

For information  
on 2 March 2021

**Legislative Council Panel on Security**

**An outline of the topics in the fourth report of the  
Hong Kong Special Administrative Region  
under the Convention Against Torture and Other Cruel, Inhuman or  
Degrading Treatment or Punishment**

**Purpose**

This paper seeks Members' comments on the proposed outline of the topics to be included in the fourth report of the Hong Kong Special Administrative Region (HKSAR) under the Convention Against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (CAT).

**Application of the CAT in the HKSAR**

2. The CAT has been applicable to Hong Kong since 1992. After the establishment of the HKSAR on 1 July 1997, the CAT continues to apply to Hong Kong.

**Reporting Obligation under the CAT**

3. Under Article 19 of the CAT, State Parties have the obligation to make reports to the UN Committee Against Torture on measures they have taken to give effect to their undertakings under the Convention. The People's Republic of China (PRC) has ratified the CAT in October 1988. After the establishment of the HKSAR, our reports under the CAT are submitted to the UN as part of the reports of the PRC, as with the reports of other human rights treaties applicable to the HKSAR for which the PRC has ratified. To date, the HKSAR has submitted three reports under the CAT. The last report (i.e. the third report) was submitted to the UN in June 2013 and considered by the UN Committee Against Torture in

November 2015.

4. The Administration is preparing for the submission of the fourth report of the HKSAR. The report will form part of the seventh periodic report of the PRC under the CAT. In this connection, the HKSAR Government will submit to the Central People's Government a report on the implementation of the CAT in the HKSAR for incorporation into the PRC's report.

### **Public Consultation**

5. In order to produce the report, we shall prepare an Outline of topics for the report (at **Appendix**). In line with established practice, the Administration is consulting the public's views on the Outline of topics. The Outline of topics covers developments in the HKSAR in respect of implementing the CAT since the UN Committee Against Torture last considered the HKSAR's report in 2015. The purpose of the consultation is to invite members of the public to submit views on the implementation of the CAT in the HKSAR in respect of those topics and to suggest any additional topics that should be included in the report.

6. The public consultation on the Outline of topics has commenced since 18 February 2021. The Administration has issued the Outline of topics to relevant non-governmental organisations and other concerned groups to invite their views. The Outline of topics is available at Home Affairs Enquiry Centres of District Offices, and in electronic form on the website of the Constitutional and Mainland Affairs Bureau at <http://www.cmab.gov.hk>.

7. The public consultation will close on 31 March 2021. The Administration will carefully consider all comments received in drafting the HKSAR report. Comments will be made available to members of the public on request, unless the respondents have asked us specifically to keep their views confidential.

## **Comments sought**

8. The Administration invites Members of the Panel on Security as well as all other members of the Legislative Council to comment on the Outline of topics. The Administration will then prepare the HKSAR report. In line with the practice of the previous report, the Administration will report to the Panel on Security prior to consideration of the report by the UN Committee Against Torture, as well as after the UN Committee Against Torture has considered the report. Members will also be invited to provide comments at those junctures. The HKSAR report will be disseminated to the public and copies will be sent to Members.

**Security Bureau**  
**February 2021**

**An outline of the topics to be covered in the fourth report of the  
Hong Kong Special Administrative Region under  
the Convention Against Torture and other Cruel, Inhuman or  
Degrading Treatment or Punishment**

**Introduction**

The Government is preparing for the submission of the fourth report of the Hong Kong Special Administrative Region (HKSAR) under the United Nations (UN) Convention Against Torture and other Cruel, Inhuman or Degrading Treatment or Punishment (the Convention). It will form part of the seventh periodic report of the People's Republic of China under the Convention.

2. In accordance with the established practice, we have prepared an outline of the report. It sets out the broad subject headings and individual topics that we plan to cover in the report. We invite members of the public to submit their views on the implementation of the Convention in respect of those topics, and to suggest any additional topics that should be included in the report.

3. We will consider carefully all the comments and suggestions received. Any persons or organisations wishing to offer views are invited to send them to the Constitutional and Mainland Affairs Bureau (CMAB) on or before 31 March 2021:

by post: Team 5  
Constitutional and Mainland Affairs Bureau  
Central Government Offices  
12/F, East Wing  
2 Tim Mei Avenue, Tamar, Hong Kong  
by fax: 2840 0657  
by e-mail: [cat\\_consultation@cmab.gov.hk](mailto:cat_consultation@cmab.gov.hk)

4. It is voluntary for members of the public to supply their personal data upon providing views on this outline. The submissions and personal data collected may be transferred to the relevant Government bureaux and

departments for purposes directly related to the preparation of the report and subsequent follow-up actions relevant to the report. The Government bureaux and departments receiving the data may only use the data for such purposes.

