistence Allowance/ Others: 18,338	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
J. J		(= 33) =)		(Total No.)	(\$)	(\$)	presented
29.11.2016	Sanya and	6	co-operation in various aspects, including the mutual case assistance scheme  Attended the	1	28,586	Air passage:	-
- 4.12.2016	Hangzhou, China		Prosecutors General Conference of the Member States of Shanghai Co-operation Organisation and Meeting of the Heads of Prosecution Service of the BRICS States and the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou and delivered speeches on both occasions; visited procuratorates in Hainan and Zhejiang provinces			8,738 Subsistence Allowance/ Others: 19,848	
	•		_	Total: \$9	2,118 for 3 duty	visits	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
5.5.2017	Macao, China	1	Visited the Macao Commission Against Corruption and the Macao Public Prosecutions Office to exchange the latest development of anti-corruption work in the two places	5	2,118	Travelling expenses: 2,118	
2.6.2017– 8.6.2017	Hangzhou and Beijing, China	7	Attended and addressed the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou; visited the Zhejiang Provincial	4	108,969	Air passage: 29,200  Subsistence Allowance/ Others: 79,769	1 ICAC plague (\$290)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			Supervision Committee and Public Security Department; subsequently visited SPP, the Central Commission for Discipline Inspection and Ministry of Supervision, Ministry of Public Security and local procuratorates, etc. in Beijing				
12.7.2017– 14.7.2017	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme	4	29,351	Air passage: 1,050  Subsistence Allowance/ Others: 28,301	_
30.11.2017-2.12.2017	Shanghai, China	3	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers at CELAP; visited Shanghai Municipal People's Procuratorate, discipline inspection and supervision authorities, and public security bodies to learn the progress of reform in the supervision mechanism on the Mainland and discuss measures to enhance mutual exchanges and co-operation	6	76,290	Air passage: 31,689  Travelling expenses: 324  Subsistence Allowance/ Others: 44,277	
			o operation	Total: \$2	16,728 for 4 dut	y visits	

# 2018-19 (as at 2 March 2019)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
duty visit		(Days)	duty visit	(Total No.)	(\$)	(\$)	presented
30.5.2018 – 5.6.2018	Beijing, Guangzhou and Shenzhen, China	7	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers in Beijing; visited co-operating agencies in Beijing, Guangzhou and Shenzhen, including the newly established National Commission of Supervision, and officials of the Ministry of Public Security	5	133,539	Air passage: 20,189  Subsistence Allowance/ Others: 113,350	3 ICAC plagues (\$1,680)
				Total: \$1	33,539 for 1 du	y visit	

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC019

(Question Serial No. 6667)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: Not Specified

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

# **Question:**

Please provide details of the duty visits made by the Commissioner of the ICAC in each of the past 5 years and list in table form the following details of each trip in chronological order: (a) purpose, place; (b) post titles of local officials met; (c) number and post titles of Hong Kong officials in entourage; (d) duration; and (e) total expenditure involved; and the respective expenses on (i) travelling (list out the air passage and travelling expenses at the destination); (ii) accommodation; (iii) meals; (iv) banquets or entertainment; (v) the gifts presented.

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 7015)

# Reply:

Details of the Commissioners' duty visits in the past 5 years including date, destination, duration, purpose, number of ICAC officers in entourage and expenditure, as well as official entertainment hosted and souvenirs presented during duty visits are listed as follows:

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
		(= 33, 2)	3.2.3	(Total No.)	(\$)	(\$)	presented
26.3.2014 -	Germany,	10	Visited the Chair	3	275,382	Air passage:	2 ICAC
4.4.2014	Spain and		of Transparency			186,778	plagues
	Switzerland		International (TI),				(totalling
			visited			Travelling	\$396)
			anti-corruption			expenses:	
			and law			1,144	
			enforcement				
			agencies in			Subsistence	
			Germany;			Allowance/	
			attended the			Others:	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
21.9.2014 – 26.9.2014	Beijing, Guangzhou and Shenzhen, China	6	Executive Committee Meeting of the International Association of Anti-Corruption Authorities (IAACA); visited senior officials of the World Economic Forum and officials of the International Institute for Management Development, Lausanne, Switzerland who are responsible for compiling their own Corruption Perception Indices; and attended a seminar at the invitation of the Institute to explain the probity situation and anti-corruption strategies of Hong Kong Visited counterpart agencies, including the Supreme People's Procuratorate (SPP), Ministry of Supervision (MoS), Guangdong Provincial People's Procuratorate (GDPP), Guangdong Provincial Department of Supervision, Shenzhen Municipal People's Procuratorate (SMPP), and Shenzhen Municipal Commission for Discipline Inspection and Supervision	Beijing: 1 Guangzhou: 2 Shenzhen: 5	44,247	Air passage: 11,014 Travelling expenses: 895 Subsistence Allowance/ Others: 32,338	

