

# **立法會**

## ***Legislative Council***

LC Paper No. CB(2)413/2023

Ref. : CB2/H/13/1

### **Report No. 11/2023 of the House Committee on Consideration of Subsidiary Legislation and Other Instruments**

#### **Purpose**

This report gives the outcome of the consideration of the House Committee on subsidiary legislation the period for amendment of which will expire at the Council meeting of 17 May 2023.

#### **Subsidiary legislation considered**

2. The House Committee has considered the following items of subsidiary legislation:

<b>Item Number</b>	<b>Title of Subsidiary Legislation</b>	<b>Date of House Committee meeting(s)</b>
(1)	Securities and Futures (Client Securities) (Amendment) Rules 2023 (L.N. 54/2023)	31 March 2023 5 May 2023
(2)	Securities and Futures (Client Money) (Amendment) Rules 2023 (L.N. 55/2023)	
(3)	Securities and Futures (Financial Resources) (Amendment) Rules 2023 (L.N. 56/2023)	
(4)	Securities and Futures (Keeping of Records) (Amendment) Rules 2023 (L.N. 57/2023)	

<b>Item Number</b>	<b>Title of Subsidiary Legislation</b>	<b>Date of House Committee meeting(s)</b>
(5)	Securities and Futures (Accounts and Audit) (Amendment) Rules 2023 (L.N. 58/2023)	31 March 2023 5 May 2023
(6)	Securities and Futures (Contract Notes, Statements of Account and Receipts) (Amendment) Rules 2023 (L.N. 59/2023)	
(7)	Securities and Futures (Insurance) (Amendment) Rules 2023 (L.N. 60/2023)	
(8)	Securities and Futures (OTC Derivative Transactions—Reporting and Record Keeping Obligations) (Amendment) Rules 2023 (L.N. 61/2023)	
(9)	Securities and Futures Ordinance (Amendment of Schedule 5) Notice 2023 (L.N. 62/2023)	
(10)	Tax Reserve Certificates (Rate of Interest) (Consolidation) (Amendment) (No. 2) Notice 2023 (L.N. 64/2023)	21 April 2023
(11)	Employment (Amendment) Ordinance 2022 (Commencement) Notice (L.N. 66/2023)	

3. The House Committee formed a subcommittee at its meeting on 31 March 2023 to study items (1) to (9) in detail. The Subcommittee reported its deliberations at the House Committee meeting on 5 May 2023 vide LC Paper No. CB(1)432/2023.

4. In respect of items (10) and (11), the House Committee considered it not necessary to form any subcommittee to study these two items of subsidiary legislation.

Council Business Division 2  
Legislative Council Secretariat  
9 May 2023