5. The names and views of individuals and organisations who/which put forth submissions in response to this outline (“respondents”) may be published for public viewing or otherwise made available for public inspection, including being uploaded to the Internet. We may, either in discussion with others, whether privately or publicly, or in any subsequent report, cite comments submitted in response to this outline.

6. To safeguard respondents’ data privacy, we will remove respondents’ relevant data (other than names), such as residential/return addresses, email addresses, identity card numbers, telephone numbers, facsimile numbers and signatures, where provided, when publishing their submissions.

7. We will respect the wish of respondents to remain anonymous and/or keep the views confidential in part or in whole. If the respondents request anonymity in the submissions, their names will be removed when publishing their views. If the respondents request confidentiality, their submissions will not be published. If the respondents do not request anonymity or confidentiality in their submissions, it will be assumed that the respondents can be named and the views can be published in their entirety.

8. Any respondent providing personal data in the submission to this Bureau will have rights of access and correction with respect to such personal data. Any requests for data access or correction of personal data should be made in writing through the channels mentioned in paragraph 3 above to Assistant Secretary for Constitutional and Mainland Affairs (5A).

9. The text of the Convention and the relevant statement on the application of the Convention to the HKSAR are available on the CMAB website:

[https://www.cmab.gov.hk/doc/en/documents/policy\\_responsibilities/cat.doc](https://www.cmab.gov.hk/doc/en/documents/policy_responsibilities/cat.doc)

10. References to “the previous report” are to the report of the HKSAR submitted by the Central People's Government (CPG) in June 2013 and considered by the UN Committee against Torture (the Committee) in November 2015. A copy of the previous report is available on the CMAB website: [https://www.cmab.gov.hk/en/issues/sixth\\_report.htm](https://www.cmab.gov.hk/en/issues/sixth_report.htm). References to “the second report” are to the report of the HKSAR submitted by the CPG in June 2006 and considered by the Committee in November 2008, available at: [https://www.cmab.gov.hk/en/issues/human1\\_sec.htm](https://www.cmab.gov.hk/en/issues/human1_sec.htm). References to “the initial report” are to the report of the HKSAR submitted in May 1999 and considered by the Committee in May 2000, available at: <https://www.cmab.gov.hk/en/issues/human1.htm>.

### **The Report**

11. The fourth report will mainly consist of –

- (a) information/explanations about any new measures taken and any new and/or significant developments since the submission of the previous report in 2013;
- (b) updates of developments that were ongoing at the time the Committee considered the previous report in 2015 and in respect of which we have undertaken to inform the Committee of future progress or outcomes; and
- (c) responses to the concerns and recommendations raised in the Committee’s Concluding Observations on the previous report (the Concluding Observations) (reproduced at **Annex**); and updates on our follow-up information on the Concluding Observations submitted to the Committee on 24 January 2017, available at:  
[https://www.cmab.gov.hk/doc/en/documents/policy\\_responsibilities/CAT\\_follow-up\\_info\\_e.pdf](https://www.cmab.gov.hk/doc/en/documents/policy_responsibilities/CAT_follow-up_info_e.pdf)

12. In suggesting additional topics to be included in the report, please indicate why those issues are significant and relevant to the application of the Convention in Hong Kong. Respondents may also set out their views on the Government’s handling of such issues.

## **Part I: Common Core Document of the Hong Kong Special Administrative Region (HKSAR)**

13. The “Common Core Document” part follows the standard format, form and content as prescribed by the Harmonised Guidelines on Reporting under the International Human Rights Treaties of the United Nations. This part of the report contains information of a general and factual nature relating to the implementation of human right treaties in the HKSAR.

## **Part II:**

### **(I) Information on new measures and new developments relating to the implementation of articles 1 to 16 of the Convention**

14. This part of the report contains information on any new measures and developments since the submission of the previous report relating to the implementation of Articles 1 to 16 in Part I of the Convention in the HKSAR as prescribed by the current guidelines on reporting under the international human rights treaties which is available at -  
[http://www.ohchr.org/Documents/HRBodies/TB/HRI-GEN-2-REV-6\\_en.doc](http://www.ohchr.org/Documents/HRBodies/TB/HRI-GEN-2-REV-6_en.doc)

#### ***Article 1: Defining “torture”***

15. We will advise the Committee that the position is as explained in paragraphs 1.1 to 1.7 of the previous report, where we discussed the definition of “torture” in section 3 of the Crimes (Torture) Ordinance (Cap. 427).