Bureau  4.11.2014	Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
4.11.2014	daty visit		(Days)	uuty visit	0	-	-	
7.11.2014 China opening ceremony of the 54th National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision Bureau				Bureau	,			•
Total: \$339,016 for 3 duty visits			4	opening ceremony of the 54 <sup>th</sup> National Policy Workshop for Hong Kong Disciplined Services organised by China Executive Leadership Academy, Pudong (CELAP) and delivered a keynote speech; visited counterpart agencies, including Shanghai Municipal People's Procuratorate, Shanghai Municipal Commission for Discipline Inspection and Supervision			4,002 Subsistence Allowance/ Others: 15,385	
					10ιαι. φ3.	57,010 101 5 dui	y v13113	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
16.4.2015 – 18.4.2015	Hangzhou, China	3	Visited Zhejiang Provincial People's Procuratorate, Provincial Commission for Discipline Inspection and Department of Supervision	1	13,911	Air passage: 5,814  Subsistence Allowance/ Others: 8,097	1 ICAC plague (\$290)
20.5.2015 – 27.5.2015	Washington, United States and Berlin, Germany	8	Visited 3 international rating institutions in the United States, including RAND Corporation, TRACE International and Heritage Foundation; visited TI in	2	226,410	Air passage: 159,307  Travelling expenses: 672  Subsistence Allowance/ Others: 66,431	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
v			·	(Total No.)	(\$)	(\$)	presented
			Germany				
1.9.2015 – 3.9.2015	Beijing, China	3	Attended the commemorative activities held in	-	7,886	Air passage: 3,760	-
			Beijing for the 70 <sup>th</sup> anniversary of Chinese people's victory in the war against			Subsistence Allowance/ Others: 4,126	
			Japanese invasion				
4.9.2015 – 5.9.2015	Putrajaya, Malaysia	2	Attended the 16 <sup>th</sup> International Anti-Corruption Conference	1	28,066	Air passage: 17,751 Travelling	-
			organised by TI and delivered a keynote speech; visited the			expenses: 200 Subsistence	
			Vice-Chair of TI, and met with Sri Lanka's Secretary-			Allowance/ Others: 10,115	
			General for Anti-Corruption and Law Enforcement Agencies upon invitation				
29.10.2015 -	St.	4	Attended the 8 <sup>th</sup>	1	28,770	Air passage:	-
1.11.2015	Petersburg, Russia	·	Annual Conference and General Meeting of IAACA and delivered a keynote speech	•	20,770	15,340 Subsistence Allowance/ Others: 13,430	
23.11.2015 – 26.11.2015	Nanning, Guangxi, China	4	Attended the 9 <sup>th</sup> China-ASEAN Prosecutors	1	16,024	Air passage: 4,884	-
			General Conference and delivered a keynote speech			Subsistence Allowance/ Others: 11,140	
13.3.2016 – 18.3.2016	Singapore and Indonesia	6	Visited anti-corruption and law	4	93,689	Air passage: 42,333	-
			enforcement agencies in Singapore and Indonesia to			Travelling expenses: 180	
			foster ties and co-operation in the fight against corruption			Subsistence Allowance/ Others: 51,176	
	L	l	- Corruption	Total: \$4	14,756 for 7 duty		

2016-17

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
auty visit		(Duys)	duty visit	(Total No.)	(\$)	(\$)	presented
8.5.2016 – 11.5.2016	Beijing and Tianjin, China	4	Attended the 9 <sup>th</sup> Annual Conference and General Meeting of IAACA held in Tianjin and delivered a keynote speech; visited SPP, MoS and Tianjin Municipal People's Procuratorate, etc.	1	44,474	Air passage: 14,680  Travelling expenses: 223  Subsistence Allowance/ Others: 29,571	-
23.5.2016 – 25.5.2016	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme	2	19,058	Travelling expenses: 720  Subsistence Allowance/ Others: 18,338	-
29.11.2016 - 4.12.2016	Sanya and Hangzhou, China	6	Attended the Prosecutors General Conference of the Member States of Shanghai Co-operation Organisation and Meeting of the Heads of Prosecution Service of the BRICS States and the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou and delivered speeches on both occasions; visited procuratorates in Hainan and Zhejiang provinces	1	28,586	Air passage: 8,738  Subsistence Allowance/ Others: 19,848	-

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
				(Total No.)	(\$)	(\$)	presented
26.2.2017 – 1.3.2017	Doha, Qatar	4	Attended the Executive Committee Meeting of IAACA	1	74,018	Air passage: 53,953  Subsistence Allowance/ Others: 20,065	-
				Total: \$16	66,136 for 4 duty	visits	