#### ***Article 2: Legislative, administrative, judicial or other measures to prevent acts of torture***

16. We will explain that the position regarding our legislative, administrative, judicial and other measures to prevent acts of torture remains similar as explained in paragraphs 7 to 12 of the initial report. We will also update the Committee on the instances of alleged use of torture since the

previous report. In particular, we will explain the measures and procedures as well as safeguards on the rights of arrested person; and clarify against the relevant allegations.

17. In addition, we will provide update on efforts done in the combat of human trafficking, sexual offences and domestic violence, as well as comprehensive assistance provided to victims of domestic violence and families in need.

***Article 3: Torture as a ground for refusal to expel, return or extradite***

18. We will provide the Committee with an update on the screening of claims for non-refoulement protection, including the comprehensive review of the strategy of handling non-refoulement claims under the unified screening mechanism in 2016, as well as proposals to further amend the Immigration Ordinance (Cap. 115) to improve the screening procedures. In addition, we will report the latest situation of non-refoulement claimants in the HKSAR, including the number of cases.

***Article 4: Making acts of torture offences under the criminal law***

19. We will inform the Committee that the position for Article 4 remains as explained in paragraph 4.1 of the previous report.

***Article 5: Establishment of jurisdiction***

20. We will inform the Committee that the position for Article 5 remains as reported in paragraph 5.1 of the previous report.

***Article 6: Powers of detention***

21. We will advise the Committee the position remains essentially as explained in paragraphs 6.1 to 6.3 of the previous report. In addition, we will provide updates on immigration detention in respect of illegal immigrants and the safeguards afforded to a detained person.



***Article 7: Prosecution of offenders who are not to be extradited***

22. We will advise the Committee that the position remains as explained in paragraph 45 of the initial report.

***Article 8: Extradition arrangements***

23. We will inform the Committee that the position remains essentially as explained in paragraphs 8.1-8.2 of the previous report. We will also update the Committee on the number of bilateral agreements on surrender of fugitive offenders.

***Article 9: Mutual assistance in relation to crimes of torture***

24. We will advise the Committee that the position remains essentially as explained in paragraphs 49 to 51 of the initial report. We will also update the Committee on the number of bilateral agreements on mutual legal assistance in criminal matters signed since the previous report.

***Article 10: Education and information on the prohibition of torture***

25. We will provide the Committee with updated information on the training programmes for law enforcement agencies, judges and judicial officers and health care professionals in this area.

***Article 11: Review of interrogation rules, instructions, methods and practices for custody and treatment of persons arrested or detained***

26. We will inform the Committee of the developments in respect of the rules and practices of the disciplined services to stop and search, arrest, and detain a person, as well as the protection of the rights of the persons detained in mental hospital.

***Article 12: Prompt and impartial investigation of acts of torture***

27. We will inform the Committee of the position regarding cases of alleged torture (if any) and that the complaints and investigation mechanisms will be elaborated under Article 13.

***Article 13: Right of complaint***

28. We will advise the Committee of the mechanism in place to ensure that detainees are aware of their right to complain and update the Committee on the statistical information and recent developments in respect of -

- Correctional Services Department
- Police
- Immigration Department
- Customs and Excise Department
- Independent Commission Against Corruption
- Avenues for complaint by mental patients

***Article 14: Legal redress for victims of torture and an enforceable right to fair and adequate compensation***

29. We will advise the Committee that the position remains essentially as explained in paragraphs 129 to 134 of the initial report, and update the Committee on the number of applications made and approved under the Criminal and Law Enforcement Injuries Compensation Scheme since the previous report.

***Article 15: Statements made as a result of torture shall not be invoked as evidence***

30. We will inform the Committee of updates since the previous report.

***Article 16: Prevention of other acts of cruel, inhuman or degrading treatment or punishment***

31. We will update the Committee in respect of the following –

- ill-treatment of children

- children in residential care
- domestic violence
- transgender and intersex persons

**(II) Supplementary information related to the concluding observations and recommendations of the Committee in connection with its review of the third report of the HKSAR**

32. This part of the report contains additional information relating to the “Follow-up information of the HKSAR on the Concluding Observations of the Committee against Torture on the third periodic report of the HKSAR” as submitted to the Committee on 24 January 2017 including –

- review of the non-refoulement claim screening procedure;
- independent mechanism for receiving and investigating complaints; and
- detention and fundamental legal safeguards.

33. We will also address the Committee’s recommendations included in the Concluding Observations concerning –

- the definition of torture;
- the use of force in handling public disorder situations;
- monitoring and inspection of places of detention;
- solitary confinement and use of restraint;
- trafficking in persons and forced labour of domestic workers;
- surrender of fugitive offenders and transfer of sentenced persons;
- extension of training on the Istanbul Protocol;
- right to redress and rehabilitation for victims of torture; and
- transgender and intersex persons.



# Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment

Distr.: General  
3 February 2016

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## Committee against Torture

### Concluding observations on the fifth periodic report of China with respect to Hong Kong, China\*

1. The Committee against Torture considered the fifth periodic report of Hong Kong, China (CAT/C/CHN-HKG/5) at its 1368th and 1371st meetings (see CAT/C/SR.1368 and 1371), held on 17 and 18 November 2015, and adopted the present concluding observations at its 1392 and 1393 meetings, held on 3 December 2015.

#### A. Introduction

2. The Committee welcomes the submission of the report of Hong Kong, China, as part of the fifth periodic report of China. It also welcomes the written replies to the list of issues (CAT/C/CHN-HKG/Q/5/Add.1) and the supplementary information provided after the consideration of the report.

3. The Committee appreciates the quality of its dialogue with the multisectoral delegation and the responses provided orally to the questions and concerns raised during the consideration of the report.

#### B. Positive aspects

4. The Committee welcomes the following legislative measures in areas of relevance to the Convention:

(a) The adoption of the Immigration (Amendment) Ordinance 2012 (Ord. No. 23 of 2012), which establishes a statutory process to request the non-refoulement protection of article 3 of the Convention;

(b) The 2008 and 2010 amendments to the Domestic Violence Ordinance, extending its protection to former spouses, former cohabiting couples, same-sex cohabitants and former same-sex cohabitants.

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\* Adopted by the Committee at its fifty-sixth session (9 November-9 December 2015).



5. The Committee also welcomes the initiatives of Hong Kong, China to adopt measures or to amend policies and administrative measures to give effect to the Convention, including:

(a) The gradual introduction since 2012 of low-radiation X-ray body scanners in reception centres to replace body cavity searches;

(b) The 2014 extension by administrative means (the so-called “unified screening mechanism”) of the process to request non-refoulement protection to claims (a) on the grounds of torture, cruel, inhuman or degrading treatment or punishment, under article 3 of the Hong Kong Bill of Rights; and (b) on the grounds of “persecution”, with reference to the non-refoulement principle, under article 33 of the 1951 Convention relating to the Status of Refugees;

(c) The organization of specific training programmes for health professionals and immigration officers on the Manual on the Effective Investigation and Documentation of Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment (Istanbul Protocol);

(d) The 2013 amendment to the prosecution code, which provides guidelines for prosecutors to handle cases of forced labour;

(e) The set-up of an interdepartmental working group on gender recognition, to consider legislation and incidental administrative measures that may be required to protect the rights of transsexual persons in Hong Kong, China.

## **C. Principal subjects of concern and recommendations**

### **Pending follow-up issues from the previous reporting cycle**

6. The Committee notes with appreciation the compliance of Hong Kong, China with the follow-up procedure. While appreciating some positive legislative (see para. 4 (a) above) and administrative (see para. 5 (b) above) measures, the Committee notes with concern that, according to the data provided by Hong Kong, China, from December 2009 to May 2015, only 32 non-refoulement claims out of 6,628 were considered substantiated, which is indicative of a distinctly high threshold for granting protection. The Committee also takes into account reports on the difficulties claimants face in accessing the decisions of the Torture Claims Appeal Board, which are not published, thereby impeding the effective preparation of their cases. Furthermore, the Committee is concerned at the plans to fast-track the system to address the large backlog of pending applications (of which there are currently more than 10,000), since such a measure may negatively impact the fairness and thoroughness of the screening procedure. It notes with concern the position of Hong Kong, China that the extension to it of the 1951 Convention relating to the Status of Refugees “would subject its immigration regime to abuses and thus undermine public interest”, which *prima facie* portrays all claimants in need of protection as abusers of the system. In that regard, the Committee is concerned that claims of non-refoulement are not entertained unless the person concerned has overstayed his or her visa and becomes officially “illegal”, forcing potential victims of torture to wait until that period expires in order to register with the unified screening mechanism and gain access to rehabilitation and humanitarian assistance. The Committee also notes with concern that, by failing to give refugee status to unified screening mechanism claimants, it denies them access to legal work, thereby compelling them to live on in-kind assistance below the poverty line for long periods of time (art. 3).