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
5.5.2017	Macao, China	1	Visited the Macao Commission Against Corruption and the Macao Public Prosecutions Office to exchange the latest development of anti-corruption work in the two places	5	2,118	Travelling expenses: 2,118	
2.6.2017– 8.6.2017	Hangzhou and Beijing, China	7	Attended and addressed the opening ceremony of a National Studies Course participated by ICAC officers in Hangzhou; visited the Zhejiang Provincial Supervision Committee and Public Security Department; subsequently visited SPP, the Central Commission for Discipline Inspection and Ministry of Supervision, Ministry of Public Security and local procuratorates, etc. in Beijing	4	108,969	Air passage: 29,200 Subsistence Allowance/ Others: 79,769	1 ICAC plague (\$290)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
				(Total No.)	(\$)	(\$)	presented
12.7.2017–14.7.2017	Guangzhou and Shenzhen, China	3	Visited GDDP, SMPP, and discipline inspection and supervision authorities in Guangdong and Shenzhen to step up exchanges in integrity building and enhance co-operation in various aspects, including the mutual case assistance scheme	4	29,351	Air passage: 1,050 Subsistence Allowance/ Others: 28,301	
30.11.2017–2.12.2017	Shanghai, China	3	Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers at CELAP; visited Shanghai Municipal People's Procuratorate, discipline inspection and supervision authorities, and public security bodies to learn the progress of reform in the supervision mechanism on the Mainland and discuss measures to enhance mutual exchanges and co-operation	6	76,290	Air passage: 31,689  Travelling expenses: 324  Subsistence Allowance/ Others: 44,277	
				Total: \$2	16,728 for 4 du	ty visits	

# 2018-19 (as at 2 March 2019)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage	Total expenditure	Details of expenditure	Details of souvenirs
J. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.				(Total No.)	(\$)	(\$)	presented
22.4.2018 – 27.4.2018	Yangon, Myanmar and Kuala Lumpur, Malaysia	6	Visited the anti-corruption agencies in Myanmar and Malaysia to have a better understanding of their anti-corruption regime and training needs, share anti-graft experience, and deliberate on collaboration to enhance capacity building	3	99,513	Air passage: 53,724  Travelling expenses: 278  Subsistence Allowance/ Others: 45,511	2 ICAC plagues (\$1,120)  1 crystal block of the ICAC Building (\$180)
30.5.2018 – 5.6.2018	Beijing, Guangzhou and Shenzhen, China	7	building  Attended and addressed the opening ceremony of the National Studies Course participated by ICAC officers in Beijing; visited co-operating agencies in Beijing, Guangzhou and Shenzhen, including the newly established National Commission of Supervision, and officials of the Ministry of Public Security	5	133,539	Air passage: 20,189  Subsistence Allowance/ Others: 113,350	3 ICAC plagues (\$1,680)
16.7.2018 – 24.7.2018	Hanoi, Vietnam; Bangkok, Thailand; and Phnom Penh, Cambodia	9	Visited the anti-corruption agencies and related organisations in Vietnam, Thailand and Cambodia, including Towards Transparency Vietnam and TI Cambodia, the United Nations Office on Drugs	4	115,748	Air passage: 28,201  Travelling expenses: 663  Subsistence Allowance/ Others: 86,884	5 ICAC plagues (\$2,800)

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
14.10.2018 – 24.10.2018	Croatia, Switzerland, Austria and Denmark	11	and Crime (UNODC) regional office for Southeast Asia and the Pacific, etc. to have a better understanding of their anti-corruption regime and training needs, share anti-graft experience and deliberate on collaboration to enhance capacity building at regional and international levels.  Attended and spoke at a panel of the High-Level Conference of the Group of States against Corruption of the Council of Europe (GRECO) held in Croatia, and introduced to 20 participating delegations the ICAC's offer of training support services to anti-corruption agencies; visited international rating institutions in Switzerland to update them on the latest probity situation in Hong Kong; chaired the meeting of the Training Committee of IAACA and met with senior of UNOCC in	7 (some of the officers in entourage only participated in part of the itinerary)	261,941	Air passage: 156,745  Travelling expenses: 5,799  Subsistence Allowance/ Others: 99,397	
		I	Austria to make			<u> </u>	<u> </u>

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure (\$)	Details of souvenirs presented
			exchanges on the latest development of international anti-graft work; attended the 18 <sup>th</sup> International Anti-Corruption Conference organised by TI in Denmark, and also met and exchanged views with senior representatives of TI and international organisations attending the			Ψ	
5.12.2018 – 8.12.2018	Kuala Lumpur and Putrajaya, Malaysia	4	Conference Attended the Executive Committee Meeting and chaired the meeting of the Training Committee of IAACA	3	41,832	Air passage: 26,361  Travelling expenses: 1,555  Subsistence Allowance/ Others: 13,916	_
20.1.2019 – 24.1.2019	Vienna, Austria	5	Attended the 10 <sup>th</sup> Annual Conference and General Meeting of IAACA, reported the progress of work of the Training Committee, and delivered a speech at the Conference	2	110,301	Air passage: 77,203  Travelling expenses: 264  Subsistence Allowance/ Others: 32,834	_
20.2.2019 – 2.3.2019	Laos, Indonesia and the Philippines	11	Visited the anti-corruption agencies and related organisations in Laos, Indonesia and the Philippines, including the Laos Office of UNODC, Office of Anticorruption and Integrity of	5	231,170	Air passage: 105,597  Travelling expenses: 850  Subsistence Allowance/ Others: 124,723	4 ICAC plagues (large) (\$2, 240)  2 ICAC plagues (small) (\$396)  2 crystal block of the ICAC Building