7. **The Committee calls on Hong Kong, China to review the non-refoulement claim screening procedure in order to ensure that persons in need of international**

protection, including those fleeing indiscriminate violence, are fully protected against refoulement. In particular, Hong Kong, China should:

- (a) Ensure unhindered access to the unified screening mechanism to all individuals wishing to claim protection, irrespective of their immigration status;
- (b) Enhance the fairness and transparency of the screening process by, *inter alia*, ensuring that non-refoulement claims are thoroughly and individually examined; allowing sufficient time for claimants to fully indicate the reasons for their application and to obtain and present crucial evidence, such as their own medical expert evidence; and publishing redacted versions of the decisions of the Torture Claims Appeal Board;
- (c) Develop mechanisms for the early identification of victims of torture, their priority access to the unified screening mechanism and their immediate access to redress;
- (d) Grant an alternative immigration status to refugees and substantiated unified screening mechanism claimants that would allow them to remain legally in Hong Kong, China until the end of the process and facilitate their access to legal work in order to avoid destitution and degrading treatment;
- (e) Consider extending to it the 1951 Convention relating to the Status of Refugees and its 1967 Protocol.

8. Recalling its previous recommendation (see CAT/C/HKG/CO/4, para. 12), the Committee remains concerned that investigations of police complaints continue to be conducted by the Complaints Against Police Office, which is a separate division of the police force. It is also concerned that the Independent Police Complaints Council remains an advisory and oversight body of the investigations of the Complaints Office, with no power to conduct investigations on its own. The Committee regrets the failure of Hong Kong, China to provide complete statistical data with regard to the number of complaints of torture or ill-treatment (including police abuse) received by the Complaints Office in the reporting period, as well as on the outcome of those complaints. It also remains concerned at the lack of an independent and effective mechanism for lodging complaints without fear of reprisals within the detention facilities under the police department, the immigration department or the correctional services department (arts. 12 and 13).

9. The Committee reiterates its previous recommendation that Hong Kong, China consider establishing a fully independent mechanism mandated to receive and investigate complaints against all officials and ensure that there is no institutional or hierarchical relationship between the investigators of that particular body and the suspected perpetrators of the acts that form the basis of a complaint. The Committee also urges Hong Kong, China to:

- (a) Ensure that the Prosecutor's office is duly informed of all the allegations of torture or ill-treatment received by that particular body and launch investigations on its own initiative whenever there are reasonable grounds to believe that an act of torture or ill-treatment has been committed;
- (b) Guarantee that alleged perpetrators of torture and ill-treatment are immediately suspended from duty for the duration of the investigation, subject to the observance of the principle of presumption of innocence;
- (c) Establish confidential complaints mechanisms in all places of detention to facilitate the submission of complaints by victims of torture and ill-treatment to the investigating body, including for obtaining medical evidence in support of their

allegations, and to ensure in practice that complainants are protected against any reprisals as a consequence of their complaint or any evidence given;

(d) **Ensure that the suspected perpetrators are duly prosecuted, tried and, if found guilty, punished in a manner that is commensurate with the gravity of their acts.**

#### **Definition of torture**

10. Notwithstanding the position of Hong Kong, China that the word “includes” in section 2 (1) of the Crimes (Torture) Ordinance makes it clear that a person not holding an office described in the schedule may still be a “public official”, the Committee remains concerned that the absence of a more inclusive definition of the term “public official” could, in practice, prevent the prosecution of other officials not explicitly mentioned in such schedule. Furthermore, the Committee notes with concern that Hong Kong, China has taken no action to abolish the defence of “lawful authority, justification or excuse” of the illicit conduct under the law of Hong Kong, China or the law of the place where it is inflicted, contained in section 3 (4) of the same Ordinance. In that respect, the Committee reiterates that the prohibition against torture is absolute and non-derogable and does not authorize any possible defence. It also considers that the defence of “lawful authority, justification or excuse” is broader in scope than the second sentence of article 1 (1) of the Convention, and could thus lead to abusive interpretations contrary to the Convention (arts. 1 and 4).