Date of duty visit	Destination	Duration (Days)	Purpose of duty visit	ICAC officers in entourage (Total No.)	Total expenditure (\$)	Details of expenditure	Details of souvenirs presented
			the Asian Development Bank, TI Indonesia, etc. to have a better understanding of their anti-corruption regime and training needs, share anti-graft experience and deliberate on collaboration to enhance capacity building at regional and international levels			(\$)	(\$360)
				Total: \$9	94,044 for 7 dut	y visits	

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC020

(Question Serial No. 6668)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: Not Specified

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

# **Question**:

Please list the number of corruption cases involving different government departments in the past 5 years, and the number of persons involved, arrested, prosecuted and convicted in those cases.

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 7016)

# Reply:

The number of corruption complaints and pursuable corruption complaints involving government departments and the number of government servants arrested, prosecuted and convicted as a result of the ICAC's corruption investigations in the past 5 years are listed in Annexes 1 to 4. The ICAC does not maintain statistics on the number of persons involved in each investigation, and therefore cannot provide such information.

Annex 1
Number of corruption complaints and pursuable corruption complaints
received by the ICAC involving government departments

		Corre	uption Compla	aints*					
Government Department	2014	2015	2016	2017	2018				
Hong Kong Police Force	203 (104)	221 (138)	241 (148)	209 (144)	200 (138)				
Food and Environmental Hygiene Department	75 (48)	57 (33)	70 (42)	72 (53)	74 (39)				
Housing Department	30 (14)	50 (24)	67 (46)	74 (38)	47 (25)				
Lands Department	52 (38)	60 (39)	75 (35)	39 (29)	42 (23)				
Fire Services Department	25 (11)	26 (11)	33 (15)	26 (18)	33 (20)				
Correctional Services Department	36 (26)	17 (8)	26 (17)	28 (23)	28 (20)				
Leisure and Cultural Services Department	24 (16)	29 (22)	28 (14)	29 (26)	24 (19)				
Education Bureau	9 (6)	9 (7)	19 (10)	24 (17)	17 (11)				
Hongkong Post	15 (7)	28 (14)	22 (11)	21 (15)	17 (12)				
Immigration Department	20 (10)	19 (9)	17 (8)	20 (14)	15 (14)				
Customs and Excise Department	19 (13)	20 (15)	18 (8)	12 (8)	15 (11)				
Buildings Department	8 (5)	21 (13)	26 (14)	21 (19)	14 (7)				
Water Supplies Department	20 (11)	16 (12)	19 (6)	22 (9)	12 (4)				
Social Welfare Department	7 (4)	14 (10)	16 (9)	10 (9)	12 (8)				
Architectural Services Department	4 (1)	8 (5)	7 (2)	6 (3)	12 (3)				
Home Affairs Department	16 (12)	16 (11)	16 (8)	19 (11)	11 (6)				
Transport Department	6 (4)	9 (6)	11 (5)	7 (5)	11 (7)				
Electrical and Mechanical Services Department	6 (4)	12 (12)	8 (6)	7 (4)	11 (6)				
Judiciary	7 (2)	13 (4)	13 (2)	16 (4)	10 (7)				
Highways Department	10 (4)	14 (8)	14 (6)	15 (8)	10 (5)				
Agriculture, Fisheries and Conservation Department	8 (6)	12 (7)	5 (2)	11 (9)	8 (5)				
Civil Engineering and Development Department	4 (1)	7 (5)	6 (1)	4 (4)	7 (1)				
Labour Department	3 (2)	10 (4)	9 (3)	4 (2)	5 (2)				

<b>Government Department</b>		Corru	iption Compla	aints*				
	2014	2015	2016	2017	2018			
Department of Health	8 (6)	8 (6)	8 (4)	7 (6)	4 (4)			
Other departments	78 (26)	75 (36)	73 (30)	68 (51)	67 (38)			
Total	693 (381)	771 (459)	847 (452)	771 (529)	706 (435)			

<sup>\*</sup> Only the government departments involved in a yearly average of 5 or more corruption complaints in the past 5 years are listed in the table above.

<sup>()</sup> Number of pursuable corruption complaints

# Number of government servants arrested as a result of ICAC's corruption investigations

Government		No. of	Persons Ari	rested*					
Department	2014	2015	2016	2017	2018				
Hong Kong Police Force	9#	17#	7	10	7				
Lands Department				1	7				
Correctional Services Department	1	1		1	2				
Food and Environmental Hygiene Department	2	1	1		2				
Hongkong Post	4	2	1	3	1				
Fire Services Department			1	3	1				
Department of Health	1	1		1	1				
Leisure and Cultural Services Department	1	1	1		1				
Water Supplies Department		1	1		1				
Environmental Protection Department					1				
Labour Department					1				
Social Welfare Department					1				
Trade and Industry Department					1				
Housing Department		1		6					
Civil Engineering and Development Department	1			2					
Immigration Department	1	2		1					
Highways Department		2#		1#					
Agriculture, Fisheries and Conservation Department				1					
Auxiliary Medical Service		1	3						
Electrical and Mechanical Services Department		3#	2						