11. **The Committee reiterates its previous recommendations that Hong Kong, China should amend its legislation to include a definition of torture that is in full conformity with the Convention and covers all the elements contained in article 1. To that effect, Hong Kong, China should reconsider:**

(a) **Adopting a more inclusive definition of the term “public official” in order to ensure that all public officials or any other person acting in an official capacity can be prosecuted for acts of torture;**

(b) **Abolishing the defence contained in section 3 (4) of the Crimes (Torture) Ordinance. The Committee draws the attention of Hong Kong, China to its general comment No. 2 (2007), on the implementation of article 2 of the Convention, in which it states, inter alia, that the prohibition against torture is absolute and non-derogable and no exceptional circumstances whatsoever may be invoked to justify acts of torture (para. 5). It also reminds that serious discrepancies between the Convention’s definition and that incorporated into domestic law create actual or potential loopholes for impunity (para. 9).**

#### **Detention and fundamental legal safeguards**

12. The Committee is concerned at consistent reports of massive detentions of persons in the context of demonstrations and the alleged restrictions to the detainees’ legal safeguards. In that regard, the Committee takes note of the information provided by Hong Kong, China that 511 persons were arrested in connection with an assembly that followed an annual march on 1 July 2014, and is concerned at information that only 39 lawyers met with the arrestees during their detention (arts. 2 and 16).

13. **Hong Kong, China should ensure that all detainees are afforded in practice all fundamental legal safeguards from the very outset of their deprivation of liberty, including the right to be assisted by a lawyer without delay; to have immediate access to examination and treatment by independent doctors, without conditioning such access on the permission of officials; to be informed of the reasons for arrest and the nature of any charges against them; to be registered at the place of detention; to inform promptly a close relative or a third party concerning their arrest; and to be**

brought before a judge without delay. Hong Kong, China should adopt effective measures to ensure compliance with its legally prescribed procedures of arrest and monitor the compliance of public officials with the legal safeguards. It should also ensure that those who are suspected of not complying with the legal guarantees or of arresting persons without justifiable reason are investigated and, if found guilty, duly sanctioned.

#### **Excessive use of force when containing demonstrations**

14. The Committee is concerned at consistent reports of excessive use of tear gas, batons and sprays against protesters during the 79-day protest of the so-called “umbrella” or “occupy” movement in 2014. It is also concerned at consistent reports that police resorted to violence against more than 1300 people, and around 500 were subsequently admitted to hospitals. The Committee expresses concern at allegations of threats of sexual violence and assaults by the police to demonstrators while they were following the instructions of leaving the scene. Furthermore, it notes with concern of various instances of violence perpetrated by counter-demonstrators. As regards the complaints received by the Complaints Against Police Office during the protest and their investigation, the Committee is concerned that, out of 527 complaints made by a total of 2078 complainants, only 172 complaints were considered “reportable”. Of those 172 reportable complaints, the Complaints Office submitted investigation reports to the Independent Police Complaints Council for 151 cases, which were considered unsubstantiated by the Complaints Office. The Complaints Council endorsed the findings of the Complaints Office in 104 cases. The Committee is also concerned at the lack of information with regard to the outcome of the 47 complaints not endorsed by the Complaints Council (arts. 12, 13 and 16).

#### **15. Hong Kong, China should:**

- (a) **Conduct an independent investigation into the allegations of excessive use of force by the police and anti-demonstrators during the so-called “umbrella” or “occupy” movement protest in 2014;**
- (b) **Duly prosecute alleged perpetrators, including those officers who were complicit in those acts or allowed them to occur, and ensure that those found guilty are convicted and adequate penalties applied;**
- (c) **Provide full redress to the victims, including fair and adequate compensation;**
- (d) **Publicize the police general orders and related guidelines on the use of force and make sure that they are in compliance with international standards;**
- (e) **Strengthen ongoing training for all law enforcement officers on the absolute prohibition of torture and on international standards on the use of force, as well as on their liability in the event of excessive use of force.**

#### **Monitoring and inspection of places of detention**

16. Noting the information provided by Hong Kong, China that Duty officers have been designated at each police detention facility for the day-to-day inspection of the conditions of detention, the Committee is concerned about the lack of information regarding the independence of their mandate and reporting obligations. As regards the Justices of Peace, appointed by the Chief Executive to visit correctional institutions, the Committee regrets the lack of information regarding the effectiveness of their recommendations. Hong Kong, China has also failed to provide information on the existing monitoring mechanisms within the facilities under the immigration department (arts. 11, 13 and 16).



17. **Hong Kong, China should empower the Justices of Peace to monitor and visit all places of detention or, alternatively, establish an independent body with the mandate to carry out effective unannounced visits at all places of detention under the police department, the correctional services department and the immigration department. The recommendations of such body should be made public in a timely and transparent manner and Hong Kong, China authorities should take action upon its findings.**

#### **Solitary confinement and use of restraints**

18. Notwithstanding the information provided by the delegation that the average duration of solitary confinement as a result of disciplinary proceedings was 7.45 days in 2014, the Committee remains concerned that this measure can be imposed up to a maximum of 28 days, according to Rule 63 (1) (b) of the Prison Rules. The Committee is also concerned that the measure of “removal from association with other detainees”, contained in Rule 68B of the Prison Rules, can be imposed on vague grounds, such as “the maintenance of good order or discipline or in the interests of a prisoner”, initially for a period of not more than 72 hours, and for a further period of not more than one month, which can be renewed every month without upper limit. As regards the use of mechanical restraints, the Committee regrets the lack of information provided on the types, average duration and frequency of their use, in spite of a specific request from the Committee (art. 16).

19. **Hong Kong, China should:**

(a) **Reduce the maximum duration of solitary confinement and limit its use as a measure of last resort, for as short a time as possible, under strict supervision and with the possibility of judicial review, in line with international standards. Hong Kong, China should establish clear and specific criteria in its regulations for decisions on solitary confinement, indicating the conduct, type and maximum duration;**

(b) **Prohibit the use of solitary confinement on persons with intellectual or psychosocial disabilities, juveniles, pregnant women, women with infants and breastfeeding mothers, in prison;**

(c) **Ensure that detainees’ due process rights, such as the right to an independent hearing and to appeal, are respected when subjecting them to solitary confinement;**

(d) **Avoid the use of restraints as much as possible or apply them as a measure of last resort, when less intrusive alternatives for control have failed and for the shortest possible time;**

(e) **Compile and regularly publish comprehensive disaggregated data on the use of solitary confinement and restraints, including related suicide attempts and self-harm.**

#### **Trafficking in persons and forced labour of domestic workers**

20. While welcoming the amendment to the prosecution code to include forced labour within the definition of human trafficking (see para. 5 (d) above), the Committee notes with concern that there has been no parallel change in the legislative framework. In this regard, the Committee is concerned over numerous reports of cases of exploitation of migrant domestic workers. It regrets also that Hong Kong, China continues to maintain immigration policies that could contribute to the risk of forced labour, such as the “live-in requirement” in the employing household and the “two week rule”, whereby domestic workers have to leave the territory within two weeks upon termination of their contracts. Notwithstanding

the possibility of granting immunity from prosecution to illegal immigrants who have been identified as victims of trafficking, the Committee is concerned that such possibility is subject to the discretion of the police department, the immigration department and the labour department, and that victims of trafficking or forced labour continue to be prosecuted for illegal stay (arts. 2, 12, 13, 14 and 16).

**21. Hong Kong, China should:**

(a) **Take the necessary legislative amendments to adopt the definition of trafficking provided for in the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the United Nations Convention against Transnational Organized Crime;**

(b) **Abolish the “two week rule” and allow live-out arrangements to prevent torture and ill-treatment of migrant domestic workers;**

(c) **Amend legislation to prohibit financial arrangements related to debt bondage with employment agencies and finance companies, eliminate the mandatory requirement of using employment agencies as intermediaries and reduce the excessive fees charged;**

(d) **Vigorous enforce the relevant legislative framework, carry out prompt, thorough, effective and impartial investigations and, in cases of prosecutions and convictions, punish trafficking and forced labour offenders, including officials and agencies involved, with appropriate penalties;**

(e) **Provide specialized training to those with front-line exposure to human trafficking on the identification of victims of trafficking, particularly women arrested for prostitution or immigration violations, and provide such victims with immediate rehabilitation and assistance;**

(f) **Provide an effective remedy to all victims of trafficking and forced labour and ensure they receive prompt and adequate psychological support, medical care, access to welfare benefits, adequate shelter and work permits, irrespective of their ability to cooperate in the legal proceedings against traffickers;**

(g) **Strengthen bilateral, regional and international cooperation to prevent trafficking and forced labour, particularly with those countries sending domestic migrant workers, to eradicate de facto debt-bondage contracts, aggressive loan agreements and excessive agency charges.**

**Surrender of fugitive offenders and transfer of sentenced persons**

22. The Committee notes the delegation’s position that the negotiations with mainland China on arrangements for the surrender of fugitive offenders and the transfer of sentenced persons are a matter of internal affairs and that those arrangements could not be considered as extradition agreements that would fall under articles 3 or 8 of the Convention. The Committee considers, however, that Hong Kong, China is under an obligation to prevent transferred offenders or sentenced persons from being exposed to the risk of torture or ill-treatment while in detention or in prison upon return to mainland China or upon transfer via Macao, China (arts. 2 and 3).