Government		No. of	Persons Ar	rested*	
Department	2014	2015	2016	2017	2018
Customs and Excise Department	11#	2#	1		
Marine Department		1	1		
Inland Revenue	1		1		
Chief Executive's Office		1			
Education Bureau	1				
Government Logistics Department	1				
Total	34	37	20	30	27

<sup>\*</sup> The figure in the table above refers to the number of persons arrested in the year. The number of persons arrested each year does not necessarily originate from the complaints received in the same year, and the persons arrested might not necessarily be prosecuted.

<sup>#</sup> Some government staff involved were arrested in different cases or at different stages of the same case. The figure above is based on the number of arrests made against them.

# Number of government servants prosecuted as a result of ICAC's corruption investigations

Government		No. of	Persons Pros	secuted*	
Department	2014	2015	2016	2017	2018
Hong Kong Police Force	8	3	3	3	3
Housing Department	1				2
Department of Health	4		1		1
Fire Services Department	3		1		1
Water Supplies Department			1		1
Food and Environmental Hygiene Department	2	1			1
Former Economic Development and Labour Bureau					1
Former Education and Manpower Bureau					1
Home Affairs Department					1
Social Welfare Department					1
Hongkong Post	1		1	3	
Correctional Services Department	2		1	1	
Leisure and Cultural Services Department			1	1	
Immigration Department	1	1		1	
Highways Department				1	
Inland Revenue Department				1	
Marine Department				1	
Architectural Services Department			1		
Customs and Excise Department		7#			
Auxiliary Medical Service		1			
Chief Executive's		1			

Government Department		No. of	Persons Pros	ecuted*	
	2014	2015	2016	2017	2018
Office					
Electrical and Mechanical Services Department Government Logistics		1			
Department Education Bureau	1				
Environmental Protection Department	1				
Total	24	16	10	12	13

- \* The figure in the table above refers to the number of persons prosecuted in the year. The number of persons prosecuted each year does not necessarily originate from the complaints received in the same year as it takes time to proceed from investigation to prosecution.
- # Among them, 2 Customs Officers were prosecuted in different cases. The figure above is based on the number of prosecutions instituted against them.

# Number of government servants convicted as a result of ICAC's corruption investigations

Government		No. of	Persons Con	victed*				
Department	2014	2015	2016	2017	2018			
Hong Kong Police Force	6	5	1	1	6			
Hongkong Post			1	1	1			
Water Supplies Department				1	1			
Department of Health		4	1		1			
Food and Environmental Hygiene Department	1	1	1		1			
Housing Department		1			1			
Former Education and Manpower Bureau					1			
Highways Department					1			
Social Welfare Department					1			
Correctional Services Department	2			2				
Leisure and Cultural Services Department			1	1				
Immigration Department		2		1				
Architectural Services Department				1				
Chief Executive's Office				1				
Inland Revenue Department				1				
Marine Department				1				
Fire Services Department	1	1	1					
Auxiliary Medical Service		1						
Government Logistics Department		1						
Chief Secretary for Administration's Office	1							
Education Bureau	1							
Environmental Protection Department	1							
Total	13	16	6	11	14			

\* The figure in the table above refers to the number of persons convicted in the year. The number of persons convicted each year does not necessarily originate from the complaints received or prosecutions instituted in the same year as it takes time to proceed from investigation to prosecution and completion of court proceedings.

- End -

#### ICAC021

#### CONTROLLING OFFICER'S REPLY

# (Question Serial No. 6669)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: Not Specified

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## Question:

Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your department in the past 5 years.

Department and year

Estimated expenditure on entertainment and gifts in the year

Final actual expenditure respectively on entertainment and gifts in the year

Expenditure limit for entertainment (including beverages) per head in the year

Expenditure limit for gifts per guest in the year

Number of receptions held and total number of guests entertained in the year

2. Please provide in table form details of the following items in relation to the expenditure on entertainment and gifts of your bureau and the departments under its purview this year.

Bureau/branch/department

Date of reception (year/month/day)

Departments/organisations and titles of guests entertained (grouped by department or organisation and indicating the number of guests)

Food expenses incurred in the reception

Beverage expenses incurred in the reception; gift expenses incurred in the reception

Venue of the reception (department offices/restaurant in government facilities/private restaurant/others (please specify))

3. Please provide in table form details of the following items in relation to the estimated expenditure on entertainment and gifts for next year.