23. **The Committee urges Hong Kong, China to ensure that any agreement on the surrender of offenders or transfer of sentenced persons from Hong Kong, China to mainland China or via Macao, China is in line with the obligations of the Convention and contains sufficient legal safeguards, appropriate judicial oversight mechanisms and effective post-return monitoring arrangements to protect fugitive offenders against torture or ill-treatment upon return or upon indirect transfer. Hong Kong,**

**China should not transfer a fugitive to mainland China where there are substantial grounds to believe that he or she would be in danger of being subjected to torture or ill-treatment upon return or upon indirect transfer via Macao, China.**

#### **Training**

24. While welcoming the efforts of Hong Kong, China to provide training on the Istanbul Protocol to health professionals and immigration officers (para. 5 (c) above), the Committee regrets the lack of information on the development of guidelines requiring its use in practice. The Committee also regrets the lack of data regarding the proportion of persons trained on the provisions of the Convention and on the prevention of torture (art. 10).

**25. Hong Kong, China should extend the training on the Istanbul Protocol to all officials involved in the treatment and custody of persons deprived of their liberty and develop guidelines or regulations requiring staff to use it in practice. Hong Kong, China should also ensure that the training on the provisions of the Convention and on the Istanbul Protocol is organized in a periodic and compulsory manner for all officials, is supported by guidelines for its implementation and that a methodology is developed for evaluating the effectiveness of such educational and training programmes.**

#### **Redress and rehabilitation**

26. The Committee regrets the lack of information on whether there is an enforceable legal right to rehabilitation and a concrete mechanism in place for providing such services to victims of torture (art. 14).

**27. The Committee, recalling its general comment No. 3 (2013) on the implementation of article 14 by States parties, urges Hong Kong, China to:**

**(a) Take the necessary legislative and administrative measures to guarantee that victims of torture and ill-treatment benefit from all forms of redress, including restitution, compensation, rehabilitation, satisfaction and guarantees of non-repetition;**

**(b) Fully assess the needs of torture victims and ensure that specialized, holistic rehabilitation services are available and promptly accessible without discrimination.**

#### **Transgender and intersex persons**

28. While welcoming the set-up of an interdepartmental working group on gender recognition (see para. 5 (e) above), the Committee is concerned about reports that transgender persons are required to have completed sex-reassignment surgery, which includes the removal of reproductive organs, sterilization and genital reconstruction, in order to obtain legal recognition of their gender identity. The Committee is also concerned that intersex children are subjected to unnecessary and irreversible surgery to determine their sex at an early stage. Furthermore, the Committee is concerned at the long-term physical and psychological suffering caused by such practices (arts. 10, 12, 14 and 16).

**29. Hong Kong, China should:**

**(a) Take the necessary legislative, administrative and other measures to guarantee respect for the autonomy and physical and psychological integrity of transgender and intersex persons, including by removing abusive preconditions for the legal recognition of the gender identity of transgender persons, such as sterilization;**

(b) Guarantee impartial counselling services for all intersex children and their parents, so as to inform them of the consequences of unnecessary and non-urgent surgery and other medical treatment to decide on the sex of the child and the possibility of postponing any decision on such treatment or surgery until the persons concerned can decide by themselves;

(c) Guarantee that full, free and informed consent is ensured in connection with medical and surgical treatments for intersex persons and that non-urgent, irreversible medical interventions are postponed until a child is sufficiently mature to participate in decision-making and give full, free and informed consent;

(d) Provide adequate redress for the physical and psychological suffering caused by such practices to some intersex persons.

#### **Follow-up procedure**

30. The Committee requests Hong Kong, China to provide, by 9 December 2016, information on follow-up to the Committee's recommendations in paragraphs 7 (b), 9 and 13. In that context, Hong Kong, China is invited to inform the Committee about its plans for implementing, within the coming reporting period, some or all of the remaining recommendations in the concluding observations.

#### **Other issues**

31. Hong Kong, China is requested to disseminate widely the report submitted to the Committee and the present concluding observations, in appropriate languages, through official websites, the media and non-governmental organizations.

32. Hong Kong, China is invited to submit its next periodic report, which will be included in the sixth periodic report of China, by 9 December 2019.

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