Bureau/branch/department

Estimated provision for entertainment and gifts

Expenditure limit for entertainment per guest

Expenditure limit for gifts per guest

Asked by: Hon CHEUNG Chiu-hung, Fernando (LegCo internal reference no.: 7017)

# Reply:

The expenditure on official entertainment and procurement of souvenirs of the ICAC in the past 5 years was as follows:

Year	Expenditure on	Expenditure on
	official entertainment (\$)	procurement of souvenirs (\$)
2014-15	Around 100,000	Around 1,800 <sup>4</sup>
2015-16	Around 450,000 <sup>5</sup>	Around 2,500 <sup>1</sup>
2016-17	Around 210,000	Nil
2017-18	Around 260,000 <sup>6</sup>	Nil
2018-19	Around 190,000	Around 400 <sup>1</sup>
(as at 28 February 2019)		

The ICAC follows the Government's relevant regulations as well as administrative guidelines and principles in organising official entertainment. The Government's prevailing expenditure ceilings for lunch and dinner are \$450 and \$600 respectively per head. Adhering to the principle of strict economy in expenses, the ICAC generally does not accept or offer souvenirs or gifts in official events. If it is necessary to exchange souvenirs for protocol reasons, they should be first drawn from the existing stock.

As the ICAC's funding allocation for 2019-20 is still under preparation, the estimates for official entertainment and souvenirs are not yet available.

- End -

The relevant expenses were for the making of copper plates on the souvenirs and the inscription. As the souvenirs were old stock items, they were not involved in the expenditure for the year.

Including about \$280,000 as the official entertainment expenses in relation to the 6<sup>th</sup> ICAC Symposium and the 3<sup>rd</sup> Annual Meeting of Economic Crime Agencies Network held in Hong Kong in 2015; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2015-2016 was around \$170,000.

Including about \$90,000 as the official entertainment expenses in relation to the ICAC International Seminar on Financial Investigation held in Hong Kong in 2017; excluding the entertainment expenses concerned, the general entertainment expenditure incurred by the ICAC in 2017-18 was around \$170,000.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC022

(Question Serial No. 3445)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (1) Corruption Prevention

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

During 2019-20, the CPD will facilitate Government's introduction of pro-innovation procurement in respect of system integrity. What are the content, timetable, and details of the manpower and expenditure involved in the relevant policy?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 59)

# Reply:

As a usual practice, when the Government introduces new major policies and establishes relevant procedures, the CPD provides early corruption prevention input during the formulation and initial implementation of these policies/procedures.

In respect of the procurement policy newly launched by the Government, the CPD has, since the third quarter of last year, been working closely with the Financial Services and the Treasury Bureau (FSTB) to provide timely advice on the draft operational framework and related guidelines, assisting the FSTB in the effective implementation of the policy from corruption prevention and integrity perspectives.

Subsequent to the implementation of the policy, the CPD will, having regard to the needs of individual bureaux/departments (B/Ds), provide them with tailor-made corruption prevention services, such as assisting them to review their internal procurement procedures, strengthening their internal controls, etc. The CPD will also update the relevant contents of its Best Practice Checklist on Government Procurement; and plans to promulgate it in the fourth quarter of this year to assist B/Ds to put in place suitable corruption prevention safeguards for the implementation of the new policy.

As the above corruption prevention services are part of the routine duties of the CPD of ICAC, the resources involved are included in the general operating expenses with no itemised budget made.

#### CONTROLLING OFFICER'S REPLY

ICAC023

(Question Serial No. 3446)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (1) Corruption Prevention

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

# **Question**:

During 2019-20, the CPD will, in collaboration with the Urban Renewal Authority (URA), continue to assist building owners to build in corruption prevention safeguards in their maintenance projects subvented by the Operation Building Bright 2.0, the Fire Safety Improvement Works Subsidy Scheme and the Lift Modernisation Subsidy Scheme. How effective was such work in the past 2 years? What are the details of the work concerned and the estimated manpower and financial resources to be allocated in the coming year?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 60)

# Reply:

The ICAC has provided the URA, the Buildings Department and the Fire Services Department with corruption prevention advice in respect of the Operation Building Bright 2.0 (OBB 2.0) and the Fire Safety Improvement Works Subsidy Scheme (FSWS). In collaboration with the URA and the relevant organisations, briefing sessions and thematic seminars have been organised for the owners' corporations (OCs) of the target buildings joining the schemes to brief them on the corruption risks and consequences pertaining to Since the launch of the above schemes in 2018, the building maintenance works. Commission has, through the URA, organised a number of talks on the corruption prevention measures relating to building maintenance for over 1,700 eligible building In fact, since the launch of the OBB in 2009, the ICAC has been working closely with the URA and other relevant departments/organisations to ensure that corruption resistant procedures are in place, and advised the Government to provide technical support also to owners' corporations not subsidised by the funding schemes. In view of the significant public interest in building maintenance, over the years, the ICAC has spared no effort to enhance the building owners' corruption prevention awareness and knowledge through various initiatives, including publishing the Building Maintenance Toolkits, and Such work has laid the foundation of corruption prevention measures in organising talks. the current schemes including OBB 2.0 and the 'Smart Tender' Building Rehabilitation Facilitating Services. According to our observation through different contacts and channels, building owners have become more vigilant against the corruption risks associated with maintenance works and have developed an increased awareness of the preventive measures in recent years. They are also more willing to participate in building management matters. At the same time, the relevant schemes have provided the necessary support to them to reduce the risk of corrupt practices.

In the coming year, the ICAC will continue to partner with the relevant departments and organisations to provide building owners and OCs which have successfully joined the subsidy schemes and other stakeholders with corruption prevention advice and educational services. Among other efforts, ICAC officers will attend thematic seminars organised by the URA and the Home Affairs Department to brief applicants joining the schemes on anti-bribery law and preventive measures in relation to building maintenance and encourage owners to actively participate in building management affairs to ensure effective monitoring of building maintenance works. Moreover, the ICAC will issue letters to all successful applicants of the relevant schemes introducing the provision of a series of corruption prevention services and resources. In parallel, the ICAC Regional Offices will continue to visit OCs, organise talks, provide tailor-made educational services and handle OCs and owners' enquiries through the Integrity Building Management Enquiry Hotline.

Corruption prevention and educational information on the Government's building maintenance subsidy schemes is available on a dedicated website newly launched by the Commission. Through the website, anti-corruption legislation and corruption prevention resources, including the Building Maintenance Toolkit, are introduced to owners, OCs and building management companies to enhance their understanding of the corruption risks pertaining to building management and maintenance, as well as the integrity management measures to be adopted. To further heighten owners' vigilance against corruption in building maintenance, the ICAC has also produced a new promotional leaflet highlighting the red flags of possible corruption in building maintenance to help owners detect potential corruption loopholes and put in place the corresponding preventive measures at early stages. Corruption prevention resources including the Building Maintenance Toolkit and the new promotional leaflet have been uploaded to the Commission's website for easy reference by the public, and the leaflets will also be distributed to the public and building owners through different channels.

Corruption prevention work in relation to building management and maintenance, including preventive and educational services regarding the OBB 2.0, the FSWS and the Lift Modernisation Subsidy Scheme, is part of the ICAC's regular duties; the resources involved are included in the general operating expenses and no itemised budget is made.

## CONTROLLING OFFICER'S REPLY

ICAC024

(Question Serial No. 3447)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (3) Preventive Education

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## **Question:**

During 2019-20, the CRD will kick off a two-year Ethics Promotion Campaign for the Insurance Industry. What are the content, work schedule and details of the manpower and expenditure involved in the Campaign?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 61)

# Reply:

In 2019, the CRD will kick off a two-year Ethics Promotion Campaign for the Insurance Industry jointly with the Insurance Authority (IA) and professional bodies in the industry. Details of the Campaign are as follows:

- (1) To update content relating to the anti-corruption law in the Insurance Intermediaries Qualifying Examination (IIQE) and provide web learning materials to align with the updated examination syllabus and the latest development of the industry. New practitioners are encouraged to study the relevant online materials to equip themselves with necessary knowledge of the anti-bribery legislation and professional ethics.
- (2) To produce a new series of training videos for the insurance industry featuring different scenarios to alert insurance practitioners to the possible corruption risks and ethical challenges. The videos will be used in the IA accredited Continuing Professional Development (CPD) courses organised by the ICAC for the insurance industry to help frontline insurance intermediaries gain a better understanding of the anti-bribery law and remind managerial staff of the importance of ethical leadership and corruption prevention measures.
- (3) To develop a new online CPD course for insurance intermediaries to increase their knowledge of the anti-bribery law and the relevant regulations. In view of the impending requirements for compulsory attendance of CPD courses on ethics or

- regulations by the intermediaries, the online training course will allow them to receive integrity training in a user-friendly and effective way.
- (4) To create a dedicated one-stop web portal and training platform to provide a repertoire of preventive education and training resources including feature articles, case studies, corruption prevention guides, training videos and online training courses for insurance companies and practitioners.

During the first year of the Campaign, efforts will be focused on developing and producing training materials, learning resources and a dedicated web portal, which will be launched at a trade-wide seminar in early 2020. During the second year, preventive education services will be promoted to individual insurance companies and CPD courses will also be organised jointly with professional bodies in the industry. The Hong Kong Business Ethics Development Centre of the CRD is responsible for co-ordinating and implementing the Campaign with assistance from staff of the CRD's regional offices. The estimated expenditure of about \$2.4 million is for producing various training resources and the online platform, organising the large-scale trade-wide seminar, CPD talks and the relevant publicity activities.

Reply Serial No.

# CONTROLLING OFFICER'S REPLY

ICAC025

(Question Serial No. 3448)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

Programme: (3) Preventive Education

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

## Question:

During 2019-20, the CRD will launch a 2-year "Reading for Integrity" project. What are the content, schedule, and details of the manpower and expenditure involved in the project?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 62)

# Reply:

CRD places great emphasis on moral education for youth, especially in nurturing positive values among the new generation starting from an early age. Targeted at kindergarten/nursery school, the "Reading for Integrity" project aims to promote moral education by assisting parents and teachers to cultivate children's interest in reading picture books. Highlights of the project include:

- **Picture books**: a set of 4 picture books on different themes will be produced to promote moral education with interesting and inspirational content connected with young children's daily life;
- Educational and publicity activities: these activities include launching the project at the Hong Kong Book Fair 2020; organising sharing sessions, training workshops for teachers/parents and a large-scale reading gala; and publicising the project through district activities and online platforms.

The CRD, together with its partners, will kick off the preparation work in producing the picture books in mid-2019. The above educational and publicity activities are expected to be rolled out from July 2020 onwards.

The project will be carried out with existing manpower resources in the CRD. The estimated expenditure on the 2-year project is around \$2 million, which covers the costs in producing the picture books, organising various educational and promotional activities as well as online and offline publicity, etc.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC026

(Question Serial No. 3449)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (4) Enlisting Support

<u>Controlling Officer</u>: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

#### **Question:**

During 2019-20, the ICAC will further step up efforts in the collaboration with the anti-corruption agencies of the Belt and Road countries. What will be the partnership programmes? What are the estimated manpower and expenditure involved?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 63)

# Reply:

To fight corruption effectively in the process of globalisation, the ICAC has all along attached great importance to establishing international collaboration networks. Under the United Nations Convention against Corruption (UNCAC), the ICAC has the obligation to assist state parties in building anti-corruption capacity. To tie in with the rapid development of the Belt and Road (B&R) Initiative, the ICAC has further enhanced anti-corruption training for B&R countries, particularly the Association of Southeast Asian Nations (ASEAN) member states, within the framework of the UNCAC since 2017. As most ASEAN countries have relatively close business and trade relations with Hong Kong, and other B&R countries also provide Hong Kong business and professional sectors with multifaceted investment and development opportunities, rendering anti-corruption capacity building assistance to these countries will help them improve their corruption situations and develop a level playing field, which in return will also benefit Hong Kong companies and individuals seeking opportunities there. Furthermore, these efforts will show the international community and ranking institutions Hong Kong's unswerving determination to fight corruption.

So far, the ICAC has connected and commenced dialogues on anti-corruption training with the anti-corruption agencies (ACAs) of 40 countries, including most of the ASEAN member states and some B&R countries. In 2018-19, the ICAC provided ACAs of four UNCAC state parties, including Myanmar, Cambodia, Bangladesh and Vietnam, with tailor-made training programmes. In-depth discussions on training collaboration are also underway with ACAs of over 10 other countries, including ASEAN member states (Laos,

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Indonesia, the Philippines and Singapore, etc.) and B&R countries (Mongolia, Bhutan, Sri Lanka, Timor-Leste, Bulgaria, Moldova, Romania and Serbia, etc.). In 2019-20, the ICAC will continue to conduct training for different countries. Depending on the needs of individual ACAs, such courses will be conducted either in their countries or in Hong Kong, and the Commission expects to conduct four overseas and six local courses each year. Besides, ICAC officers will continue to visit the ACAs of other countries for exchanges and conducting field research on their corruption situations and anti-corruption regimes with a view to developing tailor-made training courses for the ACAs of these countries and expanding the ICAC's global anti-corruption networks. The Commission expects to visit about six countries during the year.

ICAC's initiatives in this area are co-ordinated by the International Liaison and Training Group (ILT) under the Administration Branch. To facilitate effective implementation of such initiatives by the ILT, the Government allocated additional resources to the ICAC in three financial years of 2017-18, 2018-19 and 2019-20 for creation of 10 posts, including one Senior Commission Against Corruption Officer, two Commission Against Corruption Officer (Upper), three Commission Against Corruption Officer (Middle/Lower), three Assistant Commission Against Corruption Officer and one Executive Officer I posts.

In 2019-20, the estimated expenditure on the relevant work is \$1.8 million.

Reply Serial No.

#### CONTROLLING OFFICER'S REPLY

ICAC027

(Question Serial No. 3450)

<u>Head</u>: (72) Independent Commission Against Corruption

Subhead (No. & title): ()

<u>Programme</u>: (2) Operations

Controlling Officer: Commissioner, Independent Commission Against Corruption

(Simon YL PEH)

<u>Director of Bureau</u>: Commissioner, Independent Commission Against Corruption

# **Question:**

In the Analysis of Financial and Staffing Provision, the provision for 2019-20 is \$4.6 million higher than the revised estimate for 2018-19, which is mainly due to the filling of vacancies and creation of 12 posts. What are the job duties and salary expenditure details of the 12 newly created posts?

Asked by: Hon WONG Ting-kwong (LegCo internal reference no.: 64)

# Reply:

In 2019-20, 12 posts will be created by the ICAC under Programme (2). They include 5 posts of Commission Against Corruption Officer (Middle/Lower), 6 posts of Assistant Commission Against Corruption Officer and 1 post of Confidential Assistant, and the total notional annual mid-point salary value is \$7 million. These posts will be deployed mainly to deal with corruption cases related to building management, to assist in the daily operations of the Report Centre, to handle support duties related to the Interception of Communications and Surveillance Ordinance and to enhance the overall support services for the department.